

SOME THOUGHTS ON THE PROSPECTS FOR
TOPOLOGICAL QUANTUM COMPUTING

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TOPOLOGICAL QUANTUM COMPUTING/MEMORY

Qubit basis. $|\uparrow\rangle, |\downarrow\rangle$

$$|\Psi\rangle = \alpha |\uparrow\rangle + \beta |\downarrow\rangle$$

To preserve, need (for “resting” qubit)

$$\hat{H} \propto \hat{I} \quad \text{in } |\uparrow\rangle, |\downarrow\rangle \text{ basis}$$

$$(\hat{H}_{12} = 0 \Rightarrow "T_1 \rightarrow \infty": \hat{H}_{11} - \hat{H}_{22} = \text{const} \Rightarrow "T_2 \rightarrow \infty")$$

on the other hand, to perform (single-qubit) operations, need to impose nontrivial \hat{H} .

\Rightarrow we must be able to do something Nature can't.

(ex: trapped ions: we have laser, Nature doesn't!)

Topological protection:

would like to find $d(>1)$ dimensional Hilbert space within which (in absence of intervention)

$$\hat{H} = (\text{const.}) \cdot \hat{I} + o(e^{-L/\xi})$$

size of system \nearrow microscopic length \nwarrow

How to find degeneracy?

Suppose \exists two operators $\hat{\Omega}_1, \hat{\Omega}_2$ s.t.

$$[\hat{H}, \hat{\Omega}_1] = [\hat{H}, \hat{\Omega}_2] = 0 \quad (\text{and } \hat{\Omega}_1, \hat{\Omega}_2 \text{ commutes with b.c's})$$

but

$$[\hat{\Omega}_1, \hat{\Omega}_2] \neq 0 \quad (\text{and } \hat{\Omega}_1 | \psi \rangle \neq 0)$$



EXAMPLE OF TOPOLOGICALLY PROTECTED STATE: FQH SYSTEM ON TORUS (Wen and Niu, PR B **41**, 9377 (1990))

Reminders regarding QHE:

2D system of electrons, $B \perp$ plane

Area per flux quantum = $(h/eB) \Rightarrow$ df.

$$\ell \equiv (\hbar/eB)^{1/2} \leftarrow \text{“magnetic length”}$$

$$(\ell \sim 100 \text{ \AA} \text{ for } B = 10 \text{ T})$$

“Filling fraction” \equiv no. of electrons/flux quantum $\equiv \nu$

“FQH” when $\nu = \overbrace{p/q}^{\text{incommensurate integers}}$

Argument for degeneracy: (does **not** need knowledge of w.f.)
can define operators of “magnetic translations”

$\hat{T}_x(\mathbf{a}), \hat{T}_y(\mathbf{b})$ (\equiv translations of **all** electrons through $\mathbf{a}(\mathbf{b}) \times$ appropriate phase factors). In general $[\hat{T}_x(\mathbf{a}), \hat{T}_y(\mathbf{b})] \neq 0$

In particular, if we choose \leftarrow no. of flux quanta

$$\mathbf{a} = \mathbf{L}_1 / N_s, \quad \mathbf{b} = \mathbf{L}_2 / N_s \quad (= L_1 L_2 / 2\pi \ell^2)$$

then \hat{T}_1, \hat{T}_2 commute with b.c.’s (?) and moreover

$$\hat{T}_1 \hat{T}_2 = \hat{T}_2 \hat{T}_1 \exp - 2\pi i \nu$$

But the o. of m. of \mathbf{a} and \mathbf{b} is $\ell \cdot (\ell/L) \ll \ell$, and $\Rightarrow 0$ for $L \rightarrow \infty$.

Hence to a very good approximation,

$$[\hat{T}_1, \hat{H}] = [\hat{T}_2, \hat{H}] = 0 \quad (*)$$

$$\text{so since } [\hat{T}_1, \hat{T}_2] \neq 0$$

must \exists more than 1 GS (actually q).

Corrections to (*): suppose typical range of (e.g.) external potential $V(\mathbf{r})$ is ℓ_o , then since $|\psi\rangle$'s oscillate on scale ℓ_{osc} ,

$$\langle \psi_1 | \hat{H} | \psi_2 \rangle \sim \exp - \ell_o / \ell_{osc} \sim \exp - L / \xi$$

(+ const. $\hat{1}$)



TOPOLOGICAL PROTECTION AND ANYONS

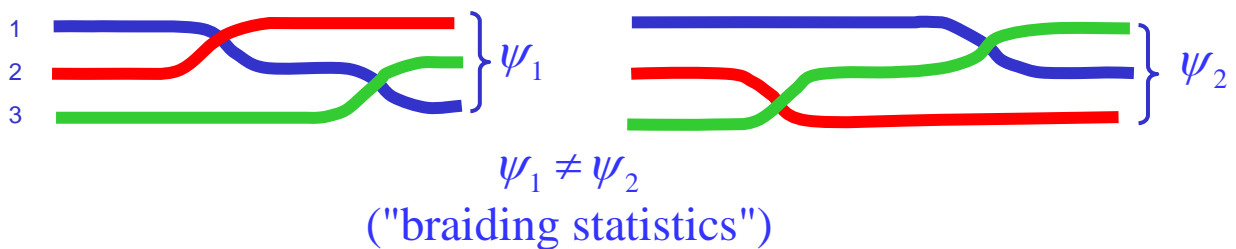
Anyons (df): exist only in 2D

$$\Psi(1, 2) = \exp(2\pi i \alpha) \Psi(2, 1) \equiv \hat{T}_{12} \Psi(1, 2)$$

(bosons: $\alpha = 1$, fermions: $\alpha = 1/2$)

abelian if $\hat{T}_{12} \hat{T}_{23} = \hat{T}_{23} \hat{T}_{12}$ (ex: FQHE)

nonabelian if $\hat{T}_{12} \hat{T}_{23} \neq \hat{T}_{23} \hat{T}_{12}$, i.e., if



Nonabelian statistics* is a **sufficient** condition for topological protection:

(a) state containing n anyons, $n \geq 3$:

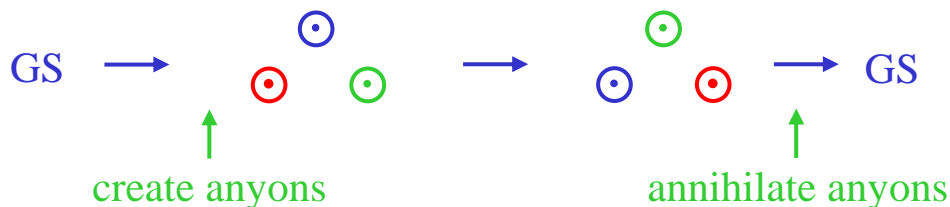
[not necessary, cf. FQHE
on torus]

$$[\hat{T}_{12}, \hat{H}] = [\hat{T}_{23}, \hat{H}] = 0$$

$$[\hat{T}_{12}, \hat{T}_{23}] \neq 0$$

\Rightarrow space must be more than 1D.

(b) groundstate:



annihilation process inverse of creation \Rightarrow

GS also degenerate.

*plus gap for
anyon creation

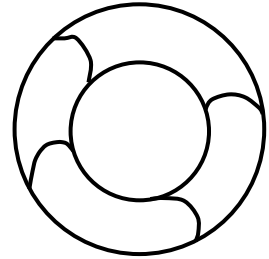


SPECIFIC MODELS WITH TOPOLOGICAL PROTECTION

1. FQHE on torus

Obvious problems:

- (a) QHE needs GaAs–AlGaAs or Si MOSFET: how to “bend” into toroidal geometry?



QHE observed in (planar) graphene (but not obviously “fractional”!): bend C nanotubes?

- (b) Magnetic field should everywhere have large comp^t \perp to surface: but $\text{div } \mathbf{B} = 0$ (Maxwell)!

2. Spin Models (Kitaev et al.)

(adv: exactly soluble)

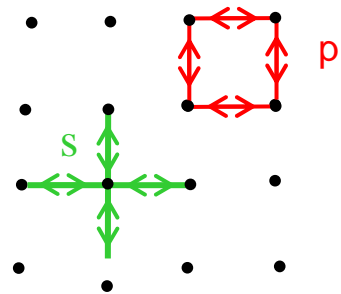
- (a) “Toric code” model

Particles of spin $1/2$ on lattice

$$\hat{H} = -\sum_s \hat{A}_s - \sum_p \hat{B}_p$$

$$\hat{A}_s \equiv \prod_{j \in s} \hat{\sigma}_j^x, \quad \hat{B}_p \equiv \prod_{j \in p} \hat{\sigma}_j^z$$

(so $[\hat{A}_s, \hat{B}_p] \neq 0$ in general)



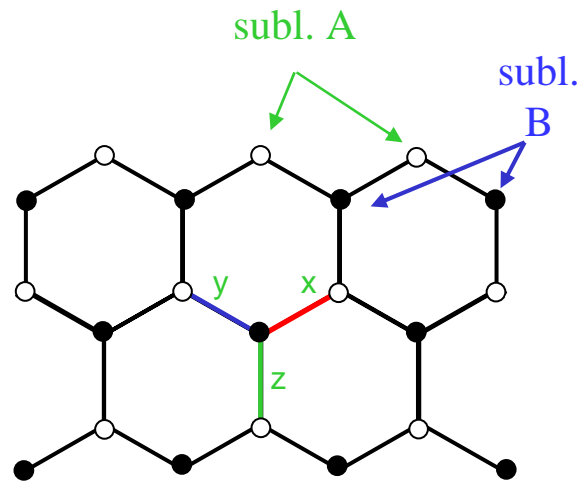
Problems:

- (a) toroidal geometry required (as in FQHE)
- (b) apparently v. difficult to generate Ham^n physically

SPIN MODELS (cont.)

(b) Kitaev “honeycomb” model

Particles of spin $\frac{1}{2}$ on
honeycomb lattice
(2 inequivalent sublattices,
A and B)



$$\hat{H} = -J_x \sum_{x\text{-links}} \hat{\sigma}_j^x \hat{\sigma}_k^x - J_y \sum_{y\text{-links}} \hat{\sigma}_j^y \hat{\sigma}_k^y - J_z \sum_{z\text{-links}} \hat{\sigma}_j^z \hat{\sigma}_k^z$$

nb: spin and space axes independent

Strongly frustrated model, but exactly soluble.*

Sustains nonabelian anyons with gap provided

$$\begin{aligned} |J_x| &\leq |J_y| + |J_z|, & |J_y| &\leq |J_z| + |J_x|, \\ |J_z| &\leq |J_x| + |J_y| & \text{and } \mathcal{H} &\neq 0 \end{aligned}$$

(in opposite case anyons are abelian + gapped)

Advantages for implementation:

(a) plane geometry (with boundaries) is OK

(b) \hat{H} bilinear in nearest-neighbor spins

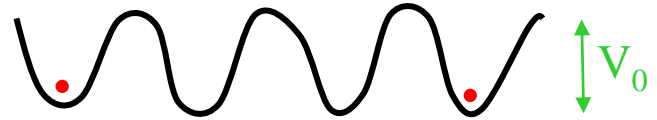
* A. Yu Kitaev, Ann. Phys. 321,2 (2006)

H-D. Chen and Z. Nussinov, cond-mat/070363 (2007)



Can we Implement Kitaev Honeycomb Model?

One proposal (Duan et al., PRL 91, 090492 (2003)): use optical lattice to trap ultracold atoms



Optical lattice:

3 counterpropagating pairs of laser beams create potential, e.g. of form

$$V(\mathbf{r}) = V_o (\cos^2 kx + \cos^2 ky + \cos^2 kz)$$

(2π/λ laser wavelength)

in 2D, 3 counterpropagating beams at 120° can create **honeycomb** lattice (suppress tunnelling along z by high barrier)

For atoms of given species (e.g. ⁸⁷Rb) in optical lattice 2 characteristic energies:

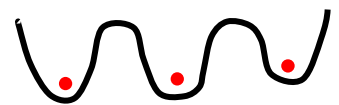
interwell tunnelling, t ($\sim e^{-\text{const.} \sqrt{V_0}}$)

intrawell atomic interaction (usu. repulsion) U

For 1 atom per site on average:

if $t \gg U$, mobile (“superfluid”) phase

if $t \ll U$, “Mott-insulator” phase
(1 atom localized on each site)



If 2 hyperfine species (\cong “spin $-1/2$ ” particle), weak intersite tunnelling \Rightarrow AF interaction

$$\hat{H}_{AF} = \sum_{mn} J \sigma_i \sigma_j \quad J = t^2 / U$$

(irrespective of lattice symmetry).

So far, isotropic, so not Kitaev model. But ...



If tunnelling is different for \uparrow and \downarrow , then H'berg Hamiltonian is **anisotropic**: for fermions,

$$\hat{H}_{AF} = \frac{t_{\uparrow}^2 + t_{\downarrow}^2}{2U} \sum_{nn} \hat{\sigma}_i^z \hat{\sigma}_j^z + \frac{t_{\uparrow} t_{\downarrow}}{U} \sum_{nn} (\hat{\sigma}_i^x \hat{\sigma}_j^x + \hat{\sigma}_i^y \hat{\sigma}_j^y)$$

\Rightarrow if $t_{\uparrow} \gg t_{\downarrow}$, get Ising-type intⁿ

$$H_{AF} = \text{const.} \sum_{nn} \hat{\sigma}_i^z \hat{\sigma}_j^z$$

We can control t_{\uparrow} and t_{\downarrow} with respect to an **arbitrary** “z” axis by appropriate polarization and tuning of (extra) laser pair. So, with 3 extra laser pairs polarized in mutually orthogonal directions (+ appropriately directed) can implement

$$\begin{aligned} \hat{H} &= J_x \sum_{x\text{-bonds}} \hat{\sigma}_i^x \hat{\sigma}_j^x + J_y \sum_{y\text{-bonds}} \hat{\sigma}_i^y \hat{\sigma}_j^y + J_z \sum_{z\text{-bonds}} \hat{\sigma}_i^z \hat{\sigma}_j^z \\ &\equiv \text{Kitaev honeycomb model} \end{aligned}$$

Some potential problems with optical-lattice implementation:

- (1) In real life, lattice sites are inequivalent because of background magnetic trap \Rightarrow region of Mott insulator limited, surrounded by “superfluid” phase.
- (2) V. long “spin” relaxation times in ultracold atomic gases \Rightarrow true groundstate possibly never reached.

So, how about a “literal” implementation of the KH model?



THE FRACTIONAL QUANTUM HALL EFFECT: THE CASES OF $\nu = 5/2$ AND $\nu = 12/5$

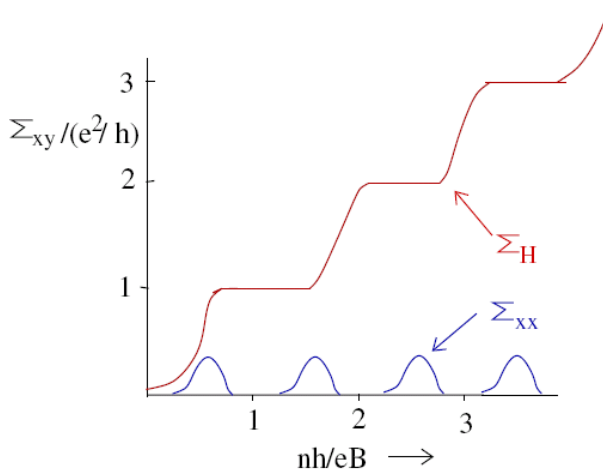
Reminder re QHE:

Occurs in (effectively) **2D electron system** (“2DES”) (e.g. inversion layer in GaAs – GaAlAs heterostructure) in **strong perpendicular magnetic field**, under conditions of high purity and low ($\lesssim 250$ mK) temperature.

If df. $l_m \equiv (\hbar/eB)^{1/2}$ (“magnetic length”) then area per flux quantum h/e is $2\pi l_m^2$, so no. of flux quanta = $A/2\pi l_m^2$ ($A \equiv$ area of sample). If total no. of electrons* is N_e , define

$$\nu \equiv N_e / N_\Phi \quad (\text{"filling factor"})$$

QHE occurs at **and around** (a) integral values of ν (**integral QHE**) and (b) fractional values p/q with fairly small ($\lesssim 13$) values of q (**fractional QHE**). At ν 'th step, Hall conductance Σ_{xy} quantized to $\nu e^2/\hbar$ and longitudinal conductance $\Sigma_{xx} \cong 0$



Nb: (1) Fig. shows IQHE only

(2) expts usually plot

$$R_{xy} \text{ vs } B \left(\propto \frac{1}{\nu} \right)$$

so general pattern is same but details different

* strictly, no./spin: valley (but see below)



SYSTEMATICS OF FQHE

FQHE is found to occur at and near $\nu = p/q$, where p and q are mutually prime integers. By now, ~ 50 different values of (p,q) . Generally, FQHE with large values of q tend to be more unstable against disorder and temperature.

Possible approaches to identification of phases :

(a) analytic, trial wf (eg Laughlin)

(b) numerical, few-electron (typically $N \simeq 18$)

(c) via CFT ← conformal field theory

(d) experiment :

↑
e.g. plateaux
narrower,
 $\rho_{xx} \rightarrow 0$

alas, cannot usually measure much other than electrical props ! ideally, would at least like to know total spin of sample, but.....

The simplest FQHE states (Laughlin states) : reminders

The Laughlin states have $p = 1, q = \text{odd integer}$, i.e.

$$\nu = 1/(2m + 1) \quad , \quad m = \text{integer (e.g. } \nu = \frac{1}{3}, \frac{1}{5}, \dots)$$

These are well accounted for by the Laughlin w.f.

$$\Psi_N = \prod_{i < j}^N (z_i - z_j)^q \exp - \sum_i |z_i|^2 / 4l_m^2$$

$$q = \frac{1}{\nu} = 2m + 1 \quad (z \equiv x + iy)$$

Elementary excitations are quasiholes generated by multiplying GSWF by $\prod_{i=1}^N (z_i - \eta_0)$ (hole at η_0). They have charge

$$e^* = \nu e$$

and are abelian anyons :

$$\Psi(1, 2) = \exp i\pi\nu \Psi(2, 1)$$

Fairly convincing evidence for fractional charge ($\nu = 1/3$), some evidence for fractional statistics.



The $\nu = 5/2$ STATE

First seen in 1987 : to date the **only** even-denom. FQHE state reliably established* (some ev. for $\nu = 19/8$). Quite robust : $\sum_{xy} / (e^2/h) = 5/2$ to high accuracy, excluding e.g. odd-denominator values $\nu = 32/13$ or $33/13^*$, and \sum_{xx} vanishes within exptl. accuracy. The gap $\Delta \sim 500$ mK.

WHAT IS IT?

First question : is it totally spin-polarized (in relevant LL)? Early experiments showed that tilting \underline{B} away from \perp 'r destroyed it \Rightarrow suggests spin singlet. But later exptl. work, and numerics, suggests this may be \because tilted field changes orbital behavior and hence effective Coulomb interaction. So general belief is that it is totally spin-polarized (i.e. LLL \uparrow, \downarrow both filled, $n = 1, \downarrow$ half-filled, no filling of $n = 1, \uparrow$). (but it would be nice to have unambiguous exptl. evidence of this !). Thus, it is the $n = 1$ analog of $\nu = 1/2$.

However, the actual $\nu = 1/2$ state does **not** correspond to a FQHE plateau. In fact the CF approach predicts that for this ν

$$N_{\phi}^{eff} = N_{\phi} - 2N_e = 0$$

and hence the CF's behave as a Fermi liquid : this seems to be consistent with expt. If LLL \uparrow, \downarrow both filled, this argt. should apply equally to $\nu = 5/2$ (since $(N_e/N_{\phi})_{eff} = 1/2$).

So what has gone wrong?

One obvious possibility † :

Cooper pairing of composite fermions !

since spins \parallel , must pair in odd- l state, e.g. p-state.

*except for $\nu = 7/2$ which is the corr. state with $n = 1, \uparrow$ filled.

*Highest denominator seen to date ~ 19

† Moore & Read, Nuc. Phys. B 360, 362 (1991); Greiter et. al. 66, 3205 (1991)



THE "PFAFFIAN" ANSATZ

Consider the Laughlin ansatz formally corresponding to $\nu = 1/2$:

$$\Psi_N^{(L)} = \prod_{i < j} (z_i - z_j)^2 \exp - \sum_i |z_i|^2 / 4l_m^2 \quad (z_i = \text{electron coor.})$$

This cannot be correct as it is symmetric under $i \leftrightarrow j$. So must multiply it by an **antisymmetric** function. On the other hand, do not want to "spoil" the exponent 2 in numerator, as this controls the relation between the LL states and the filling.

Inspired guess (Moore & Read, Greiter et. al.): ($N = \text{even}$)

$$\Psi_N = \Psi_N^{(L)} \times Pf \left(\frac{1}{z_i - z_j} \right)$$

$$Pf(f(ij)) \equiv f(12)f(34)\dots - f(13)f(24)\dots + \dots \quad (\equiv \text{Pfaffian})$$

↑
antisymmetric under ij

This state is the exact GS of a certain (not very realistic) 3 - body Hamiltonian, and appears (from numerical work) to be not a bad approximation to the GS of some relatively realistic Hamiltonians.

With this GS, a single quasihole is postulated to be created, just as in the Laughlin state, by the operation

$$\Psi_{qh} = \left(\prod_{i=1}^N (z_i - \eta_0) \right) \cdot \Psi_N$$

It is routinely stated in the literature that "the charge of a quasihole is $-e/4$ ", but this does not seem easy to demonstrate directly: the argts are usually based on the BCS analogy (quasi-hole $\leftrightarrow \hbar/2e$ vortex, extra factor of 2 from usual Laughlin-like considerations) or from CFT.

←
conformal field theory

2 qps are more interesting.

IS THE $\nu = 5/2$ FQHE STATE REALLY THE PFAFFIAN STATE?

Problem: Several alternative identifications of the $\nu = 5/2$ state (331, partially polarized, “anti-pfaffian....”). Some are abelian, some not: all however predict $e^* = e/4$ [does this follow from general topological considerations?]. Numerical studies tend to favor the Pfaffian, but....

2 very recent experiments:

A. Dolev et. al., Nature **452** 829 (2008)

Shot-noise expt., similar to earlier ones on $\nu = 1/3$ FQHE state. Interpretation needs some nontrivial assumptions about the states neighboring the edge channels through which cond^n takes place.

Conclusion:

data consistent with $e^* = e/4$, inconsistent with $e^* = e/2$

unfortunately, doesn't discriminate between Pfaffian and other identifications.

Radu et. al., Science **320** 895 (2008)

Tunnelling expt., measures T-dependence of tunnelling current across QPC ← quantum point contact. Fits to theory of Wen for general FQHE state, which involves 2 characteristic numbers, e^* and g : for Pfaffian, $e^* = e/4$, $g = 1/2$ (also for other nonabelian candidates: abelian candidates have $e^* = e/4$ but $g = 3/8$ or $1/8$).

Conclusion: best fit to date is

$$e^*/e = 0.17, \quad g = 0.35$$

which is actually closer to the abelian (331) state ($g = 0.375$) than to the Pfaffian.



THE $\nu = 12/5$ STATE

This state has so far been seen in only one experiment*: it is quite fragile (short plateau, $R_{xx} \rightarrow 0$). It could perfectly well be the $n = 1$ LL analog of the $2/5$ state, which fits in the CF picture ($p = 2, m = 1$), and would of course be Abelian. Why should it be of special interest?

In 1999 Read & Rezayi speculated that the $\nu = 1/3$ Laughlin state and the Pfaffian $\nu = 1/2$ state are actually the beginning of a series of "parafermion" states with

$$\nu = k/(k + 2)$$

The ansatz for the wave function is

$$\Psi_{k;N} = \sum_{p \in S_N} \prod_{0 < r < s < N/k} \chi(z_p(kr+1) \cdots z_p(k(r+1)) : z_p(ks+1) \cdots z_p(k(s+1)))$$

where

$$\chi(z_1 \cdots z_k : z_{k+1} \cdots z_{2k}) \equiv \frac{(z_1 - z_{k+1})(z_1 - z_{k+2}) \cdots (z_1 - z_{2k})}{(z_2 - z_{k+3}) \cdots (z_k - z_{2k})(z_k - z_{k+1})}$$

The state $\psi_{k;L}$ can be shown to be the exact groundstate of the (highly unrealistic !) Hamiltonian

$$H = \sum_{i < j < l < \dots} \delta(z_i - z_j) \delta(z_j - z_l) \delta(z_l - z_m) \dots$$

(k + 1) terms

The quasiholes generated by this state have charge $e^* = e/(k + 2)$ and are nonabelian for $k \geq 2$; for $k = 3$ they are **Fibonacci anyons**, which permit universal TQC.

Of course, the no. $12/5 \neq k/(k + 2)$. However, it is possible that the $\nu = 12/5$ state is the $n = 1$, particle-hole conjugate of $\nu = 3/5$. In this context it is intriguing that the $\nu = 13/5$ state has never been seen.....

How would we tell? Interference methods?

* Xia et. al., PRL **93** 176809 (2003)



p-WAVE FERMI SUPERFLUIDS (in 2D)

Generically, particle-conserving wave function of a Fermi superfluid (Cooper-paired system) is of form

$$\Psi_N = \mathcal{N} \cdot \left(\sum_{k, \alpha\beta} c_k a_{k\alpha}^+ a_{-k\beta}^+ \right)^{N/2} |vac\rangle$$

e.g. in BCS superconductor

$$\Psi_N = \mathcal{N} \left(\sum_k c_k a_{k\uparrow}^+ a_{-k\downarrow}^+ \right)^{N/2} |vac\rangle -$$

Consider the case of pairing in a spin triplet, p-wave state (e.g. 3He-A). If we neglect coherence between \uparrow and \downarrow spins, can write

$$\Psi_N = \Psi_{N/2, \uparrow} \Psi_{N/2, \downarrow}$$

Concentrate on $\Psi_{N/2, \uparrow}$ and redef. $N \rightarrow 2N$.

$$\Psi_{N\uparrow} = \mathcal{N} \left(\sum_k c_k a_k^+ a_{-k}^+ \right)^{N/2} |vac\rangle$$

suppress spin index

What is c_k ?

Standard choice:

$$c_k = \exp -i\phi_k \left(\frac{1 - \varepsilon_k / E_k}{1 + \varepsilon_k / E_k} \right)^{1/2} \left(\varepsilon_k^2 + |\Delta_k|^2 \right)^{1/2}$$

ε_k measured from μ
 real factor
 "p+ip"

How does c_k behave for $k \rightarrow 0$? For p-wave symmetry, $|\Delta_k|$ must $\propto k$, so $|c_k| \sim \varepsilon_F / |\Delta_k| \sim k^{-1}$

Thus the (2D) Fournier transform of c_k is $\propto r^{-1} \exp -i\phi \equiv z^{-1}$,

and the MBWF has the form

$$\Psi_N(z_1 z_2 \dots z_N) = Pf \left(\frac{1}{z_i - z_j} \right) \times \text{uninteresting factors}$$


Conclusion: apart from the “single-particle” factor

$\exp - \frac{1}{4\ell^2} \sum_j |z_j|^2$, MR ansatz for $\nu = 5/2$ QHE is **identical** to the “standard” real-space MBWF of a $(p + ip)$ 2D Fermi superfluid.

Note one feature of the latter:

if

$$\hat{\Omega} \equiv \sum_k c_k a_k^+ a_{-k}^+, \quad c_k = |c_k| \exp - i\phi_k$$

then

$$[\hat{L}_z, \hat{\Omega}] = -\hbar \hat{\Omega}$$

 z-component of ang. momentum

so

$$\Psi_N \equiv \text{const. } \hat{\Omega}^N |vac\rangle$$

possesses ang. momentum $-N\hbar/2$, **no matter how weak the pairing!**

Now: where are the nonabelian anyons in the $p + ip$ Fermi superfluid?

Read and Green (Phys. Rev. B *61*, 10217(2000)):

nonabelian anyons are **zero-energy fermions bound to cores of vortices.**

Consider for the moment a single-component 2D Fermi superfluid, with $p + ip$ pairing. Just like a BCS (s-wave) superconductor, it can sustain **vortices**: near a vortex the pair wf, or equivalently the gap $\Delta(\mathbf{r})$, is given by

$$\begin{array}{l} \uparrow \text{COM of} \\ \text{Cooper pairs} \end{array} \quad \Delta(\mathbf{r}) \equiv \Delta(z) = \text{const. } z$$

Since $|\Delta(\mathbf{r})|^2 \rightarrow 0$ for $\mathbf{r} \rightarrow 0$, and (crudely) $E_{\mathbf{k}}(\mathbf{r}) \sim (\varepsilon_{\mathbf{k}}^2 + |\Delta(\mathbf{r})|^2)^{1/2}$, bound states can exist in core. In the s-wave case their energy is $\sim \eta |\Delta_0|^2 \varepsilon_F$, $\eta \neq 0$ so no zero-energy bound states.

What about the case of $(p + ip)$ pairing?

$$\exists \text{ mode with } u(\mathbf{r}) = v^*(\mathbf{r}), E = 0$$



Now, recall that in general

$$\psi_{exc}(\mathbf{r}) = (u(r)\hat{\psi}^\dagger(r) + u(r)\hat{\psi}(r)) | 0 \rangle \equiv \hat{Q}(r) | 0 \rangle$$

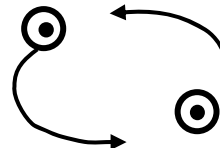
But, if $u^*(r) = u(r)$, then $\hat{Q}^\dagger(r) \equiv \hat{Q}(r)$! i.e.

zero-energy modes are their own antiparticles
 (“Majorana modes”)

⚠: This is true **only** for spinless particle/pairing of \parallel spins
 (for pairing of anti \parallel spins, particle and hole
 distinguished by spin).

Consider two vortices i, j with attached Majorana modes with
 creation ops. $\gamma_i \equiv \gamma_i^\dagger$.

What happens if two vortices are
 interchanged?*



Claim: when phase of C. pairs changes by 2π , phase
 of Majorana mode changes by π (true for assumed
 form of u, v for single vortex). So

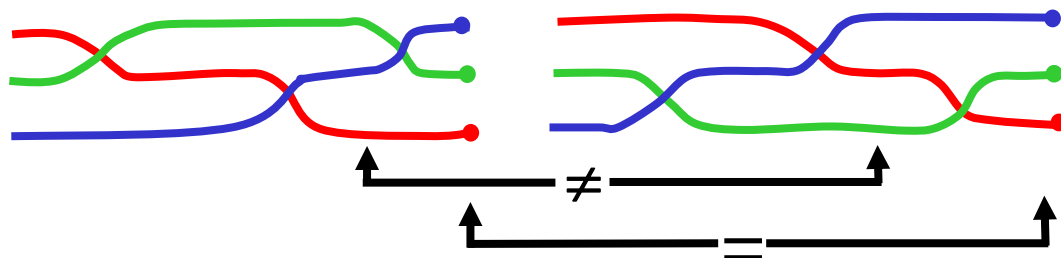
$$\gamma_i \rightarrow \gamma_j$$

$$\gamma_j \rightarrow -\gamma_i$$

more generally, if \exists many vortices + w df \hat{T}_i as exchanging
 $i, i + 1$, then for $|i-j| > 1$ $[\hat{T}_i, \hat{T}_j] = 0$, but

for $|i-j|=1$, $[\hat{T}_i, \hat{T}_j] \neq 0$, $\hat{T}_i \hat{T}_j \hat{T}_i = \hat{T}_j \hat{T}_i \hat{T}_j$

braid
 group!



* Ivanov, PRL 86, 268 (2001)



How to implement all this?

- (a) superfluid ${}^3\text{He-A}$:
to a first approximation,

$$\Psi = \Psi_{\uparrow} \Psi_{\downarrow}, \quad \Psi_{\uparrow} = \left(\sum_k c_k a_{k\uparrow}^+ a_{-k\uparrow}^+ \right)^{N/2} |vac\rangle \text{ (etc.)}$$

$$c_k \sim |c_k| \exp i\varphi_k$$

so prima facie suitable.

Ordinary vortices ($\Delta_{\uparrow}(\mathbf{r}) \sim \Delta_{\downarrow}(\mathbf{r}) \sim z$) well known to occur. Will they do?

Literature mostly postulates **half-quantum vortex**

$$(\Delta_{\uparrow}(\mathbf{r}) \sim z, \Delta_{\downarrow}(\mathbf{r}) = \text{const.}, \text{ i.e. vortex in } \uparrow \text{ spins, none in } \downarrow)$$

HQV's should be stable in ${}^3\text{He-A}$ under appropriate conditions (e.g. annular geom., rotation at $\omega \sim \omega_c/2$, $\omega_c \equiv \hbar/2mR^2$)
sought but not found:

??

Additionally, would need a thin slab (how thin?) for it to count as "2D".

How would we manipulate vortices/quasiparticles (neutral) in ${}^3\text{He-A}$?

What about charged case (p + ip superconductor)?

Ideally, would like **2D** superconductor with pairing in (p + ip) state. Does such exist?



STRONTIUM RUTHENATE (Sr_2RuO_4)*

Strongly layered structure, anal. cuprates \Rightarrow hopefully sufficiently “2D.” Superconducting with $T_c \sim 1.5$ K, good type-II props. (\Rightarrow “ordinary” vortices certainly exist).

\$64 K question: **is pairing spin triplet ($p + ip$)?**

Much evidence* both for spin triplet and for odd parity (“p not s”).

Evidence for broken T-reversal symmetry:

optical rotation (Xia et al. (Stanford), 2006)

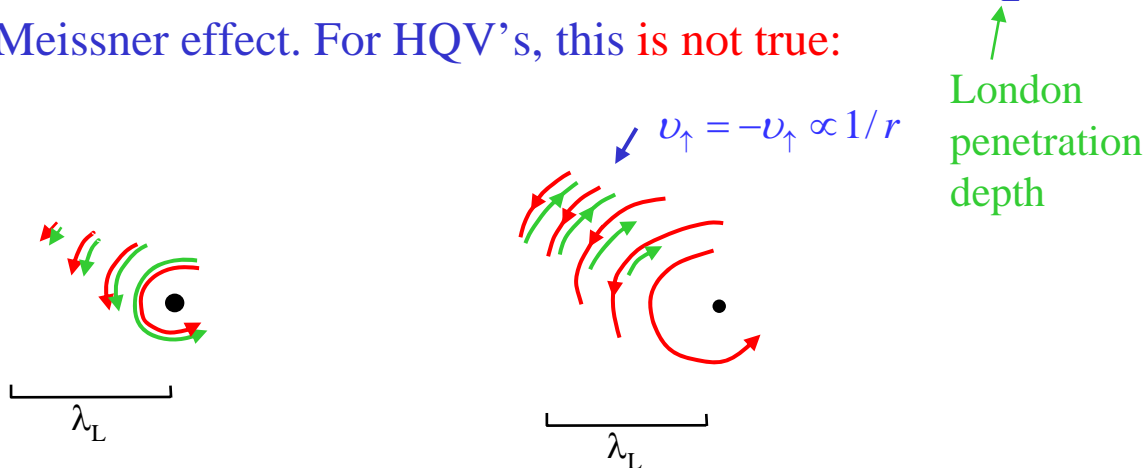
Josephson noise (Kidwingira et al. (UIUC), 2006)

\Rightarrow “topology” of orbital pair w.f. **probably** ($p_x + ip_y$).

Can we generate HQV’s in Sr_2RuO_4 ?

Problem:

in neutral system, both ordinary and HQ vortices have $1/r$ flow at ∞ . \Rightarrow HQV’s not specially disadvantaged. In charged system (metallic superconductor), ordinary vortices have flow completely screened out for $r \gtrsim \lambda_L$ by Meissner effect. For HQV’s, this **is not true**:



So HQV’s **intrinsically disadvantaged** in Sr_2RuO_4 .

*Mackenzie and Maeno, Rev. Mod. Phys. 75, 688 (2003)



Problems:

(1) Is Sr_2RuO_4 really a (p + ip) superconductor?

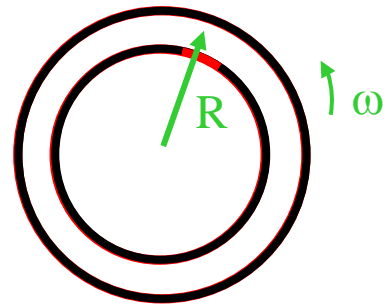
If so, is single-particle bulk energy gap nonzero everywhere on F.S.?

Even if so, does large counterflow energy of HQV mean it is never stable?

(2) Non-observation of HQV's in $^3\text{He-A}$:

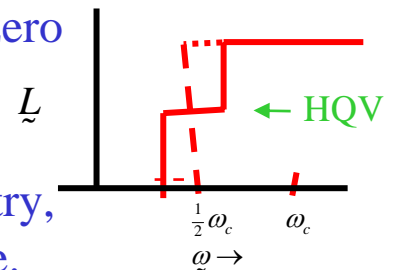
Consider thin annulus rotating at ang. velocity ω , and df. $\omega_c \equiv \hbar / 2mR^2$

At $\omega = \frac{1}{2}\omega_c$ exactly, the nonrotating state and the ordinary "vortex" (p-state) with both spins rotating are degenerate.



But a simple variational argument shows that barring pathology, there exists a nonzero range of ω close to $\frac{1}{2}\omega_c$ where the HQV is more stable than either!

In a simply connected flat-disk geometry, argument is not rigorous but still plausible.



⚠: Yamashita et al. (2008) do experiment in flat-disk geometry, find NO EVIDENCE for HQV!

Possible explanations:

(1) HQV is never stable (Kawakami et al., preprint, Oct 08)

(2) HQV did occur, but NMR detection technique insensitive to it.

(3) HQV is thermodynamically stable, but inaccessible in experiment.

(4) Nature does not like HQV's.

Problems (cont.)

More fundamental problem:

Does the existence of a “split $E=0$ DB fermion” survive the replacement of the scale-invariant gap fermion

$$\Delta(\underline{r}, \underline{r}') = \frac{\Delta_b}{k_F} \partial_r \delta(\underline{r} - \underline{r}')$$

by the true gap $\Delta(\underline{r} - \underline{r}')$?

Recall: real-space width of “MF” is

$$\ell \sim k_F^{-1} (R_o / \xi)$$

but, range of real-life $\Delta(\underline{r} - \underline{r}') \gtrsim k_F^{-1}$!

Possible clues from study of toy model

$$\hat{H} = \sum_{j=1}^{N-1} (-t a_j^+ a_{j+1} - i \Delta a_j^+ a_{j+1}^+ + H.c.) - \mu \sum_{j=1}^N a_j^+ a_j$$

as f'n of ratios Δ/t and μ/t , taking proper account of boundary conditions.

For $\Delta=t$, $\mu=0$ 2 MF's exist at ends of chain

For $\Delta = 0$, any t/μ , trivially soluble, no MF's or anything else exotic.

Where and how does crossover occur? (cf. Lu and Yip, Oct. 2008)

