

SOME THOUGHTS ON THE PROSPECTS FOR  
TOPOLOGICAL QUANTUM COMPUTING

A. J. Leggett

Department of Physics  
University of Illinois at Urbana-Champaign

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# TOPOLOGICAL QUANTUM COMPUTING/MEMORY

Qubit basis.  $|\uparrow\rangle = \alpha | \uparrow \rangle, |\downarrow\rangle$

$$|\Psi\rangle = \alpha |\uparrow\rangle + \beta |\downarrow\rangle$$

To preserve, need (for “resting” qubit)

$\hat{H}$  diagonal in  $|\uparrow\rangle, |\downarrow\rangle$  basis

$$(\hat{H}_{12} = 0 \Rightarrow "T_2 \rightarrow \infty": \hat{H}_{11} - \hat{H}_{22} = \text{const} \Rightarrow "T_2 \rightarrow \infty")$$

on the other hand, to perform (single-qubit) operations, need to impose nontrivial  $\hat{H}$ .

$\Rightarrow$  we must be able to do something Nature can't.

(ex: trapped ions: we have laser, Nature doesn't!)

Topological protection:

would like to find  $d(>1)$  dimensional Hilbert space within which (in absence of intervention)

$$\hat{H} = (\text{const.}) \cdot \hat{1} + o(e^{L/\xi})$$

size of system  $\nearrow$  microscopic length  $\nwarrow$

How to find degeneracy?

Suppose  $\exists$  two operators  $\hat{\Omega}_1, \hat{\Omega}_2$  s.t.

$$[\hat{H}, \hat{\Omega}_1] = [\hat{H}, \hat{\Omega}_2] = 0 \quad (\text{and } \hat{\Omega}_1, \hat{\Omega}_2 \text{ commutes with b.c's})$$

but

$$[\hat{\Omega}_1, \hat{\Omega}_2] \neq 0 \quad (\text{and } \hat{\Omega}_1 | \psi \rangle \neq 0)$$



# EXAMPLE OF TOPOLOGICALLY PROTECTED STATE: FQH SYSTEM ON TORUS (Wen and Niu, PR B **41**, 9377 (1990))

Reminders regarding QHE:

2D system of electrons,  $B \perp$  plane

Area per flux quantum =  $(h/eB) \Rightarrow$  df.

$$\ell \equiv (\hbar/eB)^{1/2} \leftarrow \text{“magnetic length”}$$

$$(\ell \sim 100 \text{ \AA} \text{ for } B = 10 \text{ T})$$

“Filling fraction”  $\equiv$  no. of electrons/flux quantum  $\equiv \nu$

“FQH” when  $\nu = \overbrace{p/q}^{\text{incommensurate integer}}$

Argument for degeneracy: (does **not** need knowledge of w.f.)  
can define operators of “magnetic translations”

$\hat{T}_x(\mathbf{a}), \hat{T}_y(\mathbf{b})$  ( $\equiv$  translations of **all** electrons through  $\mathbf{a}(\mathbf{b}) \times$  appropriate phase factors). In general  $[\hat{T}_x(\mathbf{a}), \hat{T}_y(\mathbf{b})] \neq 0$

In particular, if we choose  $\leftarrow$  no. of flux quanta

$$\mathbf{a} = L_1 / N_s, \quad \mathbf{b} = L_2 / N_s \quad (= L_1 L_2 / 2\pi \ell^2)$$

then  $\hat{T}_1 \hat{T}_2$  commute with b,c's (?) and moreover

$$\hat{T}_1 \hat{T}_2 = \hat{T}_2 \hat{T}_1 \exp - 2\pi i \nu$$

But the o. of m. of  $\mathbf{a}$  and  $\mathbf{b}$  is  $\ell \cdot (\ell/L) \ll \ell$ , and  $\Rightarrow 0$  for  $L \rightarrow \infty$ .

Hence to a very good approximation,

$$[\hat{T}_1, \hat{H}] = [\hat{T}_2, \hat{H}] = 0 \quad (*)$$

$$\text{so since } [\hat{T}_1, \hat{T}_2] \neq 0$$

must  $\exists$  more than 1 GS (actually q).

Corrections to (\*): suppose typical range of (e.g.) external potential  $V(\mathbf{r})$  is  $\ell_o$ , then since  $|\Psi\rangle$ 's oscillate on scale  $\ell_{osc}$ ,

$$\langle \psi_1 | \hat{H} | \psi_2 \rangle \sim \exp - \ell_o / \ell_{osc} \sim \exp - L / \xi$$

(+ const.  $\hat{1}$ )



# TOPOLOGICAL PROTECTION AND ANYONS

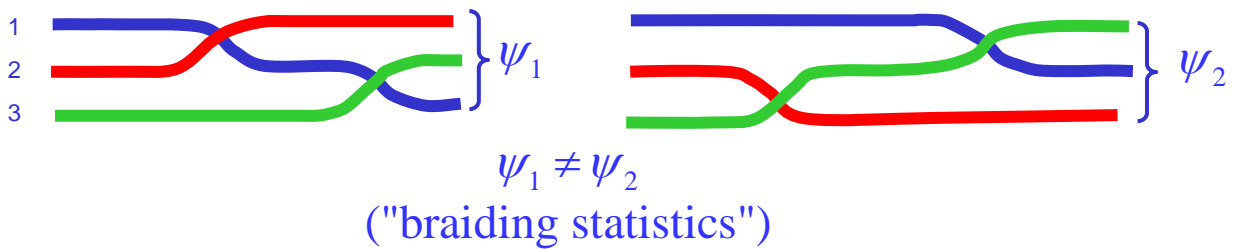
Anyons (df): exist only in 2D

$$\Psi(1, 2) = \exp(2\pi i \alpha) \Psi(2, 1) \equiv \hat{T}_{12} \Psi(1, 2)$$

(bosons:  $\alpha = 1$ , fermions:  $\alpha = 1/2$ )

abelian if  $\hat{T}_{12} \hat{T}_{22} = \hat{T}_{23} \hat{T}_{12}$  (ex: FQHE)

nonabelian if  $\hat{T}_{12} \hat{T}_{22} \neq \hat{T}_{23} \hat{T}_{12}$ , i.e., if



Nonabelian statistics\* is a **sufficient** condition for topological protection:

(a) state containing  $n$  anyons,  $n \geq 3$ :

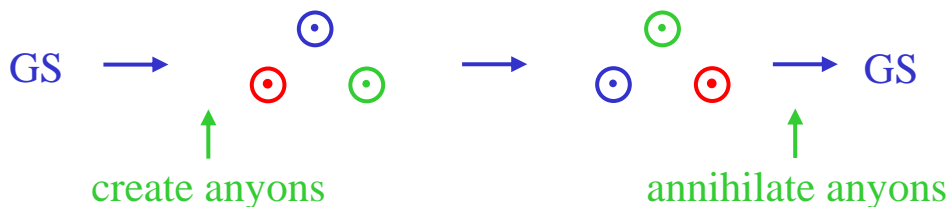
[not necessary, cf. FQHE on torus]

$$[\hat{T}_{12}, \hat{H}] = [\hat{T}_{23}, \hat{H}] = 0$$

$$[\hat{T}_{12}, \hat{T}_{22}] \neq 0$$

$\Rightarrow$  space must be more than 1D.

(b) groundstate:



annihilation process inverse of creation  $\Rightarrow$

GS also degenerate.

\*plus gap for anyon creation

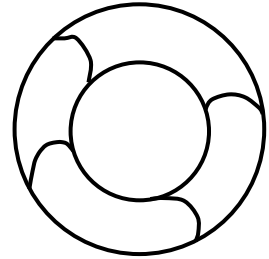


# SPECIFIC MODELS WITH TOPOLOGICAL PROTECTION

## 1. FQHE on torus

Obvious problems:

- (a) QHE needs GaAs–AlGaAs or Si MOSFET: how to “bend” into toroidal geometry?



QHE observed in (planer) graphene (but not obviously “fractional”!): bend C nanotubes?

- (b) Magnetic field should everywhere have large comp<sup>t</sup>  $\perp$  to surface: but  $\text{div } \mathbf{B} = 0$  (Maxwell)!

## 2. Spin Models (Kitaev et al.)

(adv: exactly soluble)

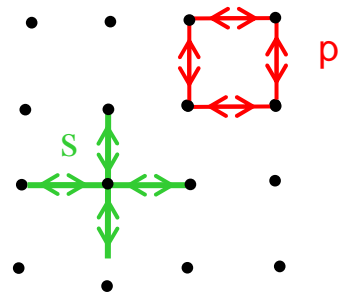
- (a) “Tonic code” model

Particles of spin  $1/2$  on lattice

$$\hat{H} = -\sum_s \hat{A}_s - \sum_p \hat{B}_p$$

$$\hat{A}_s \equiv \prod_{j \in s} \hat{\sigma}_j^x, \quad \hat{B}_p \equiv \prod_{j \in p} \hat{\sigma}_j^z$$

(so  $[\hat{A}_s, \hat{B}_p] \neq 0$  in general)



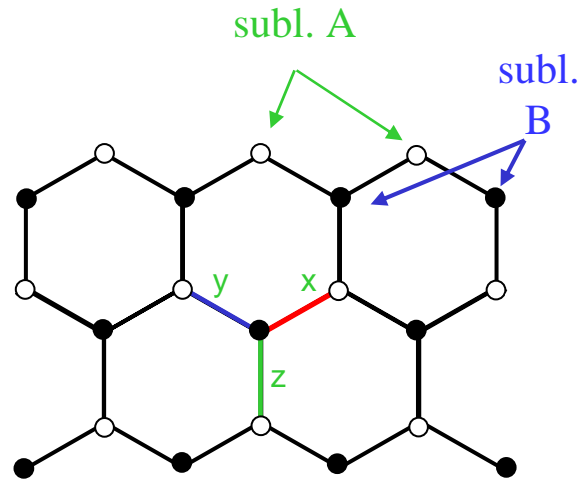
Problems:

- (a) toroidal geometry required (as in FQHE)
- (b) apparently v. difficult to generate Ham<sup>n</sup> physically

## SPIN MODELS (cont.)

### (b) Kitaer “honeycomb” model

Particles of spin  $\frac{1}{2}$  on  
honeycomb lattice  
(2 inequivalent sublattices,  
A and B)



$$\hat{H} = -J_x \sum_{x\text{-links}} \hat{\sigma}_j^x \hat{\sigma}_k^x - J_y \sum_{y\text{-links}} \hat{\sigma}_j^y \hat{\sigma}_k^y - J_z \sum_{z\text{-links}} \hat{\sigma}_j^z \hat{\sigma}_k^z$$

nb: spin and space axes indepent

Strongly frustrated model, but exactly soluble.\*

Sustains nonabelian anyons with gap provided

$$\begin{aligned} |J_x| &\leq |J_y| + |J_z|, & |J_y| &\leq |J_z| + |J_x|, \\ |J_z| &\leq |J_x| + |J_y| & \text{and } K &\neq 0 \end{aligned}$$

(in opposite case anyons are abelian + gapped)

Advantages for implementation:

(a) plane geometry (with boundaries) is OK

(b)  $\hat{H}$  bilinear in nearest-neighbor spins

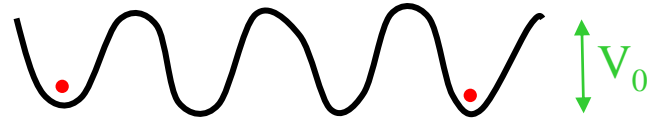
\* A. Yu Kitaev, Ann. Phys. 321,2 (2006)

H-D. Chen and Z. Nussinov, Cond-mat/070363 (2007)



# Can we Implement Kitaev Honeycomb Model?

One proposal (Duan et al., PRL 91, 090492 (2003)): use optical lattice to trap ultracold atoms



Optical lattice:

3 counterpropagating pairs of laser beams create potential, e.g. of form

$$V(\mathbf{r}) = V_0 (\cos^2 kx + \cos^2 ky + \cos^2 kz)$$

(2π/λ laser wavelength)

in 2D, 3 counterpropagating beams at 120° can create **honeycomb** lattice (suppress tunnelling along z by high barrier)

For atoms of given species (e.g. <sup>87</sup>Rb) in optical lattice 2 characteristic energies:

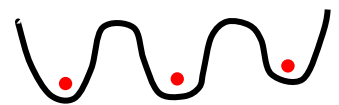
interwell tunnelling,  $t$  ( $\sim e^{-\text{const.} \sqrt{V_0}}$ )

intrawell atomic interaction (wave. repulsion)  $\sqcup$

For 1 atom per site on average:

if  $t \gg U$ , mobile (“superfluid”) phase

if  $t \ll U$ , “Mott-insulator” phase  
(1 atom localized on each site)



If 2 hyperfine species ( $\cong$  “spin  $-1/2$ ” particle), weak intersite tunnelling  $\Rightarrow$  AF interaction

$$\hat{H}_{AF} = \sum_{mn} J \sigma_i \sigma_j \quad J - t^2/U$$

(irrespective of lattice symmetry).

So far, isotropic, so not Kitaev model. But ...



# THE FRACTIONAL QUANTUM HALL EFFECT: THE CASES OF $\nu = 5/2$ AND $\nu = 12/5$

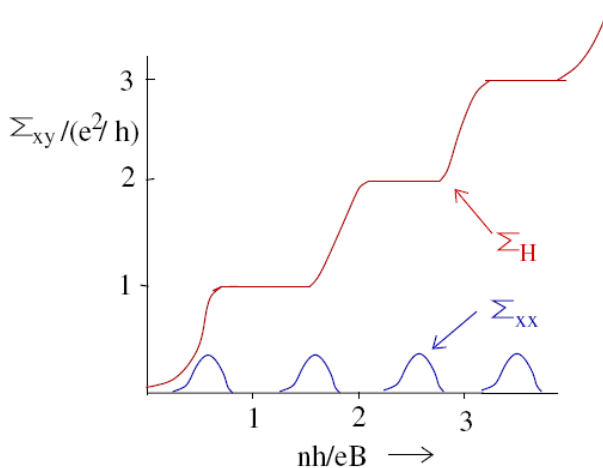
Reminder re QHE:

Occurs in (effectively) **2D electron system** (2DES") (e.g. inversion layer in GaAs – GaAlAs heterostructure) in **strong perpendicular magnetic field**, under conditions of high purity and low ( $\lesssim 250$  mK) temperature.

If df.  $l_m \equiv (\hbar/eB)^{1/2}$  ("magnetic length") then area per flux quantum  $h/e$  is  $2\pi l_m^2$ , so, no. of flux quanta =  $A/2\pi l_m^2$  ( $A \equiv$  area of sample). If total no. of electrons\* is  $N_e$ , define

$$\nu \equiv N_e / N_\Phi \quad (\text{"filling factor"})$$

QHE occurs at **and around** (a) integral values of  $\nu$  (**integral QHE**) and (b) fractional values  $p/q$  with fairly small ( $\lesssim 13$ ) values of  $q$  (**fractional QHE**). At  $\nu$ 'th step, Hall conductance  $\Sigma_{xy}$  quantized to  $\nu e^2/\hbar$  and longitudinal conductance  $\Sigma_{xx} \cong 0$



Nb: (1) Fig. shows IQHE only

(2) expts usually plot

$$R_{xy} \text{ vs } B \left( \propto \frac{1}{\nu} \right)$$

so general pattern is same but details different

\* strictly, no./spin: valley (but see below)





## SYSTEMATICS OF FQHE

FQHE is found to occur at and near  $\nu = p/q$ , where  $p$  and  $q$  are mutually prime integers. By now,  $\sim 50$  different values of  $(p,q)$ . Generally, FQHE with large values of  $q$  tend to be more unstable against disorder and temperature.

Possible approaches to identification of phases : narrower,  $\rho_{xx} \rightarrow 0$

- (a) analytic, trial wf (eg Laughlin)
- (b) numerical, few-electron (typically  $N \simeq 18$ )
- (c) via CFT ← conformal field theory
- (d) experiment :

alas, cannot usually measure much other than electrical props ! ideally, would at least like to know total spin of sample, but.....

The simplest FQHE states (Laughlin states) : reminders

The Laughlin states have  $p = 1, q = \text{odd integer}$ , i.e.

$$\nu = 1/(2m + 1) \quad , \quad m = \text{integer (e.g. } \nu = \frac{1}{3}, \frac{1}{5}, \dots)$$

These are well accounted for by the Laughlin w.f.

$$\Psi_N = \prod_{i < j}^N (z_i - z_j)^q \exp - \sum_i |z_i|^2 / 4l_m^2$$

$$q = \frac{1}{\nu} = 2m + 1 \quad (z \equiv x + iy)$$

Elementary excitations are quasiholes generated by multiplying GSWF by  $\prod_{i=1}^N (z_i - \eta_0)$  (hole at  $\eta_0$ ). They have charge

$$e^* = \nu e$$

and are abelian anyons :

$$\Psi(1, 2) = \exp i\pi\nu \Psi(2, 1)$$

Fairly convincing evidence for fractional charge ( $\nu = 1/3$ ), some evidence for fractional statistics.



## The $\nu = 5/2$ STATE

First seen in 1987 : to date the **only** even-denom. FQHE state reliably established\* (some ev. for  $\nu = 19/8$ ). Quite robust :  $\sum_{xy} / (e^2/h) = 5/2$  to high accuracy, excluding e.g. odd-denominator values  $\nu = 32/13$  or  $33/13^*$ , and  $\sum_{xx}$  vanishes within exptl. accuracy. The gap  $\Delta \sim 500$  mK.

### WHAT IS IT?

First question : is it totally spin-polarized (in relevant LL)? Early experiments showed that tilting  $\underline{B}$  away from  $\perp$ 'r destroyed it  $\Rightarrow$  suggests spin singlet. But later exptl. work, and numerics, suggests this may be  $\because$  tilted field changes orbital behavior and hence effective Coulomb interaction. So general belief is that it is totally spin-polarized (i.e. LLL  $\uparrow, \downarrow$  both filled,  $n = 1, \downarrow$  half-filled, no filling of  $n = 1, \uparrow$ ). (but it would be nice to have unambiguous exptl. evidence of this !). Thus, it is the  $n = 1$  analog of  $\nu = 1/2$ .

However, the actual  $\nu = 1/2$  state does **not** correspond to a FQHE plateau. In fact the CF approach predicts that for this  $\nu$

$$N_{\phi}^{eff} = N_{\phi} - 2N_e = 0$$

and hence the CF's behave as a Fermi liquid : this seems to be consistent with expt. If LLL  $\uparrow, \downarrow$  both filled, this argt. should apply equally to  $\nu = 5/2$  (since  $(N_e/N_{\phi})_{eff} = 1/2$ ).

So what has gone wrong?

One obvious possibility † :

**Cooper pairing of composite fermions !**

since spins  $\parallel$ , must pair in odd- $l$  state, e.g. p-state.

\*except for  $\nu = 7/2$  which is the corr. state with  $n = 1, \uparrow$  filled.

\*Highest denominator seen to date  $\sim 19$

† Moore & Read, Nuc. Phys. B 360, 362 (1991); Greiter et. al. 66, 3205 (1991)



## THE "PFAFFIAN" ANSATZ

Consider the Laughlin ansatz formally corresponding to  $\nu = 1/2$ :

$$\Psi_N^{(L)} = \prod_{i < j} (z_i - z_j)^2 \exp - \sum_i |z_i|^2 / 4l_m^2 \quad (z_i = \text{electron coor.})$$

This cannot be correct as it is symmetric under  $i \leftrightarrow j$ . So must multiply it by an **antisymmetric** function. On the other hand, do not want to "spoil" the exponent 2 in numerator, as this controls the relation between the LL states and the filling.

Inspired guess (Moore & Read, Greiter et. al.): ( $N = \text{even}$ )

$$\Psi_N = \Psi_N^{(L)} \times Pf \left( \frac{1}{z_i - z_j} \right)$$

$$Pf(f(ij)) \equiv f(12)f(34)\dots - f(13)f(24)\dots + \dots \quad (\equiv \text{Pfaffian})$$

↑  
antisymmetric under  $ij$

This state is the exact GS of a certain (not very realistic) 3 - body Hamiltonian, and appears (from numerical work) to be not a bad approximation to the GS of some relatively realistic Hamiltonians.

With this GS, a single quasihole is postulated to be created, just as in the Laughlin state, by the operation

$$\Psi_{qh} = \left( \prod_{i=1}^N (z_i - \eta_0) \right) \cdot \Psi_N$$

It is routinely stated in the literature that "the charge of a quasihole is  $-e/4$ ", but this does not seem easy to demonstrate directly: the argts are usually based on the BCS analogy (quasi-hole  $\leftrightarrow \hbar/2e$  vortex, extra factor of 2 from usual Laughlin-like considerations) or from CFT.

←  
conformal field theory

2 qps are more interesting.

## IS THE $\nu = 5/2$ FQHE STATE REALLY THE PFAFFIAN STATE?

Problem : Several alternative identifications of the  $\nu = 5/2$  state (331, partially polarized, "anti-pfaffian...."). Some are abelian, some not : all however predict  $e^* = e/4$  [does this follow from general topological considerations ? ]. Numerical studies tend to favor the Pfaffian, but....

2 very recent experiments:

A. Dolev *et. al.*, Nature 452 829 (2008)

Shot-noise expt., similar to earlier ones on  $\nu = 1/3$  FQHE state. Interpretations needs some nontrivial assumptions about the states neighboring the edge channels through which cond.<sup>n</sup> takes place.

Conclusion:

data consistent with  $e^* = e/4$ , inconsistent with  $e^* = e/2$

unfortunately, doesn't discriminate between Pfaffian and other identifications.

Radu *et. al.*, Science 320 895 (2008)

Tunnelling expt., measures T- dependence of tunnelling current across QPC ← quantum point contact. Fits to theory of Wen for general FQHE state, which involves 2 characteristic number of states,  $e^*$  and  $g$ : for Pfaffian,  $e^* = e/4, g = 1/2$  (also for 2 other nonabelian candidates : abelian candidates have  $e^* = e/4$  but  $g = 3/8$  or  $1/8$ ).

Conclusion : best fit to date is

$$e^*/e = 0.17, \quad g = 0.35$$

which is actually closer to the abelian (331) state ( $g = 0.375$ ) than to the Pfaffian.

## THE $\nu = 12/5$ STATE

This state has so far been seen in only one experiment\*: it is quite fragile (short plateau,  $R_{xx} \rightarrow 0$ ). It could perfectly well be the  $n = 1$  LL analog of the  $2/5$  state, which fits in the CF picture ( $p = 2, m = 1$ ), and would of course be Abelian. Why should it be of special interest?

In 1999 Read & Rezayi speculated that the  $\nu = 1/3$  Laughlin state and the Pfaffian  $\nu = 1/2$  state are actually the beginning of a series of "parafermion" states with

$$\nu = k/(k + 2)$$

The ansatz for the wave function is

$$\Psi_{k;N} = \sum_{p \in S_N} \prod_{0 < r < s < N/k} \chi(z_p(kr+1) \cdots z_p(k(r+1)) : z_p(ks+1) \cdots z_p(k(s+1)))$$

where

$$\chi(z_1 \cdots z_k : z_{k+1} \cdots z_{2k}) \equiv \frac{(z_1 - z_{k+1})(z_1 - z_{k+2}) \cdots (z_1 - z_{2k})}{(z_2 - z_{k+3}) \cdots (z_k - z_{2k})(z_k - z_{k+1})}$$

The state  $\psi_{k;L}$  can be shown to be the exact groundstate of the (highly unrealistic !) Hamiltonian

$$H = \sum_{i < j < l < \dots} \delta(z_i - z_j) \delta(z_j - z_l) \delta(z_l - z_m) \dots$$

(k + 1) terms

The quasiholes generated by this state have charge  $e^* = e/(k + 2)$  and are nonabelian for  $k \geq 2$ ; for  $k = 3$  they are **Fibonacci anyons**, which permit universal TQC.

Of course, the no.  $12/5 \neq k/(k + 2)$ . However, it is possible that the  $\nu = 12/5$  state is the  $n = 1$ , particle-hole conjugate of  $\nu = 3/5$ . In this context it is intriguing that the  $\nu = 13/5$  state has never been seen.....

How would we tell? Interference methods?

\* Xia et. al., PRL **93** 176809 (2003)



If tunnelling is different for  $\uparrow$  and  $\downarrow$ , then H'berg Hamiltonian is **anisotropic**: for fermions,

$$\hat{H}_{AF} = \frac{t_{\uparrow}^2 + t_{\downarrow}^2}{2U} \sum_{nn} \hat{\sigma}_i^z \hat{\sigma}_j^z + \frac{t_{\uparrow} t_{\downarrow}}{U} \sum_{nn} (\hat{\sigma}_i^x \hat{\sigma}_j^x + \hat{\sigma}_i^y \hat{\sigma}_j^y)$$

$\Rightarrow$  if  $t_{\uparrow} \gg t_{\downarrow}$ , get Ising-type int<sup>n</sup>

$$H_{AF} = \text{const.} \sum_{nn} \hat{\sigma}_i^z \hat{\sigma}_j^z$$

We can control  $t_{\uparrow}$  and  $t_{\downarrow}$  with respect to an **arbitrary** “z” axis by appropriate polarization and turning of (extra) laser pair. So, with 3 extra laser pairs polarized in mutually orthogonal directions (+ appropriately directed) can implement

$$\begin{aligned} \hat{H} &= J_x \sum_{x\text{-bonds}} \hat{\sigma}_i^x \hat{\sigma}_j^x + J_y \sum_{y\text{-bonds}} (\hat{\sigma}_i^y \hat{\sigma}_j^y + J_z \sum_{z\text{-bonds}} \hat{\sigma}_i^z \hat{\sigma}_j^z) \\ &\equiv \text{Kitaer honeycomb model} \end{aligned}$$

Some potential problems with optical-lattice implementation:

- (1) In real life, lattice sites are inequivalent because of background magnetic trap  $\Rightarrow$  region of Mott insulator limited, surrounded by “superfluid” phase.
- (2) V. long “spin” relaxation times in ultracold atomic gases  $\Rightarrow$  true groundstate possibly never reached.

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So, how about a “literal” implementation of the KH model?



## p-WAVE FERMI SUPERFLUIDS (in 2D)

Generically, particle-conserving wave function of a Fermi superfluid (Cooper-paired system) is of form

$$\Psi_N = \eta \cdot \left( \sum_{k, \alpha\beta} c_k a_{k\alpha}^+ a_{k\beta}^+ - k\beta \right)^{N/2} |vac\rangle$$

e.g. in BCS superconductor

$$\Psi_N = \eta \left( \sum_k c_k a_{k\uparrow}^+ a_{k\downarrow}^+ - k\downarrow \right)^{N/2} |vac\rangle -$$

Consider the case of pairing in a spin triplet, p-wave state (e.g.  $^3\text{He-A}$ ). If we neglect coherence between  $\uparrow$  and  $\downarrow$  spins, can write

$$\Psi_N = \Psi_{N/2, \uparrow} \Psi_{N/2, \downarrow}$$

Concentrate on  $\Psi_{N/2, \uparrow}$  and redef.  $N \rightarrow 2N$ .

$$\Psi_{N\uparrow} = \eta \left( \sum_k c_k a_k^+ a_{-k}^+ \right)^{N/2} |vac\rangle$$

suppress spin index

What is  $c_k$ ?

Standard choice:

$$c_k = \exp -i\phi_k \left( \frac{1 - \varepsilon_k / E_k}{1 + \varepsilon_k / E_k} \right)^{1/2} \left( \varepsilon_k^2 + |\Delta_k|^2 \right)^{1/2}$$

$\left( \varepsilon_k^2 + |\Delta_k|^2 \right)^{1/2}$  ←  $k\varepsilon$  maximal from  $\mu$   
 ← real factor  
 ← “p+ip”

How does  $c_k$  behave for  $k \rightarrow 0$ ? For p-wave symmetry,  $|\Delta_k|$  must  $\propto k$ , so  $|c_k| \sim \varepsilon_F / |\Delta_k| \sim k^{-1}$

Thus the (2D) Fournier transform of  $c_k$  is  $\propto r^{-1} \exp -i\phi \equiv z^{-1}$ ,

and the MBWF has the form

$$\Psi_N(Z_1 Z_2 \dots Z_N) = Pf \left( \frac{1}{z_i - z_j} \right) \times \text{uninteresting factors}$$



Conclusion: apart from the “single-particle” factor

$\exp - \frac{1}{4\ell^2} \sum_j |z_j|^2$ , MR ansatz for  $\nu = s/2$  QHE is **identical** to the “standard” real-space MBWF of a  $(p + ip)$  2D Fermi superfluid.

Note one feature of the latter:

if

$$-\hat{\Omega} \equiv \sum_k c_k a_k^+ a_{-k}^+, \quad c_k = |c_k| \exp - i\phi_k$$

then

$$[\hat{L}_z, \hat{\Omega}] = -\hbar \hat{\Omega}$$

← z-computation of anyon momentum

so

$$\Psi_N \equiv \text{const. } \hat{\Omega}^N |vac\rangle$$

possesses anyon momentum  $-N\hbar/2$ , **no matter how weak the pairing!**

Now: where are the nonabelian anyons in the  $p + ip$  Fermi superfluid?

Read and Green (Phys. Rev. B *61*, 10217(2000)):

nonabelian anyons are **zero-energy fermions bound to cores of vortices.**

Consider for the moment a single-component 2D Fermi superfluid, with  $p + ip$  pairing. Just like a BCS (s-wave) superconductor, it can sustain **vortices**: near a vortex the pair wf, or equivalently the gap  $\Delta(\mathbf{r})$ , is given by

↑ COM of Cooper pairs

$$\Delta(\mathbf{r}) \equiv \Delta(z) = \text{const. } z$$

Since  $|\Delta(\mathbf{r})|^2 \rightarrow 0$  for  $\mathbf{r} \rightarrow 0$ , and (crudely)  $E_{\mathbf{k}}(\mathbf{r}) \sim (\varepsilon_{\mathbf{k}}^2 + |\Delta(\mathbf{r})|^2)^{1/2}$ , bound states can exist in case. In the s-wave case their energy is  $\sim \eta |\Delta_0|^2 \varepsilon_F$ ,  $\eta \neq 0$  so no zero-energy bound states.

What about the case of  $(p + ip)$  pairing?

$$\exists \text{ mode with } u(\mathbf{r}) = v^*(\mathbf{r}), E = 0$$





Now, recall that in general

$$\psi_{exc}(\mathbf{r}) = (u(\mathbf{r})\hat{\Psi}^\dagger(\mathbf{r}) + u(\mathbf{r})\hat{\Psi}(\mathbf{r}))|0\rangle \equiv \hat{\phi}(\mathbf{r})|0\rangle$$

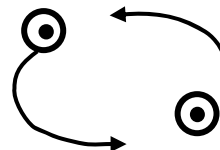
–But, if  $u^*(\mathbf{r}) = u(\mathbf{r})$ , then  $\hat{\phi}^\dagger(\mathbf{r}) \equiv \hat{\phi}(\mathbf{r})!$  i.e.

zero-energy modes are their own antiparticles  
 (“Majorana modes”)

⚠: This is true **only** for spinless particle/pairing of 1/2 spins  
 (for pairing of anti || spins, particle and both  
 distinguished by spin).

Consider two vortices  $i, j$  with attached Majorana modes with  
 creation ops.  $\gamma_i \equiv \gamma_i^\dagger$ .

What happens if two vortices are  
 interchanged?\*



Claim: when phase of C. pairs changes by  $2\pi$ , phase  
 of Majorana mode changes by  $\pi$  (true for assumed  
 form of  $u, v$  for single vortex). So

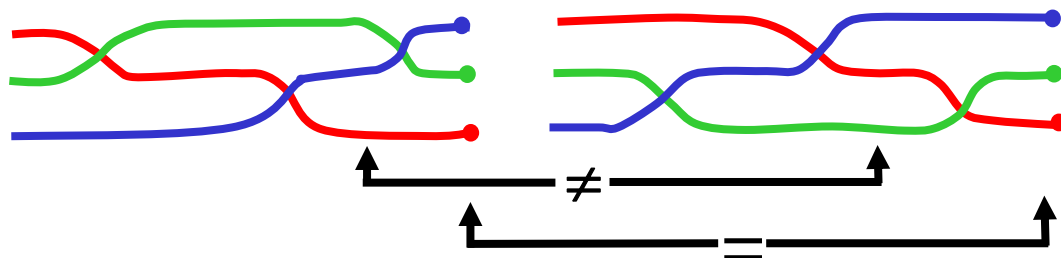
$$\gamma_i \rightarrow \gamma_j$$

$$\gamma_j \rightarrow -\gamma_i$$

more generally, if  $\exists$  many vortices + we def  $\hat{T}_i$  as exchanging  
 $i, I + 1$ , then for  $|i-j| > 1$   $[\hat{T}_i, \hat{T}_j] = 0$ , but

for  $|i-j|=1$ ,  $[\hat{T}_i, \hat{T}_j] \neq 0$ ,  $\hat{T}_i \hat{T}_j \hat{T}_i = \hat{T}_j \hat{T}_i \hat{T}_j$

braid  
 group!



\* Ivanov, PRL 86, 268 (2001)



How to implement all this?

- (a) superfluid  $^3\text{He-A}$ :  
to a first approximation,

$$\Psi = \Psi_{\uparrow} \Psi_{\downarrow}, \quad \Psi_{\uparrow} = \left( \sum_k c_k a_{k\uparrow}^+ a_{-k\uparrow}^+ \right)^{N/2} |vac\rangle \text{ (etc.)}$$

$$c_k \sim |c_k| \exp i\varphi_k$$

so prima facie suitable.

Ordinary vortices ( $\Delta_{\uparrow}(\mathbf{r}) \sim \Delta_{\downarrow}(\mathbf{r}) \sim z$ ) well known to occur. Will they do?

Literature mostly postulates **half-quantum vortex**

( $\Delta_{\uparrow}(\mathbf{r}) \sim z$ ,  $\Delta_{\downarrow}(\mathbf{r}) = \text{const.}$ , i.e. vortex in  $\uparrow$  spins, none in  $\downarrow$ )

HQV's should be stable in  $^3\text{He-A}$  under appropriate conditions (e.g. annular germ., rotation at  $\omega \sim \omega_c/2$ ,  $\omega \equiv \hbar/2mR^2$ )

sought but not found:

??

Additionally, would need a thin slab (how thin?) for it to count as "2D".

How would we manipulate vortices/quasiparticles (neutral) in  $^3\text{He-A}$ ?

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What about charged case (p + ip superconductor)?

Ideally, would like **2D** superconductor with pairing in (p + ip) state. Does such exist?



# STRONTIUM RUTKENATE ( $\text{Sr}_2\text{RuO}_4$ )\*

Strongly layered structure, anil. cuprates  $\Rightarrow$  hopefully sufficiently “2D.” Superconducting with  $T_c \sim 1.5$  K, good type-II props. ( $\Rightarrow$  “ordinary” vortices certainly exist).

\$64 K question: **is pairing spin triplet ( $p + ip$ )?**

Much evidence\* both for spin triplet and for odd parity (“p not s”).

Evidence for broken T-reversal symmetry:

optical rotation (Xia et al. (Stanford), 2006)

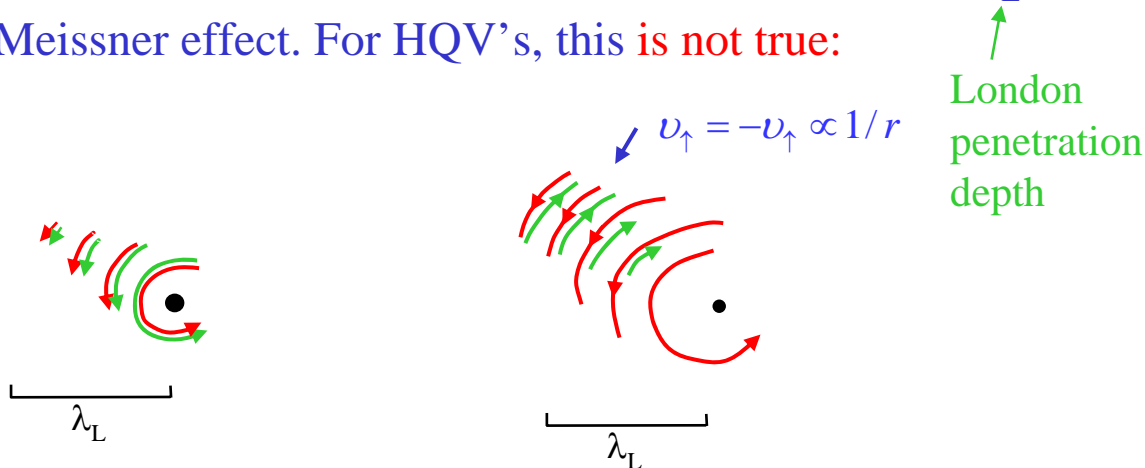
Josephson noise (Kidwingira et al. (UIUC), 2006)

$\Rightarrow$  “topology” of orbital pair w.f. **probably** ( $p_x + ip_y$ ).

Can we generate HQV’s in  $\text{Sr}_2\text{RuO}_4$ ?

Problem:

in neutral system, both ordinary and HQ vortices have  $1/r$  flow at  $\infty$ .  $\Rightarrow$  HQV’s not specially disadvantaged in charged system (metallic superconductor), ordinary vortices have flow completely screened out for  $r \gtrsim \lambda_L$  by Meissner effect. For HQV’s, this **is not true**:



So HQV’s **intrinsically disadvantaged** in  $\text{Sr}_2\text{RuO}_4$ .

\*Mackenzie and Maeno, Rev. Mod. Phys. 75, 688 (2003)



## Problems:

(1) Is Sr<sub>2</sub>RuO<sub>4</sub> really a (p + ip) superconductor?

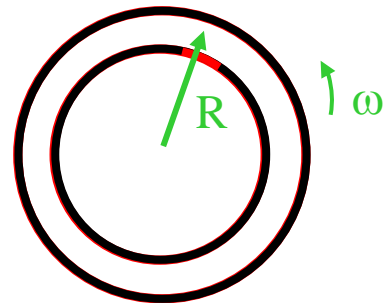
If so, is single-particle bulk energy gap nonzero everywhere on F.S.?

Even if so, does large counterflow energy of KQV mean it is never stable?

(2) Non-observation of KQV's in <sup>3</sup>He-A:

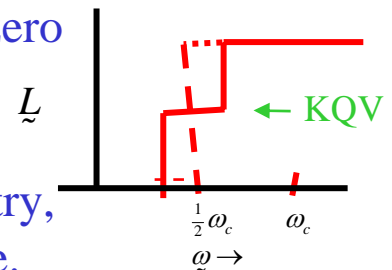
Consider this annular rotating at any velocity  $\omega$ , and df.  $\omega_c \equiv \hbar / 2mR^2$

At  $\omega = \frac{1}{2}\omega_c$  exactly, the nonrotating state and the ordinary "vortex" (p-state) with both spins rotating are degenerate.



But a simple variational argument shows that barring pathology, there exists a nonzero range of  $\omega$  close to  $\frac{1}{2}\omega_c$  where the KQV is more stable than either!

In a simply connected flat-disk geometry, argument is not rigorous but still plausible.



⚠: Yamashita et al. (2008) do experiment in flat-disk geometry, find NO EVIDENCE for KQV!

## Possible explanations:

(1) KQV is never stable (Kawakami et al., preprint, Oct 08)

(2) KQV did occur, but NMR detection technique insensitive to v.

(3) KQV is thermodynamically stable, but inaccessible in experiment.

(4) Nature does not like KQV's.

## Problems (cont.)

More fundamental problem:

Does the existence of a “split  $E=0$  DB fermion” survive the replacement of the scale-invariant gap fermion

$$\Delta(r, r') = \frac{\Delta_b}{k_F} \partial_r \delta(\underline{r} - \underline{r}')$$

by the true gap  $\Delta(\underline{r} - \underline{r}')$  ?

Recall: real-space width of “MF” is

$$\ell \sim k_F^{-1} (R_o / \xi)$$

but, range of real-life  $\Delta(\mathbf{r} - \mathbf{r}') \gtrsim k_F^{-1}$  !

Possible clues from study of toy model

$$\hat{H} = \sum_{j=1}^{N-1} (-t a_j^+ a_{j+1} - i \Delta a_j^+ a_{j+1}^+ + H.c.) - \mu \sum_{j=1}^N a_j^+ a_j$$

as f'n of ratios  $\Delta/t$  and  $\mu/t$ , taking proper account of boundary conditions.

For  $\Delta=t$ ,  $\mu=0$  2 MF's exist at ends of chain

For  $\Delta = 0$ , any  $t/\mu$ , trivially soluble, no MF's or anything else exotic.

Where and how does crossover occur?

