

# Liquid Helium-3 and Its Metallic Cousins

## Exotic Pairing and Exotic Excitations

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## Helium – the simplest element

(electronically completely inert)

$^3\text{He}$  – arguably the simplest isotope of helium (does not even form diatomic molecules in free space!) (but does have nuclear spin  $\frac{1}{2}$ )

And yet.... probably more different uses than any other isotope in periodic table!

e.g. gas phase: lung NMR imaging, particle detectors, ...

solid phase: thermal vacancies, Pomeranchuk cooling.

nuclear magnetic phase transition...

**liquid phase:** this talk!

realized in bulk since ~1950 (68 years)

since 1972, **superfluid** (46 years)

This talk:

1. brief reminders re Cooper pairing in (classic) superconductors (BCS theory)
2. Cooper pairing in superfluid  $^3\text{He}$
3. Some idiosyncrasies of uniform superfluid  $^3\text{He}$ : superfluid amplification (mixture of old and new)
4. A metallic cousin of superfluid  $^3\text{He}$  (SRO)
5. Some idiosyncracies of inhomogeneous  $^3\text{He}$ /SRO



## Electrons in Metals (BCS):

Fermions of spin  $\frac{1}{2}$ ,  $T_F \sim 10^4 K$ ,  $T_c \sim 10K \Rightarrow T_c / T_F \sim 10^{-3}$

$\Rightarrow$  strongly degenerate at onset of superconductivity

Normal state: in principle described by Landau Fermi-liquid theory, but “Fermi-liquid” effects often small and generally very difficult to see.

BCS: model normal state as **weakly interacting gas with weak “fixed” attractive interaction**

## Superconducting state: **Cooper pairs** form, i.e. :

2-particle density matrix has **single macroscopic ( $\sim N$ ) eigenvalue**, with associated eigenfunction

$$F(\underbrace{r_1 r_2 \sigma_1 \sigma_2}_{\text{relative}}) \equiv F(\underbrace{\mathbf{R} : r \sigma_1 \sigma_2}_{\text{COM}})$$

“wave function of Cooper pairs”

$$\left( \equiv \langle \psi^\dagger (\mathbf{R} + \mathbf{r} / 2 : \sigma) \psi^\dagger (\mathbf{R} - \mathbf{r} / 2 : \sigma') \rangle \right)$$

in words: a sort of “Bose condensation of diatomic (quasi-) molecules” = a macroscopic number of **pairs** of atoms are **all doing the same thing at the same time** (“superfluid amplification”)





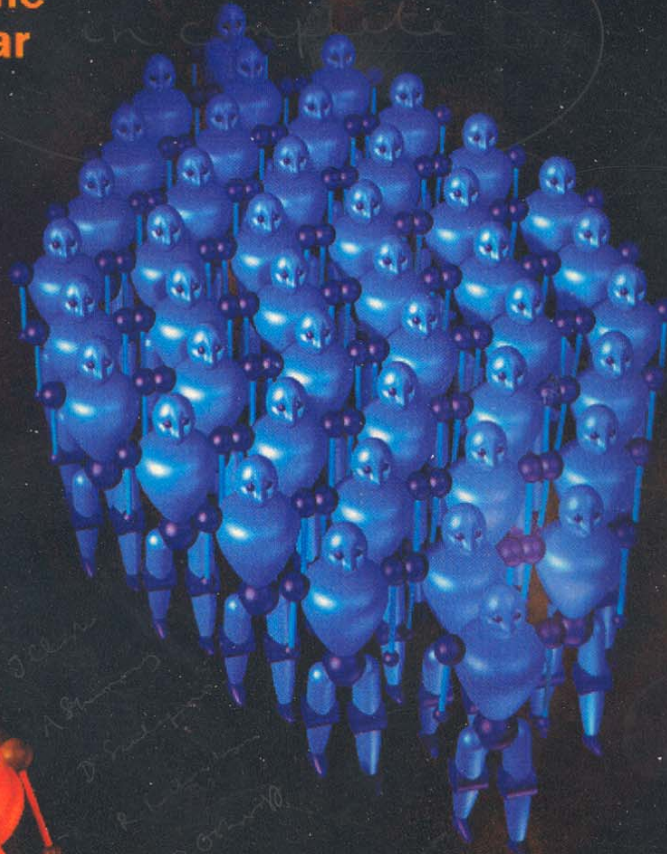
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# SCIENCE

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Molecule  
of the  
Year



the  
Bose-Einstein  
Condensate

# STRUCTURE OF COOPER-PAIR WAVE FUNCTION

(in original BCS theory of superconductivity, for fixed  $\mathbf{R}$ ,  $\sigma_1$ ,  $\sigma_2$ )

relative  
coordinate



$$F(\mathbf{r}) = F(|\mathbf{r}|) = \Delta \Omega^{-1/2} \sum_{\mathbf{k}} (2E_{\mathbf{k}})^{-1} \exp i\mathbf{k} \cdot \mathbf{r}$$

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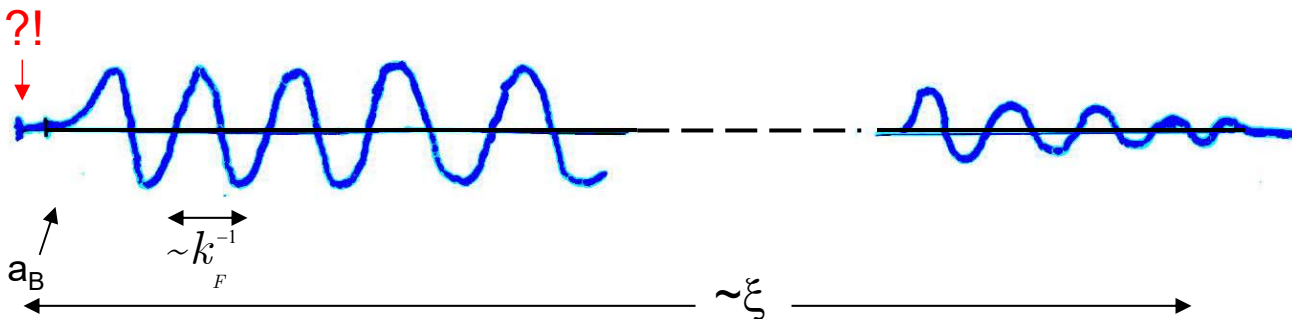
Energy gap

$$\left( \epsilon_{\mathbf{k}}^2 + |\Delta|^2 \right)^{1/2}$$

$$\cong \text{const.} \left( N\Delta / E_F \Omega^{1/2} \right) \frac{\sin k_F r}{k_F r} \exp -r / \xi$$

KE relative to  
Fermi surface

$$\xi = \text{"pair radius"} \sim \hbar v_F / \Delta (\sim 10^4 \text{ \AA})$$



“Number of Cooper pairs” ( $N_0$ ) = normalization of  $F(\mathbf{r})$

$$\equiv \int |F(\mathbf{r})|^2 d\mathbf{r} \sim \frac{N^2}{\Omega} \frac{\Delta^2}{E_F^2} \frac{1}{k_F^2} \xi \sim N \left( \Delta / E_F \right) \sim 10^{-4} N$$

(contrast:  $N_0 / N \sim 10\%$  in  ${}^4\text{He}$ )

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In original BCS theory of superconductivity,

$$F(\mathbf{r} : \sigma_1 \sigma_2) = \frac{1}{\sqrt{2}} \left( \begin{array}{cc} \uparrow_1 & \downarrow_2 \\ \downarrow_1 & \uparrow_2 \end{array} \right) F(|\mathbf{r}|)$$

↑  
spin singlet
↙  
orbital s-wave

⇒ PAIRS HAVE NO “ORIENTATIONAL”  
DEGREES OF FREEDOM

(⇒ stability of supercurrents, etc.)

## THE FIRST ANISOTROPIC COOPER-PAIRED SYSTEM: SUPERFLUID $^3\text{He}$

also fermions of spin  $\frac{1}{2}$      $T_F \sim 1\text{K}$ ,  $T_c \sim 10^{-3}\text{K} \Rightarrow T_c / T_F \sim 10^{-3}$

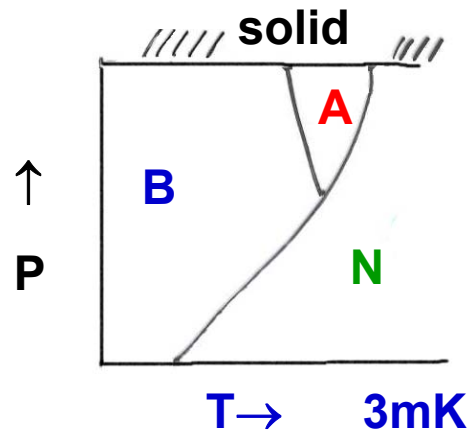
$\Rightarrow$  again, strongly degenerate at onset of superfluidity, but also strongly interacting.

$\Rightarrow$  low-lying states (inc. effects of pairing) must be described in terms of **Landau quasiparticles**.  
(and Fermi-liquid effects v. imp.)

2-PARTICLE DENSITY MATRIX  $\rho_2$   
still has one and only one macroscopic  
( $\sim N$ ) eigenvalue

$\Rightarrow$  can still define “pair wave  
function”  $F(\mathbf{R}, \mathbf{r}; \sigma_1 \sigma_2)$

However, even when  $F \neq F(\mathbf{R})$ ,



$F(\mathbf{r}\sigma_1\sigma_2)$  HAS ORIENTATIONAL DEGREES OF FREEDOM!

(i.e. depends nontrivially on  $\hat{\mathbf{r}}, \sigma_1 \sigma_2$ .)

Standard identifications (from spin susceptibility, ultrasound absorption, NMR... plus theory):

In both A and B phases, Cooper pairs have  $\ell = S = 1$

## A phase (“ABM”)

$$F(\mathbf{r}; \sigma_1 \sigma_2) = \frac{1}{\sqrt{2}} \left( \uparrow_1 \downarrow_x + \downarrow_1 \uparrow_2 \right)_{\hat{d}} \times f(\mathbf{r})$$

Spin triplet

char. “spin axis”

or with different choice of axes.

$$F(\mathbf{r}; \sigma_1 \sigma_2) = \frac{1}{\sqrt{2}} \left( \uparrow_1 \uparrow_x + e^{i\chi} \downarrow_1 \downarrow_2 \right) \times f(\mathbf{r})$$

$$f(\mathbf{r}) = f_o(|\mathbf{r}|) \times (\sin \theta \cdot \exp i\varphi)_{\hat{\ell}}$$

violates both P and T!

char. “orbital axis”

apparent angular momentum  $\hbar$ /pair

Properties anisotropic in orbital and spin space separately,

$$\text{e.g. } |\Delta_K| = \left| \Delta(\hat{k}) \right| = \Delta_o \left| \hat{k} \times \hat{\ell} \right| \Leftarrow \text{nodes at } \pm \hat{\ell}!$$





## B phase (“BW”)

For any particular direction  $\hat{n}$  (in real or  $\mathbf{k}$ -space) can always choose spin axis s.t.

$$F(\hat{n} : \sigma_1 \sigma_2) \sim \frac{1}{\sqrt{2}} (\uparrow_1 \downarrow_2 + \downarrow_1 \uparrow_2) \hat{d}$$

i.e.  $\hat{d} = \hat{d}(\hat{n})$ :

Original “theoretical” state had  $\hat{d}(\hat{n}) = \hat{n}$ , i.e. spin of every pair opposite to orbital angular momentum ( $^3P_0$  state).

Real-life B phase is  $^3P_0$  state “spin-orbit rotated” by  $104^\circ$ .

$L=S=J=0$  because of dipole force  $\cos^{-1}(-1/4) = \theta_0$

Note: rotation (around axis  $\hat{\omega}$ ) breaks P but not T

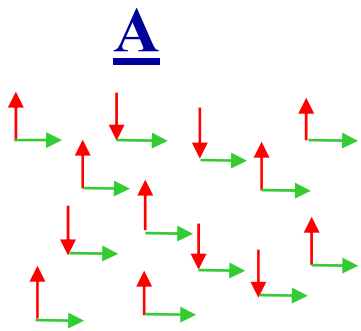
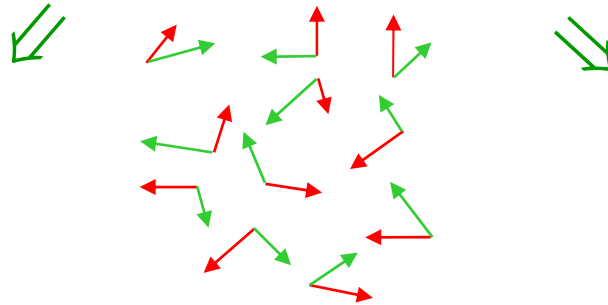
|| ext'l field  $H_0$ 
inversion
time reversal

Orbital and spin behavior individually isotropic, but: properties involving spin-orbit **correlations** anisotropic!



SPIN-ORBIT : ORDERING MAY BE SUBTLE

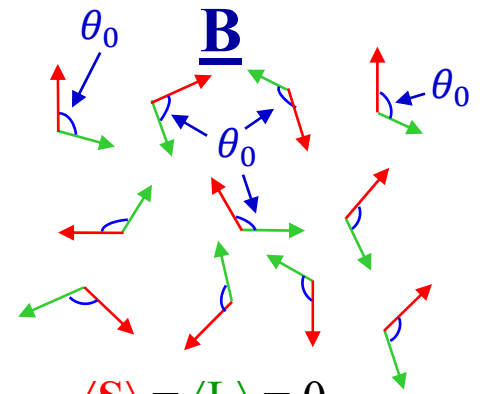
NORMAL PHASE



$\langle \mathbf{S}^2 \rangle \neq 0$  (but  $\langle \mathbf{S} \rangle = 0$ ),  
 $\langle \mathbf{L} \rangle \neq 0$  ( $d \parallel \ell$ )

⇐ ORDERED PHASE ⇒

↗ = total spin of pair  
 ↘ = relative orbital ang. momentum



$\langle \mathbf{S} \rangle = \langle \mathbf{L} \rangle = 0$   
 but  $\langle \mathbf{L} \times \mathbf{S} \rangle \neq 0!$   
 out of screen

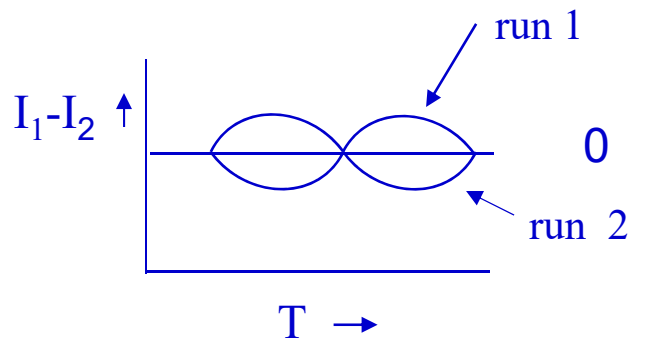
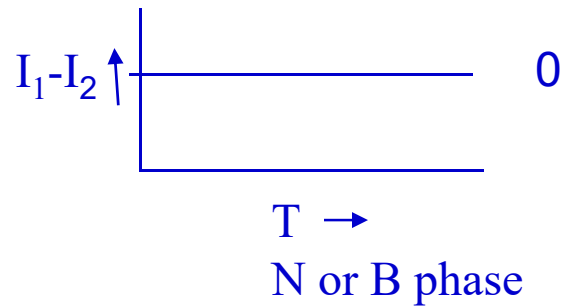
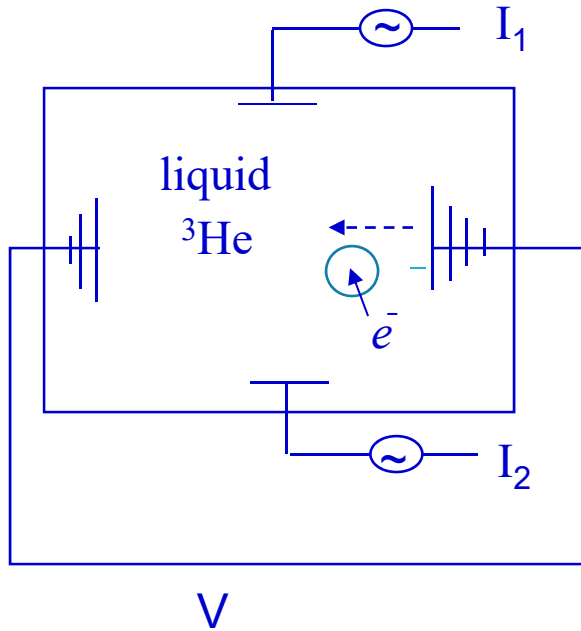
Dipole energy depends on relative angle of ↗ and ↘ ⇒ determines  $\hat{d} \cdot \hat{\ell}$  (A phase) or  $\theta_0$  (B phase)



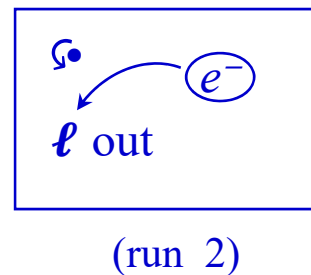
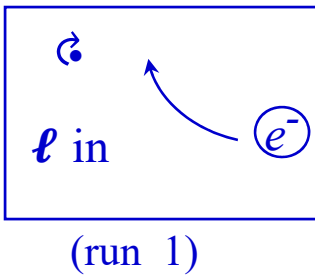
How to “see” the exotic nature of the pairing?

Example\*: Spontaneous violation of P- and T-symmetry in A phase

$$\left( f(r) = (\sin \theta e^{i\varphi})_{\hat{\ell}} \right)$$



Intrinsic Magnus force:



(Somewhat) unexpected effect: magnetic field can orient  $\ell$  – vector “in” or “out”!  
 indicates coupling of  $\ell$  to field, i.e.  $^3\text{He}$  is a **weak orbital ferromagnet**, with magnetic moment along  $(\pm) \ell$ .

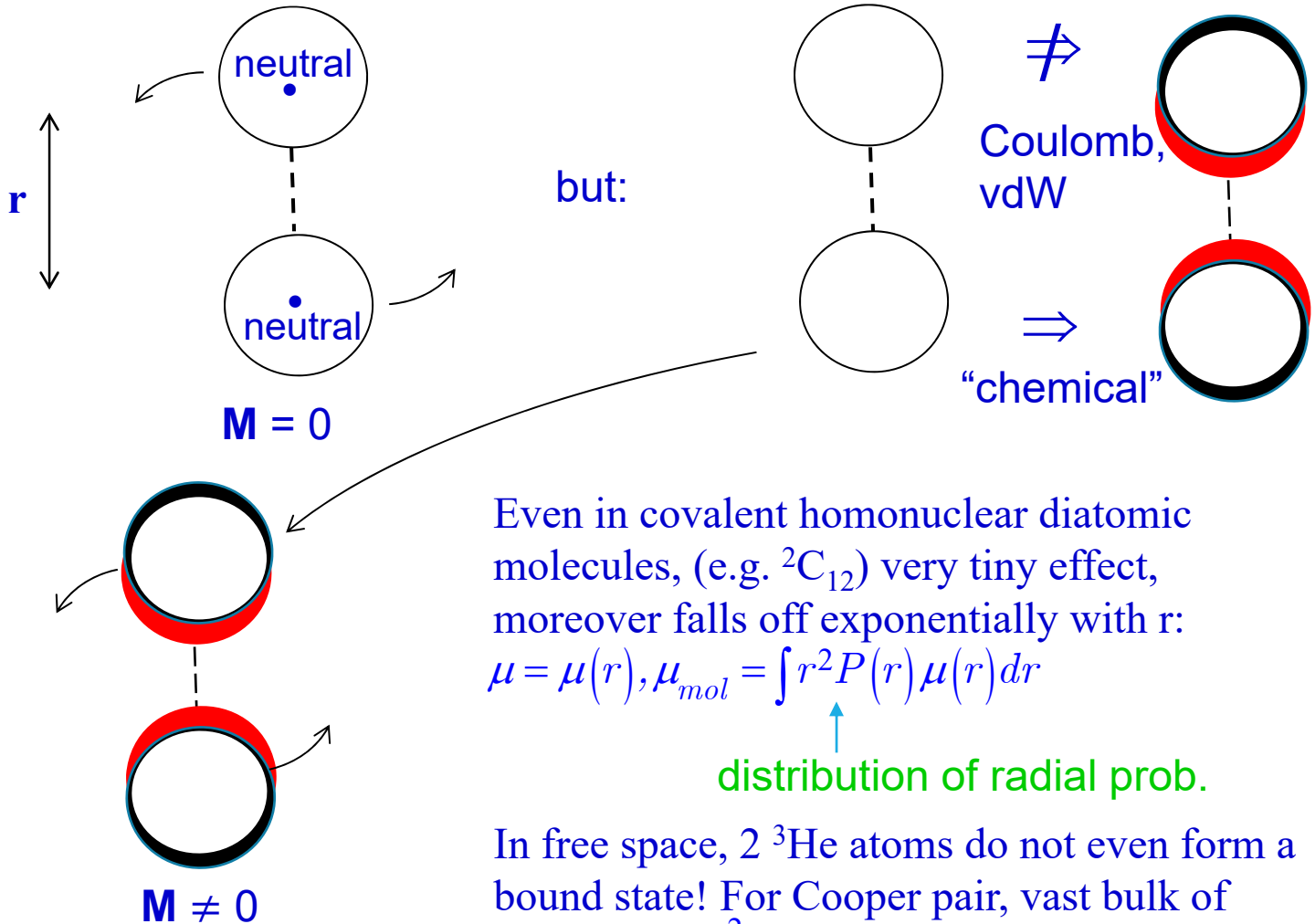
But....  $^3\text{He}$  atoms are neutral! How can this be?



\*H. Ikegami et al., Science **341**, 59 (2013)

## Weak ferromagnetism in $^3\text{He} - \text{A}^*$

Known effect in chemical physics<sup>†</sup>: rotation even of **homonuclear** diatomic molecule gives rise to magnetic moment!



Even in covalent homonuclear diatomic molecules, (e.g.  $^{12}\text{C}_2$ ) very tiny effect, moreover falls off exponentially with  $r$ :

$$\mu = \mu(r), \mu_{mol} = \int r^2 P(r) \mu(r) dr$$

↑  
distribution of radial prob.

In free space, 2  $^3\text{He}$  atoms do not even form a bound state! For Cooper pair, vast bulk of

$$P(r) \equiv |F(r)|^2 \text{ lies at } r \gg a_0, k_F^{-1}$$

Hence, for single Cooper pair calculate (lots of exotic chemical physics!)  $\mu_{CP} \sim 10^{-11} \mu_B$ . (almost certainly immeasurably small). Certainly, in N phase completely unobservable.

What saves us is the **principle of superfluid amplification** – all Cooper pairs do same thing at same time! As a result, estimate effective equivalent field  $H_{eq} = n_{cp} \mu_{CB} / \chi \sim 10 - 20 mG$ . Paulson et al. find circumstantial evidence for spontaneous field of just this o. of m.



\*AJL, Nature **270**, 585 (1977); Paulson & Wheatley, PRL **40**, 557 (1978)

†GC Wick, Phys. Rev **73**, 51 (1948)

More spectacular (but less direct) example of superfluid amplification: NMR

Recall: dipole energy depends on angle between  $\uparrow$  and  $\uparrow$

dipole energy

$$\frac{d\mathbf{S}}{dt} = \mathbf{S} \times \mathbf{H}_0 + \frac{\delta E_D}{\delta \theta}$$

$\angle$  of rotation about  $rf$  field direction  $\hat{\mathcal{H}}_{rf}$

$\uparrow \mathcal{H}_{rf} \text{ (long) (L)}$   
 $\rightarrow \mathcal{H}_{rf} \text{ (transverse) (T)}$

$\uparrow \mathbf{H}_0, \hat{\omega}$

For A phase, dipole energy locks  $\mathbf{d} \parallel \ell$  in equilibrium, and usually  $\mathbf{d} \perp \mathbf{H}_0 \Rightarrow$  both T and L fields move  $\mathbf{d}$  away from  $\ell \Rightarrow$  T frequency shift + L resonance ( $\checkmark$ )

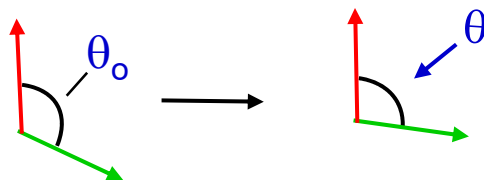


For B phase:

in transverse resonance, rotation around  $\hat{\mathcal{H}}_{rf}$  equiv. rotation of  $\hat{\omega}$  with  $\theta_0$  unchanged  $\Rightarrow$  no dipole torque,  $\Rightarrow$  no resonance shift. ( $\checkmark$ )



In **longitudinal** resonance, rotation changes  $\theta$  away from  $\theta_0$



$\Rightarrow$  finite-frequency resonance! ( $\checkmark$ )



One more proposed\* (but so far unrealized!) example of superfluid amplification:

P-(but not T-) violating effects of neutral current part of weak interaction:

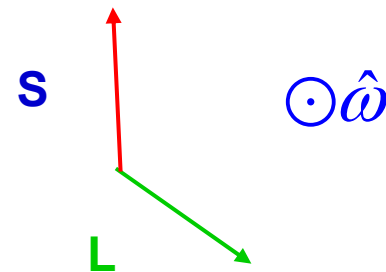
For single elementary particle, by Wigner-Eckart theorem, any EDM  $d$  must be of form

$$d = \text{const. } \mathbf{J} \quad \leftarrow \text{violates T as well as P.}$$

But for  ${}^3\text{He} - \text{B}$ , can form

$$d \sim \text{const. } \mathbf{L} \times \mathbf{S} \sim \text{const. } \hat{\omega}$$

$\uparrow$   
 violates P but not T.



Calculation involves factors similar to that of A-phase ferromagnetism (lots of even more exotic chemical physics!):

Effect is tiny for single pair, but since all pairs have same value of  $\mathbf{L} \times \mathbf{S}$ , is multiplied by factor of  $\sim 10^{23} \Rightarrow$

macroscopic P-violating effect?

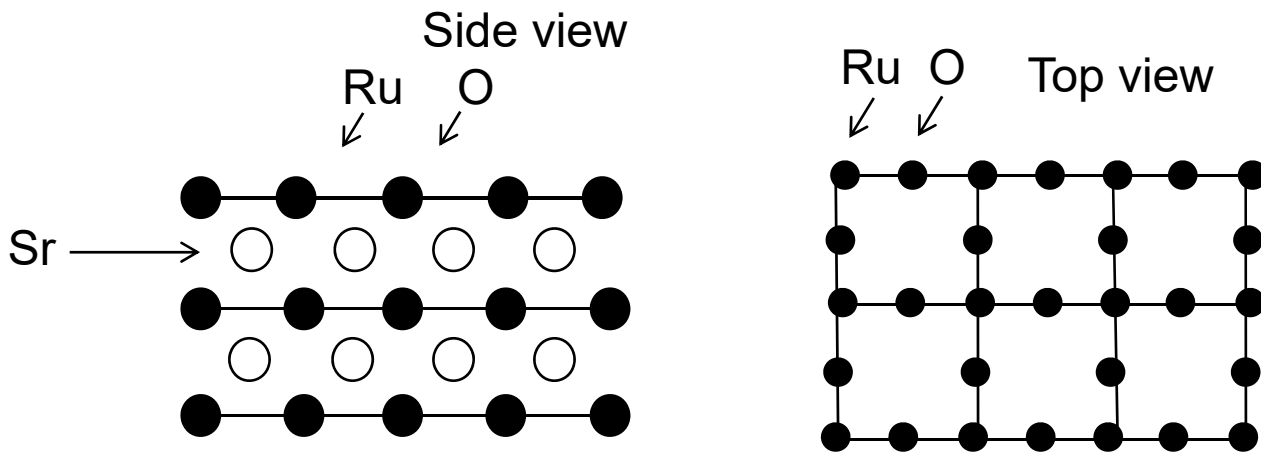
(maybe in 10-20 years... )



\*AJL, PRL **39**, 587 (1977)

A putative metallic cousin of  $^3\text{He-A}$ :  $\text{Sr}_2\text{RuO}_4$   
 (single layer strontium ruthenate, "SRO")

-Strongly layered material, structure similar to cuprates with  $\text{RuO}_2$  planes replacing  $\text{CuO}_2$ .



-Normal state fairly conventional (unlike cuprates)  
 -Superconducting at  $\sim 1.5 \text{ K}$ , strongly type - II.

The \$64K question:

What is symmetry of Cooper pairs in  $S$  state?

-lots of (partially mutually inconsistent) experimental information (sp. ht., ARPES,  $\mu$ SR, Josephson...), but most plausible conclusion\* is

spin triplet,  $p_x + ip_y$

If so, then prima facie analogous to A phase of superfluid  $^3\text{He}$ , but important differences:

(1) charged system

(2) both  $\hat{\mathbf{d}}$  and  $\hat{\ell}$  vectors can be pinned by lattice.

Nevertheless, some important issues arising in  $^3\text{He-A}$  have analogs in  $\text{Sr}_2\text{RuO}_4$  which can be more easily addressed experimentally there. This mostly refers to inhomogeneous phenomena . . .

\* C. Kallin, Repts. Prog. Phys. **75**, 042501(2012)





Some (nearly) unique features of spatially inhomogeneous  $^3\text{He-A}$  / SRO

Recall: pair wave function is spin triplet, so a more general form is

$$f_{\uparrow\uparrow}(\mathbf{r})|\uparrow\uparrow\rangle + f_{\downarrow\downarrow}(\mathbf{r})|\downarrow\downarrow\rangle$$

Ordinary vortices  $f_{\uparrow\uparrow}(\mathbf{r}) \sim f_{\downarrow\downarrow}(\mathbf{r}) \sim (x+iy)$  well known to occur in both  $^3\text{He-A}$  and SRO (extreme type-II)

But can also contemplate half-quantum vortex

$$f_{\uparrow\uparrow}(\mathbf{r}) \sim (x+iy), f_{\downarrow\downarrow}(\mathbf{r}) = \text{const.}, \text{ i.e. vortex in } \uparrow \text{ spins, none in } \downarrow$$

HQV's  $f_{\uparrow\uparrow}$  should be stable in  $^3\text{He-A}$  under appropriate conditions

(e.g. annular geom., rotation at  $\omega \sim \omega_c / 2$ ,  $\omega_c \equiv \hbar / 2mR^2$ )

sought but not found: (in bulk: some recent evidence for  $^3\text{He}$  in aerogel)

Ideally, would like 2D superconductor with pairing in triplet state. Does such exist? Well, hopefully SRO...

does not need to be p+ip)

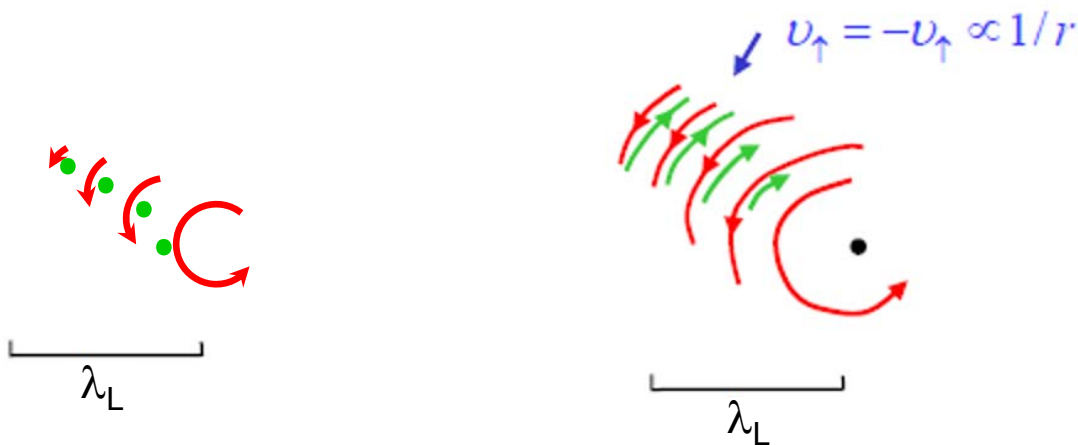


Can we generate HQV's in  $\text{Sr}_2\text{RuO}_4$ ?

Problem:

in neutral system, both ordinary and HQ vortices have  $1/r$  flow at  $\infty \Rightarrow$  HQV's not specially disadvantaged. But in charged system (metallic superconductor), ordinary vortices have flow completely screened out for  $r \gtrsim \lambda_L$  by Meissner effect. For HQV's, this **is not true**:

London penetration depth



So HQV's intrinsically disadvantaged in  $\text{Sr}_2\text{RuO}_4$ .

Nevertheless Jang et al. (Budakian group, UIUC 2012) find strong evidence for **single** HQV's!

Why not found in  $^3\text{He-A}$ ?



More unique features of inhomogeneous  ${}^3\text{He-A}$  and SRO:

(2) ang. momentum and surface currents.

Recall: almost all experimental properties of a degenerate Fermi system, either  $N$  or  $S$ , are determined by the states near the Fermi surface. In particular, in the  $S$  state they are determined by the form of the Cooper pair wave function

$$F(\mathbf{R}; \mathbf{r}, \sigma, \sigma') \left( \equiv \left\langle \psi^+ \left( \mathbf{R} + \frac{\mathbf{r}}{2}, \sigma \right) \psi^+ \left( \mathbf{R} - \frac{\mathbf{r}}{2}, \sigma' \right) \right\rangle \right)$$

For the  $S$  state of both  ${}^3\text{He} - A$  and SRO, the form of  $F$  which seems to give best agreement with experiment for homogeneous case ( $F \neq F(R)$ ) is

$$F(\mathbf{r}; \sigma\sigma') = (|\uparrow\uparrow\rangle + e^{ix}|\downarrow\downarrow\rangle) \times f(\mathbf{r})$$

$$f(\mathbf{r}) = (\mathbf{x} + i\mathbf{y})\tilde{f}(|r|)$$

or in Fourier-transformed form for  $p \sim p_F$

$$F_p = \text{const.} (p_x + ip_y) \longleftarrow p + ip$$

This appears prima facie to correspond to **an angular momentum of  $\hbar$ /Cooper pair.**



However, to obtain the total angular momentum of the system we need the complete many-body wave function. What is this? For infinite system (**unrealistic**),  
 Standard answer: (**ignore spin degree of function and normalization**)

$$\Psi_N = \left( \sum_k C_k a_k^+ a_{-k}^+ \right)^{N/2} |vac\rangle. \quad \leftarrow N/2 \text{ pairs in vacuum}$$

$$C_k = \hat{c}(|k|) \times \exp i\varphi_k \quad (\equiv \hat{k}_x + i\hat{k}_y)$$

This corresponds to total a.m.  $N\hbar/2$ , i.e. angular momentum  $\hbar/2$  **per atom** (states of all  $k$  contribute, not just those within  $\sim\Delta$  of Fermi energy!)

In real life, need to consider system in finite container (e.g. long thin cylinder). What is  $\langle L \rangle$ ?

Theory: 45-year old chestnut! ( $o(N\hbar)$ ,  
 $(oN\hbar(\Delta/\epsilon_F)), o(N\hbar(\Delta/\epsilon_F)^2), 0 \dots$ )

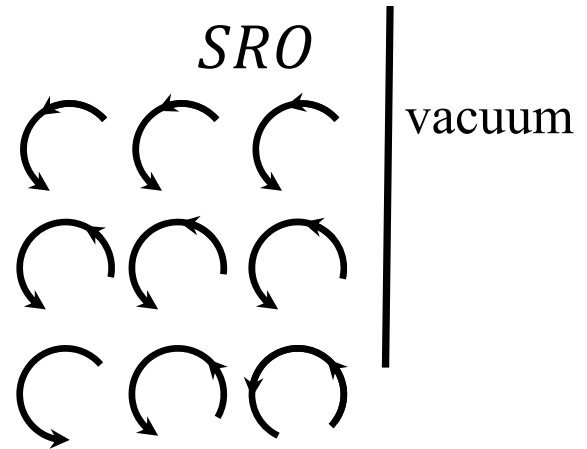
Majority opinion is probably  $\sim N\hbar$ .



## What about experiment?

As of now , no direct measurement of  $\langle L \rangle$  for either  ${}^3\text{He} - A$  or *SRO*.

However, a somewhat related phenomenon is **edge currents**: as in ferromagnet, lack of compensation near surface should lead to observable current



For  ${}^3\text{He} - A$  this would be a mass current  $\Rightarrow$  difficult to observe. But for *SRO*, it is an electric current and should produce an observable magnetic field  $H$  outside surface.

Matsumoto & Sigrist '99, and many subsequent authors: calculations based on BdG equations give  $H \sim$  a few  $G$ .

$\nwarrow$  Bogoliubov-de Gennes

Experiments (several groups): upper limit  $\sim 1 \text{ mG!} \Rightarrow$  serious problem for “standard” description.

One possible approach: The Cooper-pair wave function may not uniquely determine the many-body wave function!

e.g. to get  $F(k) \equiv \langle a_k^+ a_{-k}^+ \rangle \sim \text{const. exp } i\varphi_k$  for  $k \sim k_F$ , the “standard” ansatz

$$\Psi_N \sim \left( \sum_k c_k a_k^+ a_{-k}^+ \right)^{N/2} |vac\rangle, \quad c_k \sim e^{i\varphi_k}$$

**may not be unique.** Instead of creating  $N/2$  pairs on vacuum, how about **starting from normal Fermi sea** and kicking pairs from below Fermi surface to above over range  $\sim \Delta \ll \epsilon_F$ ?

This certainly gives same form of  $F(k)$  as standard approach, but gives  $\langle L \rangle \sim N\hbar(\Delta/E_F)^2 \ll O(N\hbar)$ .

The \$64K question: does it yield **same BdG equations as standard approach?**



More oddities of inhomogeneous  $^3\text{He-A/SRO}$ :

(3) Majorana fermions

SBU(1)S



In S state, introduce notion of **spontaneously broken U(1) symmetry**  
 $\Rightarrow$  particle number not conserved  $\Rightarrow$  (even-parity) GS of form

$$\Psi_{(\text{even})} = \sum_{N=\text{even}} C_N \Psi_N$$

$\Rightarrow$  quantities such as  $\langle \psi_\alpha(r) \psi_\beta(r) \rangle$  can legitimately be nonzero.

↙ Bogoliubov-de Gennes

Then, mean-field (BdG) Hamiltonian is schematically of form

$$\hat{H}_{mf} =$$

$$\sum_{\alpha\beta} \left\{ \underbrace{\int dr K_{\alpha\beta}(r) \psi_\alpha^\dagger(r) \psi_\beta(r) + \frac{1}{2} \iint dr dr' \Delta_{\alpha\beta}(\mathbf{r}, \mathbf{r}') \psi_\alpha^\dagger(r) \psi_\beta^\dagger(r') + HC}_{\text{bilinear in } \psi_\alpha(r), \psi_\alpha^\dagger(r):} \right\}$$

bilinear in  $\psi_\alpha(r), \psi_\alpha^\dagger(r)$ :

(with a term  $\mu\delta_{\alpha\beta}$  included in  $K_{\alpha\beta}(r)$  to fix average particle number  $\langle \hat{N} \rangle$ .)  $\hat{H}_{mf}$  does not conserve particle number, but does conserve particle number **parity**, so start from even-parity state.



Interesting problem is to find simplest fermionic (odd-parity) states (“Bogoliubov quasiparticles”). For this purpose write schematically (ignoring (real) spin degree of freedom)

$$\hat{\gamma}_i^\dagger = \int \{u_i(r)\hat{\psi}^\dagger(r) + v_i(r)\hat{\psi}(r)\} dr \quad \left( \equiv \begin{pmatrix} u(r) \\ v(r) \end{pmatrix} \right) \leftarrow \begin{array}{l} \text{“Nambu} \\ \text{spinor”} \end{array}$$

and determine the coefficients  $u_i(r), v_i(r)$  by solving the Bogoliubov-de Gennes equations

$$[\hat{H}_{mf}, \hat{\gamma}_i^\dagger] = E_i \hat{\gamma}_i^\dagger$$

so that

$$\hat{H}_{mf} = \sum_i E_i \gamma_i^\dagger \gamma_i + \text{const.}$$





(All this is standard textbook stuff...)

Note crucial point: In mean-field treatment, fermionic quasiparticles are quantum superpositions of particle and hole  $\Rightarrow$  do not correspond to definite particle number (justified by appeal to SBU(1)S). This “particle-hole mixing” is sometimes regarded as analogous to the mixing of different bands in an insulator by spin-orbit coupling. (hence, analogy: topological insulator  $\Leftrightarrow$  “topological superconductor”.)



## Majoranas – basic element in topological quantum computer?

Recap: fermionic (Bogoliubov) quasiparticles created by operators

$$\gamma_i^\dagger = \int dr \{u_i(r)\psi^\dagger(r) + v_i(r)\psi(r)\}$$

with the coefficients  $u_i(r), v_i(r)$  given by solution of the BdG equations

$$[\hat{H}_{mf}, \gamma_i^\dagger] = E_i \gamma_i$$

Question: Do there exist solutions of the BdG equations such that

$$\gamma_i^\dagger = \gamma_i \quad (\text{and thus } E_i = 0)?$$

This requires (at least)

1. Spin structure of  $u(r), v(r)$  the same  $\Rightarrow$  pairing of parallel spins (spinless or spin triplet, not BCS s-wave)
2.  $u(r) = v^*(r)$
3. “interesting” structure of  $\Delta_{\alpha\beta}(\mathbf{r}, \mathbf{r}') (\sim F(r, r', \sigma, \sigma'))$ ,  
e.g. “ $p + ip$ ” ( $\Delta(\mathbf{r}, \mathbf{r}') \equiv \Delta(\mathbf{R}, p) \sim \Delta(R)(p_x + ip_y)$ )



Case of particular interest: “half-quantum vortices” (HQV’s) in  $^3\text{He-A}$  or  $\text{Sr}_2\text{RuO}_4$  (widely believed to be  $(p + ip)$  superconductor). In this case a M.F. predicted to occur in (say)  $\uparrow\uparrow$  component, (which sustains vortex), not in  $\downarrow\downarrow$  (which does not). Note that vortices always come in pairs (or second MF solution exists on boundary). Also, surfaces of  $^3\text{He-B}$  in certain geometrics.

Why the special interest for topological quantum computing?

- (1) Because MF is exactly equal superposition of particle and hole, it should be **undetectable by any local probe**.
- (2) MF’s should behave under braiding as **Ising anyons**\*:  
if 2 HQV’s, each carrying a M.F., interchanged, phase of MBWF changed by  $\pi/2$  (note not  $\pi$  as for real fermions!)

So in principle<sup>‡</sup>:

- (1) create pairs of HQV’s with and without MF’s
- (2) braid adiabatically
- (3) recombine and “measure” result

↓

(partially) topologically protected quantum computer!

\* D. A. Ivanov, PRL **86**, 268 (2001)

‡ Stone & Chung, Phys. Rev. B **73**, 014505 (2006)



## Comments on Majorana fermions (within the standard “mean-field” approach)

(1) What **is** a M.F. anyway?

Recall: it has energy exactly zero, that is its creation operator  $\gamma_i^\dagger$  satisfies the equation

$$[H, \gamma_i^\dagger] = 0$$

But this equation has two possible interpretations:

- (a)  $\gamma_i^\dagger$  creates a fermionic quasiparticle with exactly zero energy (i.e. the odd- and even-number-parity GS's are exactly degenerate)
- (b)  $\gamma_i^\dagger$  **annihilates the (even-parity) groundstate** (“**pure annihilator**”)

However, it is easy to show that in neither case do we have  $\gamma_i^\dagger = \gamma_i$ . To get this we must superpose the cases (a) and (b), i.e.

**a Majorana fermion is simply a quantum superposition of a real Bogoliubov quasiparticle and a pure annihilator.**



But Majorana solutions always come in pairs  $\Rightarrow$  by superposing two MF's we can make a **real zero-energy fermionic quasiparticle**



Bog. qp.  $\longrightarrow$   $\alpha^\dagger \equiv \gamma_1^\dagger + i\gamma_2^\dagger$

The curious point: the extra fermion is “split” between two regions which may be **arbitrarily far apart!** (hence, usefulness for TQC)

Thus, e.g. interchange of 2 vortices each carrying an MF  $\sim$  rotation of zero-energy fermion by  $\pi$ . (note predicted behavior (phase change of  $\pi/2$ ) is “average” of usual symmetric (0) and antisymmetric ( $\pi$ ) states)

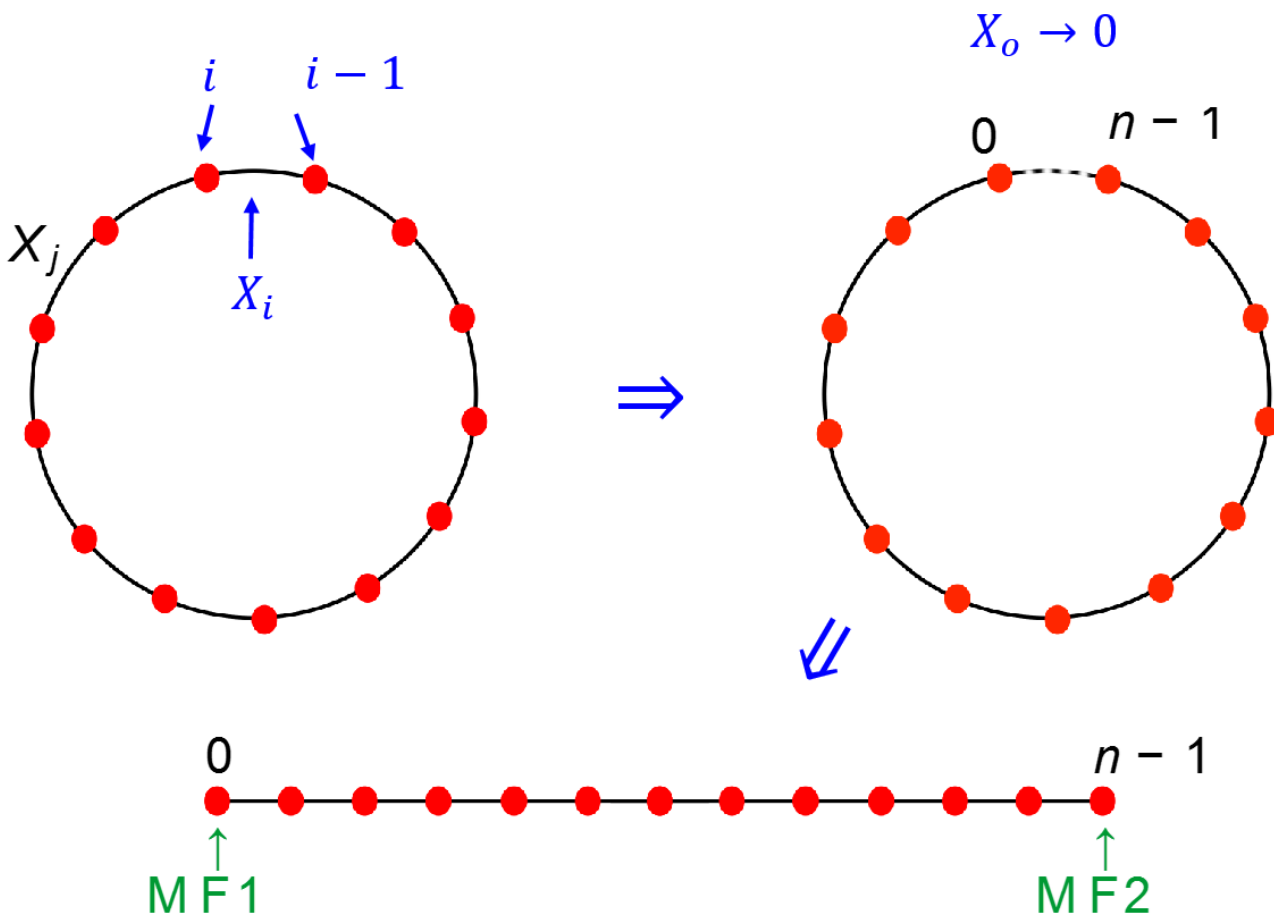
An intuitive way of generating MF's in the KQW:

Kitaev quantum wire 

For this problem, fermionic excitations have form

$$\alpha_i^\dagger = (a_i^\dagger + ia_i) + (a_{i-1}^\dagger + ia_{i-1})$$

so localized on links not sites. Energy for link  $(i, i - 1)$  is  $X_i$



So far, circumstantial experimental evidence for MF's in  $^3\text{He-B}$ : none in  $^3\text{He-A}$  or SRO. (Rather stronger evidence in artificial systems, e.g. InAs nanowire on Pb.)

## Majorana fermions: beyond the mean-field approach

Problem: The whole apparatus of mean-field theory rests fundamentally on the notion of SBU(1)S ← spontaneously broken U(1) gauge symmetry:

$$\Psi_{\text{even}} \sim \sum_{\substack{N= \\ \text{even}}} C_N \Psi_N \quad (C_N \sim |C_N| e^{iN\varphi})$$

$$\Psi_{\text{odd}}^{(c)} \sim \int dr \{u(r)\hat{\psi}^\dagger(r) + v(r)\hat{\psi}(r)\} |\Psi_{\text{even}}\rangle \quad (\equiv \hat{\gamma}_i^\dagger |\Psi_{\text{even}}\rangle)^*$$

But in real life condensed-matter physics,

**SB U(1)S IS A MYTH!!**

This doesn't matter for the even-parity GS, because of "Anderson trick":

$$\Psi_{2N} \sim \int \Psi_{\text{even}}(\varphi) \exp -iN\varphi d\varphi$$

But for odd-parity states equation ( \* ) is fatal! Examples:

(1) Galilean invariance

(2) NMR of surface MF in  $^3\text{He-B}$



We must replace ( \* ) by

$$\hat{\gamma}_i^\dagger = \int dr \{u(r)\hat{\psi}^\dagger(r) + v(r)\hat{\psi}c^\dagger\}$$

creates extra Cooper pair  
↓

This doesn't matter, so long as Cooper pairs have no “interesting” properties (momentum, angular momentum, partial localization...)

But to generate MF's, pairs **must** have “interesting” properties!

⇒ doesn't change arguments about existence of MF's, but **completely changes arguments** about their braiding, undetectability etc.

May need completely new approach!

