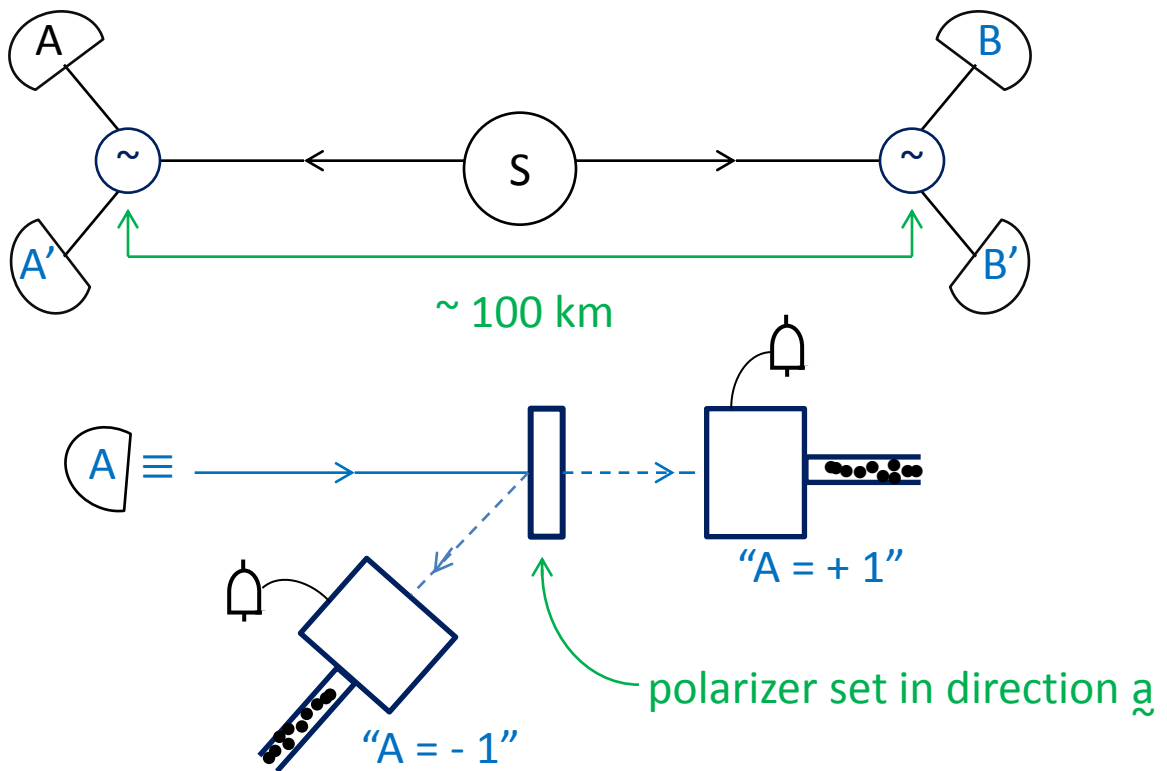


ON THE STRUCTURE OF A WORLD (WHICH MAY BE) DESCRIBED BY QUANTUM MECHANICS.

A. WHAT DO WE KNOW ON THE BASIS OF
ALREADY PERFORMED EXPERIMENTS?



CHSH inequality:

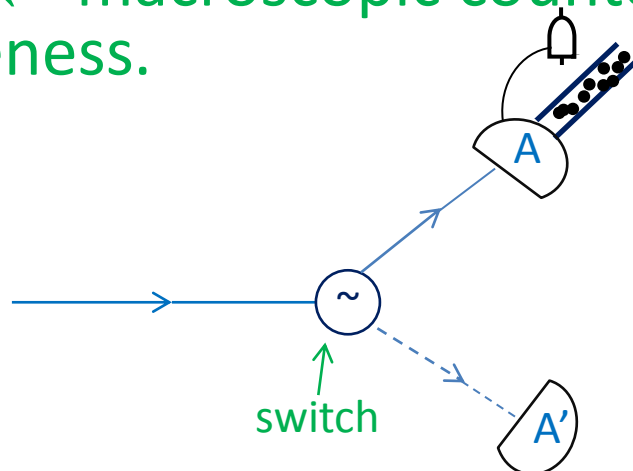
$$\langle AB \rangle + \langle A'B \rangle + \langle AB' \rangle - \langle A'B' \rangle \leq 2$$

violated by predictions of QM, and
by experiment!

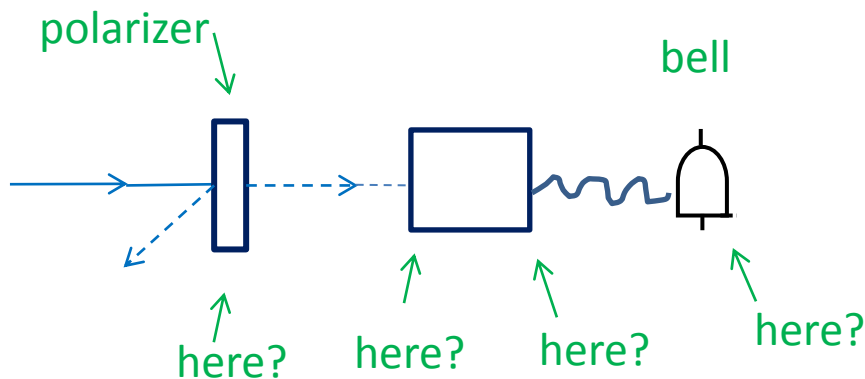
WHAT DOES EXPERIMENTAL VIOLATION OF CHSH INEQUALITY IMPLY?

Must reject (at least) one of

1. Einstein locality
2. Induction
3. MCFD ← macroscopic counterfactual definiteness.

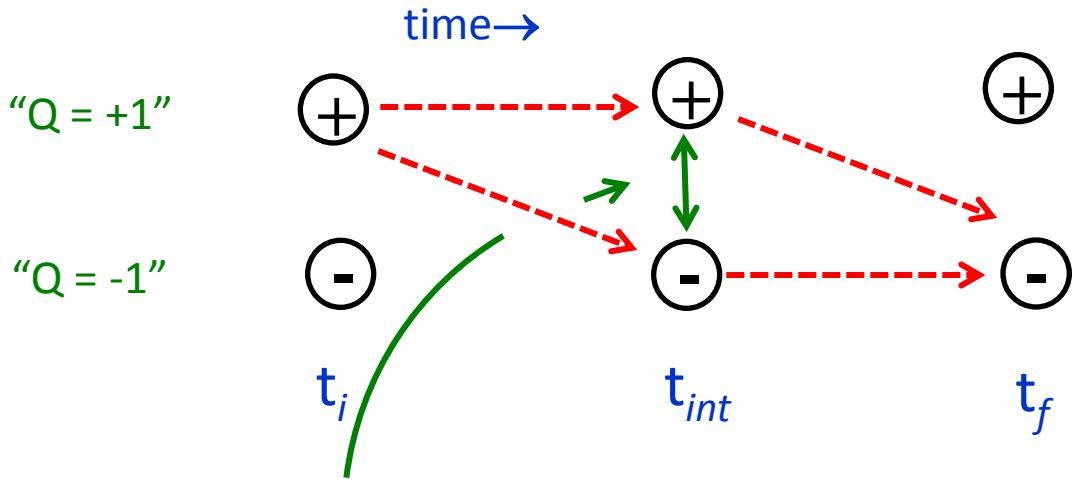


Had photon been switched into A' rather than A .
 A would not have been definite. But in actuality, A
 is definite. (bell rings, computer prints out...). So:
at which point did A become definite?



("SCHRODINGER'S CAT!")

MACROSCOPIC QUANTUM COHERENCE (MQC)



macroscopically
distinct states

Example: "flux qubit":



Existing experiments: if raw data interpreted in QM terms,
state at t_{int} is **quantum superposition** (not mixture!) of
states \oplus and \ominus .

\uparrow : how "macroscopically" distinct?

Analog of CHSH theorem for MQC:

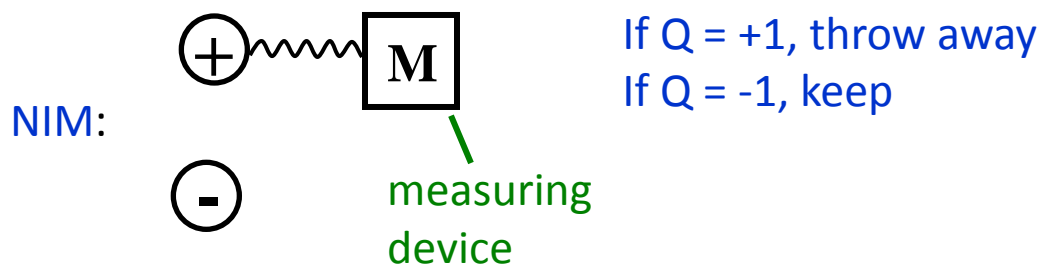
Any **macrorealistic** theory satisfies constraint

$$\langle Q(t_1)Q(t_2) \rangle + \langle Q(t_2)Q(t_3) \rangle + \langle Q(t_3)Q(t_4) \rangle - \langle Q(t_1)Q(t_4) \rangle \leq 2$$

which is violated (for appropriate choices of the t_i) by the QM predictions for an “ideal” 2-state system

Definition of “macrorealistic” theory: conjunction of

- 1) induction
- 2) macrorealism ($Q(t) = +1$ or -1 for all t)
- 3) noninvasive measurability (NIM)



In this case, unnatural to assert 3) while denying 2).

NIM cannot be explicitly tested, but can be made “plausible” by ancillary experiment to test whether, when $Q(t)$ is **known** to be (e.g.) $+1$, a noninvasive measurement does or does not affect subsequent statistics. But measurements **must be projective** (“von Neumann”).

“ALL ELECTRONIC” SUPERCONDUCTIVITY (heavy fermions, organics, cuprates, ferropnictides...)

WHERE IS THE ENERGY SAVED?

Consider “strongly layered” (2D) materials

(organics, cuprates, ferropnictides, Sr_2RuO_4 ...)

assume:

1. Phonons irrelevant to first approximation
2. inter-unit-cell motion irrelevant to first approximation (c-axis)

Then:

$$\hat{H} = \hat{T}_{\parallel} + \hat{U} + \hat{V}$$

in-plane KE
lattice potential
inter-conduction electron Coulomb interaction

Which of these is saved in N → S transition?

Default option: $\langle V \rangle$ (assume for sake of argument)

Rigorous theorem (not RPA!):

$$\langle V \rangle = \sum_q \int \frac{d\omega}{2\pi} \text{Im} \left\{ \frac{1}{1 + V_q \chi_o(q\omega)} \right\}$$

in-plane FT of Coulomb interaction
“bare” density response function

So, obvious question:

where in space of q and ω is Coulomb energy saved (or not)?

“Ideal” experimental technique: transmission EELS
(P. Abbamonte, J. Zuo (University of Illinois) (reflection))

CONJECTURE:

(MUCH OF) COULOMB ENERGY SAVED IN REGIME OF **SMALL q** ($q \lesssim 0.3 \text{ \AA}^{-1}$) AND **MIDINFRARED** ω ($0.1 \lesssim \omega \lesssim 3 - 4 \text{ eV}$)

If that's true, may have implications for optics, as well as EELS. Assume (for sake of argument): for $q \lesssim 0.3 \text{ \AA}^{-1}$, $\omega \gtrsim 0.1 \text{ eV}$

- 1) $\varepsilon_{\perp}(q\omega) \cong \varepsilon_{\parallel}(q\omega)$
 - 2) $\varepsilon_{\parallel}(q\omega)$ not strongly dependent on q
- 3D dielectric constant

Then:

optics measures $\varepsilon(\omega)$

$$\text{Coulomb energy} \propto -\text{Im} \left\{ \frac{1}{1 + q \frac{d}{2} (\varepsilon(\omega) - 1)} \right\} \quad \underline{\underline{\underline{\uparrow d}}}}$$

Note: for "jellium" model ($\varepsilon(\omega) \sim 1 - \omega_p^2 / \omega^2$)

expect crossing of $\text{Re } \varepsilon$ at ω_p , but main contribution to Coulomb energy from

$$\omega \sim \omega_q \equiv \left(\frac{qd}{2} \right)^{1/2} \omega_p > \omega_p \left(\frac{\omega_q}{\omega_p} \sim 2 \cdot 2 \text{ for Bi-2212} \right)$$

hence, not so strange that anomalies in optics for $N \rightarrow S$ occur not around ω_p ($\sim 1 \cdot 2 \text{ eV}$) but around $\sim 2 \cdot 5 - 3 \text{ eV}$!

More quantitatively:

if conjecture is correct, what (qualitatively) do we expect to happen in optics for $N \rightarrow S$, for $\omega \lesssim \omega_q$?

crucial observation*: for $0.1 \text{ eV} \lesssim \omega \lesssim 1 \text{ eV}$, in Bi-2212

$$\varepsilon(\omega) \cong (-1 + i) \omega_p^2 / \omega^2$$

i.e.

$$\arg \varepsilon \sim 3\pi/4$$

Now, in this regime,

$$\Delta\langle V \rangle \sim -\Delta(\text{Im } \varepsilon^{-1}) \sim \text{Im} (\Delta\varepsilon / \varepsilon^2)$$

but $\varepsilon^{-2} \sim i/2$, so

$$\Delta\langle V \rangle \sim \Delta(\text{Re } \varepsilon)$$

Thus, expect in this regime

(Im ε irrelevant), **Re ε decreases**

seen in experiments both in Bi-2212 and in 122!

*El-Azrak et al., Phys. Rev. B 49, 9846 (1994)

SUPERFLUIDITY AND "SUPERSOLIDITY": THE TOPOLOGY OF MANY-BODY WAVE FUNCTIONS (some problems just don't go away)

Classic problem: system of neutral atoms (e.g. ^4He)

$$(\omega \ll \omega_c \equiv \hbar^2 m R^2)$$

Classically. $\Delta L = I_{el} \omega$

$$\approx NmR^2$$

for quantum gas. $k_B T \gg \hbar \omega_c$: Same QM'I system with interaction?

Kohn (1964): consider

F as $f(\omega)$



Free Energy

A: Insulator

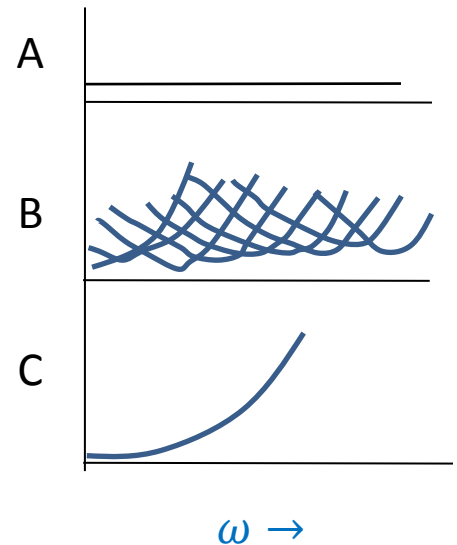
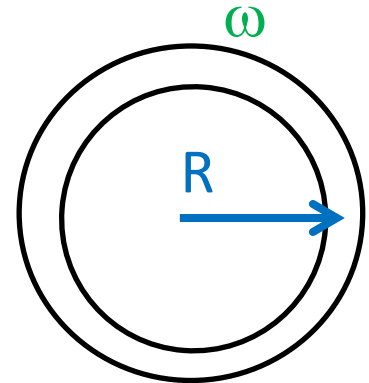
Rotates with annulus
(no hysteresis)

B: Normal liquid

Rotates with annulus
(but with hysteresis)

C: Superfluid

does **not** rotate with annulus.*



How to characterize behavior A-C in terms of
topology of MBWF of system?

*will take this (not persistent currents !) as **definition** of
superfluidity

Topology of MBWF'S: ODLRO (Yang.1962)

Boson system:

ODLRO if $\langle \psi(r)\psi^+(r') \rangle \not\rightarrow 0$ for $|r-r'| \rightarrow \infty$

General argument that ODLRO is a sufficient condition for superfluidity*. But, \$64K question:

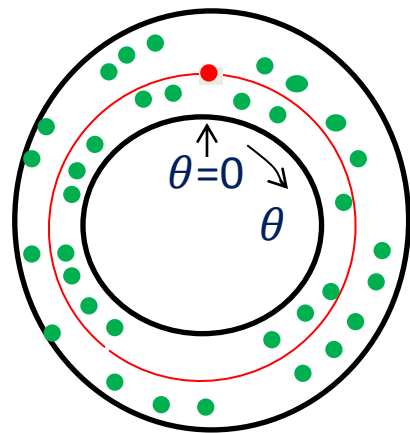
is it a **necessary** condition?

To evaluate $F(\omega)$, must decide how system responds to SVBC: ← single-valuedness boundary condition.

$$\begin{aligned} \text{For } \omega = 0, & \Psi(\theta_1 \theta_2 \dots \theta_i \dots \theta_N) \\ & = \Psi(\theta_1 \theta_2 \dots \theta_i + 2\pi \dots \theta_N) \end{aligned}$$

For $\omega \neq 0$.

$$\Psi(\theta_1 \theta_2 \dots \theta_i \dots \theta_N) =$$



$$\exp 2\pi i \left(\frac{\omega}{\omega_c} \right) \Psi(\theta_1 \theta_2 \dots \theta_i + 2\pi \dots \theta_N)$$

\$64K question: Does adaptation to changed SVBC cost a free energy $\propto \omega^2$?

If for **all possible** path: $\theta_i \rightarrow \theta_i + 2\pi$ (including “Japanese-bus” paths) MBWF goes to zero exponentially somewhere on path, can modify SVBC at no cost in ω (case of insulator)

But lack of ODLRO says only that this is true for “British-bus” paths. ($\theta_1 \theta_2 \dots$ fixed).....

*Noninteracting Bose gas is **not** a counter example!
(cf. df. of “superfluidity”)

THE LOW-TEMPERATURE PROPERTIES OF GLASSES: THE “CINDERELLA PROBLEM” OF CONDENSED MATTER PHYSICS

The problem in a nutshell: below 1 K, the properties of amorphous materials (“glasses”) are not only qualitatively but **quantitatively** universal.

E.g. Dimensionless absorption Q_t^{-1} of transverse ultrasound in GHz range *. For almost all† amorphous materials.

$$\boxed{Q_t^{-1} = 3 \times 10^{-4} \pm \sim 25\%} \quad \equiv Q_o^{-1}$$

In “standard” (TTLS) ← tunneling 2-level systems model of glasses. Q_t^{-1} is product of 4 independent factors, each of which fluctuates by ~ 5-10. And yet...

Generic model: $\hat{H}' = \sum_{ij} e_{ij} T_{ij}$ ← phonon strain ← generic stress

$$\Rightarrow \hat{H}_{eff} \sim \sum_{nm} (\text{4th-order polynomial in } \mathbf{n}_{nm})$$

$$\times \frac{T_{ij}^{(m)} T_{kl}^{(n)}}{R_{nm}^3} \rightarrow \text{real-space renormalization procedure}$$

$$\underbrace{\begin{array}{|c|c|} \hline T_{ij}^{(1)} & T_{ij}^{(2)} \\ \hline \end{array}}_{\mathbf{R}_{12}}$$

* mostly inferred by KK from T-dependent velocity shift
† for a very few materials, less than this: never greater.