

The BEC – BCS crossover:  
what (if anything) do we  
fundamentally not understand?\*

Anthony J. Leggett

Department of Physics  
University of Illinois at Urbana-Champaign  
Urbana, IL

Workshop  
“Universal themes of Bose-Einstein condensation”  
Lorentz Center, Leiden

11 March 2013

\*Work supported by the National Science Foundation  
under grant no. NSF-DMR-09-06921



## Some History

	<u>BOSE-EINSTEIN CONDENSATION</u> (“BEC”)	<u>COOPER PAIRING</u> (“BCS”)
Originators	{ Einstein 1925 London 1938	Bardeen et al. 1957
what?	(spinless) bosons	degenerate fermions
applied to	{ Liquid $^4\text{He}$ Dilute Bose alkali gases	{ Superconductors Liquid $^3\text{He}$ Neutron Stars
interactions must be ...	nonexistence or repulsive	attractive
“fraction” of condensed particles	$\sim 1$	$\sim T_c/T_F \ll 1$
main excitations	phonons, $E(k) = \hbar ck$ (bosons)	quasiparticles, $E(k) = \sqrt{(\varepsilon_k - \mu)^2 +  \Delta ^2}$ (fermions)
transition temperature $T_c$ ,	$\sim T_{\text{deg}}$ ~ “ $T_F$ ”	$\sim T_{\text{deg}} \exp -1/N_o V_o$ ~ $T_F$
consequences	superfluidity	superfluidity (or superconductivity)
“Crossover” systems:		
electrons + holes in semiconductors	unstable	long-range polarization
dilute Fermi alkali gases	stable	no long-range polarization




## A Unifying Concept: Pseudo-BEC (~ODLRO)

(Penrose-Onsager, Yang)

Consider a general system of  $N$  indistinguishable particles (bosons or fermions) occupying  $N$ -particle states  $\Psi_n(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2 \dots \mathbf{r}_N\sigma_N)$  with probability  $p_n$ .

Define:

 spin may be absent (0)

(a) Single-particle reduced density matrix (RDM)

$$\rho_1(\mathbf{r}_1\sigma_1, \mathbf{r}'_1\sigma'_1) \equiv \sum_{\sigma_2 \dots \sigma_N} \int d\mathbf{r}_2 \dots d\mathbf{r}_N \cdot$$

$$\sum_n p_n \Psi_n(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2 \dots \mathbf{r}_N\sigma_N) \Psi_n^*(\mathbf{r}'_1\sigma'_1, \mathbf{r}_2\sigma_2 \dots \mathbf{r}_N\sigma_N)$$

(“behavior of single atom arranged over behavior of all the  $N-1$  others”)

Can diagonalize:

$$\rho_1(\mathbf{r}_1\sigma, \mathbf{r}'_1\sigma') = \sum_i n_i \chi_i(\mathbf{r}_1\sigma) \chi_i^*(\mathbf{r}'_1\sigma')$$


For bosons (only!), can have  $n_0 \sim N \equiv N_0$  (condensate)

(b) 2-particle RDM:

$$\rho_2(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2 : \mathbf{r}'_1\sigma'_1, \mathbf{r}'_2\sigma'_2) \equiv \sum_{\sigma_3 \dots \sigma_N} \int d\mathbf{r}_3 \dots d\mathbf{r}_N \cdot$$

$$\sum_n p_n \Psi_n(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2, \mathbf{r}_3\sigma_3 \dots \mathbf{r}_N\sigma_N) \Psi_n^*(\mathbf{r}'_1\sigma'_1, \mathbf{r}'_2\sigma'_2, \mathbf{r}_3\sigma_3 \dots \mathbf{r}_N\sigma_N)$$

$$= \sum_i n_i \chi_i(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2) \chi_i^*(\mathbf{r}'_1\sigma'_1, \mathbf{r}'_2\sigma'_2)$$

 “behavior of single pair arranged over behavior of the  $N-2$  particles”

Pseudo-BEC of fermions:

$$\rho_2(r_1\sigma_1 r_2\sigma_2 : r'_1\sigma'_1 r'_2\sigma'_2) = \sum_i n_i \chi_i(r_1\sigma_1 r_2\sigma_2) \chi_i^*(r'_1\sigma'_1 r'_2\sigma'_2)$$

$$\left( \sum_i n_i = N(N-1) \right)$$

Thermal equilibrium in translation-invariant system:

3 classes of eigenfunctions  $\chi_i(r_1\sigma_1 r_2\sigma_2)$ :

- |  |               |                     |
|--|---------------|---------------------|
| (1) $\chi_i \sim \text{const. for }  r_1 - r_2  \rightarrow \infty$          | unbound       | $o(N^2)$            |
| (2) $\chi_i \rightarrow 0$ for $ r_1 - r_2  \rightarrow \infty$ ,            | bound,        | $N_b$ } $\leq o(N)$ |
| $\chi(\mathbf{R}) \sim \exp i\mathbf{K} \cdot \mathbf{R}, \mathbf{K} \neq 0$ | noncondensate |                     |
| $\uparrow$   |               |                     |
| $\frac{\underline{r}_1 + \underline{r}_2}{2}$                                |               |                     |
| (3) $\chi_i \rightarrow 0$ for $ r_1 - r_2  \rightarrow \infty$ ,            | bound,        | $N_0$ }             |
| $\chi(\mathbf{R}) \sim \text{const.}$  |               |                     |
| } $\equiv \chi_0$  |               |                     |

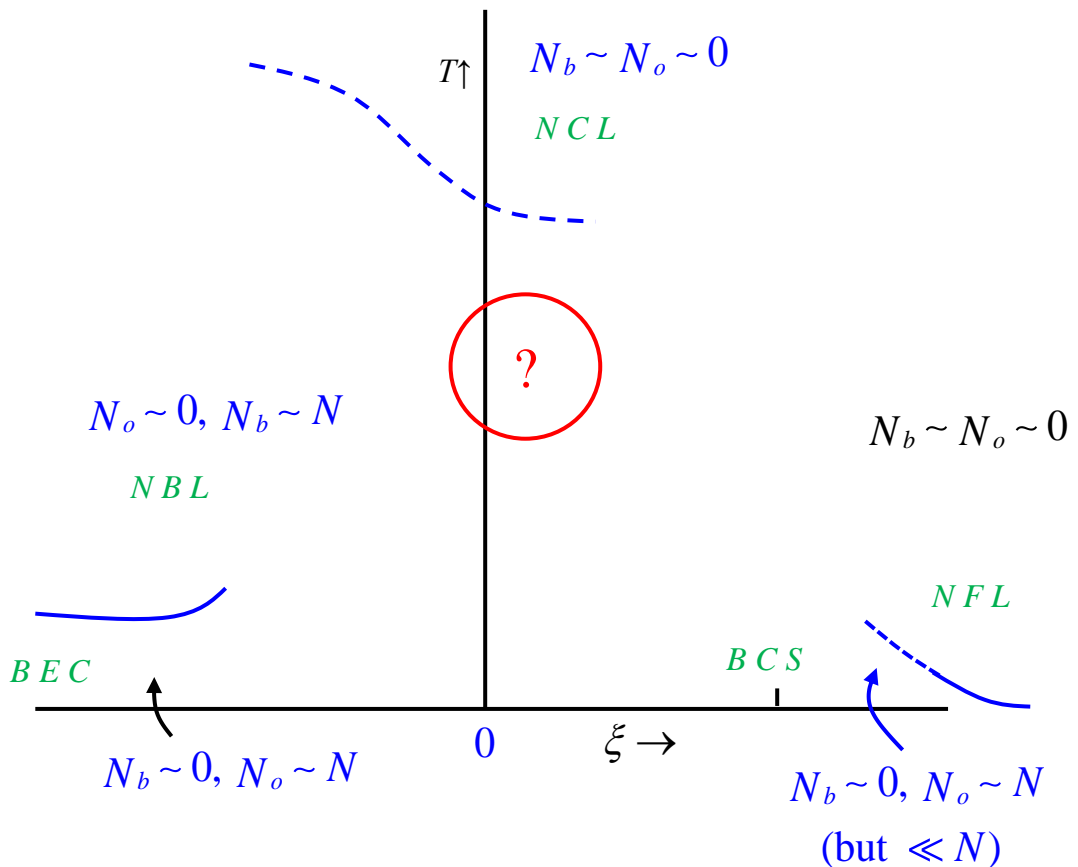
“Condensate function”  $\equiv N_0/N$ ,  $N_0 =$  eigenvalue assoc. with  $\chi_0$ .

Can classify  $\chi_i$ 's by spin and relative orbital angular momentum  $\ell$

Special case: dilute ultracold 2-species Fermi gas with attraction s-wave state (specified by  $a_s$ )

General expectation: phase diagram specified completely by  $T$  and dimensionless parameter:

$$\xi \equiv -1/k_f a_s$$



## Separation of “atomic” and “many-body” effects

Consider av. A of any short-range 2-particle property described by  $f(r_{12})$  where  $f(r) \rightarrow 0$  for  $r \gg r_0$  (range of 2-particle potential) (exx: potential en.  $V(r)$ , closed-channel fraction ...). Then  $\ell \neq 0$  eigenfunctions do not contribute. So

$$A = \sum_{i \in S \wedge}^{n_i} \iint dr_1 dr_2 f(r_1 - r_2 : \sigma_1 \sigma_2) |\chi_i(r_1 r_2 : \sigma_1 \sigma_2)|^2$$

Crucial observation (Tan 2005):

in range  $r_0 \ll r \ll k_F^{-1}$ , all the s-wave  $\chi_i$  are of the form

$$\chi_i(r) = C_i(\xi, T) \left( \frac{1}{r} - \frac{1}{a_s} \right)$$

(with  $C_i \sim L^{-1/2}$  for unbound eigenf. and  $\sim \ell^{-1/2}$  for bound ones)

microscopic length

More generally, for  $r \ll k_F^{-1}$  (but possibly  $\lesssim r_0$ ).

$$\chi_i(r) = C_i(\xi, T) \times \psi_{at}(r) \leftarrow \text{2-particle } \epsilon = 0 \text{ w.f.}$$

Thus,

$$A = Nk_F h(\xi, T) \cdot \int_0^\infty dr f(r) \overbrace{|\psi_{at}(r)|^2}^{\text{atomic}}$$

$$h(\xi, T) \equiv \underbrace{\sum_{i \in S} (n_i / Nk_F) |C_i(\xi, T)|^2}_{\text{many-body}} \quad (\equiv \text{const.} \times \text{"contact"})$$

$\Rightarrow$  ratios of (2-body) microscopic quantities entirely det. by atomic physics, absolute value by many-body physics.

All this is quite general ...



The problem:  $N$  fermions, equal nos.  $\uparrow$  and  $\downarrow$ ,

$$\hat{H} = -\frac{\hbar^2}{2m} \sum_i \nabla_i^2$$

$$N_{tot} = (k_F^3 / 3\pi^2)$$

subject to b.c.

$\Psi_N \sim \text{const.} (1 - \mathbf{a}_s / r_{ij})$  for antiparallel-spin particles  $i, j$  for  $r_o \ll r_j \ll n^{-1/3}$

(in dilute limit, parallel-spin particles noninteracting)

All (equilibrium) props. must be functions only  
of  $\xi \equiv -1/k_F a_s$

“Naïve” Ansatz (Eagles 1969, AJL 1980, Randeria et al. 1985, Stajic et al. 2005 . . .):

$$\Psi_N = \mathcal{N} \cdot \mathcal{A} \cdot \left\{ \varphi(r_1 - r_2, : \sigma_1 \sigma_2) \varphi(r_3 - r_4, : \sigma_3 \sigma_4) \dots \varphi(r_{N-1} - r_N, : \sigma_{N-1} \sigma_N) \right\}$$

$$\langle \Psi_N | \hat{H} | \Psi_N \rangle =:$$

1. Pairing terms  $\leftarrow$  fully taken into account
2. Fock terms  $\leftarrow$  vanish in dilute limit
3. Hartree terms  $\leftarrow$  ??

equivalently: each term of  $\Psi_N^{(\text{naïve})}$  satisfies b.c. for **paired particles only**, e.g. 1<sup>st</sup> term satisfies it for 1, 2 but not (e.g.) for 1, 3.

Output of naïve ansatz:

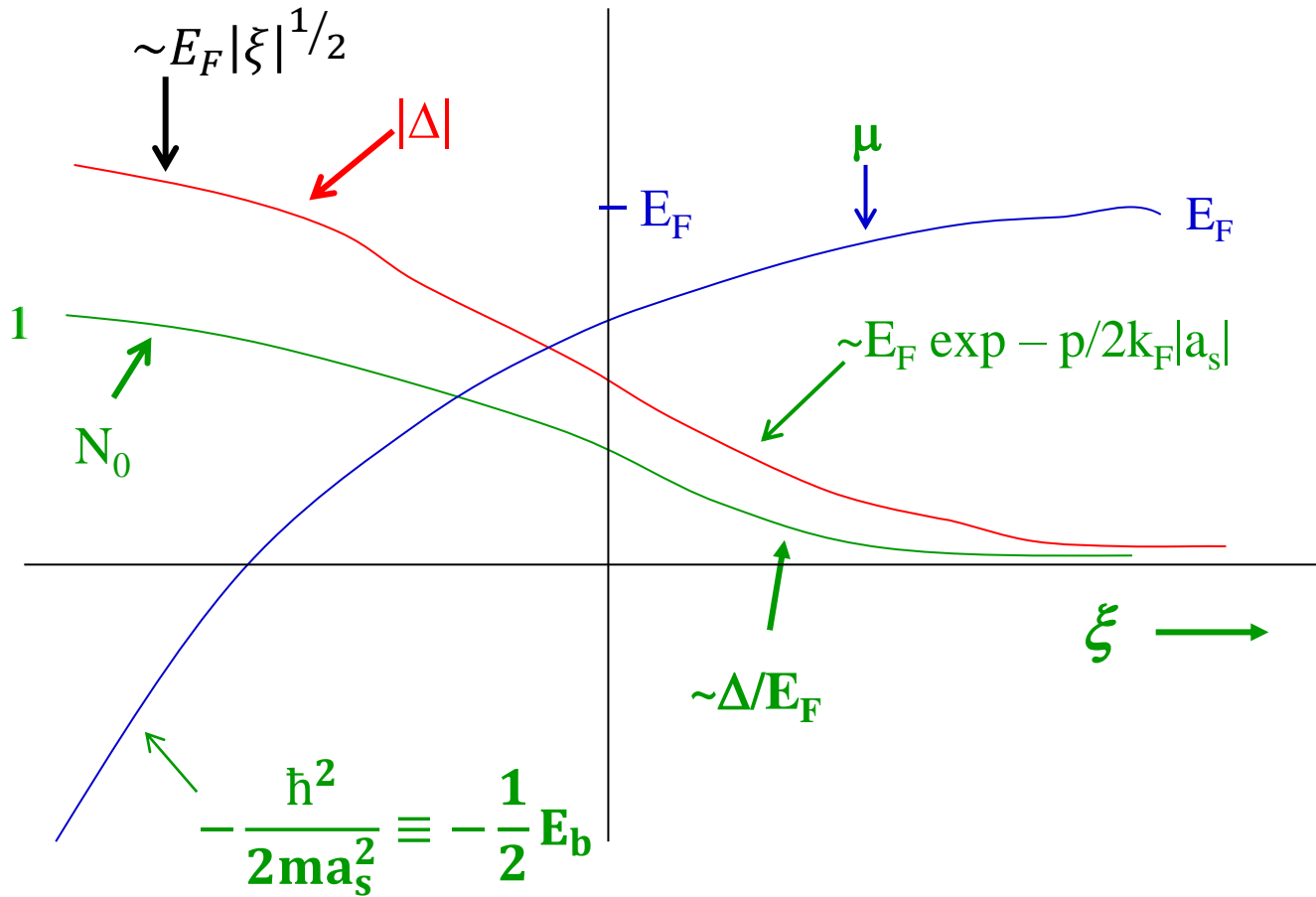
$$\mu(\xi), \Delta(\xi)$$

(calc<sup>n</sup> analytic except for 2  
|D numerical integrals)

Hence also  $(E/N)(\xi)$ .

$\uparrow$ : not obvious a priori that naïve ansatz is even  
*qualitatively right!*





$$\left( \xi \equiv -1/k_F a_s \right)$$

No ( $T=0$ ) phase transition!

Excitation energy of quasiparticle with momentum  $\underline{k}$

(normal-state energy  $\xi_k \equiv \hbar^2 k^2/2m$ ):

$$E_K = \sqrt{(\xi_k - \mu)^2 + |\Delta|^2}$$

$$\mu > 0: \min E_K = |\Delta|$$

$$\mu < 0: \min E_K = \sqrt{|\mu|^2 + |\Delta|^2}$$





Why is the “naïve ansatz” so (comparatively) good?

- correct qualitatively (e.g. no phase transition as  $f(\xi)$ )
- not so bad quantitatively (e.g. predicts Bertsch parameter  $\sim 0.59$ : variational estimates  $\sim 0.4$ )

Clue by analogy: low-T props. of normal metals close to Fermi gas model.

Solution: Landan Fermi-liquid theory!

Landan’s “adiabatic” argument:

$$|O'\rangle = \hat{U}(\infty)|O\rangle, \quad \alpha_p^+|O'\rangle = \hat{U}(\infty)\alpha_p^+|O\rangle \quad \hat{U}(t) = \exp i \int_{-\infty}^t \lambda(t') dt \cdot \hat{V}/t_r$$

↑
↑
↑
↑
(
)

GS
GS of
qp
real-particle
(
)

Fermi gas
)

i.e. low-lying excitations (qp states) in 1-1 correspondence with excited states of Fermi gas. (Very detailed discussion + justification: Nozières, Theory of Interacting Fermi Systems)

Can we do something similar for low-lying excitations of UC Fermi gas?

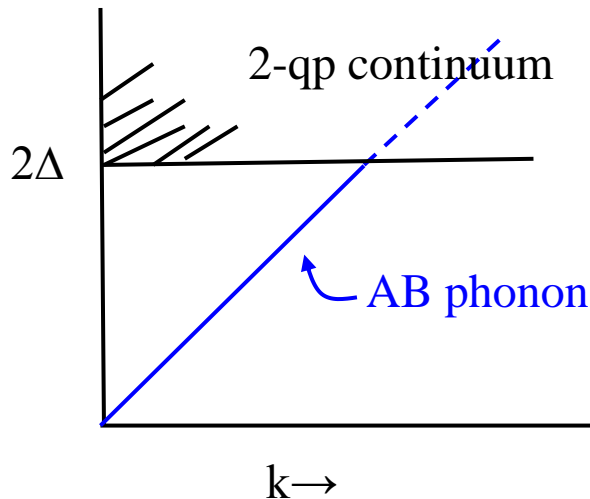
e.g. start close to BCS, end ( $\xi \rightarrow +\infty$ ), i.e.  $0 < \lambda(-\infty) \ll 1$ .

GS is BCS state, excited states are

- (a) Bogoliubov quasiparticles
- (b) AB phonons. Should evolve adiabatically ...

↑ : at BCS end, AB phonons not well-defined for  $k \gtrsim \xi_p^{-1} \rightarrow 0$

↑  
pair radius



$\Rightarrow$  region of validity of “Landau-like” theory vanishes? (may need nontrivial extension of Nozières argument).

## BEC-BCS crossover: The $\ell \neq 0$ case

Qualitative differences from s-wave case:

1. (2-body prob): In s-wave case, general  $E=0$  solution outside potential is

$$\Psi(\mathbf{r}) = 1 - a_s / r$$

and in particular, at unitarity,  $\Psi(\mathbf{r}) \sim r^{-1} \Rightarrow$  in many-body cases expect strong 3, 4 . . . -body interaction effects.

In  $\ell \neq 0$  case,

$$\Psi(\mathbf{r}) \sim + \frac{c_2}{r^{\ell+1}}$$

suggests unitary limit may be (almost) trivial in  
 $\lim r_o \ll a n^{-1/3}!$

2. Standard BCS-type ansatz gives **topological phase transition** at  $\mu = 0$ .



## BEC-BCS crossover: The $\ell \neq 0$ case (cont.)

### 3. The angular momentum problem:

In BEC of tightly bound  $\ell \neq 0$  diatomic modules, overwhelmingly plausible that

$$\mathbf{L} = \frac{N}{2} \hbar \hat{\ell}$$

What is situation in BCS limit?

Most “obvious” number-conserving ansatz:

$$\Psi \sim \left( \sum_k c_k a_k^+ a_{-k}^+ \right)^{N/2}, \quad c_k \equiv v_k / u_k$$

with (e.g.)  $c_k \sim \exp i\varphi_k$ . This has  $\mathbf{L} = \frac{N}{2} \hbar \hat{\ell}$  just as in

BEC limit, irrespective of magn. of  $|\Delta|$ .

Problem: macroscopic discontinuity at transition to normal state ( $\mathbf{L} = 0$ )!

This may not be worrying, because as  $|\Delta| \rightarrow 0$  there is a char. length (the pair radius  $\xi_r \sim \hbar v_F / |\Delta|$ ) which  $\rightarrow \infty$ . (so that for any finite container radius R transition is smooth)

↑: What about limit  $T \rightarrow T_c$ ? Here  $\xi_p \sim \hbar v_F / k_B T_c$  does **not** diverge



## Alternative MBWF of (p + ip) Fermi superfluid

Recap: standard ansatz is (for say  $\uparrow\uparrow$ )

$$\Psi \sim \left( \sum_k c_k a_k^+ a_{-k}^+ \right)^{N/2} | \text{vac} \rangle, c_k \sim \exp i\varphi_k$$

i.e. **all** pairs of states in Fermi sea have angular momentum  $\hbar$ .

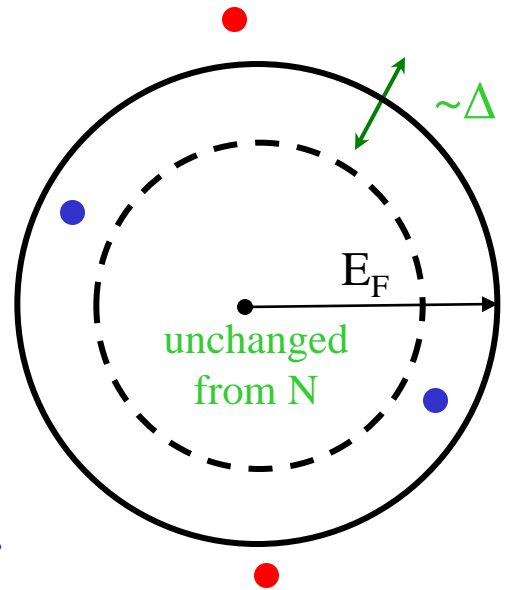
Alternative ansatz:

first shot:

$$\Psi(N_p, N_h)$$

$$\sim \left( \sum_{k > k_F} c_k a_k^+ a_{-k}^+ \right)^{N_p/2},$$

$$\left( \sum_{k < k_F} d_k a_{-k} a_k \right)^{N_h/2} | \text{vac} \rangle$$



$\Delta$ : keeps  $pp \rightarrow pp$  and  $hh \rightarrow hh$ , but not (e.g.)  $pp \rightarrow hh$ .

Remedy:

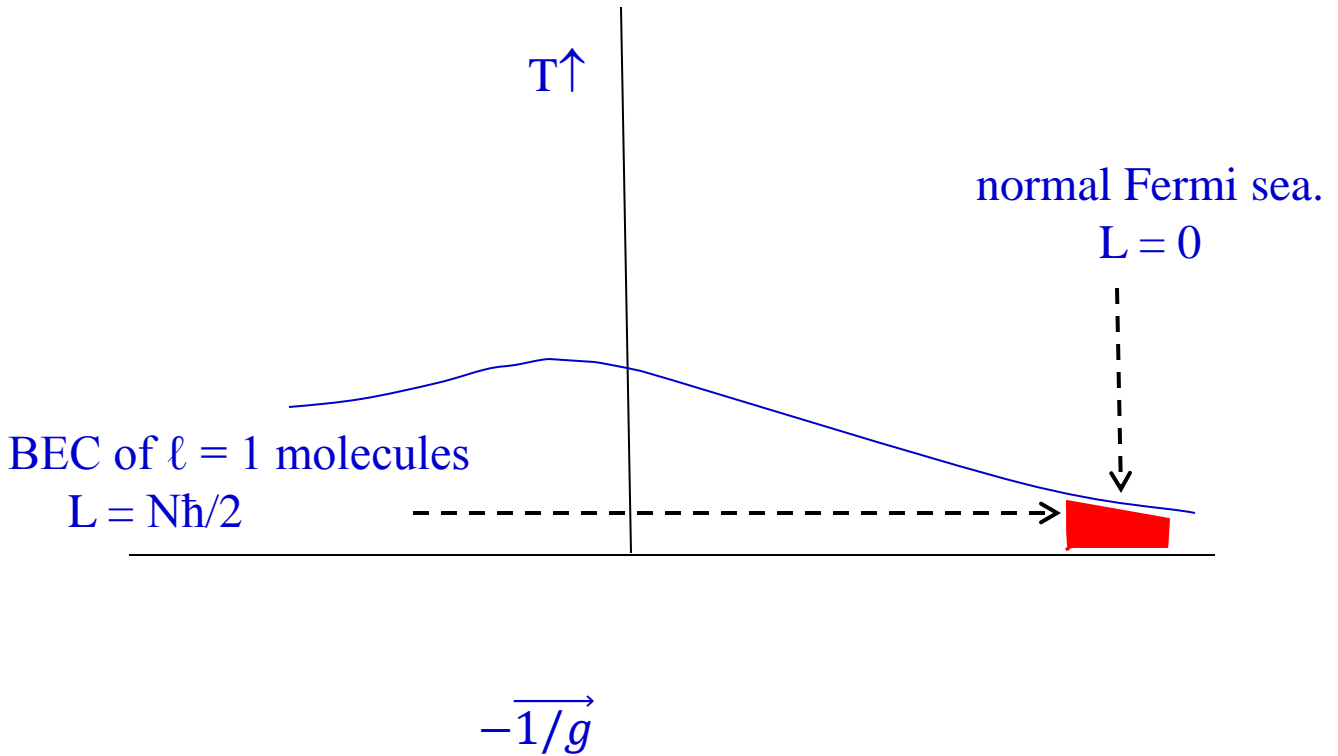
$$\Psi \sim \sum_{N_p, N_k} Q_{N_p N_k} \Psi(N_p, N_k),$$

$Q$  slowly varying as  $f(N_p, N_k)$

degenerate with standard ansatz to  $0(N^{-1/2})$ , but

$$L \sim (N\hbar/2) \cdot (\Delta/E_F)^2$$

IS GS OF (p + ip) FERMI SUPERFLUID UNIQUE?



Can we settle this question experimentally?

(and does alternative description have any implications for topological phase transition?)