

SUPERFLUID  $^3\text{He}$ :  
UNDERSTANDING THE EXPERIMENTS

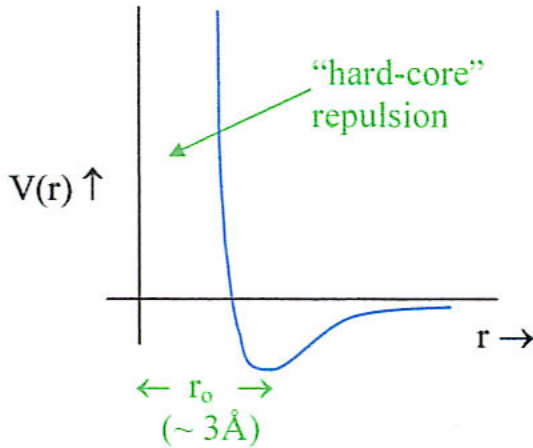
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# EARLY THEORETICAL WORK ON POSSIBLE COOPER PAIRING IN LIQUID <sup>3</sup>HE



$$r \sim r_0, p \sim p_F (\equiv \sqrt{2mk_B T_F})$$

$$\Rightarrow \text{relative angular momentum}$$

$$\ell \equiv (p_F r_0 / \hbar) \neq 0$$

(prob. 1 or 2)

Pauli principle:  $\begin{cases} \ell = 0, 2, 4 \dots & S = 0 \text{ (singlet)} \\ \ell = 1, 3, 5 \dots & S = 1 \text{ (triplet)} \end{cases}$

in general,  $\ell \neq 0 \Rightarrow$  relative (internal) wave function of pair  
has orientational degree(s) of freedom! “equal spin pairing”

Anderson & Morel (1961): explore in detail case  $\ell = 2$ , and a special case of  $\ell = 1$ : only  $\uparrow\uparrow$  and  $\downarrow\downarrow$  pairs form, and have the same orbital angular momentum in direction  $\hat{\ell}$  (“ABM” state). Physical properties anisotropic.

Vdovin  
 Balian & Werthamer } (1963): in  $\ell = 1$  case all spin components “<sup>3</sup>P<sub>1</sub>”  
 $(\uparrow\uparrow, \downarrow\downarrow, \frac{1}{\sqrt{2}}(\uparrow\downarrow + \downarrow\uparrow))$  can form: in fact for any given pair,  $\underline{L} = -\underline{S} \Rightarrow J = 0$ .  
“BW” state. All physical properties isotropic. More stable than any ESP state.



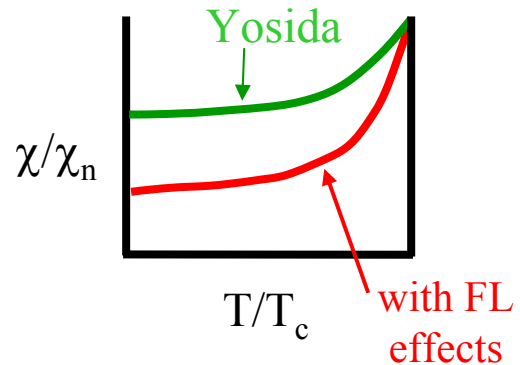
# FURTHER PRE-1972 THEORETICAL DEVELOPMENTS

1. Combination of Landau Fermi-liquid theory of (strongly interacting) normal phase with BCS theory of (weakly interacting) superfluid phase (“superfluid Fermi liquid”) (Larkin-Migdal, Fulde-Ferrell, AJL)

⇒ FL effects change T-dependence of  $\rho_n(T)$ ,  $\chi(T)$  from simple Yosida function.

e.g.  $\chi(T)$  of BW phase:

↑  
spin susceptibility



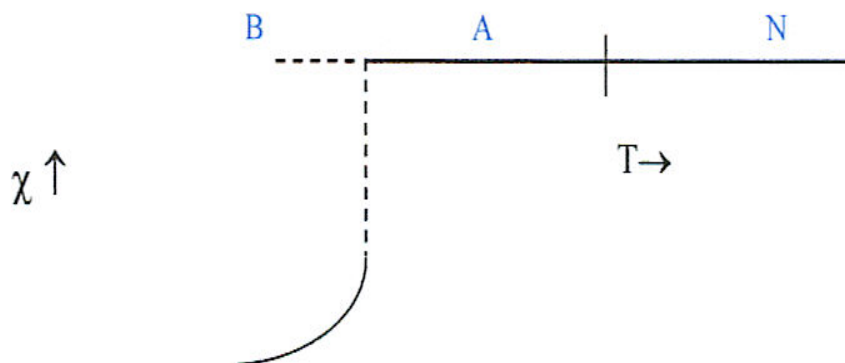
2. Spin-fluctuation-mediated interaction (Layzer-Fay): if  $V_{\sigma\sigma}(r) \sim gf(r)\vec{\sigma} \cdot \vec{\sigma}'$   $\uparrow\uparrow \rightsquigarrow \uparrow\downarrow$  generates (indirect) **repulsion** between anti || spins (singlet) but **attraction** between || over (triplet).

(No consideration of NMR in paired phase)

Theoretical expectation in spring of 1972:

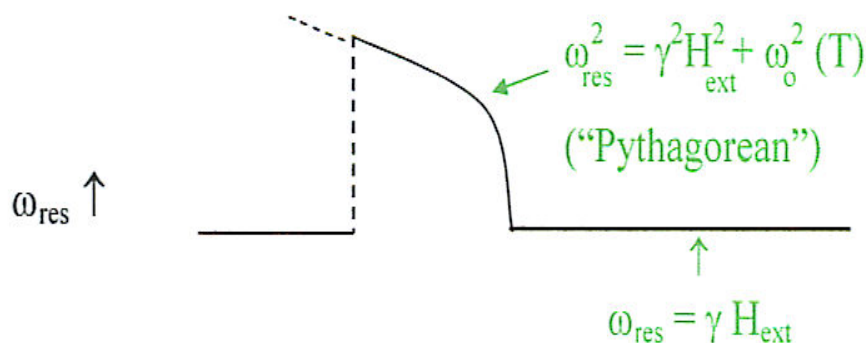
Liquid  $^3\text{He}$  may form Cooper pairs, either with  $\ell = \text{even}$  (**spin singlet**) or with  $\ell = \text{odd}$  (**BW state**). In either case,  $\chi$  reduced and all magnetic properties isotropic.  $T_c$  difficult to predict.

## NMR in the new phases:

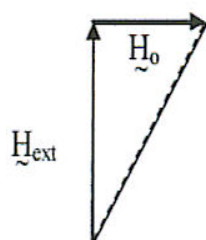


Not necessarily mysterious: e.g. A phase could be an ESP state (only  $\uparrow\uparrow, \downarrow\downarrow$  pairs  $\Rightarrow$  no reduction in  $\chi$ ), B could be singlet or BW (some  $\uparrow\downarrow$  pairs, so  $\chi$  reduced) [but: why is ESP ever stable?] -

But: what about the resonance frequency?



$$\omega_0^2(T) \approx A(1 - T/T_A), \quad \frac{A}{(2\pi)^2} \approx 5 \times 10^{10} \text{ Hz}^2$$



Need  $H_0 \sim 30\text{G}$ . But, only spin-nonconserving force in problem is **nuclear dipole-dipole interaction**, and max. associated field is  $< 1\text{G}$ !

## WHAT CAN BE INFERRED FROM SUM RULES?

IF a single sharp resonance is observed (as in expt.) then:

$$\omega_{\text{res}}^2 = \gamma^2 H_{\text{ext}}^2 + \omega_0^2$$

$$\omega_0^2 = \gamma^2 \chi^{-1} \partial^2 \langle H_D \rangle / \partial \theta^2$$

But  $\partial^2 \langle H_D \rangle / \partial \theta^2 \sim \langle H_D \rangle$ :

So, exptl. value of  $\omega_0^2(T) \Rightarrow$

$$\langle H_D \rangle(T) \sim K(1 - T/T_A), \quad K \sim 10^{-3} \text{ ergs/cm}^3$$

HOW CAN THIS BE?

$$\left\{ \begin{array}{l} \uparrow \text{ ("bad")} \quad \uparrow \\ \rightarrow \text{ ("good")} \quad \rightarrow \end{array} \right.$$

$$\Delta E \lesssim \frac{\mu_0 \mu_n^2}{3 r_0} \sim 10^{-7} \text{ K} \ll k_B T$$

So, prima facie, preference for “good” orientation over “bad” is at most

$$\sim \Delta E / k_B T \sim 10^{-4} \quad [\text{actually, } \sim \Delta E / k_B T_F \sim 10^{-7}]$$

$\Rightarrow$  expectation value of dipole energy  $\sim n(\Delta E)^2 / k_B T$ : much too small!

But: what if “spin-orbit” symmetry is spontaneously broken? Then  $\langle H_D \rangle \sim n\Delta E$ : about right!

What could break SO symmetry? One possibility:

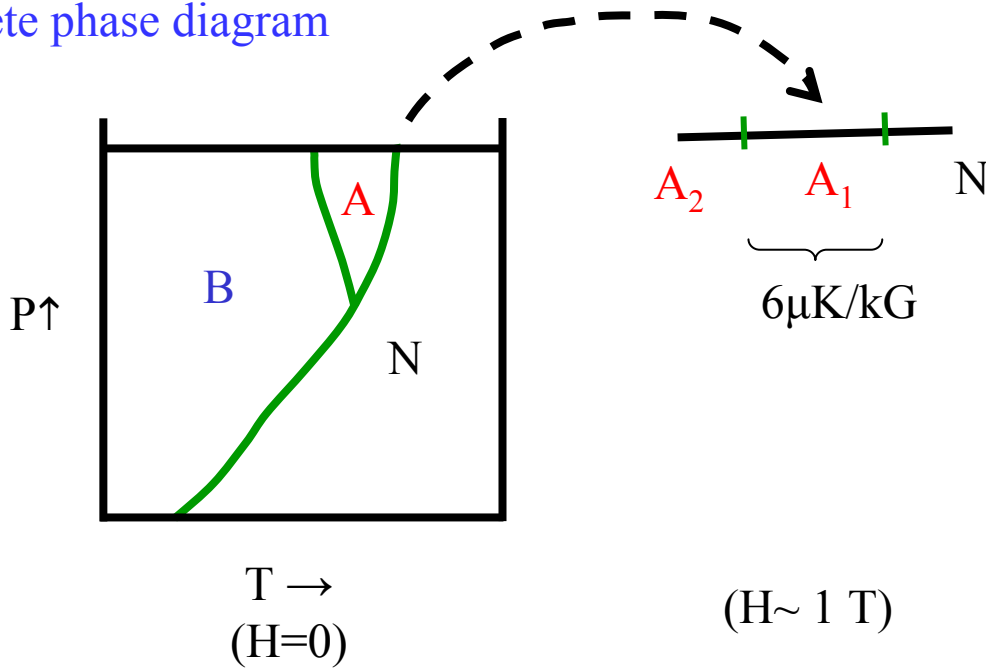
**anisotropic BCS pairing!**

$\Uparrow$ : what about B phase?



# SOME EXPERIMENTAL DEVELOPMENTS June 72–June 73

## Complete phase diagram



Ev. for superfluidity (4<sup>th</sup> sound, viscosity, velocity of collisionless sound ...)

## SOME THEORETICAL INSIGHTS

$A_1$  phase: most likely  $\uparrow\uparrow$  only paired ( $A_2$ :  $\uparrow\uparrow, \downarrow\downarrow$ ) (Ambegaokar-Mermin). (confirms ESP assignment of A phase)

GL analysis (Mermin-Stare, Anderson-Brinkman): allows stability of ABM or BW, but **not** of “planar” phase ( $\ell_{\uparrow} = -\ell_{\downarrow}$ ).

## MAJOR OUTSTANDING PUZZLES:

- WHY A **and** B?
- B-phase NMR.



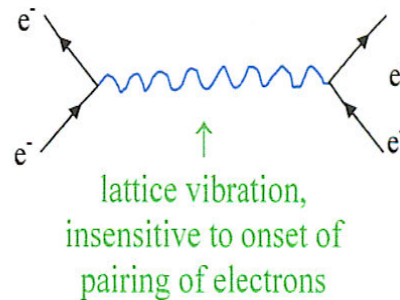
# RESOLUTION OF THE PARADOX OF TWO NEW PHASES

(Anderson & Brinkman, Phys. Rev. Letters **30**, 1108 (1973): cf. Brinkman, Serene and Anderson, Phys. Rev. A **10**, 2386 (1974))

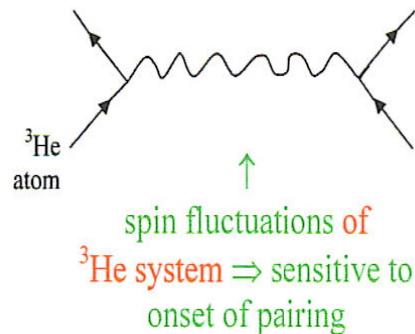
In BCS (weak-coupling) theory for  $\ell = 1$ , BW phase is always stable, independently of pressure and temperature.

Crucial difference between Cooper pairing in superconductors and  $^3\text{He}$ :

Superconductor:



liquid  $^3\text{He}$ :



$\Rightarrow$  “feedback” effects:  $\Rightarrow \Delta F_{\text{feedback}} \sim \Delta^4 (1 - 2 \sum_{ij} \langle \text{Re } d_i^* d_j \rangle^2) \leftarrow \alpha$

$\alpha = +1/3$  for BW,  $-1$  for ABM

Over most of the phase diagram, BW state stable as in BCS theory. But at high temperature and pressure, feedback effects uniquely favor ABM phase

major qualitative leap beyond BCS!

## MICROSCOPIC SPIN DYNAMICS (SCHEMATIC)

Basic variables:

- (a) Total spin  $\underline{S}$   
 (b) Orientation  $\underline{\theta}$  of spin of Cooper pairs
- }  $[S_i, \theta_j] = i\delta_{ij}$

$$\hat{H} = \hat{H}_o(\underline{S}) + \hat{H}_D(\underline{\theta})$$



hydrodynamic (Born-Oppenheimer) approximation

Semiclassical equations of motion:

$$\frac{d\underline{\theta}}{dt} = \frac{\partial \langle \hat{H}_o \rangle}{\partial \underline{S}} = \mathcal{H}_{\text{ext}} - \chi^{-1} \underline{S}, \quad \frac{d\underline{S}}{dt} = \underline{S} \times \mathcal{H}_{\text{ext}} - \frac{\partial \langle \hat{H}_D \rangle}{\partial \underline{\theta}}$$

dipole torque  
✓

zero in eq<sup>m</sup>!

⇒ linear NMR behavior completely determined by eigenvalues of quantity

$$\Omega_{ij}^2 \equiv \partial^2 \langle H_D \rangle / \partial \theta_i \partial \theta_j$$

so, can "fingerprint"  
A and B phases by  
NMR!

ABM: **single resonance line**

axial: split resonance

BW: original BW state is  $\underline{L} = -\underline{S}$ , i.e.  $J = 0$ . But dipole torque rotates  $\underline{S}$  relative to  $\underline{L}$  by  $\angle \cos^{-1}(-1/4) = 104^\circ$  around axis  $\hat{\underline{\omega}}$  whose "best" choice is  $\mathcal{H}_{\text{ext}}$ .

Result: **no shift in transverse resonance, but finite-frequency longitudinal resonance!**  
 (also in ABM phase)

$$\begin{array}{c} \mathcal{H}_{\text{ext}} \uparrow \\ \uparrow \mathcal{H}_{\text{rf}} \sim \cos \omega t \end{array}$$

(expt: Osheroff, 1974)

