## **TOPOLOGICAL QUANTUM COMPUTING:**

#### Some Possibly Relevant Physical Systems

## A. J. Leggett

#### Department of Physics University of Illinois at Urbana-Champaign

Joint IAS-ICTP School on Quantum Information Processing

Nanyang Technological University, 20 January 2016



# TOPOLOGICAL QUANTUM COMPUTING/MEMORY

Qubit basis.  $|\uparrow\rangle$ ,  $|\downarrow\rangle$  $|\Psi\rangle = \alpha |\uparrow\rangle + \beta |\downarrow\rangle$ To preserve, need (for "resting" qubit)  $\hat{H} \propto \hat{1}$  in  $|\uparrow\rangle$ ,  $|\downarrow\rangle$  basis  $(\hat{H}_{12} = 0 \Rightarrow "T_1 \rightarrow \infty": \hat{H}_{11} - \hat{H}_{22} = \text{const} \Rightarrow "T_2 \rightarrow \infty")$ on the other hand, to perform (single-qubit) operations, need to impose nontrivial H.  $\Rightarrow$  we must be able to do something Nature can't. (ex: trapped ions: we have laser, Nature doesn't!) **Topological protection:** would like to find  $d_{-}(>1)$  dimensional Hilbert space within which (in absence of intervention)  $\hat{H} = (const.) \bullet \hat{1} + o (e^{-L/\xi})_{\text{microscopic}}$ system How to find degeneracy? Suppose  $\exists$  two operators  $\hat{\Omega}_1, \hat{\Omega}_2$  s.t.  $[\hat{H}, \hat{\Omega}_1] = [\hat{H}, \hat{\Omega}_2] = 0$  (and  $\hat{\Omega}_1, \hat{\Omega}_2$  commute with b.c's) but  $[\hat{\Omega}_1, \hat{\Omega}_2] \neq 0 \quad (\text{and } \hat{\Omega}_1 \mid \psi > \neq 0)$ then Hilbert space at least 2-dimensional...



#### EXAMPLE OF TOPOLOGICALLY PROTECTED STATE: FQH SYSTEM ON TORUS

(Wen and Niu, PR B **41**, 9377 (1990))

Reminders regarding QHE:

2D system of electrons,  $B \perp$  plane

Area per flux quantum =  $(h/eB) \Rightarrow$  df.  $\ell_M \equiv (\hbar/eB)^{1/2} \leftarrow$  "magnetic length"  $(\ell_M \sim 100\dot{A} \text{ for B} = 10 \text{ T})$ 

"Filling fraction"  $\equiv$  no. of electrons/flux quantum  $\equiv$  **v** 

"FQH" when v = p/q incommensurate integers

<u>Argument for degeneracy</u>: (does **not** need knowledge of w.f.) can define operators of "magnetic translations"

 $\hat{T}_x(\boldsymbol{a}), \hat{T}_y(\boldsymbol{b}) \quad (\equiv \text{translations of all electrons through} \\ \mathbf{a}(\mathbf{b}) \times \text{appropriate phase factors}). \text{ In general } [\hat{T}_x(\boldsymbol{a}), \hat{T}_y(\boldsymbol{b})] \neq 0$ 



In particular, if we choose

no. of flux quanta  $(= L_1 L_2 / 2\pi \ell_M^2)$  $a = L_1 / N_s, \ b = L_2 / N_s$ 

then  $\hat{T}_1, \hat{T}_2$  commute with b.c.'s (?) and moreover

$$\hat{T}_1 \hat{T}_2 = \hat{T}_2 \hat{T}_1 \exp - 2\pi i \boldsymbol{\nu}$$

But the o. of m. of *a* and *b* is  $\ell_M \cdot (\ell_M / L) \equiv \ell_{osc} \ll \ell_M$ , and  $\Rightarrow 0$  for  $L \rightarrow \infty$ . Hence to a very good approximation,

$$[\hat{T}_1, \hat{H}] = [\hat{T}_2, \hat{H}] = 0$$
 (\*)  
so since  $[\hat{T}_1, \hat{T}_2] \neq 0$ 

must  $\exists$  more than 1 GS (actually q).

Corrections to (\*): suppose typical range of (e.g.) external potential V(**r**) is  $\ell_0$ , then since  $|\psi\rangle$ 's oscillate on scale  $\ell_{osc}$ ,



#### **TOPOLOGICAL PROTECTION AND ANYONS**

 $\Psi(1,2) = \exp(2\pi i\alpha)\Psi(2,1) \equiv \hat{T}_{12}\Psi(1,2)$ 

(bosons: 
$$\alpha = 1$$
, fermions:  $\alpha = \frac{1}{2}$ )

abelian if  $\hat{T}_{12} \hat{T}_{23} = \hat{T}_{23} \hat{T}_{12}$  (ex: FQHE)

nonabelian if  $\hat{T}_{12} \hat{T}_{23} \neq \hat{T}_{23} \hat{T}_{12}$ , i.e. if



 $\psi_1 \neq \psi_2$  ("braiding statistics")



Nonabelian statistics\* is a sufficient condition for (partial) topological protection:

[not necessary, cf. FQHE on torus]

(a) state containing *n* anyons,  $n \ge 3$ :  $[\hat{T}_{12}, \hat{H}] = [\hat{T}_{23}, \hat{H}] = 0$  $[\hat{T}_{12}, \hat{T}_{23}] \neq 0$ 

 $\Rightarrow$  space must be more than 1D.





annihilation process inverse of creation  $\Rightarrow$ 

GS also degenerate.	*plus gap for
	anyon creation

Nonabelian statistics may (depending on type) be adequate for (partially or wholly) topologically protected quantum computation



### IAS-7

## SPECIFIC MODELS WITH TOPOLOGICAL PROTECTION

1. FQHE on torus

**Obvious problems:** 

QHE needs GaAs-AlGaAs or (a) Si MOSFET: how to "bend" into toroidal geometry?



QHE observed in (planar) graphene (but not obviously "fractional"!): bend C nanotubes?

- Magnetic field should everywhere have large comp<sup>t</sup>  $\perp$  to (b)surface: but div  $\mathbf{B} = 0$  (Maxwell)!
- 2. <u>Spin Models (Kitaev et al.)</u>

(adv: exactly soluble)

(a) "Toric code" model

Particles of spin <sup>1</sup>/<sub>2</sub> on lattice

$$\hat{H} = -\sum_{S} \hat{A}_{S} - \sum_{p} \hat{B}_{p}$$

$$\hat{A}_{s} \equiv \prod_{j \in s} \hat{\sigma}_{j}^{x}, \quad \hat{B}_{p} \equiv \prod_{j \in p} \hat{\sigma}_{j}^{z}$$

$$(\text{so} [\hat{A}_{s}, \hat{B}_{n}] \neq 0 \text{ in ger}$$



neral)  $L''_S, \sim p \rfloor$ 

**Problems**:

- (a) toroidal geometry required (as in FQHE)
- (b) apparently v. difficult to generate Ham<sup>n</sup> physically



## SPIN MODELS (cont.)

(b) Kitaev "honeycomb" model

Particles of spin <sup>1</sup>/<sub>2</sub> on honeycomb lattice (2 inequivalent sublattices, A and B)



 $\hat{H} = -J_x \sum_{x-links} \hat{\sigma}_j^x \hat{\sigma}_k^x - J_y \sum_{y-links} \hat{\sigma}_j^y \hat{\sigma}_k^y - J_z \sum_{z-links} \hat{\sigma}_j^z \hat{\sigma}_k^z - \mathcal{H} \cdot \sum_{sites} \boldsymbol{\sigma}_s^z$ 

nb: spin and space axes independent Strongly frustrated model, but exactly soluble.\* Sustains nonabelian anyons with gap provided

$$\begin{split} |J_{x}| &\leq |J_{y}| + |J_{z}|, |J_{y}| \leq |J_{z}| + |J_{x}|, \\ |J_{z}| &\leq |J_{x}| + |J_{y}| \quad \text{and} \ \mathcal{H} \neq 0 \end{split}$$

(in opposite case anyons are abelian + gapped)

Advantages for implementation:

- (a) plane geometry (with boundaries) is OK
- (b) $\hat{H}$  bilinear in nearest-neighbor spins
- (c) permits partially protected quantum computation.
- \* A. Yu Kitaev, Ann. Phys. 321, 2 (2006)
  H-D. Chen and Z. Nussinov, cond-mat/070363 (2007) (etc. ...)



One proposal (Duan et al., PRL 91, 090492 (2003)): use <u>optical</u> <u>lattice</u> to trap ultracold atoms

Optical lattice:

3 counterpropagating pairs of laser beams create potential, e.g. of form  $(2\pi/\lambda \text{ laser wavelength})$ 

$$V(\mathbf{r}) = V_o(\cos^2 kx + \cos^2 ky + \cos^2 kz)$$

in 2D, 3 counterpropagating beams at 120° can create honeycomb lattice (suppress tunnelling along z by high barrier)

For atoms of given species (e.g. <sup>87</sup>Rb) in optical lattice 2 characteristic energies:

interwell tunnelling,  $\mathbf{t} (\sim e^{-\text{const. } \sqrt{V_0}})$ 

intrawell atomic interaction (usu. repulsion) U

For 1 atom per site on average:

if  $t \gg U$ , mobile ("superfluid") phase

if t « U, "Mott-insulator" phase (1 atom localized on each site)



If 2 hyperfine species ( $\cong$  "spin -1/2" particle), weak intersite tunnelling  $\Rightarrow$  AF interaction

$$\hat{H}_{AF} = \sum_{nn} J \boldsymbol{\sigma}_i \boldsymbol{\sigma}_j \qquad J = t^2 / U$$

(irrespective of lattice symmetry).

So far, isotropic, so not Kitaev model. But ...



If tunnelling is different for  $\uparrow$  and  $\downarrow$ , then H'berg Hamiltonian is anisotropic: for fermions,

$$\hat{H}_{AF} = \frac{t_{\uparrow}^2 + t_{\downarrow}^2}{2U} \sum_{nn} \hat{\sigma}_i^z \hat{\sigma}_j^z + \frac{t_{\uparrow} t_{\downarrow}}{U} \sum_{nn} (\hat{\sigma}_i^x \hat{\sigma}_j^x + \hat{\sigma}_i^y \hat{\sigma}_j^y)$$

 $\Rightarrow$  if  $t_{\uparrow} \gg_{\downarrow}$ , get Ising-type int<sup>n</sup>

$$H_{AF} = \text{ const.} \sum_{nn} \hat{\sigma}_i^z \hat{\sigma}_j^z$$

We can control  $t_{\uparrow}$  and  $t_{\downarrow}$  with respect to an arbitrary "z" axis by appropriate polarization and tuning of (extra) laser pair. So, with 3 extra laser pairs polarized in mutually orthogonal directions (+ appropriately directed) can implement

$$\hat{H} = J_x \sum_{x-bonds} \hat{\sigma}_i^x \hat{\sigma}_j^x + J_y \sum_{y-bonds} \hat{\sigma}_i^y \hat{\sigma}_j^y + J_z \sum_{z-bonds} \hat{\sigma}_i^z \hat{\sigma}_j^z$$

= Kitaev honeycomb model

Some potential problems with optical-lattice implementation:

- In real life, lattice sites are inequivalent because of background magnetic trap ⇒ region of Mott insulator limited, surrounded by "superfluid" phase.
- (2) to avoid thermal excitation, need  $T \leq = 1 \text{pK}$ .  $(10^{-12} \text{K})$
- (3) Even if "T" < 1pK, v. long "spin" relaxation times in ultracold atomic gases  $\Rightarrow$  true groundstate possibly never reached.

Other possible implementations: e.g. Josephson circuits (You et al., arXiv: 0809.0051)

\_ \_ \_ \_ \_ \_ \_ \_



# QUANTUM HALL SYSTEMS

Reminder re QHE:

Occurs in (effectively) 2D electron system ("2DES") (e.g. inversion layer in GaAs – GaAlAs heterostructure) in strong perpendicular magnetic field, under conditions of high purity and low ( $\leq 250 \text{ mK}$ ) temperature.

If df.  $l_m \equiv (\hbar/eB)^{1/2}$  ("magnetic length") then area per flux quantum h/e is  $2\pi l_m^2$ , so no. of flux quanta =  $A / 2\pi l_m^2$ ( $A \equiv$  area of sample). If total no. of electrons is N<sub>e</sub>, define

 $v \equiv N_e / N_{\Phi}$  ("filling factor")

QHE occurs at and around (a) integral values of v (integral QHE) and (b) fractional values p/q with fairly small ( $\leq 13$ ) values of q (fractional QHE). At v'th step, Hall conductance  $\Sigma_{xy}$  quantized to  $ve^2/\hbar$  and longitudinal conductance  $\Sigma_{xx} \cong 0$ 



Nb: (1) Fig. shows IQHE only

(2) expts usually plot

$$R_{xy}$$
 vs  $B\left(\propto \frac{1}{\nu}\right)$ 

so general pattern is same but details different



# v = 5/2 State: The "Pfaffian" Ansatz

Consider the Laughlin ansatz formally corresponding to v = 1/2(or v = 5/2 with first 2 LC's inert):

$$\psi_N^L = \prod_{i < j} (z_i - z_j)^2 \exp(-\Sigma_i |z_i|^2 / 4l_m^2) (z_i = \underline{\text{electron coord.}})$$

This cannot be correct as it is symmetric under  $i \leftrightarrow j$ . So must multiply it by an antisymmetric function. On the other hand, do not want to "spoil" the exponent 2 in numerator, as this controls the relation between the LL states and the filling.

Inspired guess (Moore & Read, Greiter et al.): (N = even)

$$\psi_N = \psi_N^{(L)} \times Pf\left(\frac{1}{z_i - z_j}\right)$$

 $Pf(f(ij)) \equiv f(12)f(34)... - f(13)f(24)... + .... (\equiv Pfaffian)$ 

#### antisymmetric under ij

This state is the exact GS of a certain (not very realistic) 3body Hamiltonian, and appears (from numerical work) to be not a bad approximation to the GS of some relatively realistic Hamiltonians.

With this GS, a single quasihole is postulated to be created, just as in the Laughlin state, by the operation

$$\psi_{qh} = \left( \prod_{i=1}^{N} (z_i - \eta_0) \right) \bullet \psi_N$$

It is routinely stated in the literature that "the charge of a quasihole is -e/4", but this does not seem easy to demonstrate directly: the arguments are usually based on the BCS analogy (quasihold  $\leftrightarrow h/2e$  vortex, extra factor of 2 from usual Laughlin-like considerations) or from CFT.

conformal field theory These excitations are nonabelian ("Ising") anyons.



## <u>p-wave Fermi Superfluids (in 2D)</u>

Generically, particle-conserving wave function of a Fermi superfluid (Cooper-paired system) is of form

$$\Psi_N = \mathscr{N} \cdot \left(\sum_{k,\alpha\beta} c_k a_{k\alpha}^+ a_{-k\beta}^+\right)^{N/2} |vac\rangle$$

e.g. in BCS superconductor

$$\Psi_N = \mathscr{N} \left( \sum_k c_k a_{k\uparrow}^+ a_{-k\downarrow}^+ \right)^{N/2} |vac\rangle -$$

Consider the case of pairing in a spin triplet, p-wave state (e.g. 3He-A). If we neglect coherence between  $\uparrow$  and  $\downarrow$  spins, can write

$$\Psi_{N} = \Psi_{N/2,\uparrow}\Psi_{N/2,\downarrow}$$

Concentrate on  $\Psi_{N/2,\uparrow}$  and redef. N $\rightarrow$  2N.

$$\Psi_{N\uparrow} = \mathcal{N}(\Sigma c_k a_k^+ a_{-k}^+)^{N/2} | vac \rangle$$

suppress spin index



#### What is $c_k$ ?

Standard choice:

KE measured from 
$$\mu$$
  
 $c_k = \exp{-i\phi_k} \left( \frac{1 - \varepsilon_k / E_k}{1 + \varepsilon_k / E_k} \right)^{1/2}$  Real factor  
"p+ip"  $\left( \varepsilon_k^2 + |\Delta_k|^2 \right)^{1/2}$ 

How does  $c_k$  behave for  $k \rightarrow 0$ ? For p-wave symmetry,  $|\Delta_k| \text{ must } \propto k$ , so  $|c_k| \sim \varepsilon_E / |\Delta_k| \sim k^{-1}$ 

Thus the (2D) Fournier transform of  $c_k$  is

$$\propto r^{-1}\exp-i\varphi\equiv z^{-1},$$

and the MBWF has the form

 $\Psi_N(z_1 z_2 \dots z_N) = Pf\left(\frac{1}{z_i - z_j}\right) \times \text{ uninteresting factors}$ 



Conclusion: apart from the "single-particle" factor  $\exp - \frac{1}{4\ell^2} \sum_j |z_j|^2$ , MR ansatz for v = 5/2 QHE is identical to the "standard" real-space MBWF of a (p + ip) 2D Fermi superfluid. Note one feature of the latter:

if 
$$\hat{\Omega} \equiv \sum_{k} c_{k} a_{k}^{+} a_{-k}^{+}$$
,  $c_{k} = |c_{k}| \exp{-i\varphi_{k}}$   
then  $[\hat{L}_{z}, \hat{\Omega}] = -\hbar\hat{\Omega}$   
 $\swarrow$  z-component of ang. momentum

so 
$$\Psi_N \equiv \text{const.} \ \hat{\Omega}^N \mid vac \rangle$$

possesses ang. momentum  $-N\hbar/2$ , no matter how weak the pairing!

Now: where are the nonabelian anyons in the p + ipFermi superfluid?

Read and Green (Phys. Rev. B *61*, 10217(2000)): nonabelian anyons are zero-energy fermions bound to cores of vortices.



Consider for the moment a single-component 2D Fermi superfluid, with p + ip pairing. Just like a BCS (s-wave) superconductor, it can sustain vortices: near a vortex the pair wf, or equivalently the gap  $\Delta(\mathbf{R})$ , is given by

 $\Delta(\mathbf{R}) \equiv \Delta(z) = \text{ const. } z$  COM of Cooper pairs

Since  $|\Delta(\mathbf{R})|^2 \to 0$  for  $\mathbf{R} \to 0$ , and (crudely)  $E_k(\mathbf{R}) \sim (\varepsilon_k^2 + |\Delta(\mathbf{R})|^2)^{1/2}$ , bound states can exist in core.

In the s-wave case their energy is  $\sim \eta |\Delta_0|^2 \varepsilon_F$ ,  $\eta \neq 0$ , so no zero-energy bound states.

What about the case of (p + ip) pairing?

If we approximate

$$\Delta(\mathbf{R}, \boldsymbol{\rho}) = \Delta(\mathbf{R})\partial_{\boldsymbol{\rho}}\delta(\boldsymbol{\rho})$$
f
relative coord.

 $\exists$  mode with  $u(\mathbf{r}) = v^*(\mathbf{r}), E = 0$ 



Now, recall that in general within mean-field (BdG) theory,

 $\psi_{odd}(\mathbf{r}) = (u(r)\hat{\psi}^{\dagger}(r) + \upsilon(r)\hat{\psi}(r)) | \text{term} \rangle \equiv \hat{Q}(r) | \text{term} \rangle$ 

But, if  $u^*(r) = v(r)$ , then  $\hat{Q}^{\dagger}(r) \equiv \hat{Q}(r)!$  i.e.

zero-energy modes are their own antiparticles ("Majorana modes")

4: This is true only for spinless particle/pairing of || spins (for pairing of anti || spins, particle and hole distinguished by spin).



Consider two vortices *i*, *j* with attached Majorana modes with creation ops.  $\gamma_i \equiv \gamma_i^{\dagger}$ .

What happens if two vortices are interchanged?\*



Claim: when phase of C. pairs changes by  $2\pi$ , phase of Majorana mode changes by  $\pi$  (true for assumed form of u, v for single vortex). So

$$\begin{aligned} \gamma_i &\to \gamma_j \\ \gamma_j &\to -\gamma_i \end{aligned}$$

more generally, if  $\exists$  many vortices + w df  $\hat{T}_i$  as exchanging i, i + l, then for |i-j| > l

 $[\hat{T}_{i}, \hat{T}_{j}] = 0$ , but

for |i - j| = 1,  $[\hat{T}_i, \hat{T}_j] \neq 0$ ,  $\hat{T}_i \hat{T}_j \hat{T}_i = \hat{T}_j \hat{T}_i \hat{T}_j$ 

braid group!





The experimental situation

Sr<sub>2</sub>RuO<sub>4</sub>: so far, evidence for HQV's, none for MF's.

<sup>3</sup>He-A: evidence if anything <u>against</u> HQV's

<sup>3</sup>He-B: circumstantial evidence from ultrasound attenuation

Alternative proposed setup (very schematic)



Detection: ZBA in I-V characteristics

(Mourik et al., 2012, and several subsequent experiments)

dependence on magnetic field, s-wave gap, temperature... roughly right

"What else could it be?"

Answer: quite a few things!



Second possibility: Josephson circuit involving induced (p-wave-like) sup<sup>y</sup>.

Theoretical prediction: " $4\pi$ -periodicity" in current-phase relation.

Problem: parasitic one-particle effects can mimic.

One possible smoking gun: teleportation!



 $\Delta T \ll L/v_F$  ?

Problem: theorists can't agree on whether teleportation is for real!



Majorana fermions: beyond the mean-field approach

Problem: The whole apparatus of mean-field theory rests fundamentally on the notion of  $SBU(1)S \leftarrow$  spontaneously broken U(1) gauge symmetry:

$$\Psi_{\text{even}} \sim \sum_{\substack{N=\\ \text{even}}} C_N \Psi_N \qquad (C_N \sim |C_N| e^{iN\varphi})$$

$$\Psi_{\text{odd}}^{(c)} \sim \int dr \left\{ u(r)\hat{\psi}^{\dagger}(r) + v(r)\hat{\psi}(r) \right\} |\Psi_{\text{even}}\rangle \left( \equiv \hat{\gamma}_{i}^{\dagger} |\Psi_{\text{even}}\rangle \right)^{*}$$

But in real life condensed-matter physics,

#### SB U(1)S IS A MYTH!!

This doesn't matter for the even-parity GS, because of "Anderson trick":

$$\Psi_{2N} \sim \int \Psi_{even}(\varphi) \exp -iN\varphi \, d\varphi$$

But for odd-parity states equation (\*) is fatal! Examples:

(1) Galilean invariance

(2) NMR of surface MF in <sup>3</sup>He-B



We must replace (\*) by

creates extra Cooper pairs  

$$\hat{\gamma}_{i}^{\dagger} = \int dr \left\{ u(r)\hat{\psi}^{\dagger}(r) + v(r)\hat{\psi}C^{\dagger} \right\}$$

This doesn't matter, so long as Cooper pairs have no "interesting" properties (momentum, angular momentum, partial localization...)

But to generate MF's, pairs must have "interesting" properties!

 $\Rightarrow$  doesn't change arguments about existence of MF's, but completely changes arguments about their braiding, undetectability etc.

Need completely new approach!

