

Liquid Helium-3 and Its Metallic Cousins

Exotic Pairing and Exotic Excitations

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Physics Colloquium:
Ralph O. Simmons Distinguished Lecture
April 25, 2018



Helium – the simplest element

(electronically completely inert)

^3He – arguably the simplest isotope of helium (does not even form diatomic molecules in free space!) (but does have nuclear spin $\frac{1}{2}$)

And yet.... probably more different uses than any other isotope in periodic table!

e.g. gas phase: lung NMR imaging, particle detectors, ...

solid phase: thermal vacancies, Pomeranchuk cooling.

nuclear magnetic phase transition...

liquid phase: this talk!

realized in bulk since ~1950 (68 years)

since 1972, **superfluid** (46 years)

This talk:

1. brief reminders re Cooper pairing in (classic) superconductors (BCS theory)
2. Cooper pairing in superfluid ^3He
3. Some idiosyncrasies of uniform superfluid ^3He : superfluid amplification (mixture of old and new)
4. A metallic cousin of superfluid ^3He (SRO)
5. Some idiosyncracies of inhomogeneous ^3He /SRO



Electrons in Metals (BCS):

Fermions of spin $1/2$, $T_F \sim 10^4 K$, $T_c \sim 10K \Rightarrow T_c / T_F \sim 10^{-3}$

\Rightarrow strongly degenerate at onset of superconductivity

Normal state: in principle described by Landau Fermi-liquid theory, but “Fermi-liquid” effects often small and generally very difficult to see.

BCS: model normal state as **weakly interacting gas with weak “fixed” attractive interaction**

Superconducting state: Cooper pairs form, i.e. :

2-particle density matrix has **single macroscopic ($\sim N$) eigenvalue**, with associated eigenfunction

$$F(\underbrace{r_1 r_2 \sigma_1 \sigma_2}_{\text{relative}}) \equiv F(\underbrace{\mathbf{R} : r \sigma_1 \sigma_2}_{\text{COM}})$$

“wave function of Cooper pairs”

$$\left(\equiv \langle \psi^\dagger (\mathbf{R} + \mathbf{r} / 2 : \sigma) \psi^\dagger (\mathbf{R} - \mathbf{r} / 2 : \sigma') \rangle \right)$$

in words: a sort of “Bose condensation of diatomic (quasi-) molecules” = a macroscopic number of **pairs** of atoms are **all doing the same thing at the same time** (“superfluid amplification”)





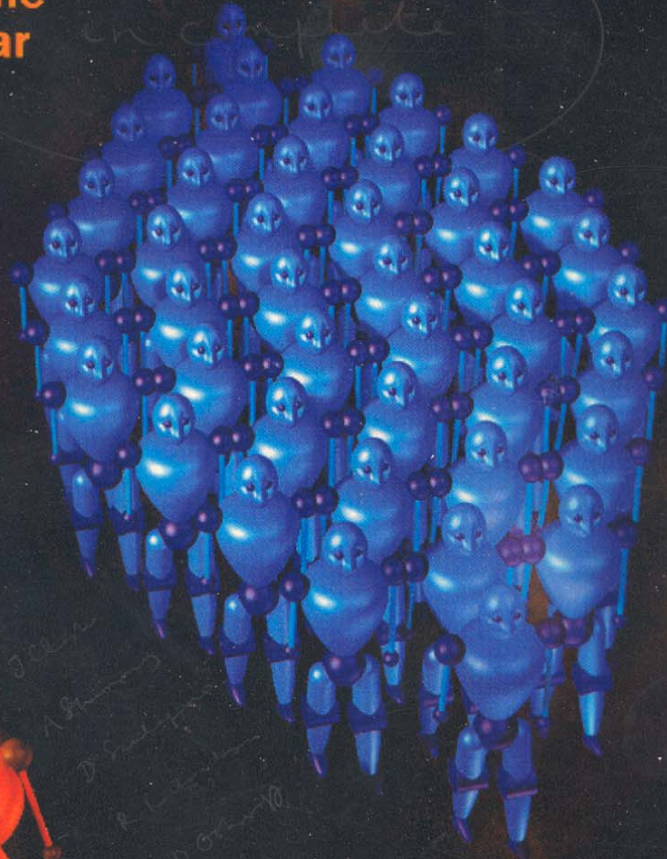
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22 DECEMBER 1995
VOL. 270 • PAGES 1893-2064

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Molecule
of the
Year



the
Bose-Einstein
Condensate

STRUCTURE OF COOPER-PAIR WAVE FUNCTION

(in original BCS theory of superconductivity, for fixed \mathbf{R} , σ_1 , σ_2)

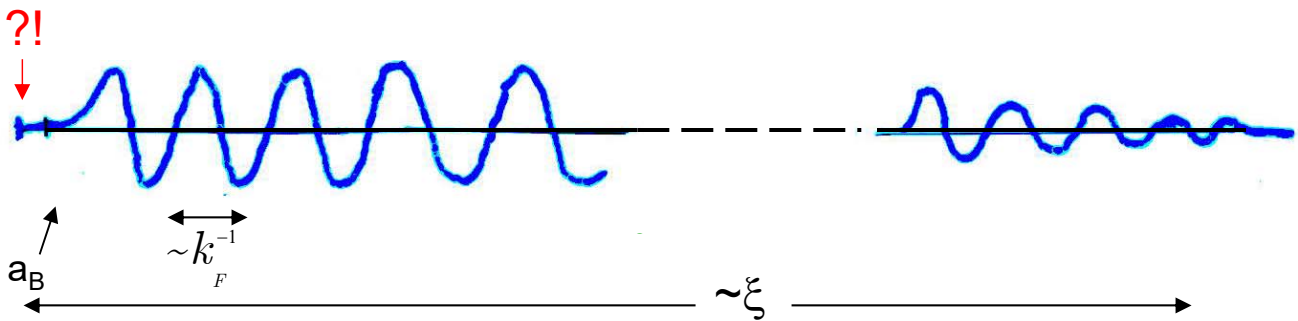
$$F(\mathbf{r}) = F(|\mathbf{r}|) = \Delta \Omega^{-1/2} \sum_{\mathbf{k}} \left(2E_{\mathbf{k}} \right)^{-1} \exp i\mathbf{k} \cdot \mathbf{r}$$

Energy gap Δ vol. $\Omega^{-1/2}$ $\left(\epsilon_{\mathbf{k}}^2 + |\Delta|^2 \right)^{1/2}$ KE relative to Fermi surface

$$\cong \text{const.} \left(N\Delta / E_F \Omega^{1/2} \right) \frac{\sin k_F r}{k_F r} \exp -r / \xi$$

pair bound ξ

$$\xi = \text{"pair radius"} \sim \hbar v_F / \Delta (\sim 10^4 \text{ \AA})$$



“Number of Cooper pairs” (N_0) = normalization of $F(\mathbf{r})$

$$\equiv \int |F(\mathbf{r})|^2 d\mathbf{r} \sim \frac{N^2}{\Omega} \frac{\Delta^2}{E_F^2} \frac{1}{k_F^2} \xi \sim N \left(\Delta / E_F \right) \sim 10^{-4} N$$

(cf: $N_0 / N \sim 10\%$ in ${}^4\text{He}$)

In original BCS theory of superconductivity,

$$F(\mathbf{r} : \sigma_1 \sigma_2) = \frac{1}{\sqrt{2}} \left(\begin{array}{cc} \uparrow_1 & \downarrow_2 \\ -\downarrow_1 & \uparrow_2 \end{array} \right) F(|\mathbf{r}|)$$

↑
spin singlet
↖
orbital s-wave

⇒ PAIRS HAVE NO “ORIENTATIONAL”
DEGREES OF FREEDOM

(⇒ stability of supercurrents, etc.)

THE FIRST ANISOTROPIC COOPER-PAIRED SYSTEM: SUPERFLUID ^3He

also fermions of spin $\frac{1}{2}$ $T_F \sim 1\text{K}$, $T_c \sim 10^{-3}\text{K} \Rightarrow T_C / T_F \sim 10^{-3}$

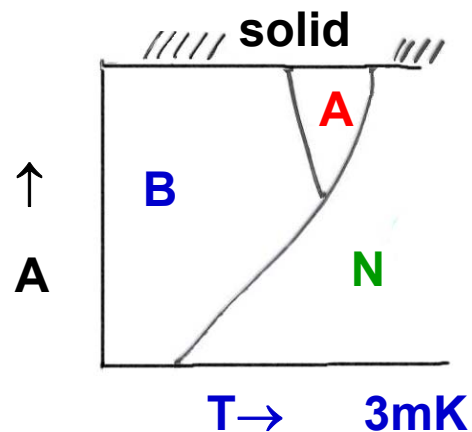
\Rightarrow again, strongly degenerate at onset of superfluidity

\Rightarrow low-lying states (inc. effects of pairing) must be described in terms of **Landau quasiparticles**.

2-PARTICLE DENSITY MATRIX ρ_2
still has one and only one macroscopic
($\sim N$) eigenvalue

\Rightarrow can still define “pair wave
function” $F(\mathbf{R}, \mathbf{r}; \sigma_1 \sigma_2)$

However, even when $F \neq F(\mathbf{R})$,



$F(\mathbf{r}\sigma_2\sigma_2)$ HAS ORIENTATIONAL DEGREES OF FREEDOM!

(i.e. depends nontrivially on $\hat{\mathbf{r}}, \sigma_1 \sigma_2$.)

Standard identifications (from spin susceptibility, ultrasound absorption, NMR... plus theory):

In both A and B phases, Cooper pairs have $\ell = S = 1$



A phase (“ABM”)

$$F(\mathbf{r}; \sigma_1 \sigma_2) = \frac{1}{\sqrt{2}} \left(\uparrow_1 \downarrow_x + \downarrow_1 \uparrow_2 \right)_{\hat{\mathbf{d}}} \times f(\mathbf{r})$$

Spin triplet

char. “spin axis”

or with different choice of axes.

$$F(\mathbf{r}; \sigma_1 \sigma_2) = \frac{1}{\sqrt{2}} \left(\uparrow_1 \uparrow_x + e^{i\chi} \downarrow_1 \downarrow_2 \right) \times f(\mathbf{r})$$

$$f(\mathbf{r}) = f_o(|\mathbf{r}|) \times (\sin \theta \cdot \exp i\varphi)_{\hat{\ell}}$$

violates both P and T!

char. “orbital axis”

apparent angular momentum \hbar /pair

Properties anisotropic in orbital and spin space separately,

$$\text{e.g. } |\Delta_K| = \left| \Delta(\hat{k}) \right| = \Delta_o \left| \hat{k} \times \hat{\ell} \right| \Leftarrow \text{nodes at } \pm \hat{\ell}!$$



B phase (“BW”)

For any particular direction \hat{n} (in real or \mathbf{k} -space) can always choose spin axis s.t.

$$F(\hat{n} : \sigma_1 \sigma_2) \sim \frac{1}{\sqrt{2}} (\uparrow_1 \downarrow_2 + \downarrow_1 \uparrow_2) \hat{d}$$

i.e. $\hat{d} = \hat{d}(\hat{n})$:

Original “theoretical” state had $\hat{d}(\hat{n}) = \hat{n}$, i.e. spin of every pair opposite to orbital angular momentum (3P_0 state).

Real-life B phase is 3P_0 state “spin-orbit rotated” by 104° .

$L=S=J=0$ because of dipole force $\cos^{-1}(-1/4) = \theta_0$

Note: rotation (around axis $\hat{\omega}$) breaks P but not T

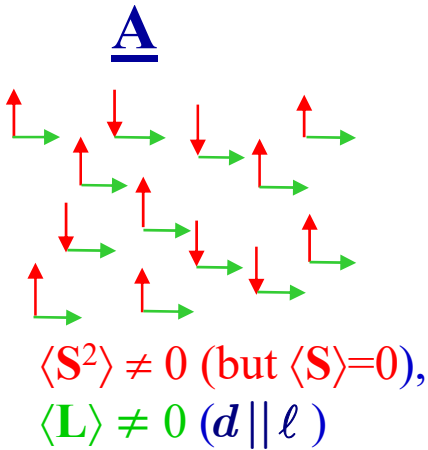
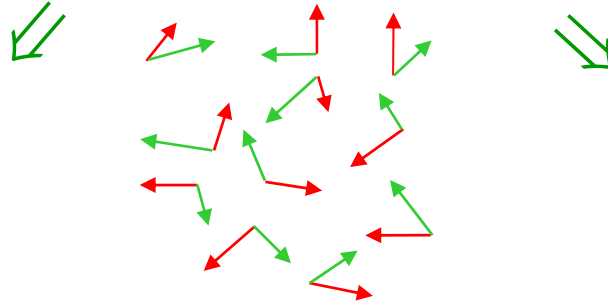
\parallel extl field H_0 inversion time reversal

Orbital and spin behavior individually isotropic, but: properties involving spin-orbit **correlations** anisotropic!



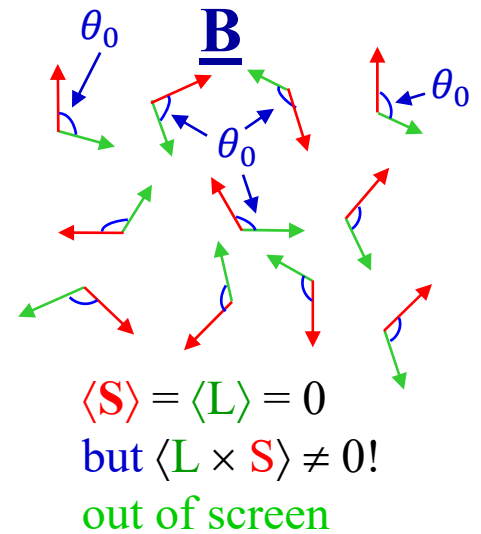
SPIN-ORBIT : ORDERING MAY BE SUBTLE

NORMAL PHASE



\Leftarrow ORDERED PHASE \Rightarrow

↗ = total spin of pair
 ↘ = relative orbital
 ang. momentum

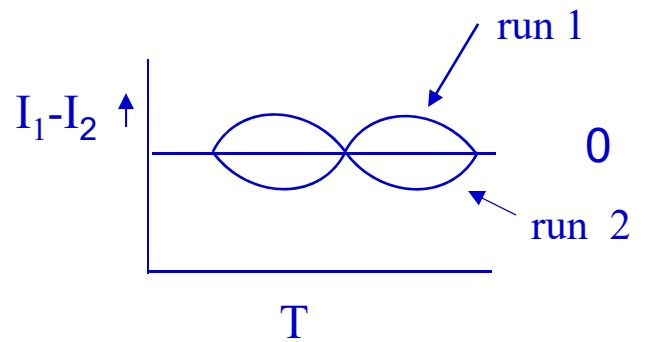
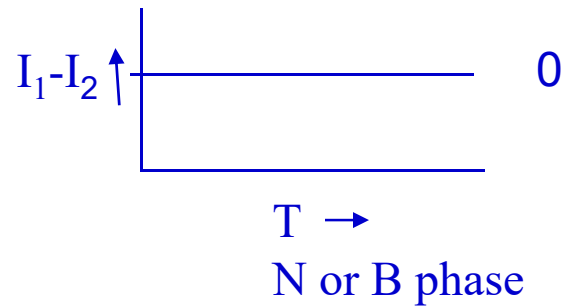
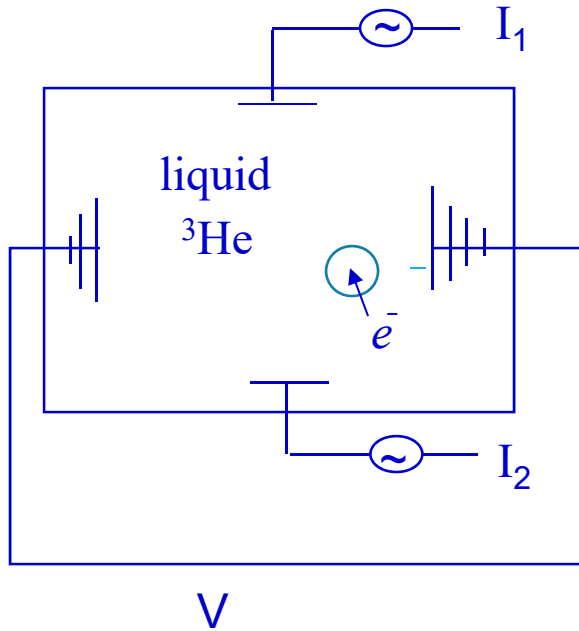


Dipole energy depends on relative angle of ↗ and ↘ \Rightarrow determines $\hat{d} \cdot \hat{\ell}$ (A phase) or θ_o (B phase)

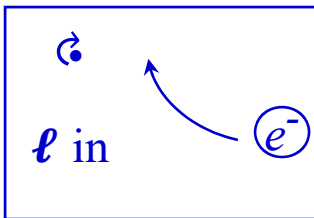
How to “see” the exotic nature of the pairing?

Example*: Spontaneous violation of P- and T-symmetry in A phase

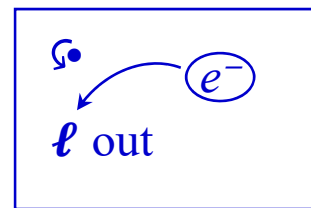
$$\left(f(r) = (\sin \theta e^{i\varphi}) \hat{\ell} \right)$$



Intrinsic Magnus force:



(run 1)



(run 2)

(Somewhat) unexpected effect: magnetic field can orient ℓ – vector “in” or “out”!

indicates coupling of ℓ to field, i.e. ^3He is **weakly ferromagnetic**, with magnetic moment along $(\pm) \ell$.

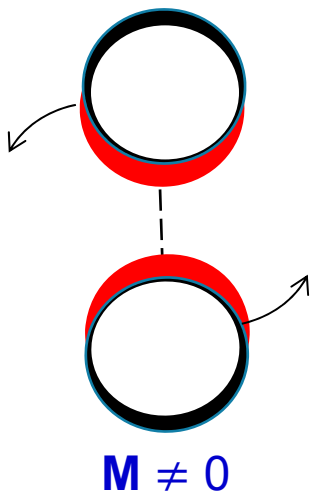
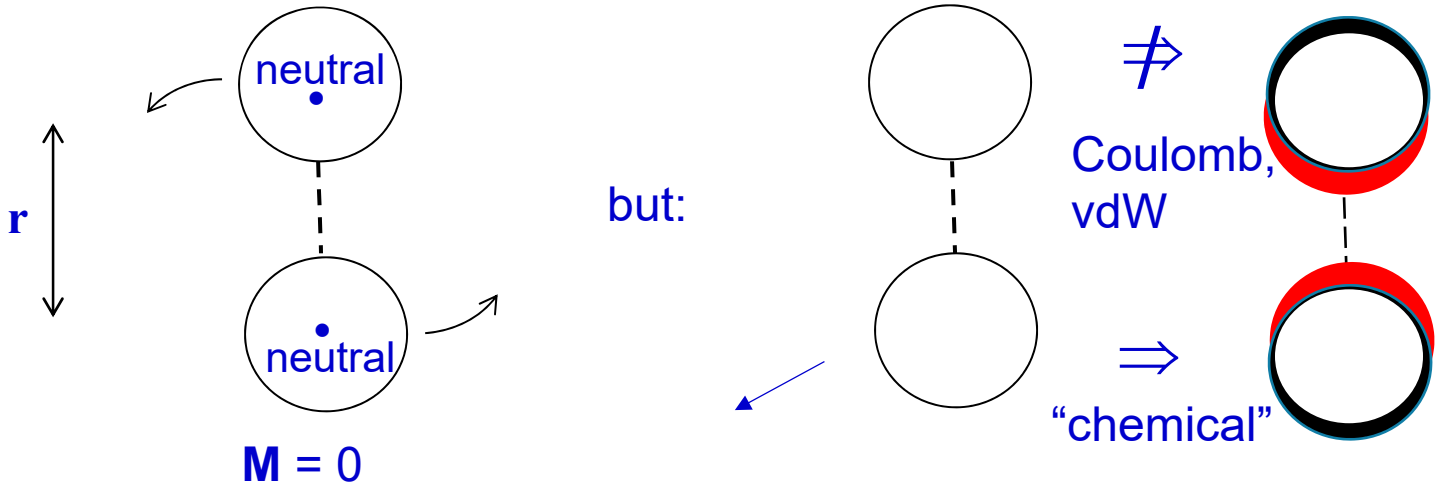
But.... ^3He atoms are neutral! How can this be?

*H. Ikegami et al., Science **341**, 59 (2013)



Weak ferromagnetism in $^3\text{He} - A^*$

Known effect in chemical physics[†]: rotation even of **homonuclear** diatomic molecule gives rise to magnetic moment!



Even in covalent homonuclear diatomic molecules, (e.g. $^{12}\text{C}_2$) very tiny effect, moreover falls off exponentially with r :

$$\mu = \mu(r), \mu_{mol} = \int r^2 P(r) \mu(r) dr$$

distribution of radial prob.

In free space, 2 ^3He atoms do not even form a bound state! For Cooper pair, vast bulk of

$$P(r) \equiv |F(r)|^2 \text{ lies at } r \gg a_0, k_F^{-1}$$

Hence, for single Cooper pair calculate $\mu_{CP} \sim 10^{-11} \mu_B$. (almost certainly immeasurably small). Certainly, in N phase completely unobservable.

What saves us is the **principle of superfluid amplification** – all Cooper pairs do same thing at same time! As a result, estimate effective equivalent field $H_{eq} = n_{cp} \mu_{CB} / \chi \sim 10 - 20 mG$. Paulson et al. find circumstantial evidence for spontaneous field of just this o. of m.



*AJL, Nature **270**, 585 (1977); Paulson & Wheatley, PRL **40**, 557 (1978)

†GC Wick, Phys. Rev **73**, 51 (1948)

More spectacular (but less direct) example of superfluid amplification: NMR

Recall: dipole energy depends on angle between \uparrow and \uparrow

$$\frac{d\mathbf{S}}{dt} = \mathbf{S} \times \mathbf{H}_0 + \frac{\delta E_D}{\delta \theta}$$

↙ dipole energy
↗ \angle of rotation about rf field direction $\hat{\mathcal{H}}_{rf}$

$\uparrow \mathcal{H}_{rf} \text{ (long) (L)}$
 $\rightarrow \mathcal{H}_{rf} \text{ (transverse) (T)}$

$\uparrow \mathbf{H}_0, \hat{\omega}$

For A phase, dipole energy locks $\mathbf{d} \parallel \ell$ in equilibrium, and usually $\mathbf{d} \perp \mathbf{H}_0 \Rightarrow$ both T and L fields move \mathbf{d} away from $\ell \Rightarrow$ T frequency shift + L resonance (\checkmark)

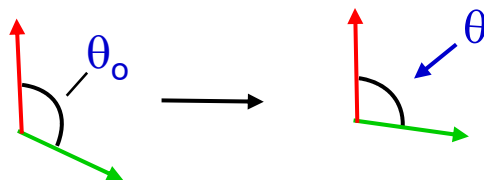


For B phase:

in transverse resonance, rotation around $\hat{\mathcal{H}}_{rf}$ equiv. rotation of $\hat{\omega}$ with θ_0 unchanged \Rightarrow no dipole torque, \Rightarrow no resonance shift. (\checkmark)



In **longitudinal** resonance, rotation changes θ away from θ_0



\Rightarrow finite-frequency resonance! (\checkmark)



One more proposed* (but so far unrealized!) example of superfluid amplification:

P-(but not T-) violating effects of neutral current part of weak interaction:

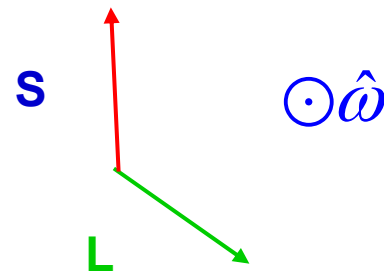
For single elementary particle, by Wigner-Eckart theorem, any EDM d must be of form

$$d = \text{const. } \mathbf{J} \quad \leftarrow \text{violates T as well as P.}$$

But for ${}^3\text{He} - \text{B}$, can form

$$d \sim \text{const. } \mathbf{L} \times \mathbf{S} \sim \text{const. } \hat{\omega}$$

\uparrow
 violates P but not T.



Calculation involves factors similar to that of A-phase ferromagnetism (lots of somewhat exotic chemical physics!):

Effect is tiny for single pair, but since all pairs have same value of $\mathbf{L} \times \mathbf{S}$, is multiplied by factor of $\sim 10^{23} \Rightarrow$

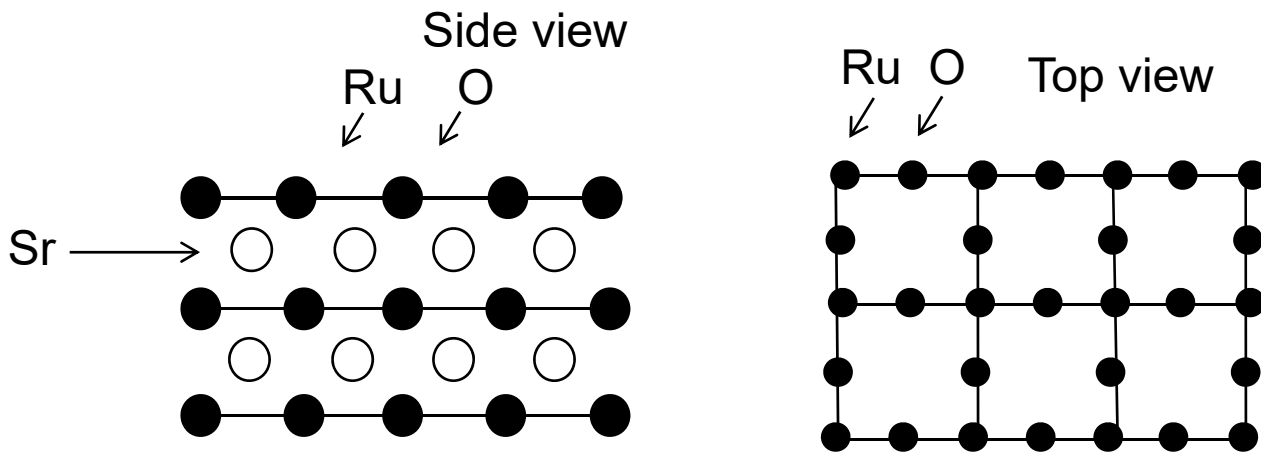
macroscopic P-violating effect?

(maybe in 10-20 years...)

I *AJL, PRL **39**, 587 (1977)

A putative metallic cousin of ${}^3\text{He-A}$: Sr_2RuO_4
 (single layer strontium ruthenate, “SRO”)

-Strongly layered material, structure similar to cuprates with RuO_2 planes replacing CuO_2 .



-Normal state fairly conventional (unlike cuprates)
 -Superconducting at $\sim 1.5 \text{ K}$, strongly type - II.

The \$64K question:

What is symmetry of Cooper pairs in S state?

-lots of (partially mutually inconsistent) experimental information (SP. HT., ARPES, μ SR, Josephson...), but most plausible conclusion* is

Spin triplet, $p_x + ip_y$

If so, then prima facie analogous to A phase of superfluid ^3He , but important differences:

(1) charged system

(2) both $\hat{\mathbf{d}}$ and $\hat{\ell}$ vectors can be pinned by lattice.

Nevertheless, some important issues arising in $^3\text{He-A}$ have analogs in Sr_2RuO_4 which can be more easily addressed experimentally there. This mostly refers to inhomogeneous phenomena . . .

* C. Kallin, Repts. Prog. Phys. **75**, 042501(2012)



Some (nearly) unique features of spatially inhomogeneous $^3\text{He-A}$ / SRO

Recall: pair wave function is spin triplet, so a more general form is

$$f_{\uparrow\uparrow}(\mathbf{r})|\uparrow\uparrow\rangle + f_{\downarrow\downarrow}(\mathbf{r})|\downarrow\downarrow\rangle$$

Ordinary vortices $f_{\uparrow\uparrow}(\mathbf{r}) \sim f_{\downarrow\downarrow}(\mathbf{r}) \sim (x+iy)$ well known to occur in both $^3\text{He-A}$ and SRO (extreme type-II)

But can also contemplate half-quantum vortex

$$f_{\uparrow\uparrow}(\mathbf{r}) \sim (x+iy), f_{\downarrow\downarrow}(\mathbf{r}) = \text{const.}, \text{ i.e. vortex in } \uparrow \text{ spins, none in } \downarrow$$

HQV's $f_{\uparrow\uparrow}$ should be stable in $^3\text{He-A}$ under appropriate conditions

(e.g. annular geom., rotation at $\omega \sim \omega_c / 2$, $\omega_c \equiv \hbar / 2mR^2$)

sought but not found: (in bulk: some recent evidence for ^3He in aerogel)

Ideally, would like 2D superconductor with pairing in triplet state. Does such exist? Well, hopefully SRO...

does not need to be p+ip)

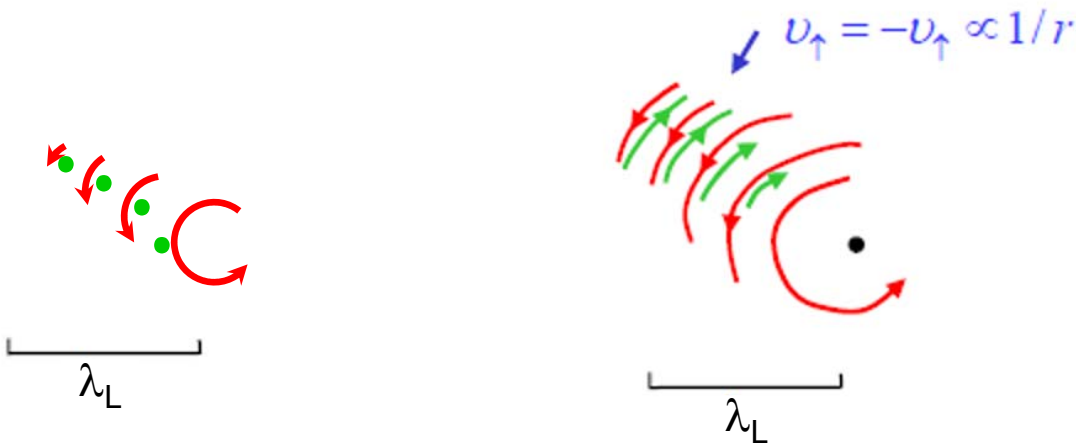


Can we generate HQV's in Sr_2RuO_4 ?

Problem:

in neutral system, both ordinary and HQ vortices have $1/r$ flow at $\infty \Rightarrow$ HQV's not specially disadvantaged. But in charged system (metallic superconductor), ordinary vortices have flow completely screened out for $r \gtrsim \lambda_L$ by Meisner effect. For HQV's, this **is not true**:

London penetration depth



So HQV's intrinsically disadvantaged in Sr_2RuO_4 .

Nevertheless Jang et al. (Budakian group, UIUC 2012) find strong evidence for **single** HQV's!

Why not found in $^3\text{He-A}$?



More unique features of inhomogeneous $^3\text{He-A}$ and SRO:
 (2) ang. momentum and surface currents.

Recall: almost all experimental properties of a degenerate Fermi system, either N or S , are determined by the states near the Fermi surface. In particular, in the S state they are determined by the form of the Cooper pair wave function

$$F(\mathbf{R}; \mathbf{r}, \sigma, \sigma') \left(\equiv \left\langle \psi^+ \left(\mathbf{R} + \frac{\mathbf{r}}{2}, \sigma \right) \psi^+ \left(\mathbf{R} - \frac{\mathbf{r}}{2}, \sigma' \right) \right\rangle \right)$$

For the S state of both $^3\text{He} - A$ and SRO, the form of F which seems to give best agreement with experiment for homogeneous case ($F \neq F(R)$) is

$$F(\mathbf{r}; \sigma\sigma') = (|\uparrow\uparrow\rangle + e^{ix}|\downarrow\downarrow\rangle) \times f(\mathbf{r})$$

$$f(\mathbf{r}) = (\mathbf{x} + i\mathbf{y})\tilde{f}(|r|)$$

or in Fourier-transformed form for $p \sim p_F$

$$F_p = \text{const.} (p_x + ip_y) \longleftarrow p + ip$$

This appears prima facie to correspond to **an angular momentum of \hbar /Cooper pair.**



However, to obtain the total angular momentum of the system we need the complete many-body wave function. What is this? For infinite system (**unrealistic**),

Standard answer: (**ignore spin degree of function and normalization**)

$$\Psi_N = \left(\sum_k C_k a_k^+ a_{-k}^+ \right)^{N/2} |vac\rangle. \quad \leftarrow N/2 \text{ pairs in vacuum}$$

$$C_k = \hat{c}(|k|) \times \exp i\varphi_k \quad (\equiv \hat{k}_x + i\hat{k}_y)$$

This corresponds to total a.m. $N\hbar/2$, i.e. angular momentum $\hbar/2$ **per atom** (states of all k contribute, not just those within $\sim\Delta$ of Fermi energy!)

In real life, need to consider system in finite container (e.g. long thin cylinder. What is $\langle L \rangle$?

Theory: 45-year old chestnut!

$$(o(N\hbar), (oN\hbar(\Delta/\epsilon_F)), o(N\hbar(\Delta/\epsilon_F)^2), 0 \dots)$$

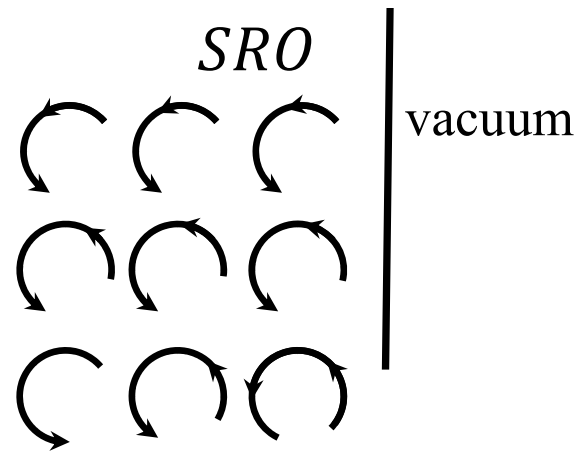
Majority opinion is probably $\sim N\hbar$.



What about experiment?

As of now , no direct measurement of $\langle L \rangle$ for either ${}^3\text{He} - A$ or SRO .

However, a somewhat related phenomenon is **edge currents**: as in ferromagnet, lack of compensation near surface should lead to observable current



For ${}^3\text{He} - A$ this would be a mass current \Rightarrow difficult to observe. But for SRO , it is an electric current and should produce an observable magnetic field H outside surface.

Matsumoto & Sigrist '99, and many subsequent authors: calculations based on BdG equations give $H \sim$ a few G .

\nwarrow Bogoliubov-de Gennes

Experiments (several groups): upper limit ~ 1 mG! \Rightarrow serious problem for “standard” description.

One possible approach: The Cooper-pair wave function may not uniquely determine the many-body wave function!

e.g. to get $F(k) \equiv \langle a_k^+ a_{-k}^+ \rangle \sim \text{const. exp } i\varphi_k$ for $k \sim k_F$, the “standard” ansatz

$$\Psi_N \sim \left(\sum_k c_k a_k^+ a_{-k}^+ \right)^{N/2} |vac\rangle, \quad c_k \sim e^{i\varphi_k}$$

may not be unique. Instead of creating $N/2$ pairs on vacuum, how about **starting from normal Fermi sea** and kicking pairs from below Fermi surface to above over range $\sim \Delta \ll \epsilon_F$?

This certainly gives same form of $F(k)$ as standard approach, but gives $\langle L \rangle \sim N\hbar(\Delta/E_F)^2 \ll O(N\hbar)$.

The \$64K question: does it yield **same BdG equations as standard approach?**



More oddities of inhomogeneous $^3\text{He-A/SRO}$:

(3) Majorana fermions

SBU(1)S



In S state, introduce notion of **spontaneously broken U(1) symmetry**
 \Rightarrow particle number not conserved \Rightarrow (even-parity) GS of form

$$\Psi_{(\text{even})} = \sum_{\substack{N \\ =\text{even}}} C_N \Psi_N$$

\Rightarrow quantities such as $\langle \psi_\alpha(r) \psi_\beta(r) \rangle$ can legitimately be nonzero.

↙ Bogoliubov-de Gennes

Then, mean-field (BdG) Hamiltonian is schematically of form

$$\hat{H}_{mf} =$$

$$\sum_{\alpha\beta} \left\{ \underbrace{\int dr K_{\alpha\beta}(r) \psi_\alpha^\dagger(r) \psi_\beta(r) + \frac{1}{2} \iint dr dr' \Delta_{\alpha\beta}(\mathbf{r}, \mathbf{r}') \psi_\alpha^\dagger(r) \psi_\beta^\dagger(r') + HC}_{\text{bilinear in } \psi_\alpha(r), \psi_\alpha^\dagger(r):} \right\}$$

bilinear in $\psi_\alpha(r), \psi_\alpha^\dagger(r)$:

(with a term $\mu\delta_{\alpha\beta}$ included in $K_{\alpha\beta}(r)$ to fix average particle number $\langle \hat{N} \rangle$.) \hat{H}_{mf} does not conserve particle number, but does conserve particle number **parity**, so start from even-parity state.



Interesting problem is to find simplest fermionic (odd-parity) states (“Bogoliubov quasiparticles”). For this purpose write schematically (ignoring (real) spin degree of freedom)

$$\hat{\gamma}_i^\dagger = \int \{u_i(r)\hat{\psi}^\dagger(r) + v_i(r)\hat{\psi}(r)\} dr \quad \left(\equiv \begin{pmatrix} u(r) \\ v(r) \end{pmatrix} \right) \leftarrow \begin{array}{l} \text{“Nambu} \\ \text{spinor”} \end{array}$$

and determine the coefficients $u_i(r), v_i(r)$ by solving the Bogoliubov-de Gennes equations

$$[\hat{H}_{mf}, \hat{\gamma}_i^\dagger] = E_i \hat{\gamma}_i^\dagger$$

so that

$$\hat{H}_{mf} = \sum_i E_i \gamma_i^\dagger \gamma_i + \text{const.}$$



(All this is standard textbook stuff...)

Note crucial point: In mean-field treatment, fermionic quasiparticles are quantum superpositions of particle and hole \Rightarrow do not correspond to definite particle number (justified by appeal to SBU(1)S). This “particle-hole mixing” is sometimes regarded as analogous to the mixing of different bands in an insulator by spin-orbit coupling. (hence, analogy “topological insulator” \Leftrightarrow topological superconductor.)



Majoranas – basic element in topological quantum computer?

Recap: fermionic (Bogoliubov) quasiparticles created by operators

$$\gamma_i^\dagger = \int dr \{u_i(r)\psi^\dagger(r) + v_i(r)\psi(r)\}$$

with the coefficients $u_i(r), v_i(r)$ given by solution of the BdG equations

$$[\hat{H}_{mf}, \gamma_i^\dagger] = E_i \gamma_i$$

Question: Do there exist solutions of the BdG equations such that

$$\gamma_i^\dagger = \gamma_i \quad (\text{and thus } E_i = 0)?$$

This requires (at least)

1. Spin structure of $u(r), v(r)$ the same \Rightarrow pairing of parallel spins (spinless or spin triplet, not BCS s-wave)
2. $u(r) = v^*(r)$
3. “interesting” structure of $\Delta_{\alpha\beta}(\mathbf{r}, \mathbf{r}') (\sim F(r, r', \sigma, \sigma'))$,
e.g. “ $p + ip$ ” ($\Delta(\mathbf{r}, \mathbf{r}') \equiv \Delta(\mathbf{R}, p) \sim \Delta(R)(p_x + ip_y)$)



Case of particular interest: “half-quantum vortices” (HQV’s) in $^3\text{He-A}$ or Sr_2RuO_4 (widely believed to be $(p + ip)$ superconductor). In this case a M.F. predicted to occur in (say) $\uparrow\uparrow$ component, (which sustains vortex), not in $\downarrow\downarrow$ (which does not). Note that vortices always come in pairs (or second MF solution exists on boundary). Also, surfaces of $^3\text{He-B}$ in certain geometrics.

Why the special interest for topological quantum computing?

- (1) Because MF is exactly equal superposition of particle and hole, it should be **undetectable by any local probe**.
- (2) MF’s should behave under braiding as **Ising anyons***:
if 2 HQV’s, each carrying a M.F., interchanged, phase of MBWF changed by $\pi/2$ (note not π as for real fermions!)

So in principle[‡]:

- (1) create pairs of HQV’s with and without MF’s
- (2) braid adiabatically
- (3) recombine and “measure” result

↓

(partially) topologically protected quantum computer!

* D. A. Ivanov, PRL **86**, 268 (2001)

‡ Stone & Chung, Phys. Rev. B **73**, 014505 (2006)



Comments on Majorana fermions (within the standard “mean-field” approach)

(1) What **is** a M.F. anyway?

Recall: it has energy exactly zero, that is its creation operator γ_i^\dagger satisfies the equation

$$[H, \gamma_i^\dagger] = 0$$

But this equation has two possible interpretations:

- (a) γ_i^\dagger creates a fermionic quasiparticle with exactly zero energy (i.e. the odd- and even-number-parity GS's are exactly degenerate)
- (b) γ_i^\dagger **annihilates the (even-parity) groundstate** (“**pure annihilator**”)

However, it is easy to show that in neither case do we have $\gamma_i^\dagger = \gamma_i$. To get this we must superpose the cases (a) and (b), i.e.

a Majorana fermion is simply a quantum superposition of a real Bogoliubov quasiparticle and a pure annihilator.



But Majorana solutions always come in pairs \Rightarrow by superposing two MF's we can make a **real zero-energy fermionic quasiparticle**



Bog. qp. \longrightarrow $\alpha^\dagger \equiv \gamma_1^\dagger + i\gamma_2^\dagger$

The curious point: the extra fermion is “split” between two regions which may be **arbitrarily far apart!** (hence, usefulness for TQC)

Thus, e.g. interchange of 2 vortices each carrying an MF \sim rotation of zero-energy fermion by π . (note predicted behavior (phase change of $\pi/2$) is “average” of usual symmetric (0) and antisymmetric (π) states)

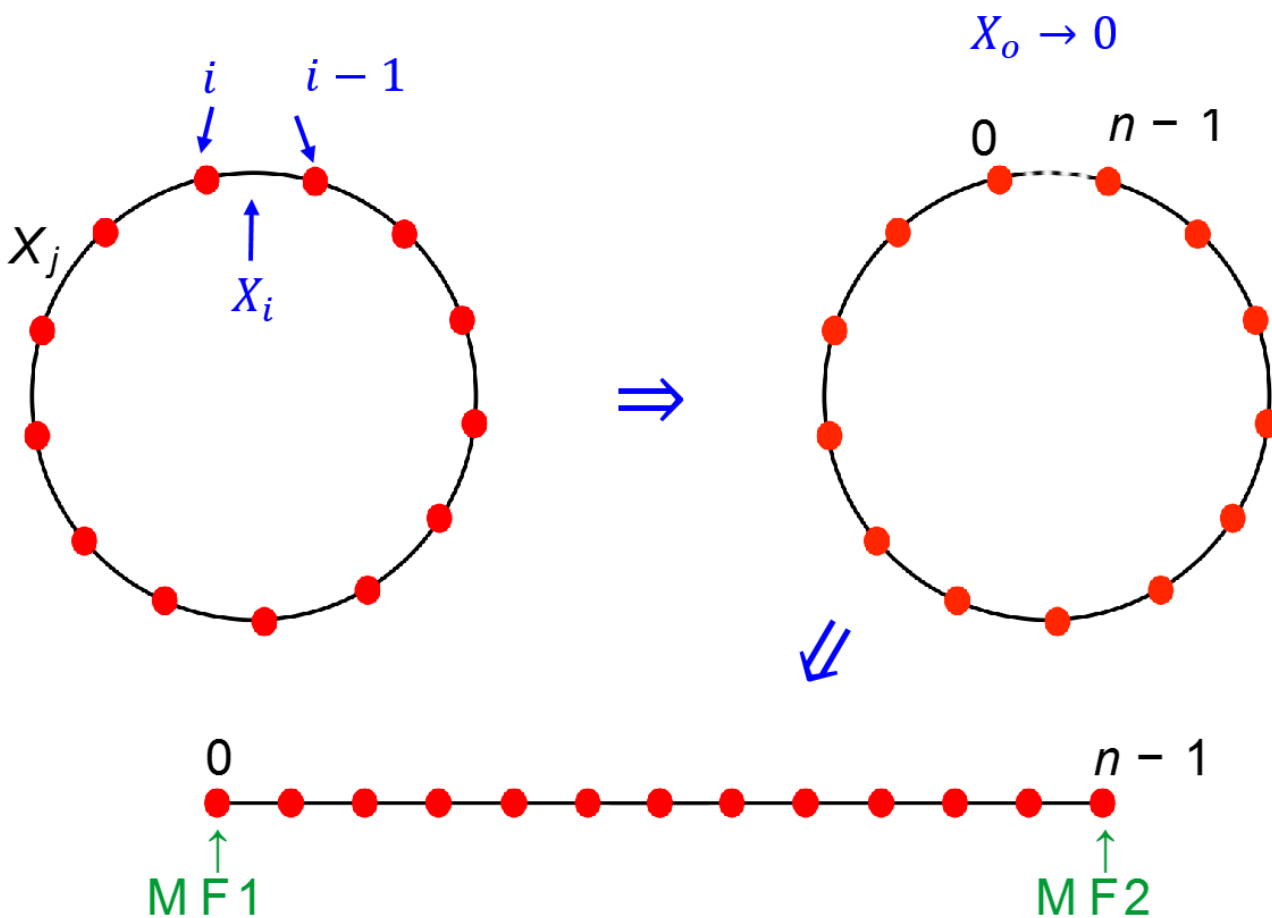
An intuitive way of generating MF's in the KQW:

Kitaev quantum wire 

For this problem, fermionic excitations have form

$$\alpha_i^\dagger = (a_i^\dagger + ia_i) + (a_{i-1}^\dagger + ia_{i-1})$$

so localized on links not sites. Energy for link $(i, i - 1)$ is X_i



So far, circumstantial experimental evidence for MF's in $^3\text{He-B}$: none in $^3\text{He-A}$ or SRO. (Rather stronger evidence in artificial systems, e.g. InAs nanowire on Pb.)

Majorana fermions: beyond the mean-field approach

Problem: The whole apparatus of mean-field theory rests fundamentally on the notion of SBU(1)S ← spontaneously broken U(1) gauge symmetry:

$$\Psi_{\text{even}} \sim \sum_{\substack{N= \\ \text{even}}} C_N \Psi_N \quad (C_N \sim |C_N| e^{iN\varphi})$$

$$\Psi_{\text{odd}}^{(c)} \sim \int dr \{u(r)\hat{\psi}^\dagger(r) + v(r)\hat{\psi}(r)\} |\Psi_{\text{even}}\rangle \quad (\equiv \hat{\gamma}_i^\dagger |\Psi_{\text{even}}\rangle)^*$$

But in real life condensed-matter physics,

SB U(1)S IS A MYTH!!

This doesn't matter for the even-parity GS, because of “Anderson trick”:

$$\Psi_{2N} \sim \int \Psi_{\text{even}}(\varphi) \exp -iN\varphi d\varphi$$

But for odd-parity states equation (*) is fatal! Examples:

(1) Galilean invariance

(2) NMR of surface MF in $^3\text{He-B}$



We must replace (*) by

$$\hat{\gamma}_i^\dagger = \int dr \{u(r)\hat{\psi}^\dagger(r) + v(r)\hat{\psi}c^\dagger\}$$

creates extra Cooper pair
↓

This doesn't matter, so long as Cooper pairs have no “interesting” properties (momentum, angular momentum, partial localization...)

But to generate MF's, pairs **must** have “interesting” properties!

⇒ doesn't change arguments about existence of MF's, but **completely changes arguments** about their braiding, undetectability etc.

May need completely new approach!

