## INTRODUCTION TO TOPOLOGICAL

## QUANTUM COMPUTING

## A. J. Leggett

Department of Physics University of Illinois at Urbana-Champaign

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## **TOPOLOGICAL QUANTUM MEMORY/COMPUTING**

Qubit basis.  $|\uparrow\rangle$ ,  $|\downarrow\rangle$ 

 $|\Psi\rangle = \alpha |\uparrow\rangle + \beta |\downarrow\rangle$ 

To preserve, need (for "resting" qubit)

 $\hat{H} \propto \hat{1}$  in  $|\uparrow\rangle$ ,  $|\downarrow\rangle$  basis  $(\hat{H}_{12} = 0 \Rightarrow "T_1 \rightarrow \infty": \hat{H}_{11} = \hat{H}_{22} \Rightarrow "T_2 \rightarrow \infty")$ 

on the other hand, to perform (single-qubit) operations, need to impose nontrivial H.

 $\Rightarrow$  we must be able to do something Nature can't.

(ex: trapped ions: we have laser, Nature doesn't!)

Topological protection:

would like to find  $d_{(>1)}$  dimensional Hilbert space within which (in absence of intervention)

$$\hat{H} = (const.) \bullet \hat{1} + o (e^{-L/\xi})$$
  
microscopic  
size of length

How to find degeneracy?

leng system

Suppose  $\exists$  two operators  $\hat{\Omega}_1, \hat{\Omega}_2$  s.t.

 $[\hat{H}, \hat{\Omega}_1] = [\hat{H}, \hat{\Omega}_2] = 0$  (and  $\hat{\Omega}_1, \hat{\Omega}_2$  commutes with b.c's) but  $[\hat{\Omega}_1, \hat{\Omega}_2] \neq 0$  (and  $\hat{\Omega}_1 \mid \psi > \neq 0$ )



## EXAMPLE OF TOPOLOGICALLY PROTECTED STATE: FQH SYSTEM ON TORUS (Wen and Niu, PR B 41, 9377 (1990))

Reminders regarding QHE: 2D system of electrons,  $B \perp$  plane Area per flux quantum =  $(h/eB) \Rightarrow$  df.  $\ell_M \equiv (\hbar/eB)^{1/2} \leftarrow$  "magnetic length"  $(\ell_{M} \sim 100 \text{\AA for B} = 10 \text{ T})$ "Filling fraction"  $\equiv$  no. of electrons/flux quantum  $\equiv$  **v** "FQH" when v = p/qincommensurate integers Argument for degeneracy: (does not need knowledge of w.f.) can define operators of "magnetic translations"  $\hat{T}_{r}(\boldsymbol{a}), \hat{T}_{v}(\boldsymbol{b})$  $(\equiv \text{translations of all electrons through})$  $\mathbf{a}(\mathbf{b}) \times \text{appropriate phase factors}$ ). In general  $[\hat{T}_{\mathbf{x}}(\mathbf{a}), \hat{T}_{\mathbf{y}}(\mathbf{b})] \neq 0$ In particular, if we choose \_\_\_\_\_no. of flux quanta  $\boldsymbol{a} = \boldsymbol{L}_1 / N_s, \ \boldsymbol{b} = \boldsymbol{L}_2 / N_s$  $(=L_1L_2/2\pi\ell^2)$ then  $\hat{T}_1, \hat{T}_2$  commute with b.c.'s and moreover  $\hat{T}_1 \hat{T}_2 = \hat{T}_2 \hat{T}_1 \exp - 2\pi i \mathbf{v}$ But the o. of m. of **a** and **b** is  $\ell \cdot (\ell / L) \ll \ell$ , and  $\Rightarrow 0$  for  $L \rightarrow \infty$ . Hence to a very good approximation,  $[\hat{T}_1, \hat{H}] = [\hat{T}_2, \hat{H}] = 0$ (\*) so since  $[\hat{T}_1, \hat{T}_2] \neq 0$ must  $\exists$  more than 1 GS (actually q).

Corrections to (\*): suppose typical range of (e.g.) external potential V(**r**) is  $\ell_0$ , then since  $|\psi\rangle$ 's oscillate on scale  $\ell_{osc}$ ,

$$\left\langle \psi_1 \,|\, \hat{H} \,|\, \psi_2 \right\rangle \sim \exp - \ell_o \,/ \,\ell_{osc} \sim \exp - L \,/ \,\xi$$

$$(+ \text{ const. } \hat{1})$$



## **TOPOLOGICAL PROTECTION AND ANYONS**

Anyons (df): exist only in 2D  $\Psi(1,2) = \exp(2\pi i \alpha) \Psi(2,1) \equiv \hat{T}_{12} \Psi(1,2)$ 

(bosons:  $\alpha = 1$ , fermions:  $\alpha = \frac{1}{2}$ ) abelian if  $\hat{T}_{12} \hat{T}_{23} = \hat{T}_{23} \hat{T}_{12}$  (ex: FQHE) nonabelian if  $\hat{T}_{12} \hat{T}_{23} \neq \hat{T}_{23} \hat{T}_{12}$ , i.e., if



("braiding statistics")

Nonabelian statistics\* is a sufficient condition for topological protection: [not necessary, cf. FQHE (a) state containing *n* anyons,  $n \ge 3$ : on torus]  $[\hat{T}_{12}, \hat{H}] = [\hat{T}_{22}, \hat{H}] = 0$ 

$$[T_{12}, H] = [T_{23}, H] =$$
$$[\hat{T}_{12}, \hat{T}_{23}] \neq 0$$

 $\Rightarrow$  space must be more than 1D.

(b) groundstate:



annihilation process inverse of creation  $\Rightarrow$ 

GS also degenerate.

\*plus gap for anyon creation



### THE BRAID GROUP

The braid group  $B_n$  for *n* objects is constructed by numbering Them arbitrarily 1, 2, ..... *n* and defining

 $\hat{T}_i =$  directed interchange of *i* and *i* + 1.

 $(\hat{T}_n = \text{directed interchange of } n \text{ and } 1). (e.g. "i \text{ over } i + 1")$ 



Note that  $\hat{T}_i \neq P_{i,i+1}$ , and in particular we do not have  $\hat{T}_i^2 = 1$ :



It is immediately obvious that the  $\hat{T}_i$  satisfy  $\left[\hat{T}_i, \hat{T}_j\right] = 0$  for |i - j| > 1 (1)

Slightly less obviously,





(1) and (2) may be taken as the definition of the braid group

# SPECIFIC MODELS WITH TOPOLOGICAL PROTECTION

1. FQHE on torus

Obvious problems:

(a) QHE needs GaAs–AlGaAs or Si MOSFET: how to "bend" into toroidal geometry?



QHE observed in (planar) graphene (but not obviously "fractional"!): bend C nanotubes?

- (b) Magnetic field should everywhere have large comp<sup>t</sup>  $\perp$  to surface: but div **B** = 0 (Maxwell)!
- 2. Spin Models (Kitaev et al.)

(a) <u>"Toric code" model</u>

"Links" with spin 1/2 on lattice

$$\hat{H} = -\sum_{s} \hat{A}_{s} - \sum_{p} \hat{B}_{p}$$

$$\hat{A}_{s} \equiv \prod_{j \in s} \hat{\sigma}_{j}^{x}, \quad \hat{B}_{p} \equiv \prod_{j \in p} \hat{\sigma}_{j}^{z}$$

(so  $[\hat{A}_s, \hat{B}_p] \neq 0$  in general)

Problems:

- (a) toroidal geometry required (as in FQHE)
- (b) apparently v. difficult to generate Ham<sup>n</sup> physically
- (c) does not permit topological quantum computation (only protection)



(adv: exactly soluble)



## SPIN MODELS (cont.)

(b) Kitaev "honeycomb" model

Particles of spin ½ on honeycomb lattice (2 inequivalent sublattices, A and B)



 $\hat{H} = -J_x \sum_{x-links} \hat{\sigma}_j^x \hat{\sigma}_k^x - J_y \sum_{y-links} \hat{\sigma}_j^y \hat{\sigma}_k^y - J_z \sum_{z-links} \hat{\sigma}_j^z \hat{\sigma}_k^z$ 

nb: spin and space axes independent Strongly frustrated model, but exactly soluble.\* Sustains nonabelian anyons with gap provided

$$\begin{split} |J_{x}| &\leq |J_{y}| + |J_{z}|, |J_{y}| \leq |J_{z}| + |J_{x}|, \\ |J_{z}| &\leq |J_{x}| + |J_{y}| \quad \text{and } \mathcal{H} \neq 0 \\ & \text{magnetic field} \end{split}$$

(in opposite case anyons are abelian + gapped)

Advantages for implementation:

(a) plane geometry (with boundaries) is OK

(b)  $\hat{H}$  bilinear in nearest-neighbor spins

(c) permits partially protected TQC

\* A. Yu Kitaev, Ann. Phys. 321,2 (2006)
H-D. Chen and Z. Nussinov, cond-mat/070363 (2007)



Some suggested implementations of the Kitaev honeycomb model:

(1) Optical lattices (Duan et al. PRL 91, 090402 (2003))



Can create 2D honeycomb lattice by suitable arrangements of lattices. By appropriate adjustments of laser polarizations, can make both t and U dependent on "spin" (hyperfine index). Then to 2<sup>nd</sup> order in t (Mott) get spin-dependent n.n. interaction.

**Obvious problems:** 

- (a) need for background trapping potential
- (b) ultralong spin relax<sup>n</sup>. times
- (2) Polar molecules in optical lattices
- (3) Josephson junction arrays
- (4) Other solid-state lattice systems ...

Problems: mostly massive "engineering"



So: different setup, occurring "naturally"?

### The v = 5/2 STATE

First seen in 1987: to date the only even-denom. FQHE state reliably established (some ev. for v = 19/8). Quite robust:  $\Sigma_{xy} / (e^2/h) = 5/2$  to high accuracy, excluding e.g. odd-denominator values v = 32/13 or 33/13, and  $\Sigma_{xy}$  vanishes within exptl. accuracy. The gap  $\Delta \sim 500$  mK.

WHAT IS IT?

If spin - polarized (probable), it is the n = 1 analog of v = 1/2.

However, the actual v = 1/2 state does not correspond to a FQHE plateau. In fact the CF (composite fermion) approach predicts that for this v

$$N_{\phi}^{eff} = N_{\phi} - 2N_e = 0$$

and hence the CF's behave as a Fermi liquid: this seems to be consistent with expt. If LLL  $\uparrow,\downarrow$  both filled, this argt. should apply equally to  $\nu = 5/2$  (since  $(N_e/N_{\phi})_{\text{eff}} = \frac{1}{2}$ ).

So what has gone wrong? One obvious possibility †: Cooper pairing of composite fermions! since spins II, must pair in odd – *l* state, e.g. p-state.



## THE "PFAFFIAN" ANSATZ

Consider the Laughlin ansatz formally corresponding to v = 1/2:

 $\Psi^{(L)}_{N} = \prod_{i < j} (z_i - z_j)^2 \exp{-\Sigma_I |z_i|^2} / f l_m^2 (z_i \equiv x + iy = electron \ coord.)$ 

This cannot be correct as it is symmetric under  $i \rightleftharpoons j$ . So must multiply it by an antisymmetric function. On the other hand, do not want to "spoil" the exponent 2 in numerator, as this controls the relation between the LL states and the filling.

Inspired guess (Moore & Read, Greiter et. Al): (N = even)

$$\Psi^{(L)}{}_{N} = \Psi^{(L)} \times Pf\left(\frac{1}{z_{i} - z_{j}}\right)$$

*Pf(f(ij))* ≡ *f(12)f(34)...-f(13)f(24). ... + ....* (≡ Pfaffian) ↑ antisymmetric under ij

Is this consistent with expt.?



### MOST PROMISING CANDIDATE FOR TQC IN "NATURAL" SYSTEMS: MAJORANA FERMIONS

System: 2D "p + ip" Fermi syperfluid (? <sup>3</sup>He-A thin slabs, Sr<sub>2</sub>RuO<sub>4</sub>...?)

In such a system, half-quantum vorticesmay occur. Near one of these, BdG (Bogoliubov–de Gennes) eqns possess a single solution with the properties

 $E = 0, \ u(\boldsymbol{r}) = v^*(\boldsymbol{r})$ 

Such solultions are called Majorana fermions\*

Crudely speaking,

2 Majorana fermions (on 2 separated vortices) ≅ 1 "real" ("Dirac-Bogoliubov") fermion.

TQC can be achieved by "braiding" vortices with/without M.F.'s

\*also believed to occur in s-wave superconductor next to topological insulator. Related excitations in  $\nu = \frac{5}{2}$  QH state.



# BRIEF REVIEW OF "ESTABLISHED WISDOM" ON p + ip FERMI SUPERFLUIDS

For a general spin-1/2 Fermi superfluid, OP df. by

$$F_{\alpha\beta}(\underline{r},\underline{r}') \equiv \left\langle \psi_{\alpha}^{\dagger}(r)\psi_{\beta}^{\dagger}(r') \right\rangle \leftarrow$$
 "anomalous average"

**p** + **ip**:

$$F_{\alpha\beta}(\underline{r},\underline{r}') = \delta_{\alpha\beta}F_{\alpha}(\underline{r},\underline{r}')$$
(ESP)  
(ESP)  
"equal spin pairing"

$$F_{\alpha\beta}(\underline{r},\underline{r}') \equiv F_{\alpha}(\underline{R},\underline{\rho}) \cong F_{\alpha}(\underline{R}) f_{\alpha}(\underline{\rho})$$
  
COM rel. coord.

$$f_{\alpha}(\rho) \equiv \sin \theta_{\alpha} \exp i\varphi_{\alpha}$$
  
breaks TRI



order parameter

thus if  $\ell_{\alpha}$  taken as z-axis, F. T. is

$$F_{\alpha}(p) = p_x + ip_y \leftarrow p'' + ip''$$



Standard ansatz for MBWF (COM's of  $\uparrow$ ,  $\downarrow$  at rest):

$$\Psi = \Psi_{\uparrow} \Psi_{\downarrow}$$
(ESP)  
$$\Psi_{\alpha} \equiv (\sum_{k} c_{\underline{k}}^{\alpha} a_{\underline{k}_{\alpha}}^{+} a_{-\underline{k}_{\alpha}}^{+})^{N/2} | \operatorname{vac} \rangle$$

where, if z-axis chosen along  $\ell_{\alpha}$ .

$$c_k^{\alpha} = f(|\underline{k}|) \cdot (\underline{k}_x + i\underline{k}_y) \sim \exp i\varphi_{\underline{k}} \qquad (p + ip)$$

Note dependence on  $\phi_k$  extends to whole Fermi sea Some properties of "standard" ansatz:

- 1. Ang. Momentum along  $\ell_{\alpha} \cong N_{\alpha}\hbar/2(-0(\Delta/E_F))$ even in limit  $\Delta \to 0$  or  $T \to T_c$ .
- 2. For 2D ("planar") case ( $\ell_{\alpha} \perp$  plane), put z = x + iy, then for all  $|z_i z_j| \gg \xi$ , coord-space MBWF is of form

pair radius

$$\Psi_{N}(z_{1}z_{2}...z_{N}) = \text{const. } \mathcal{A}\left\{\frac{1}{z_{1}-z_{2}} \cdot \frac{1}{z_{3}-z_{4}} \cdot \frac{1}{z_{5}-z_{6}}...\right\}$$

"Pfaffian"

Cf MR ansatz for v = 5/2 QH state / Moore-Read



# Strontium Ruthenate: Sr<sub>2</sub>RuO<sub>4</sub>

**History** 

Superconductivity in cuprates up to  $\sim 150K$ 

Typical (original) cuprate:

 $La_{2-x} Ba_x CuO_4 (T_C \sim 40K)$ 



Quasi -2D  $CuO_2$  planes appear to be essential to high –  $T_C$  superconductivity. How essential is the Cu? Try replacing it: Ag, Au..... doesn't work, but:

Cu (Z = 29): [Ar} +  $3d^{10}4s^1 \rightarrow 3d^9$ Ru (Z = 44): [Kr] +  $4d^75s^1 \rightarrow 4d^4$ 

Normal-state props quite dissimilar





Experimental properties of Sr<sub>2</sub>RuO<sub>4</sub>\*

#### Normal phase

Below  $\sim 25$  K, appears to behave as strongly anisotropic Fermi liquid (nb: cuprates quite different)



Suppose OP of Sr2RuO4 is indeed of ESP form: then  $F(\mathbf{k}: \sigma_1 \sigma_2) = F_{\alpha}(\mathbf{k}: \sigma_1 \sigma_2) = \cdot f(\mathbf{k}) (\uparrow \uparrow or \downarrow \downarrow)$ 

Then the crucial question is:

What is  $f(\mathbf{k})$ ?  $\leftarrow$  orbital wf of pairs In particular, is it real (e.g.  $f(k) \sim k_x$ ) or complex (e.g.  $F(k) = k_x \pm ik_y$ )?  $\uparrow$ breaks T – invariance



In BCS theory, want  $|OP|^2$  to be as uniform as possible over F. surface  $\rightarrow k_x + ik_y$  always favored. But in more general theory, need not be so. Expts favoring violation of T:

- a) Muon spin rotation extra "internal" magnetic field in superconductor state (↑ : app. Has ab-plane compt!)
- b) Magnetic field dependence and telegraph noise in  $I_C$  of Josephson junctions interpreted in terms of switching of domains ( $p_x + ip_y px ip_y$ )
- c) Kerr effect in zero magnetic field.
- f: "ideal"  $p_x + ip_y$  state ( $\Delta \sim F \sim \text{const.} (k_x + ik)$  has no nodes
- $\rightarrow$  exponentially small no. of quasiparticles for T «  $T_c$   $\rightarrow$  no appreciable sp. ht., thermal conductivity.....

In fact, expt. evidence for power-law contribution of many of these quantities  $\rightarrow$  gap has nodes?



In principle, critical test  $I_C$  max. at  $\phi = \frac{1}{4}$  or  $\frac{3}{4} \phi_0$ 

# ESTABLISHED WISDOM (cont.)

Half-quantum vortices ("HQV")

Should occur in any ESP Fermi superfluid, provided coupling between  $\uparrow\uparrow$  and  $\downarrow\downarrow$  sufficiently weak. e.g. (neutral case): vortex in  $\uparrow\uparrow$  components, nothing in  $\downarrow\downarrow$  component, i.e.  $\Delta\uparrow\uparrow\propto$  exp i $\Phi$ ,  $\Delta\downarrow\downarrow\propto$  const. ("half-quantum" vortex)

Note, however, that quantization condition for  $\uparrow\uparrow$  pair velocity is still

$$K \equiv \int_0^{2\pi} v_{s\uparrow} \cdot dl = h / 2m$$

Can tolerate Majorana fermions.

What about charged case?

At  $r \ll \lambda_L$ , current only in  $\uparrow\uparrow$ component  $\rightarrow$  total  $j \neq 0$ . However, for  $r \geq \lambda_L$ ,  $\downarrow \downarrow$ 's are involved :

$$0 \neq \underline{j}_{\uparrow} = \underline{j}_{\downarrow}$$
$$\underline{j}_{tot} = 0$$

Total trapped flux =  $\Phi_0/2 = nh/4e$ .

(Hence, vortex with Dirac (non-Majorana) fermion circling another picks up phase  $\pi/2$ , not  $\pi$  as for BCS)

Note: HQV in charged system carries circulating spin current as  $r \rightarrow \infty \Rightarrow$  energetically disadvantaged relative to simple (h/2e) vortex.







J. Jang et al. Science 331, 186 (2011)



### MAJORANA FERMIONS ON HQV's



single Dirac-Bogoliubov fermion with E=0

First important point:

impossible to tell, by any local meas<sup>t</sup>. whether DC fermion is present or absent.

Second important point:

by appropriate braiding of vortices, can realize (for 2 vortices) nontrivial relative phase factors of the "occupied" and "unoccupied" states, and (for N>2 vortices) nonabelian operations.

For 2 vortices effect of 1  $\bullet$  2 (interchange) = effect of  $2 \bullet -1$  (encirclement) provided we ignore

change of OP at position of 1, i.e. consider only  $\Delta \psi_s = 2\pi$  for 2.

For a **DB** quasiparticle,

change in phase of supercond \*. OP

 $\Delta \phi = \frac{1}{2} \Delta \phi_{s} = \pi$ 

For an M.F., only half is localized on 2  $\Rightarrow \Delta \phi = \frac{1}{4} \Delta \phi_s = \frac{\pi}{2}$ 



#### MAJORANA FERMIONS ON HQV's

#### **BRAIDING OF ANYONS**

From the arguments of Ivanov\*, if an E = 0 fermion is "shared" by 2 vortices and they are exchanged, MBWF changed by a factor of  $\exp i\pi/2 \equiv i$ , while if there is no fermion, this factor is 1. Hence, for the single-qubit system formed by 2 vortices

$$\widehat{T}_{12} = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \qquad \left( or \exp i \ \frac{\pi}{4} \ \widehat{\sigma}_z \right)$$

Case of 4 vortices:

At first sight, 2 qubits, e.g. associated with (1,2) and (3,4).  $\Rightarrow$  4D Hilbert space. Then:

$$\hat{T}_{12} = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}_1 \hat{1}_2 , \quad \hat{T}_{34} = \hat{1}_1 \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}_{34}$$

but

$$\hat{T}_{23} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & -1 & 0 \\ 0 & -1 & 1 & 0 \\ -i & 0 & 0 & 1 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 + \hat{\sigma}_{y1} & \hat{\sigma}_{x2} \\ 0 & -1 & 1 & 0 \\ -i & 0 & 0 & 1 \end{pmatrix}$$
(entangling)

However this is a bit misleading, because all operations preserve parity of state. (as do all "real-life" physical operations). Hence, preferable to fix the parity and regard 4-anyon system as single qubit associated with e.g. anyons 1 and 2: e.g. for odd N

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|0\rangle = |\text{no MF on (1,2), MF on (3,4)}\rangle
|1\rangle = \text{MF on (1,2), no MF on (3,4)}\rangle
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 $4 - \dim_{+}^{l} E = 0$  manifold, corresponding occupation or nonoccupation of (1,2) and (3,4)

But (claim!) can equally well pair differently, e.g.



If the occupation states in this basis are single superpositions of those in original basis, then this just corresponds to a basic change in the 4D manifold.

We know this in original basis



write out in (13)=(24) basis and transform.

