

# Mottness and Holography

Thanks to:  
NSF, EFRC (DOE)

M. Edalati



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and  
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T.-P. Choy



R. G. Leigh



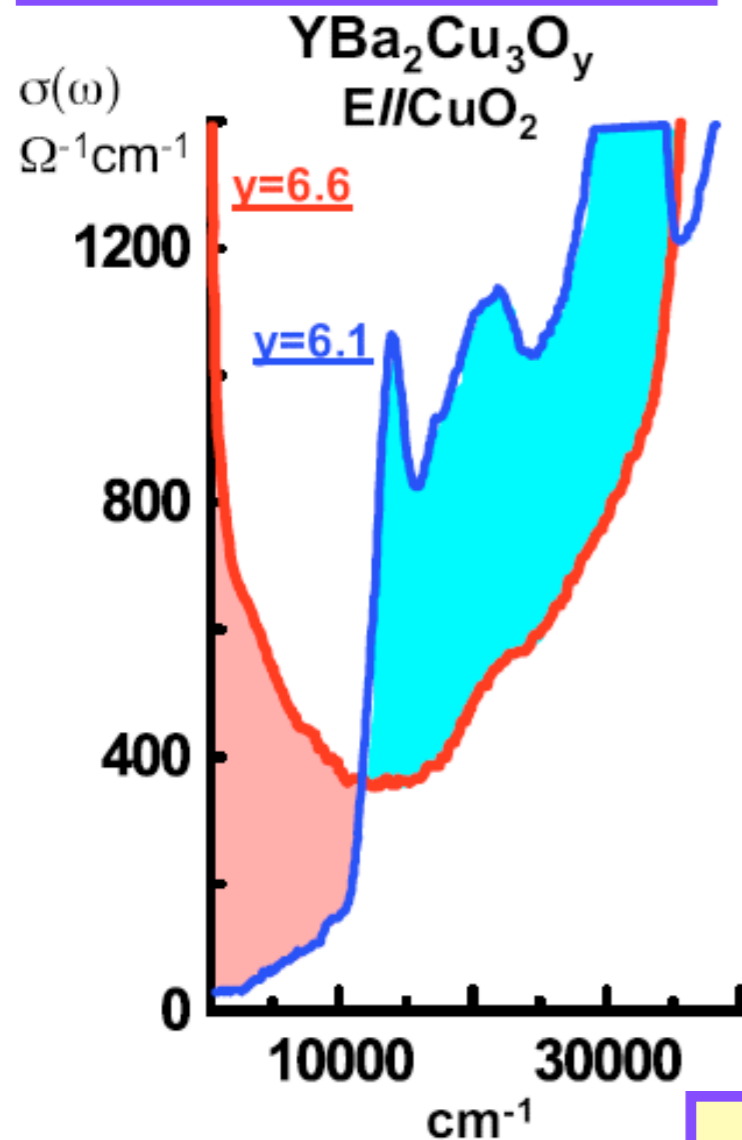
What is Mottness?

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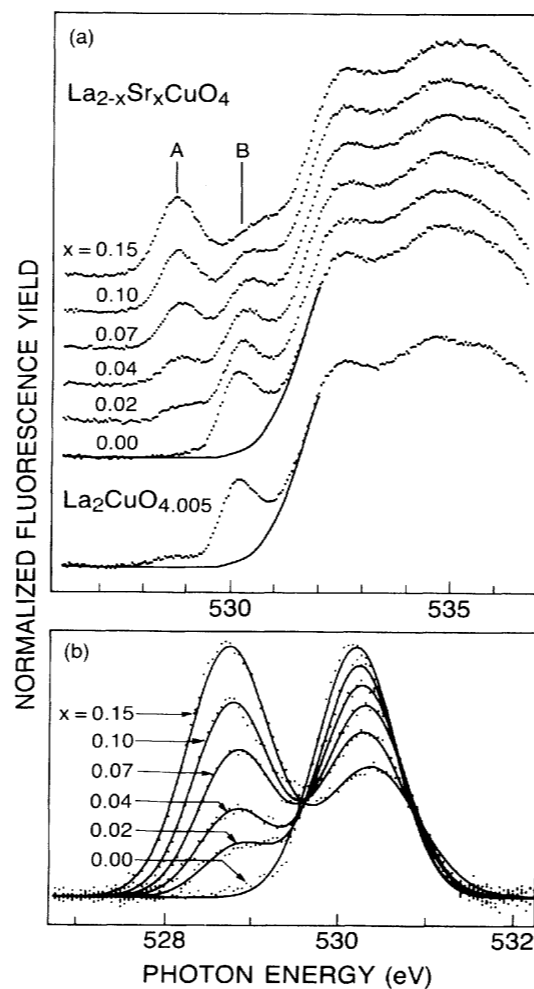
physics of a non-rigid band:  
UV-IR mixing

# What is Mottness?

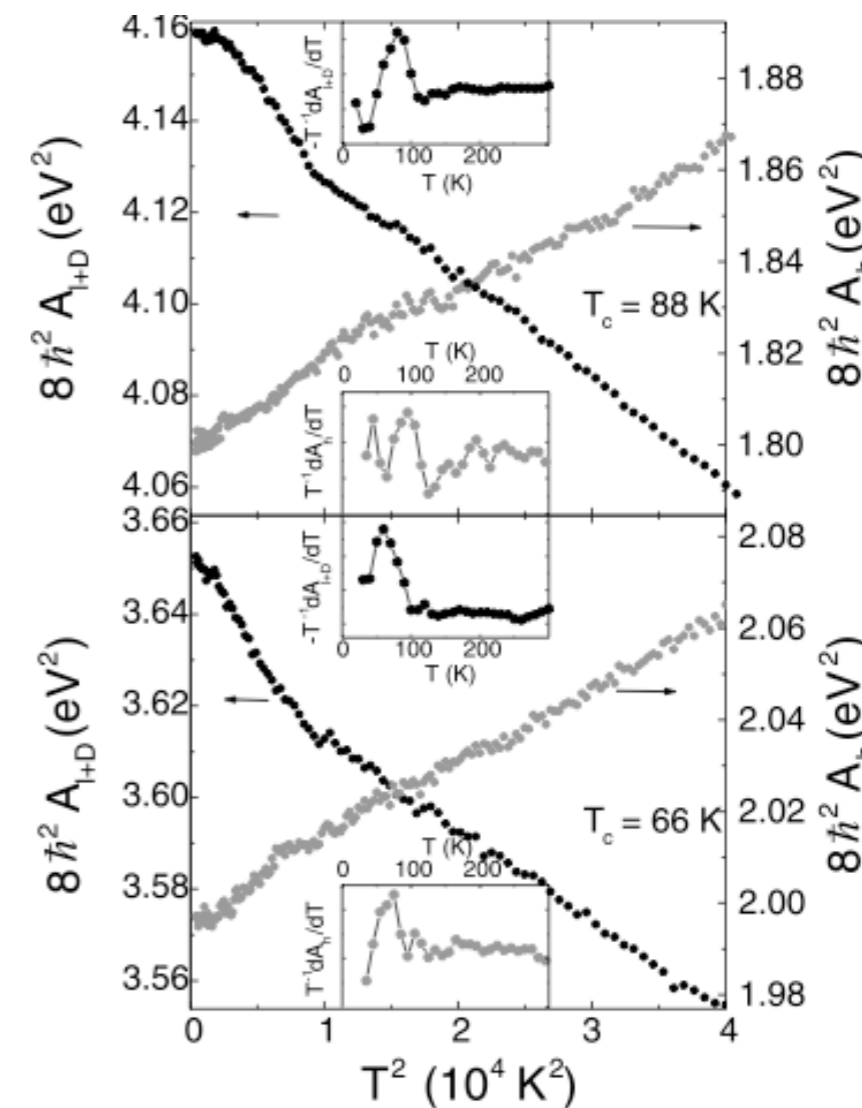
2-particle probe



1-particle



SC state

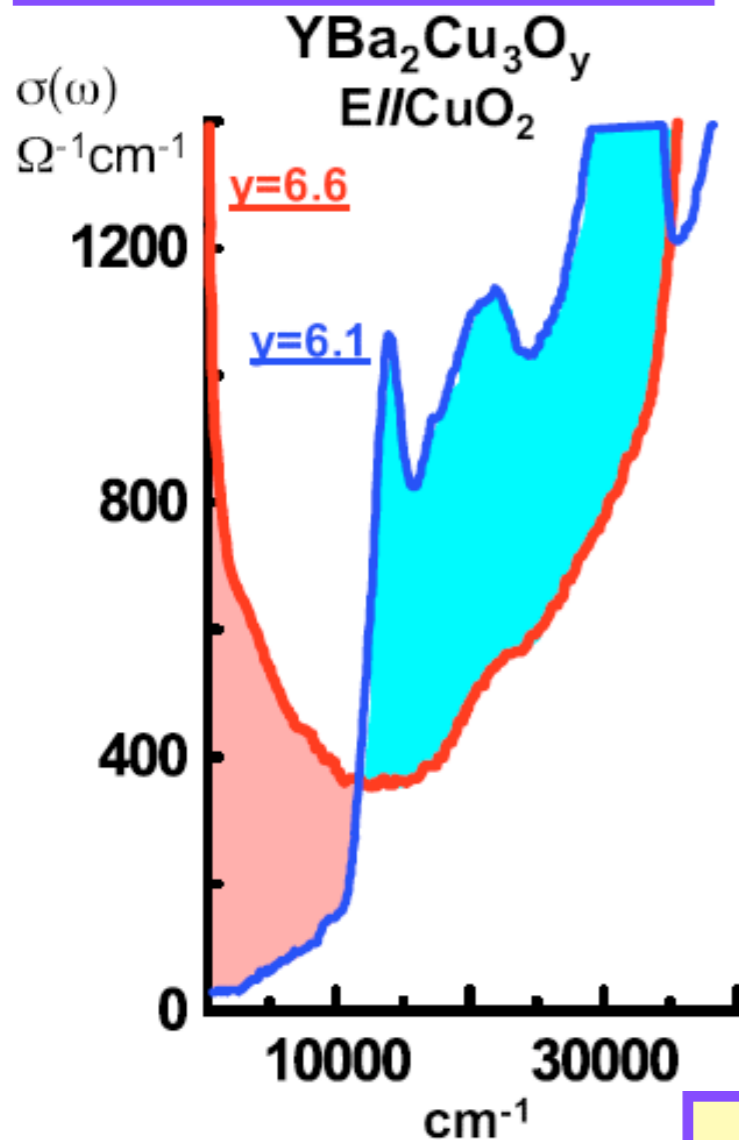


physics of a non-rigid band:  
UV-IR mixing

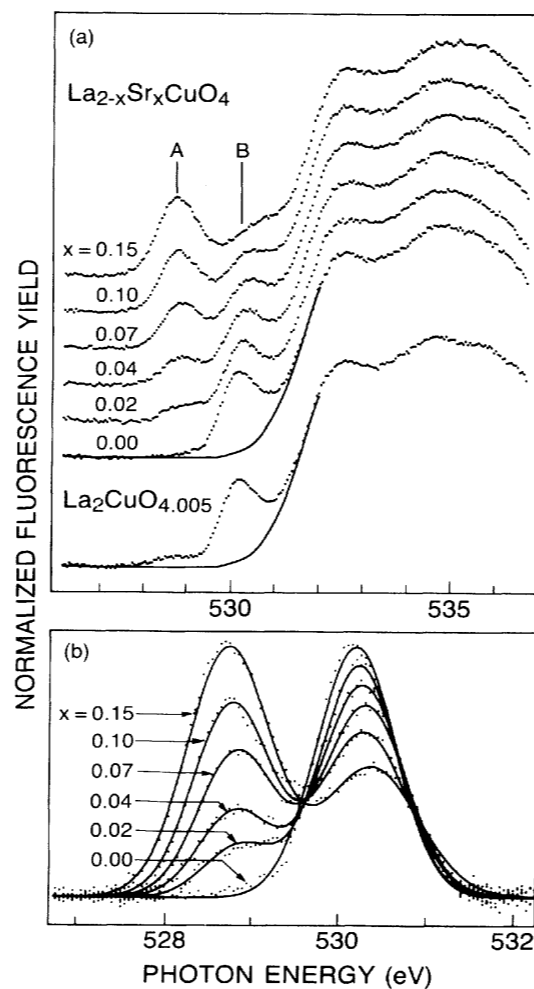
non-BCS

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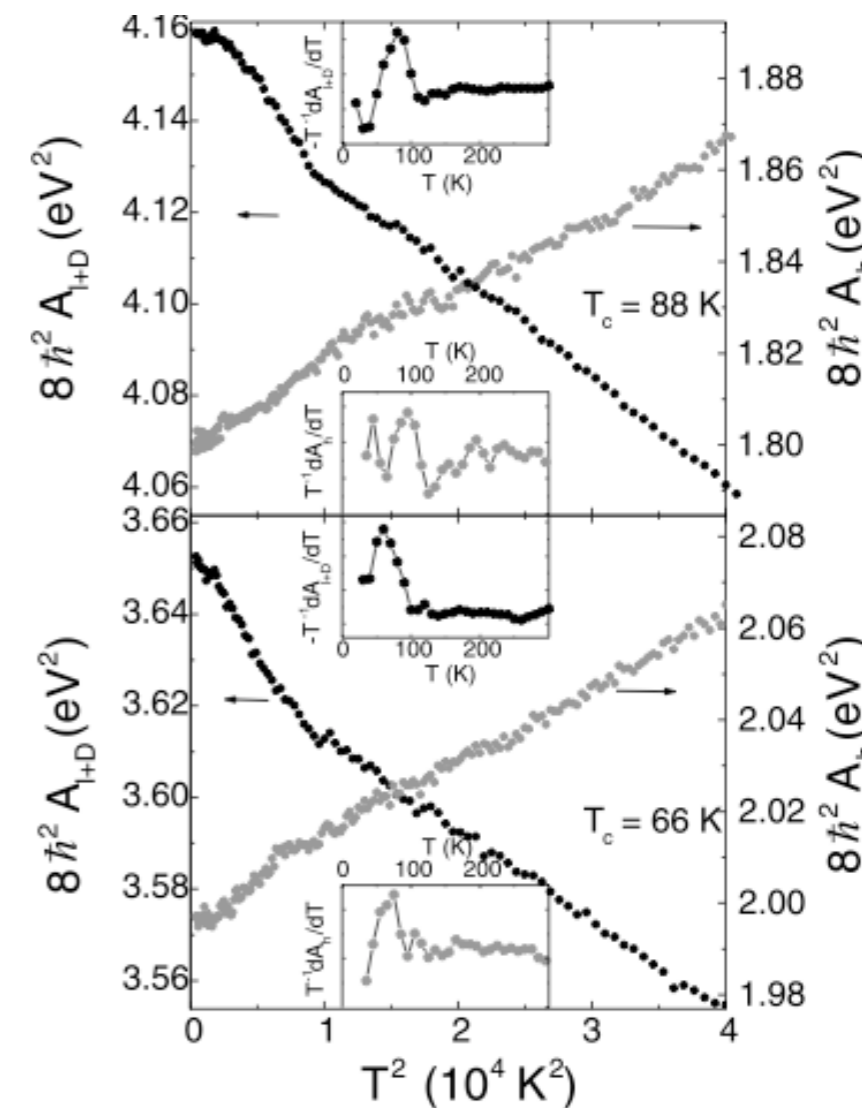
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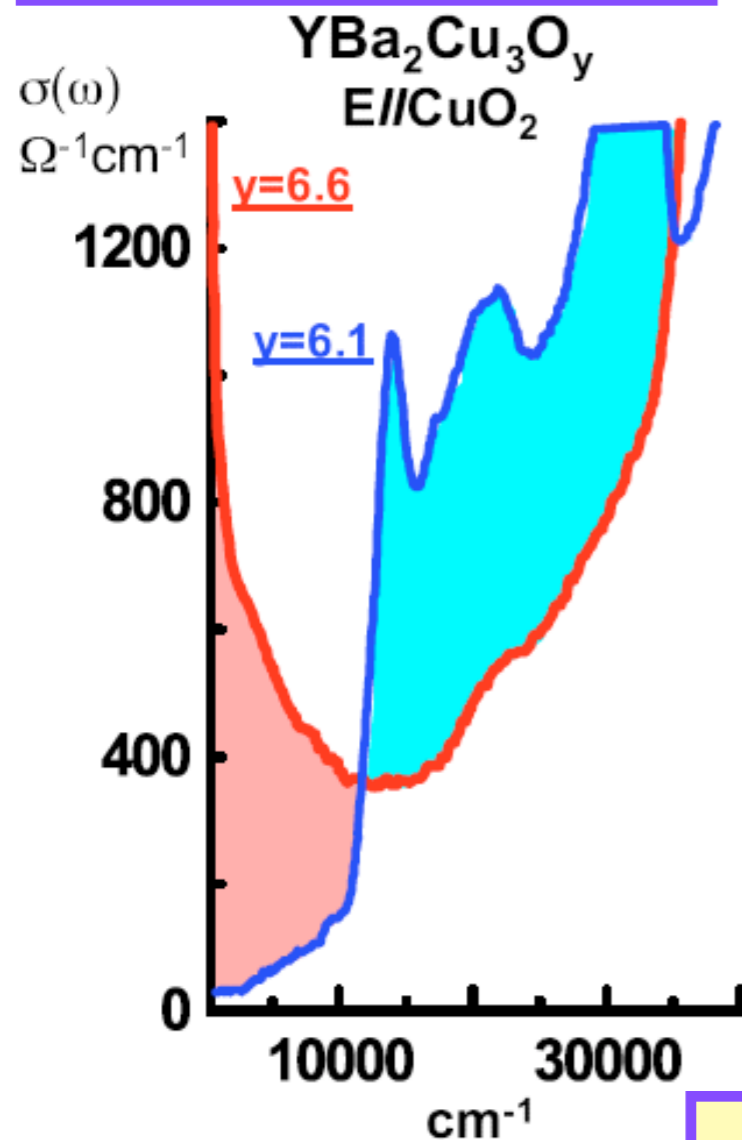
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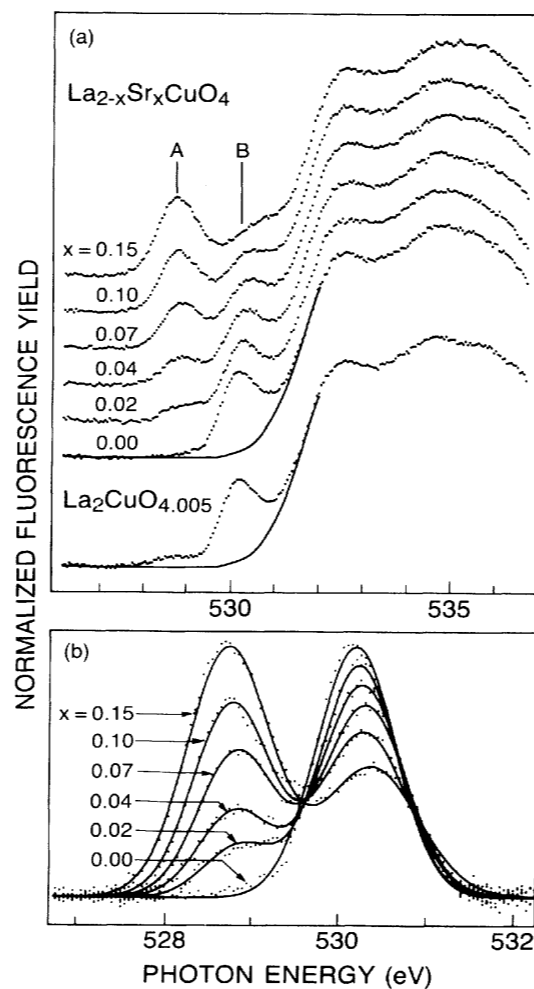
current=  
what particle

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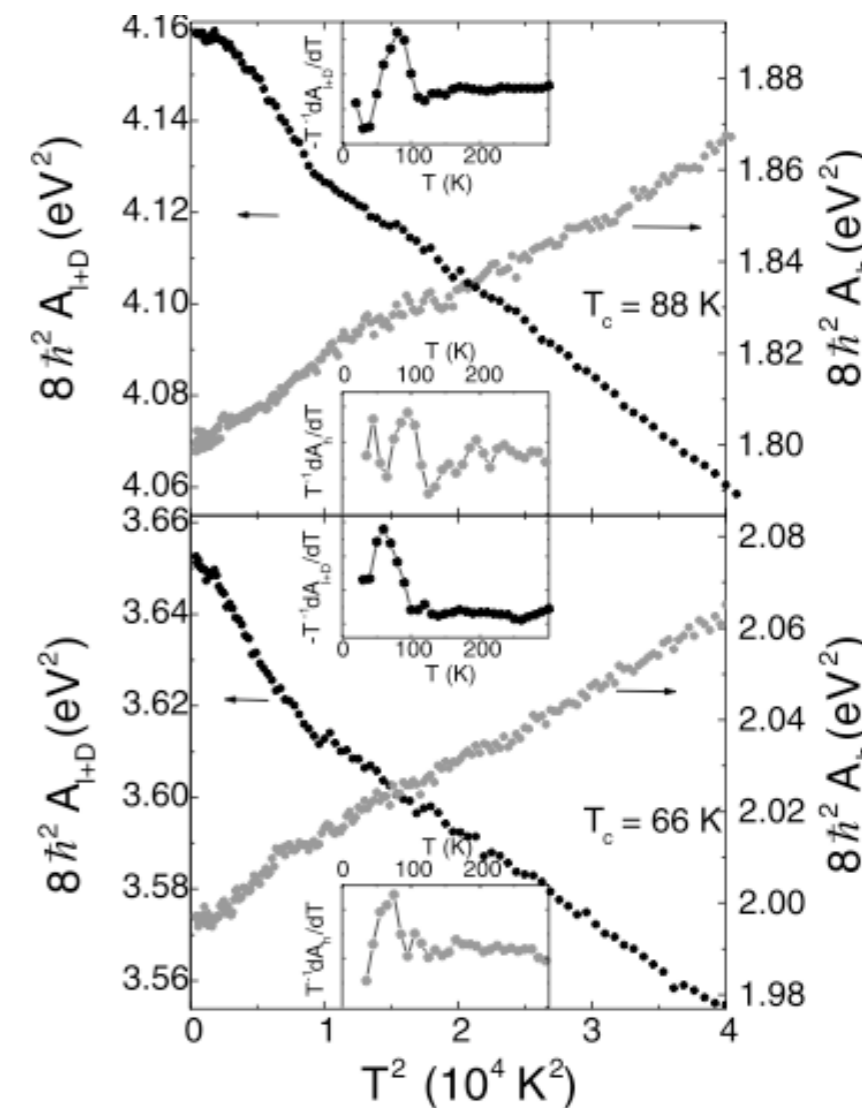
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physics of a non-rigid band:  
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Wilsonian program

current=  
what particle

holography

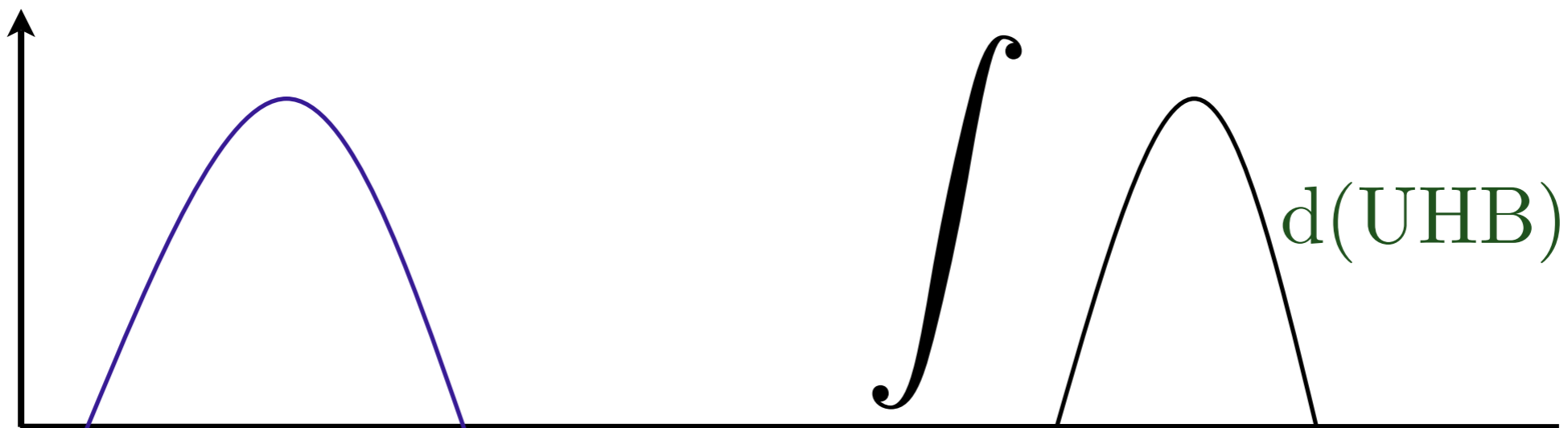
$$H = -t \sum_{ij\sigma} g_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Wilsonian program

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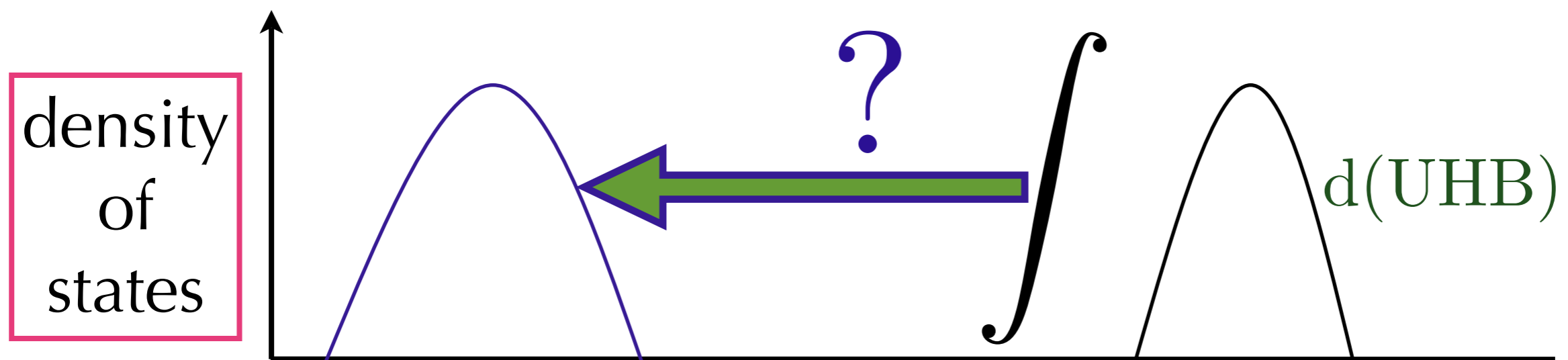
density  
of  
states





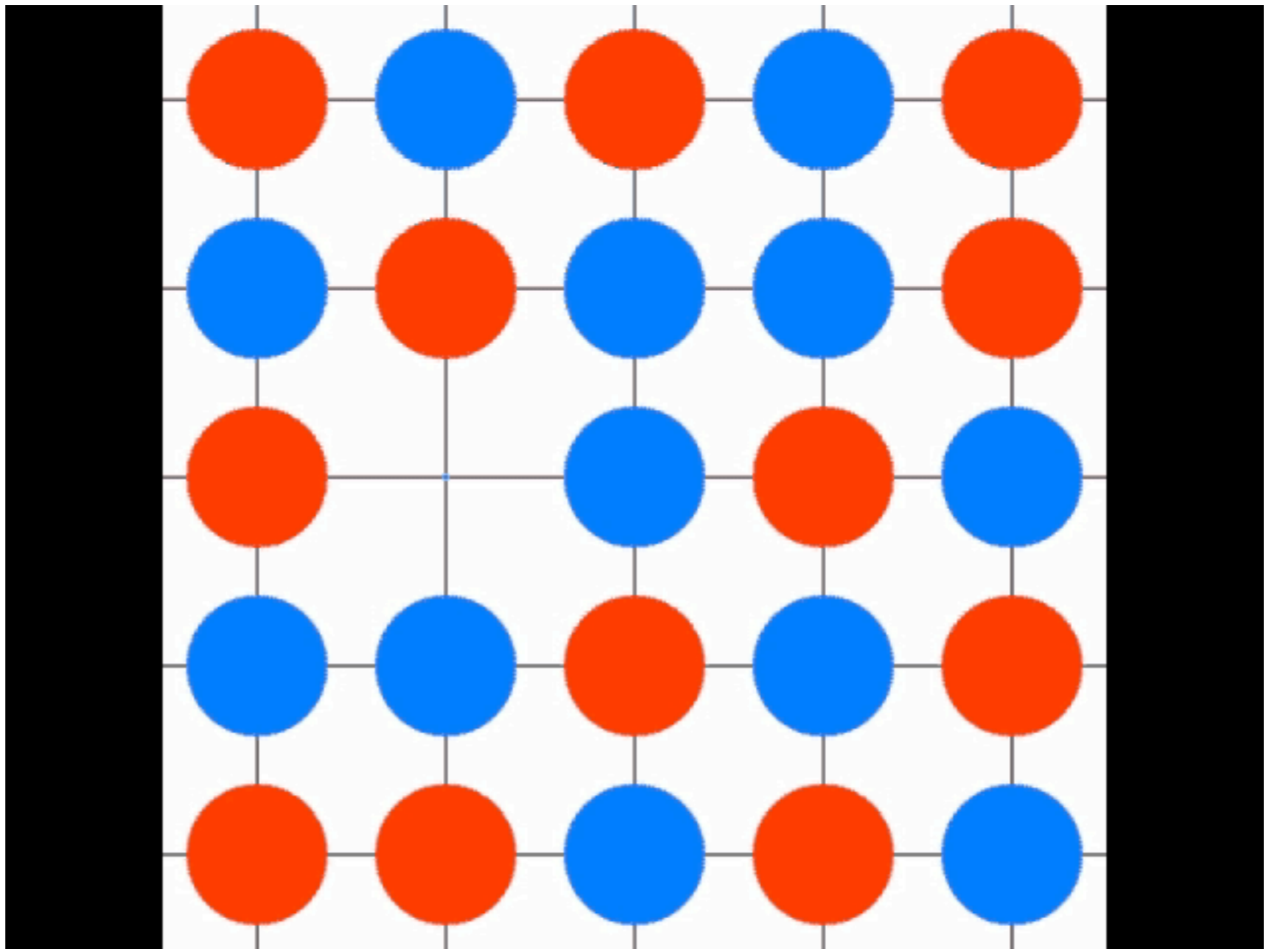
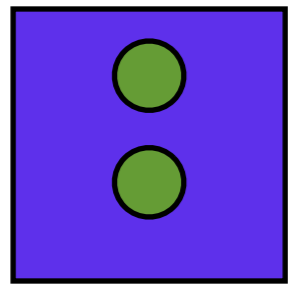
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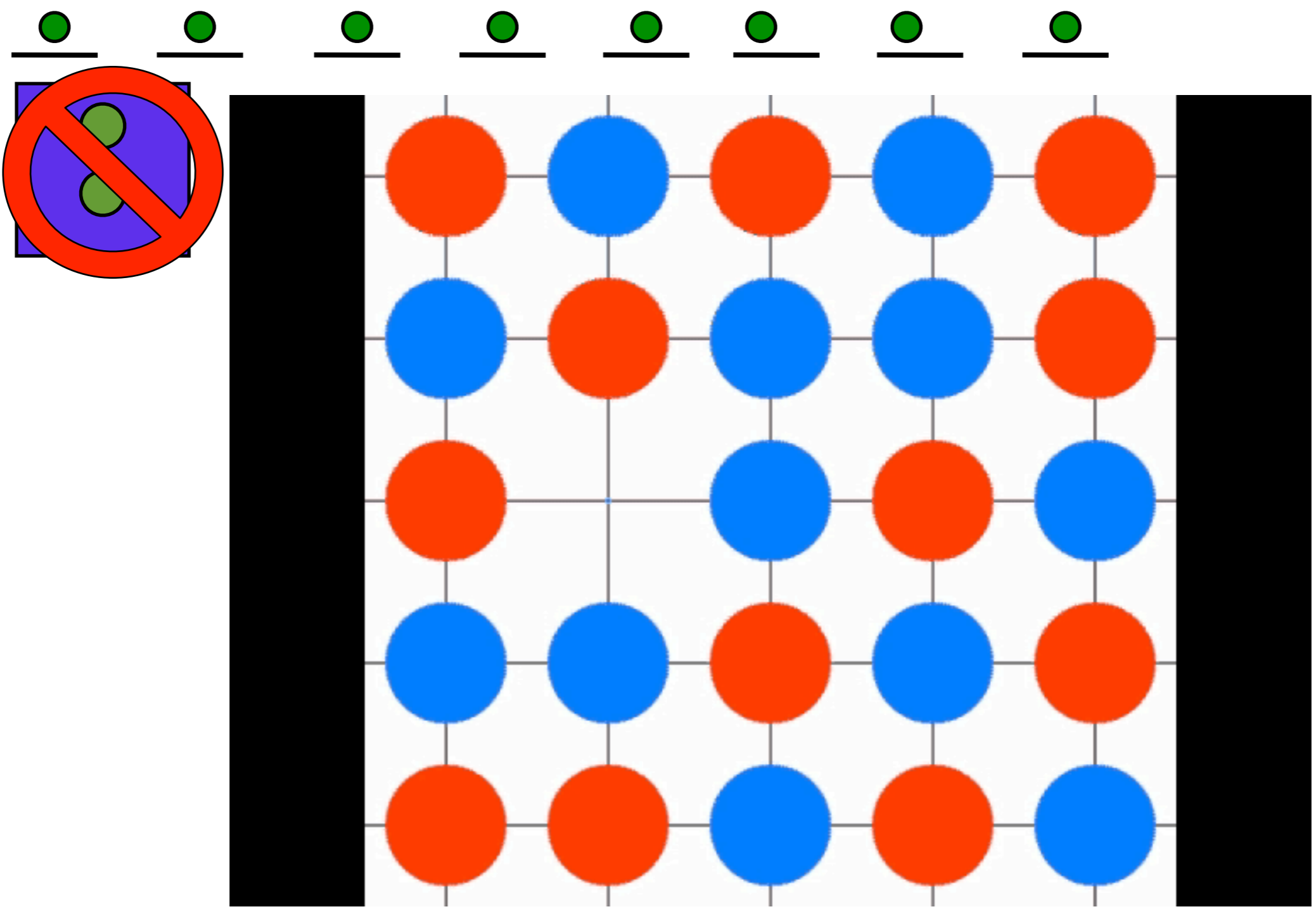


What is transferred down?

classical limit



classical limit



atomic limit:  $x$  holes

density of states



spectral weight:  $x$ -dependent

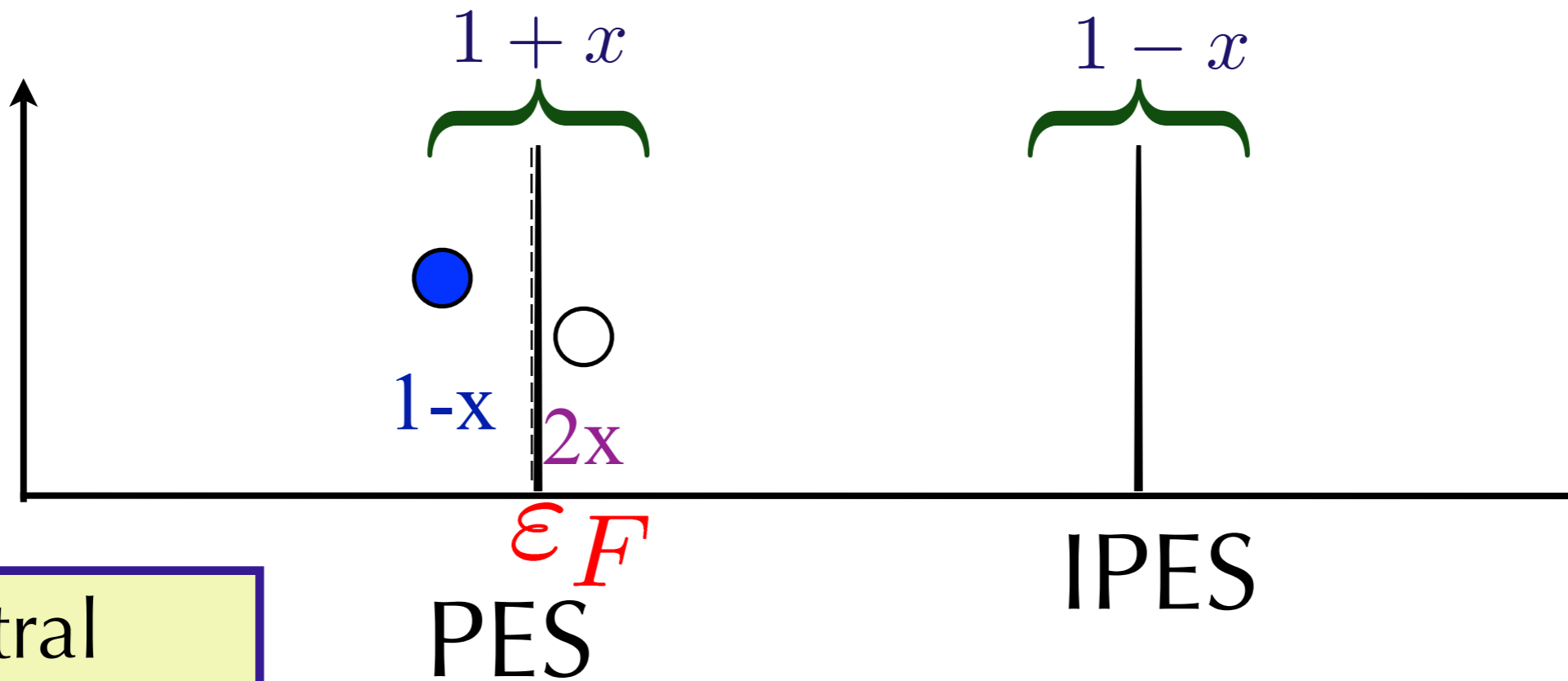
PES

IPES

$$G(\omega, k) = \frac{1 + x}{\omega - \mu + U/2} + \frac{1 - x}{\omega - \mu - U/2}$$

atomic limit:  $x$  holes

density of states

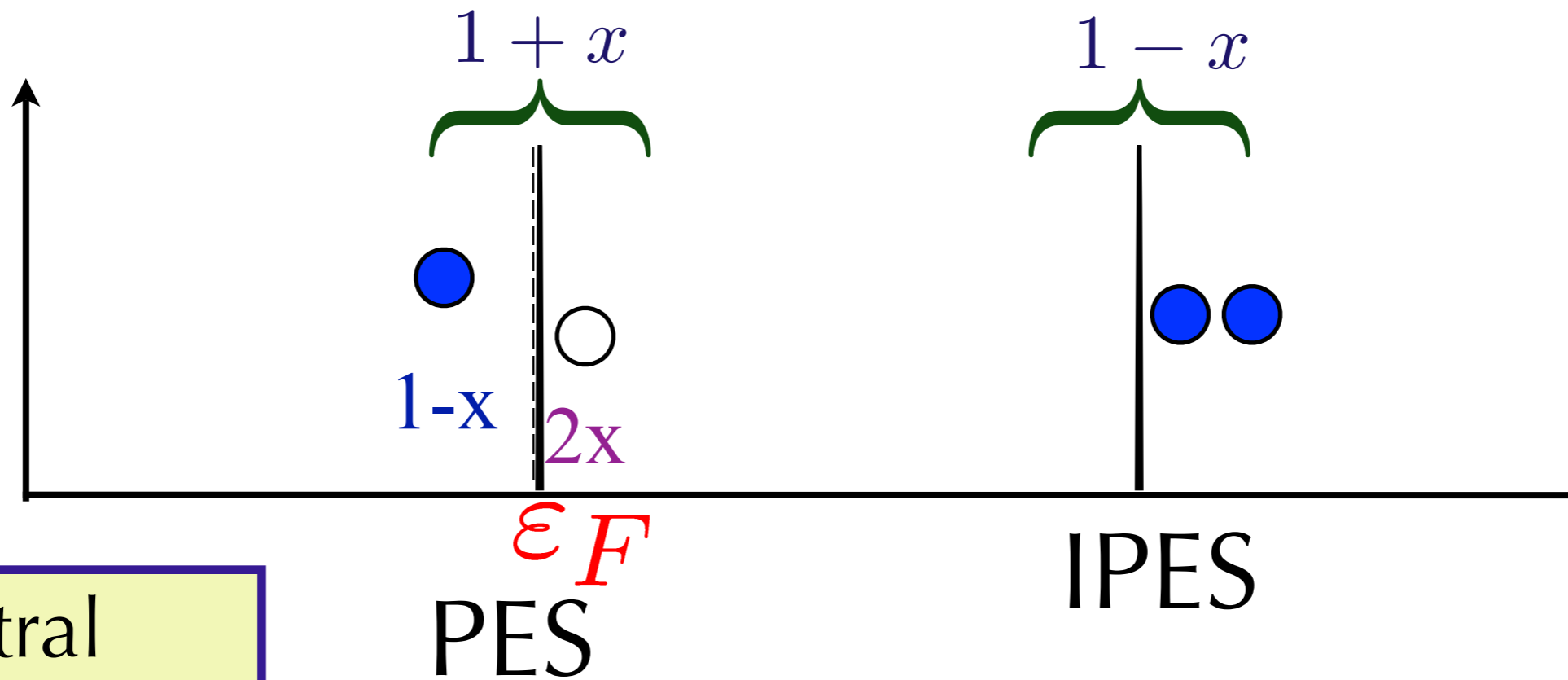


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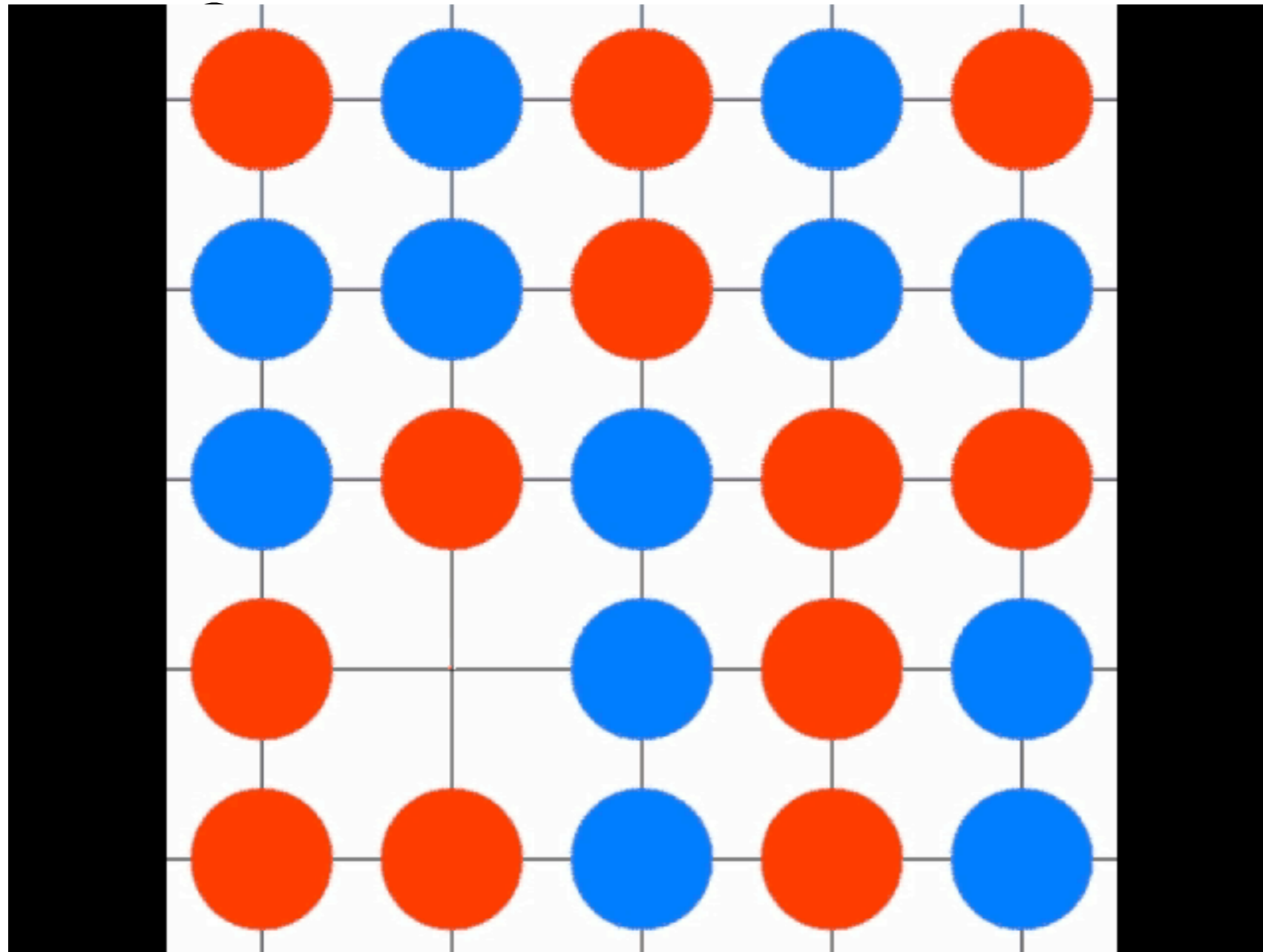


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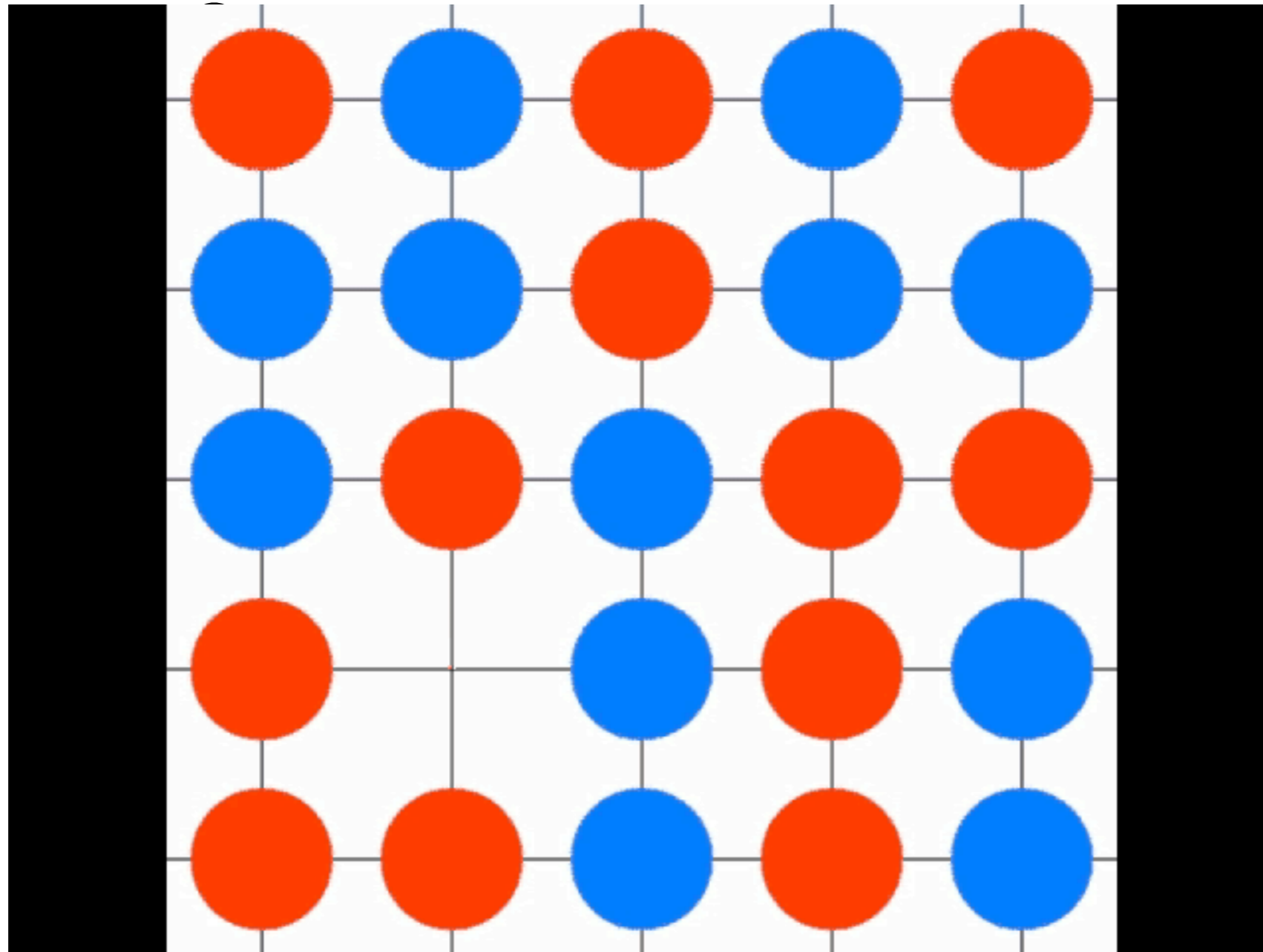
quantum Mottness:  $U$  finite

$$U \gg t$$



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$$U \gg t$$

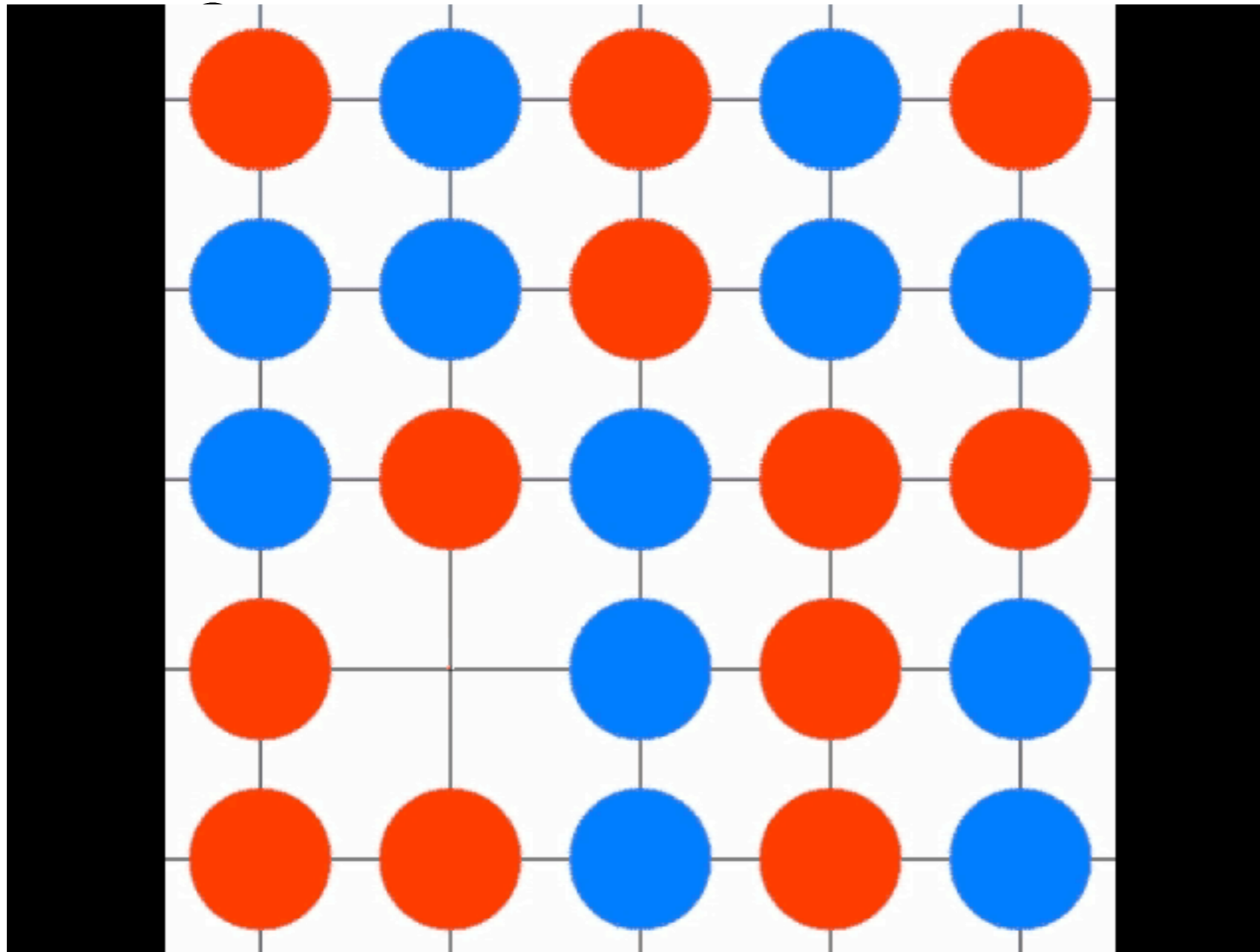


double occupancy in ground state!!



quantum Mottness:  $U$  finite

$$U \gg t$$

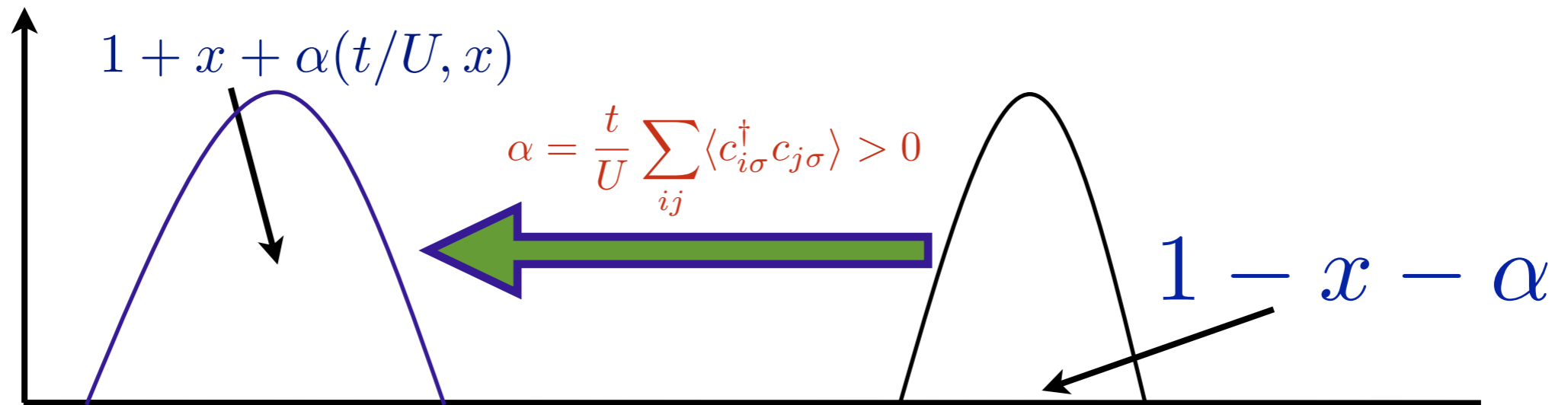


double occupancy in ground state!!

$$W_{\text{PES}} > 1 + x$$

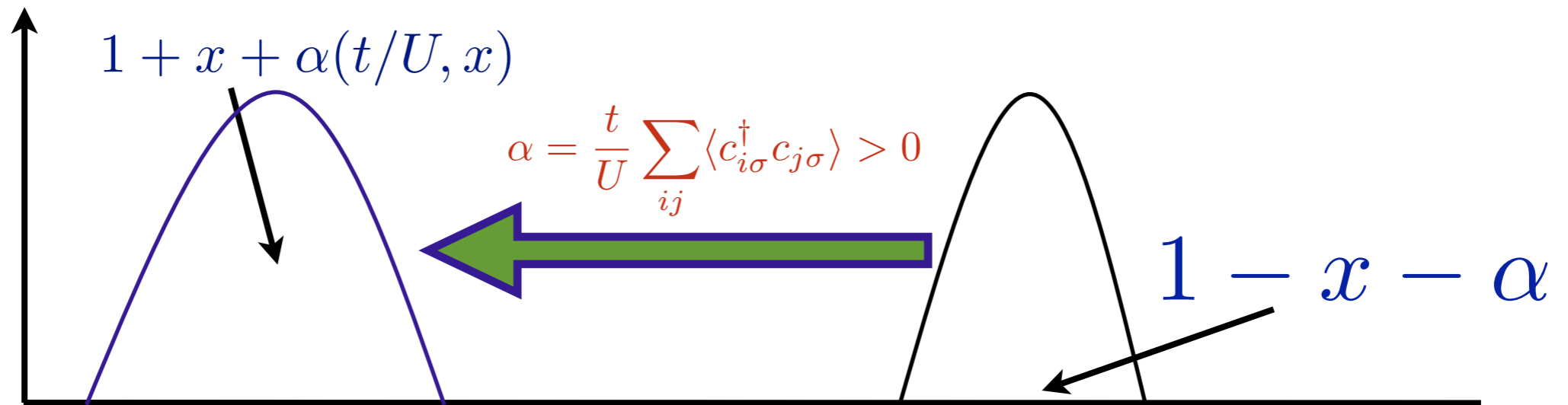
beyond the atomic limit: any real system

density  
of  
states



beyond the atomic limit: any real system

density  
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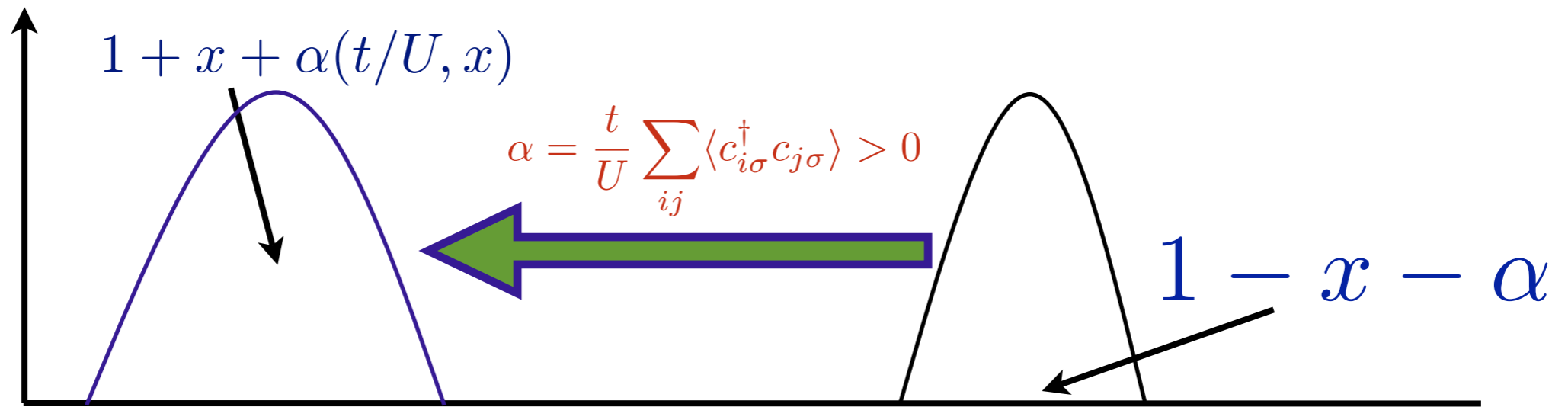


Harris & Lange, 1967

dynamical spectral weight transfer

beyond the atomic limit: any real system

density of states



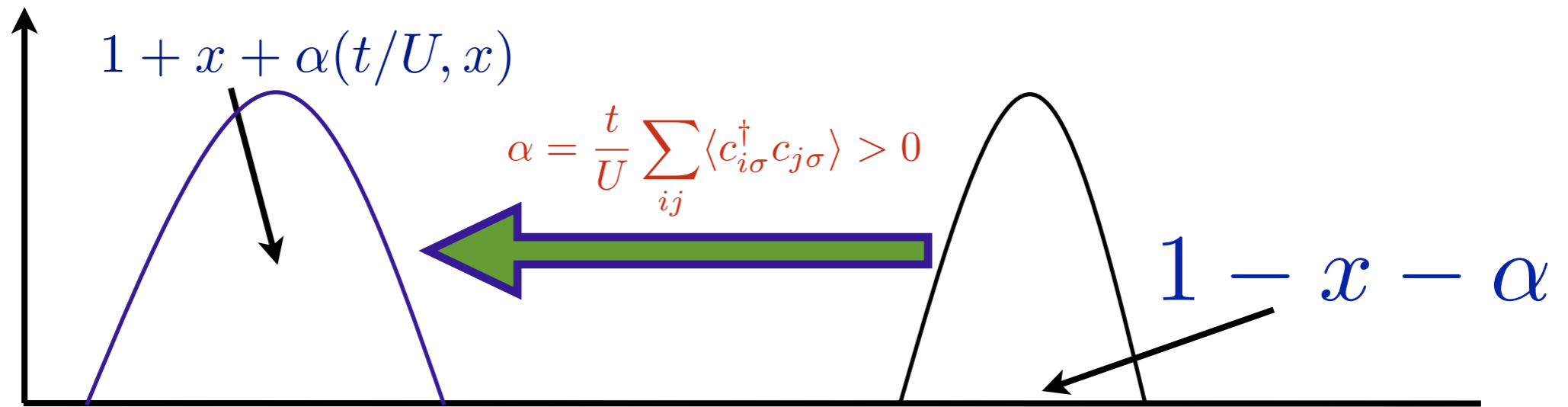
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Intensity  $> 1 + x$

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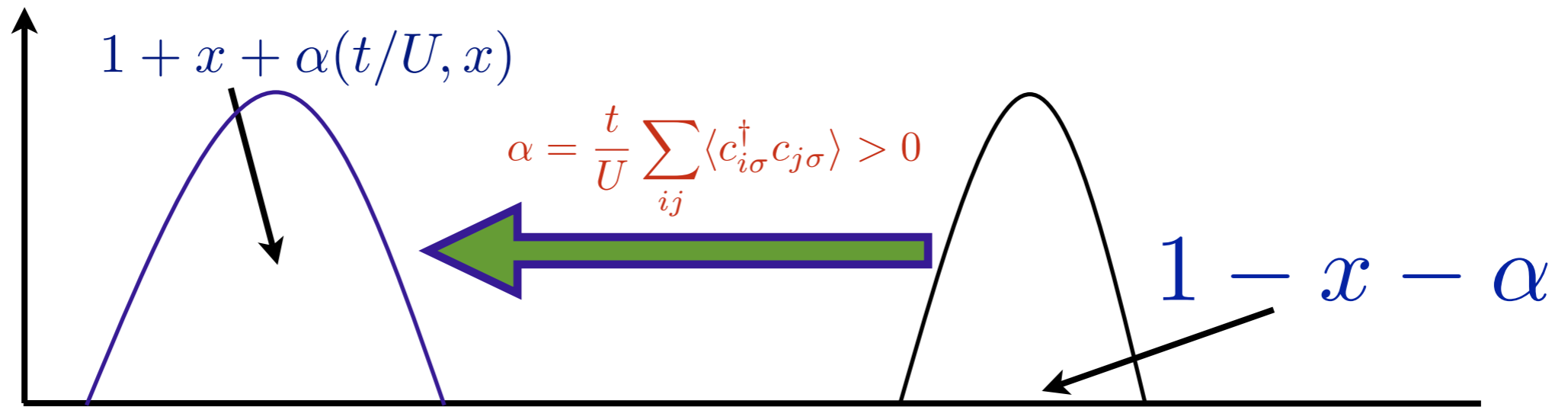
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Intensity  $> 1+x$

# of charge e states

beyond the atomic limit: any real system

density of states



Harris & Lange, 1967

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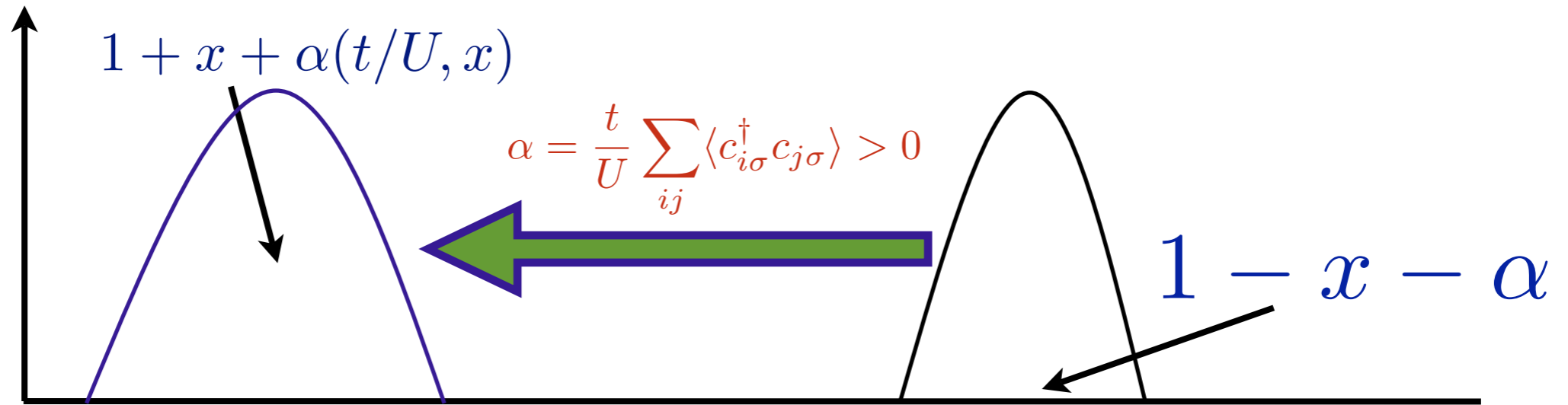
Intensity  $> 1 + x$

# of charge e states

# of electron states in lower band

beyond the atomic limit: any real system

density of states



Harris & Lange, 1967

dynamical spectral weight transfer

Intensity  $> 1 + x$

# of charge e states

# of electron states in lower band

not exhausted by counting electrons alone?

What are the extra states (degrees of freedom)?



## Key Equation

$$1 = 2 - 1$$

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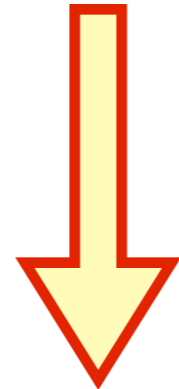
$$1 = 2 - 1$$

$$e = 2e - e$$

## Key Equation

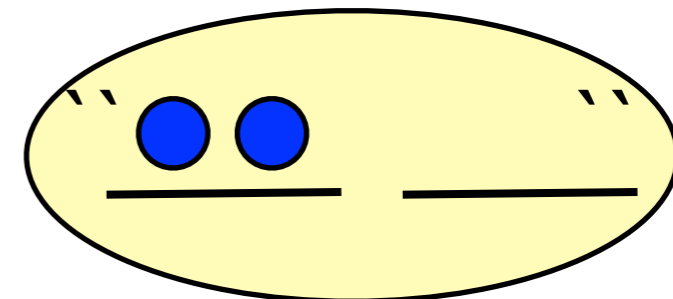
$$1 = 2 - 1$$

$$e = 2e - e$$



composite (bound)  
excitation

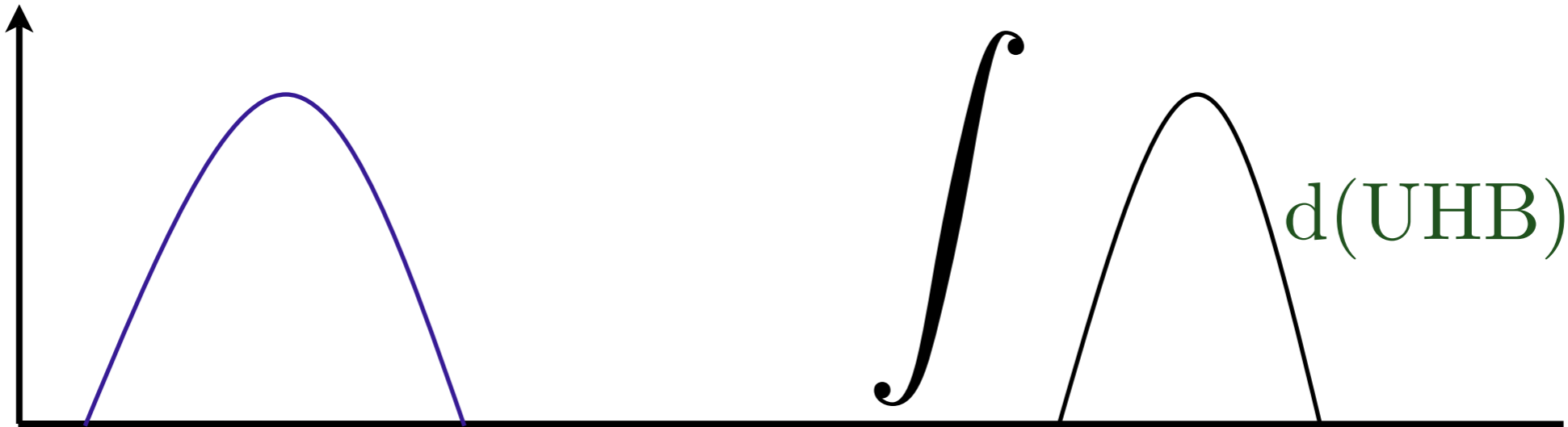
$2e(\text{boson})$   
+  
hole



Hubbard bands are not rigid: Mottness

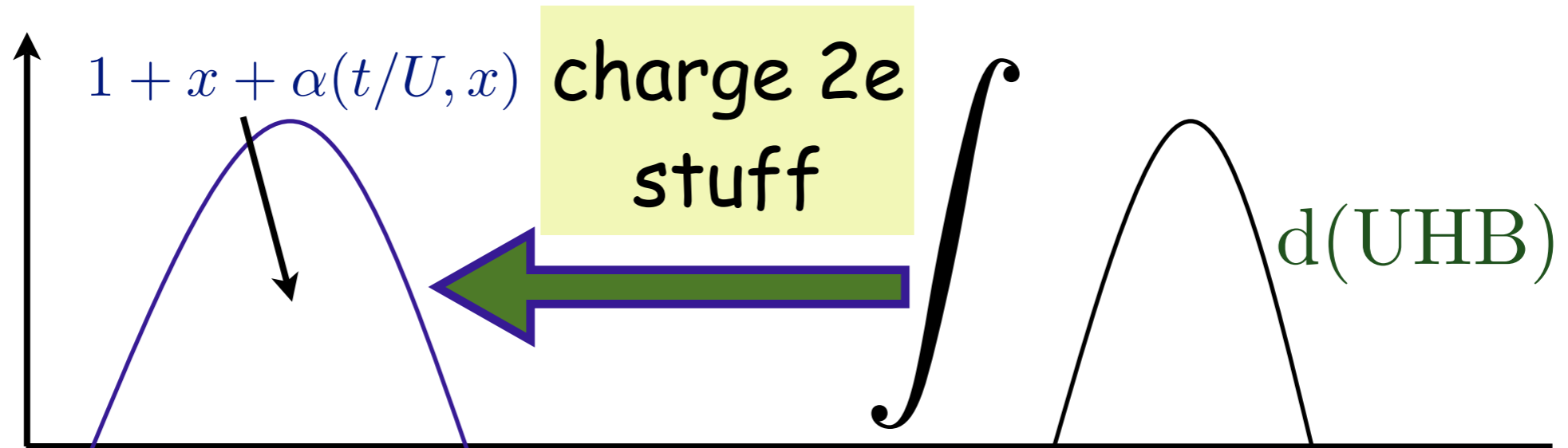
We now know what ? is

density  
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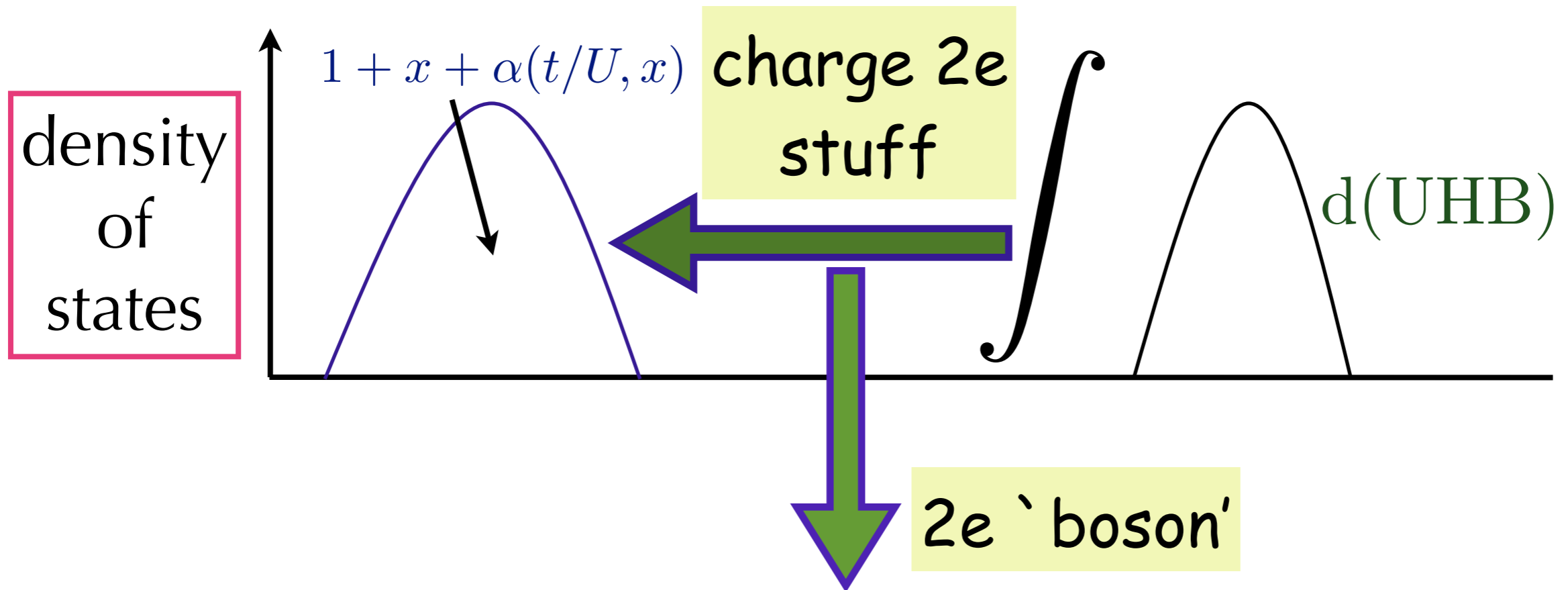


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We now know what ? is

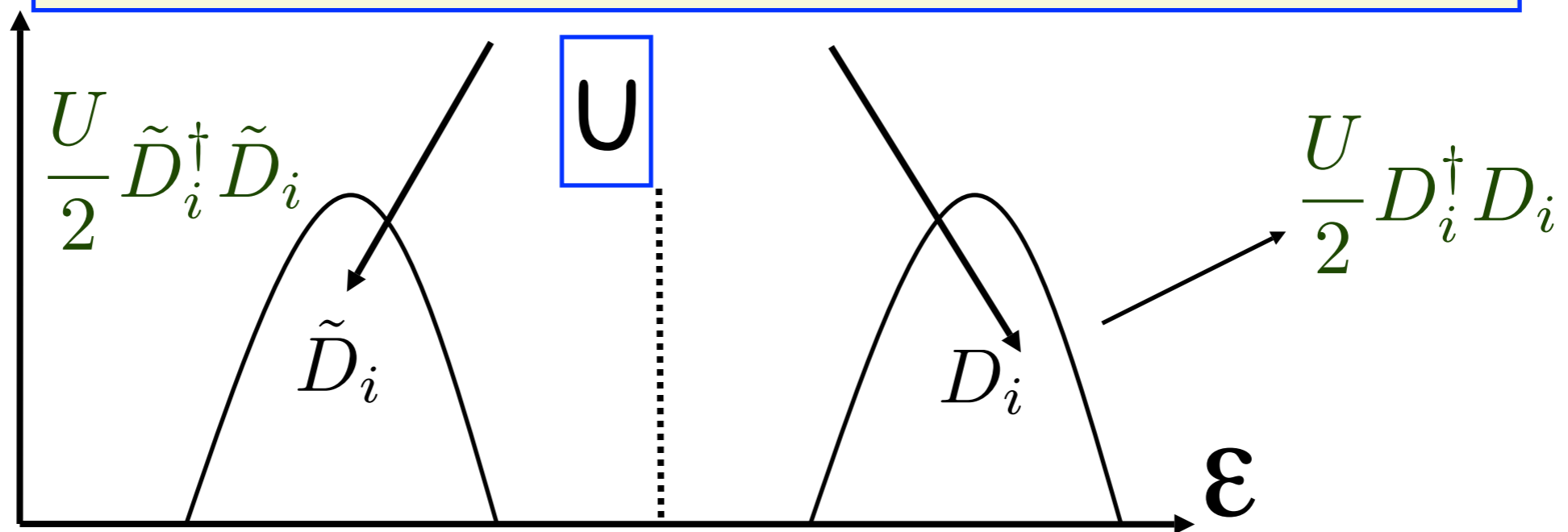


collective excitation from double occupancy:  
incoherence at low energies

Key idea: similar to Bohm/Pines

Extend the Hilbert space:  
Associate with U-scale new  
Fermionic oscillators

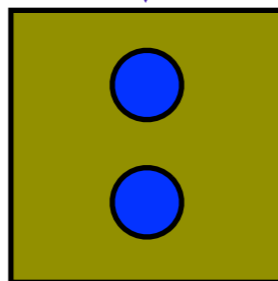
$N(\omega)$



$D_i^\dagger$

Fermionic

?

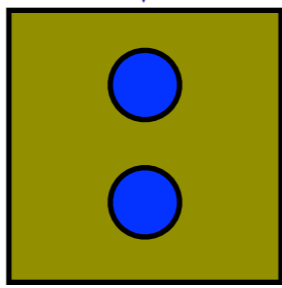


transforms as a boson



$D_i^\dagger$  Fermionic

?

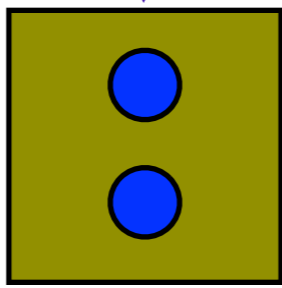


one per site  
(fermionic)

transforms as a boson

$D_i^\dagger$  Fermionic

?



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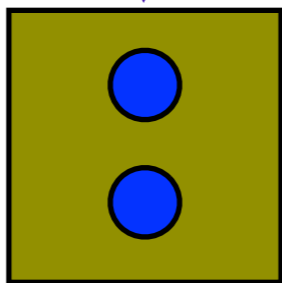
transforms as a boson

Grassmann

$$\delta(D_i - \theta c_{i\uparrow} c_{i\downarrow})$$

$D_i^\dagger$  Fermionic

?



one per site  
(fermionic)

transforms as a boson

Grassmann

$$\delta(D_i - \theta c_{i\uparrow} c_{i\downarrow})$$

$\theta \varphi_i^\dagger$  charge  $2e$  boson

# Exact low-energy Lagrangian

$$L = \#L_{\text{bare}}(\text{electrons}) + \#L_{\text{bare}}(\text{bosons})$$

$$+ f(\omega)L_{\text{int}}(c, \varphi) + \tilde{f}(\omega)L_{\text{int}}(c, \tilde{\varphi})$$

$$\Psi^\dagger \Psi$$

$$\tilde{\Psi}^\dagger \tilde{\Psi}$$

quadratic form:  
composite or bound  
excitations of

$$\varphi^\dagger c_{i\sigma}$$

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$$L = \#L_{\text{bare}}(\text{electrons}) + \#L_{\text{bare}}(\text{bosons})$$

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$$f(\omega) = 0$$

$$\Psi^\dagger \Psi$$

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dispersion  
of propagating  
light modes

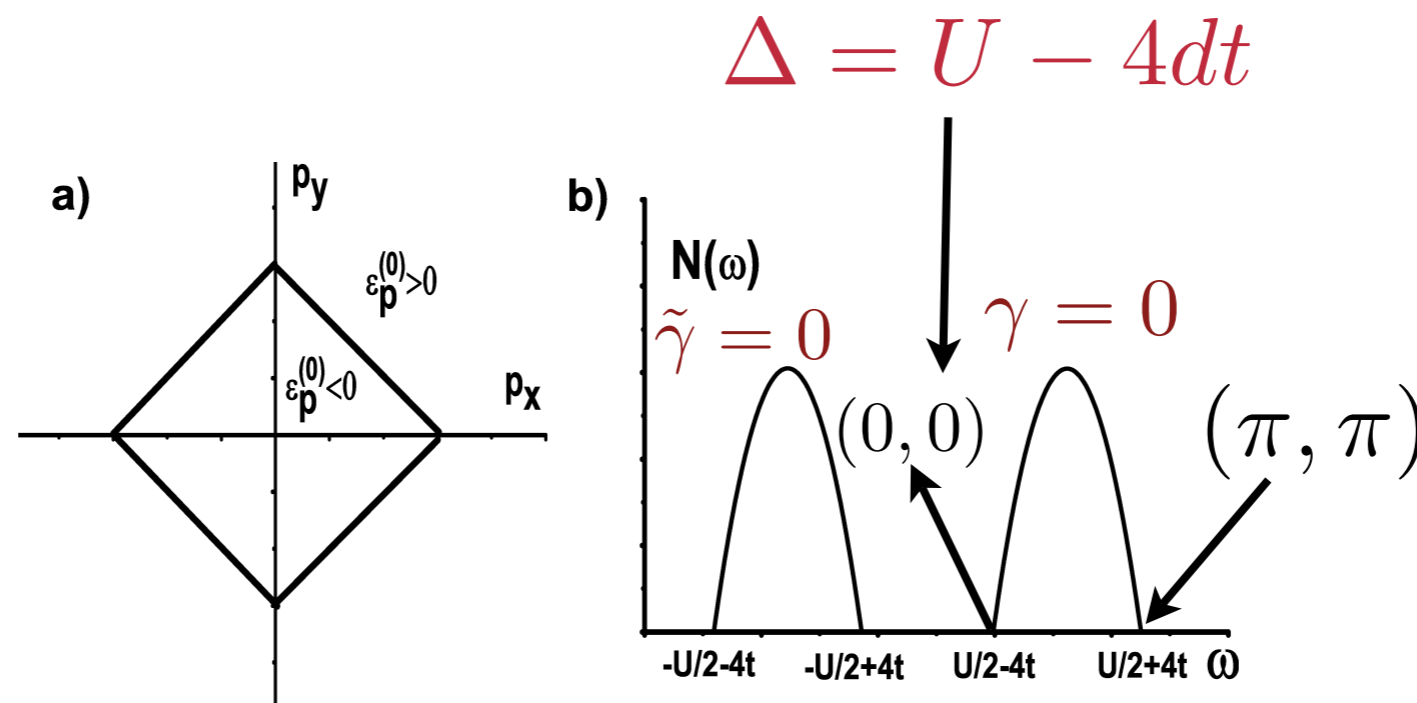
quadratic form:  
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$$\varphi^\dagger c_{i\sigma}$$

# composite excitations determine spectral density: Mott gap

$$\gamma_{\vec{p}}^{(\vec{k})}(\omega) = \frac{U - t\varepsilon_{\vec{p}}^{(\vec{k})} - 2\omega}{U} \sqrt{1 + 2\omega/U}$$

$$\tilde{\gamma}_{\vec{p}}^{(\vec{k})}(\omega) = \frac{U + t\varepsilon_{\vec{p}}^{(\vec{k})} + 2\omega}{U} \sqrt{1 - 2\omega/U}.$$

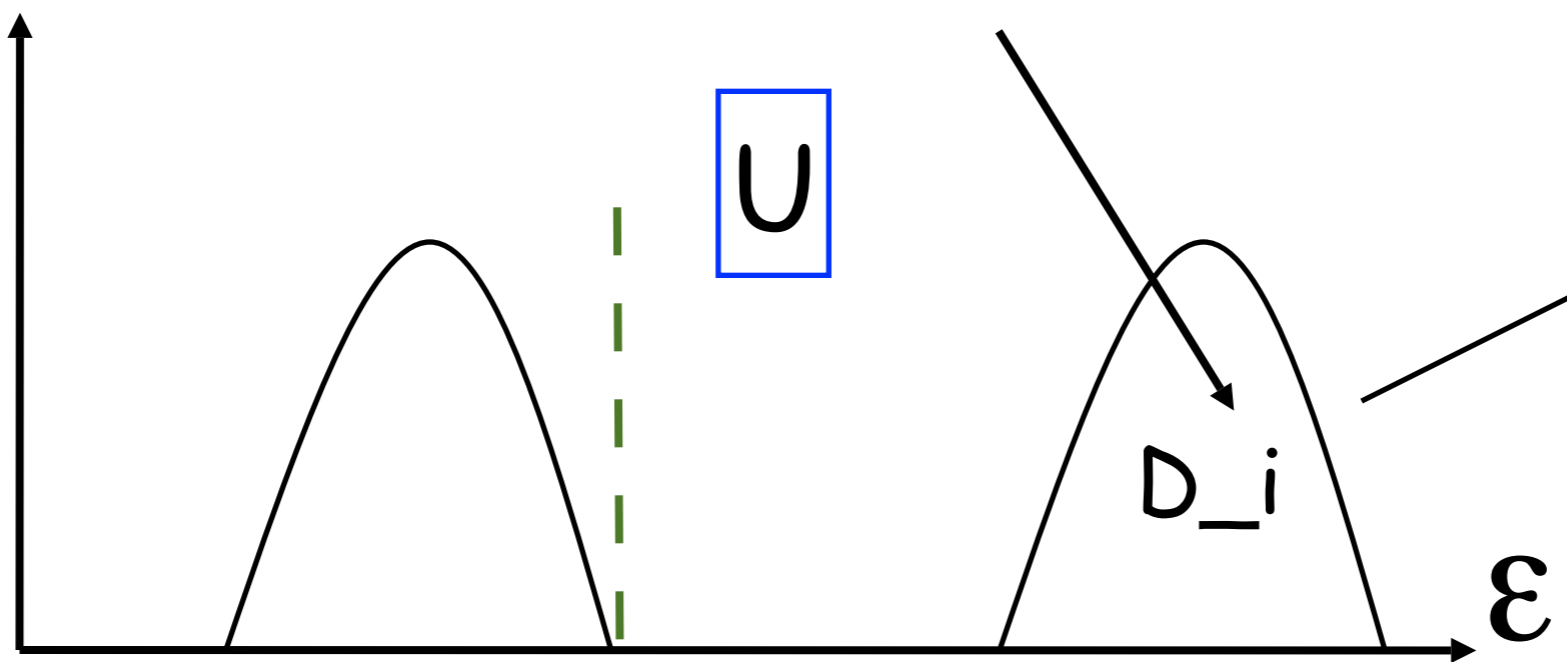


each momentum has SD at two distinct energies

hole-doping?

Extend the Hilbert space:  
Associate with U-scale a new  
Fermionic oscillator

$N(\omega)$



$$U D_i^\dagger D_i$$

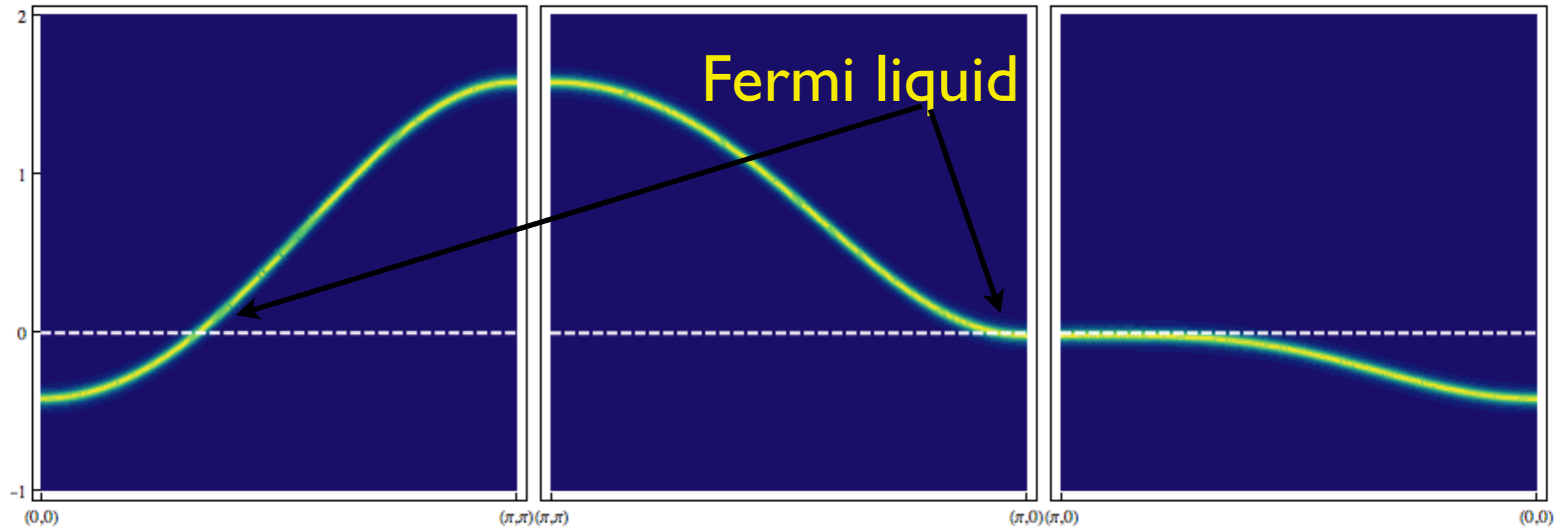


$$\mathcal{L}_{\text{IR}} = L_{\text{spinstuff}}(c_i, c_i^\dagger) + L_{\text{charge}}(c_i, c_i^\dagger, \varphi_i)$$

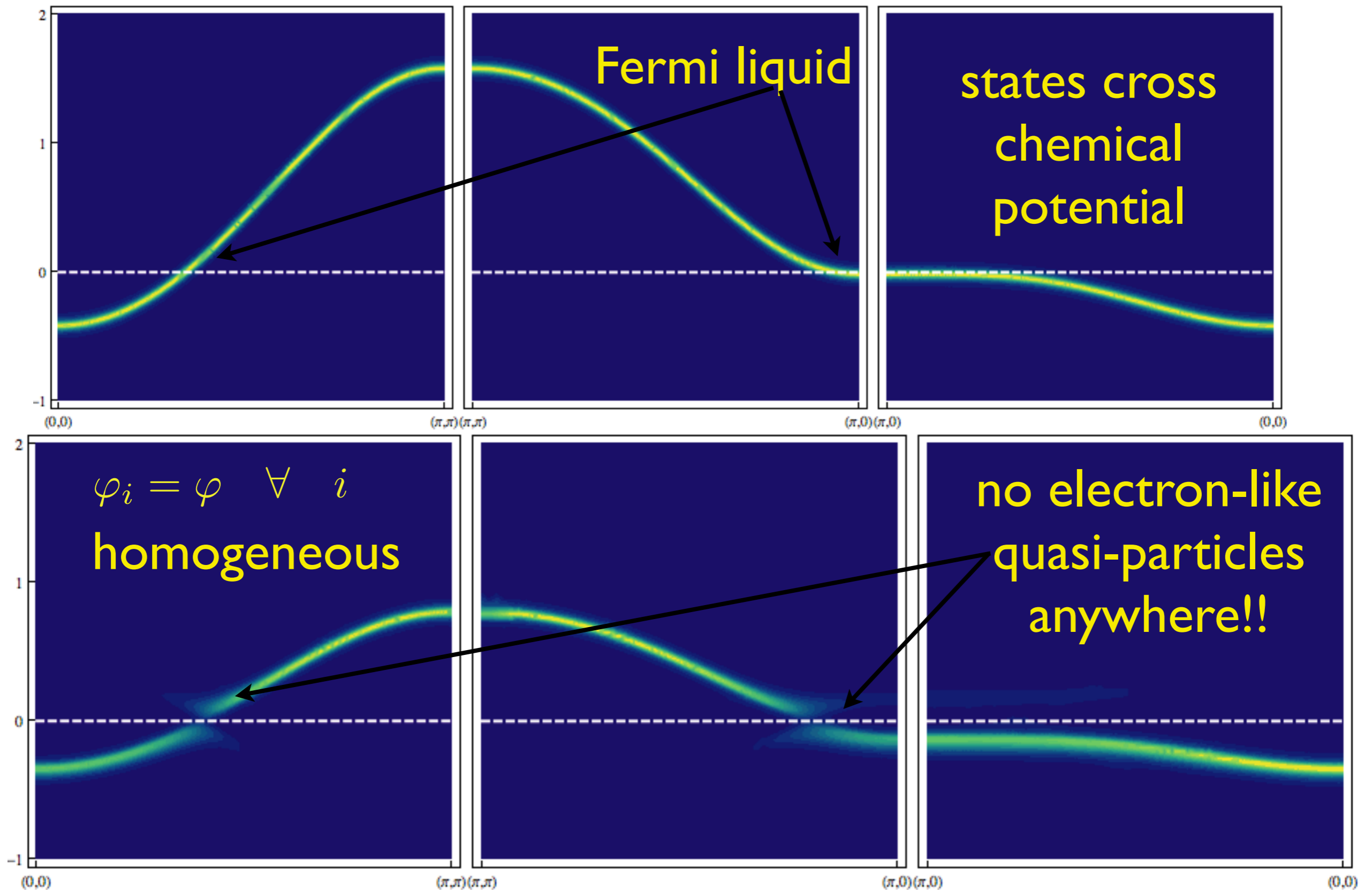
quadratic

spectral function

# Electron Dispersion

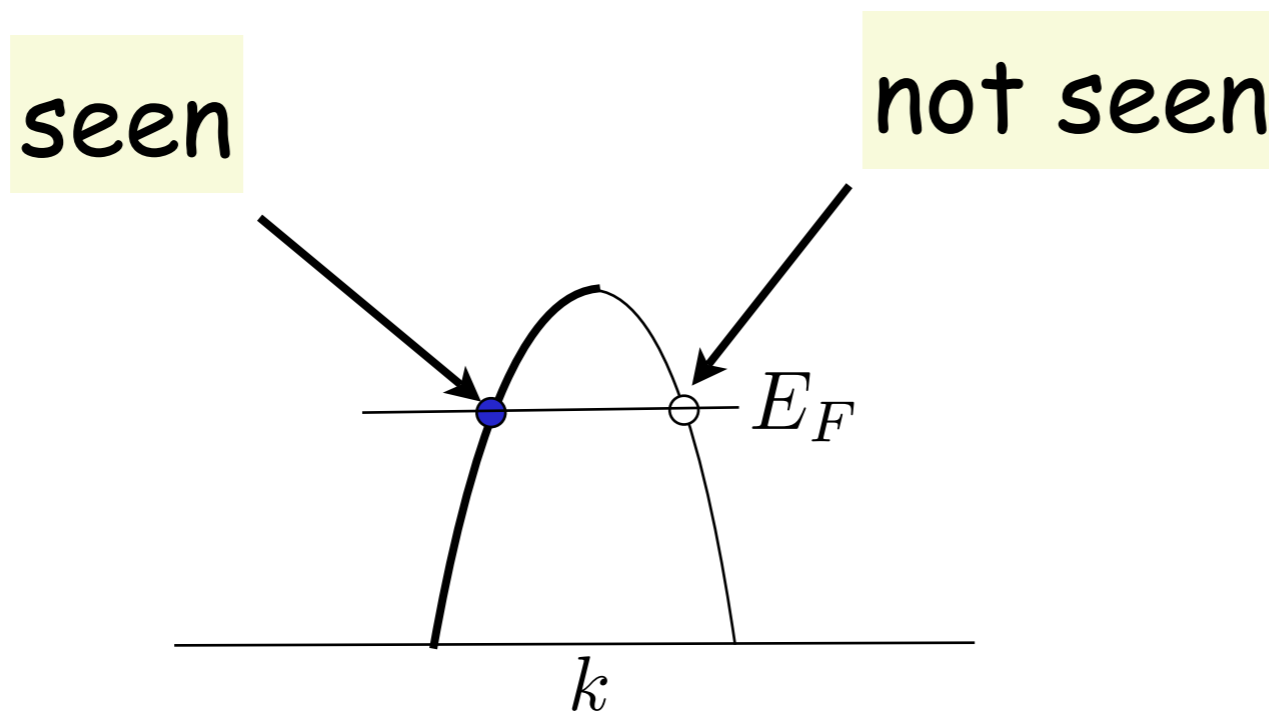


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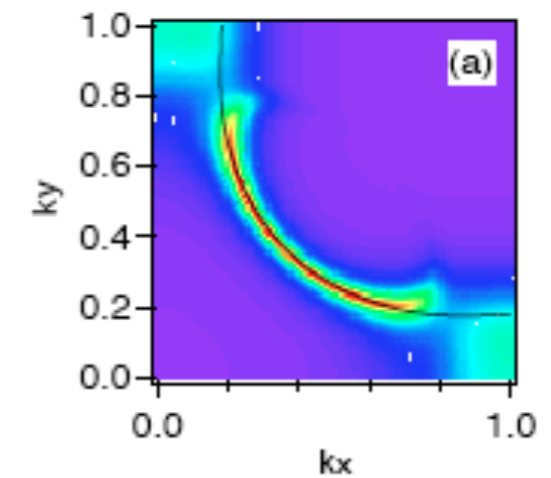
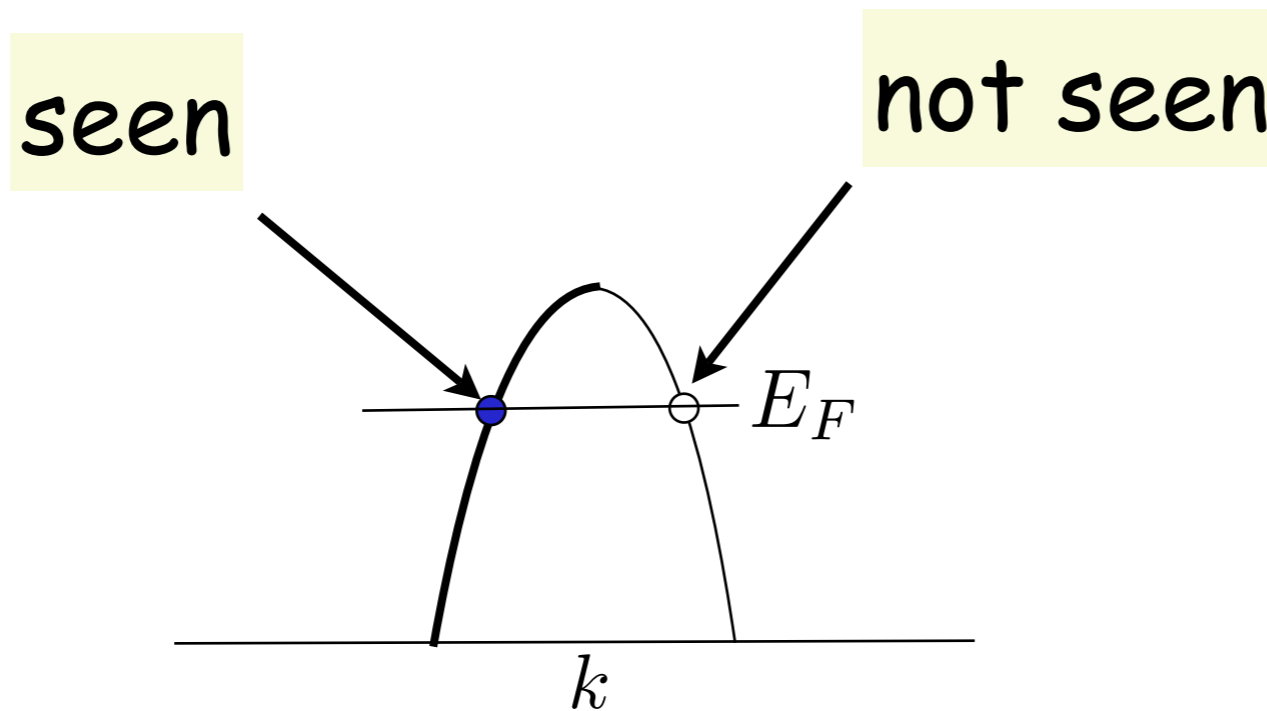


What is seen experimentally?  
Are there 0-energy excitations?

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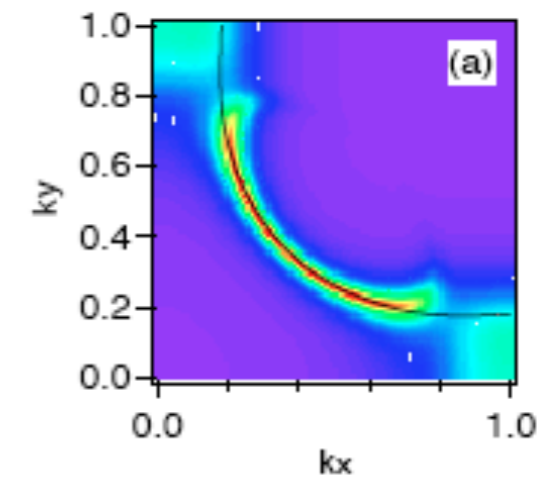
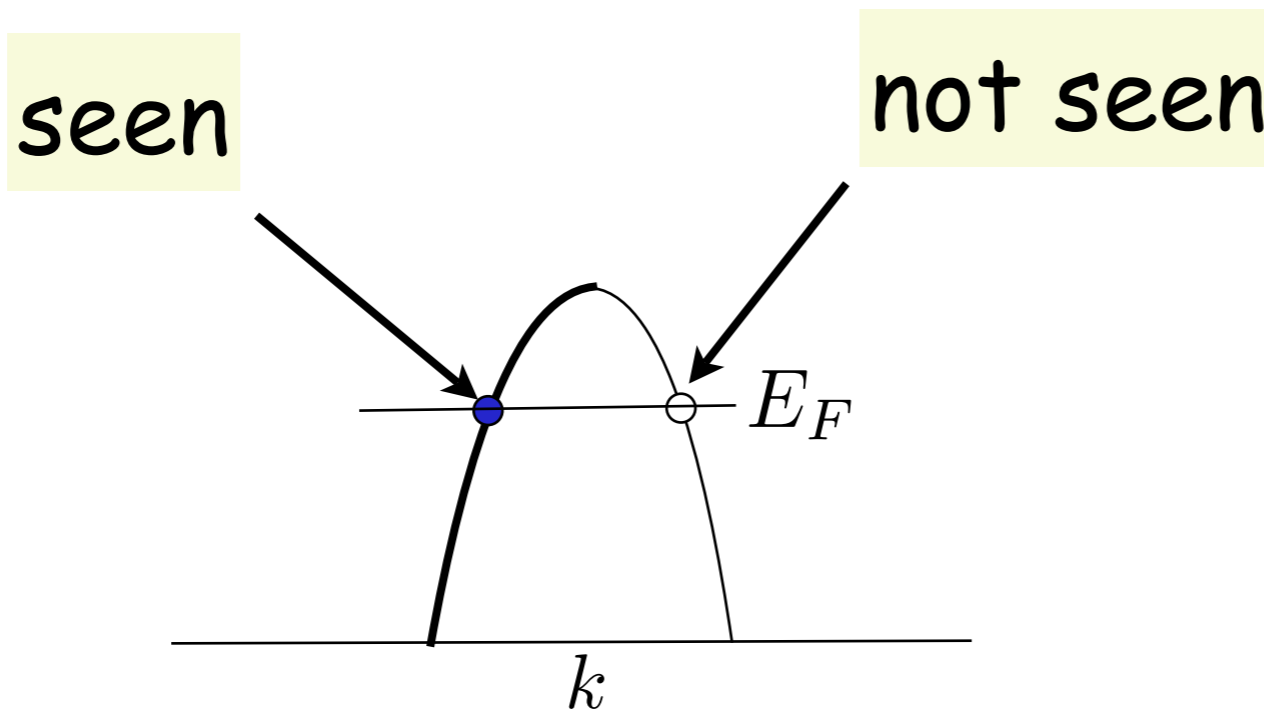


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Fermi arcs: no  
double  
crossings  
(PDJ, JCC, ZXS)

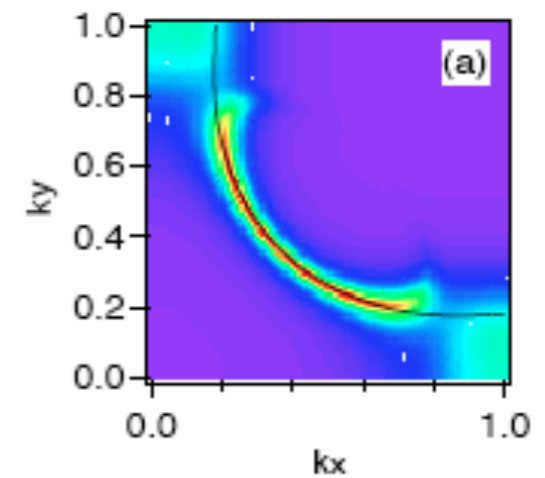
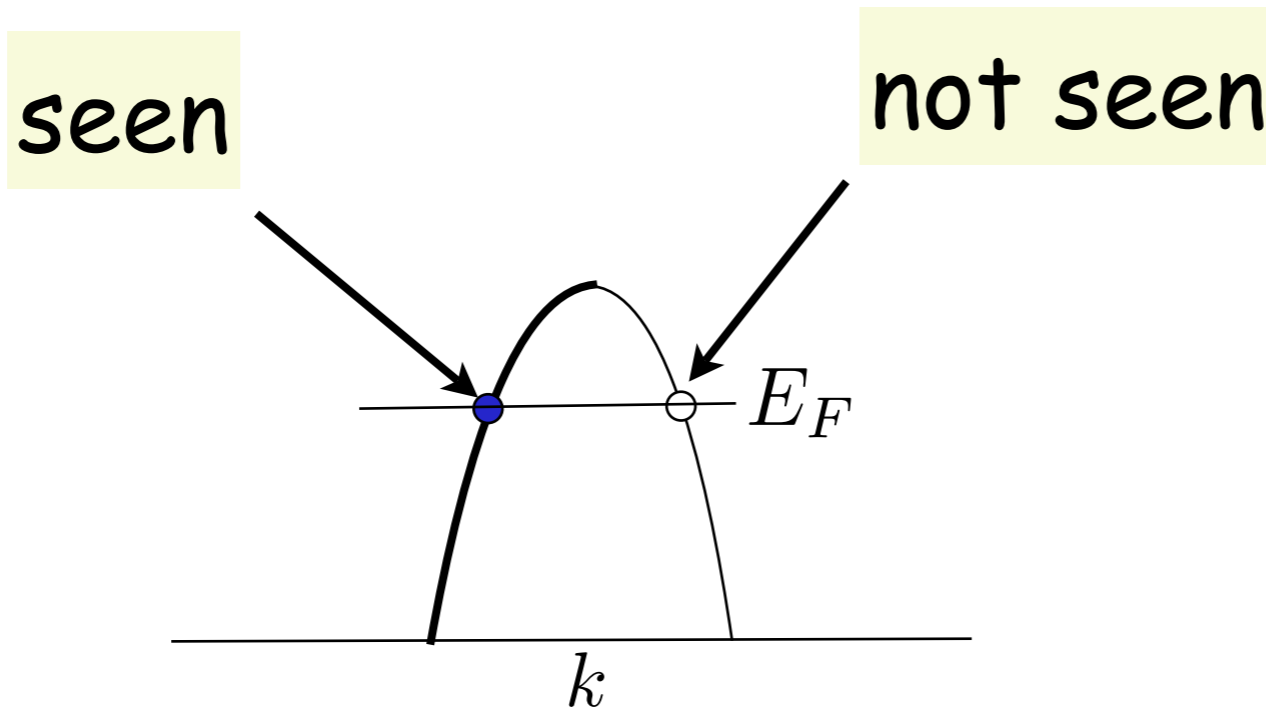
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meaning?

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Are there 0-energy excitations?



meaning?

Fermi arcs: no  
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can we explain this?

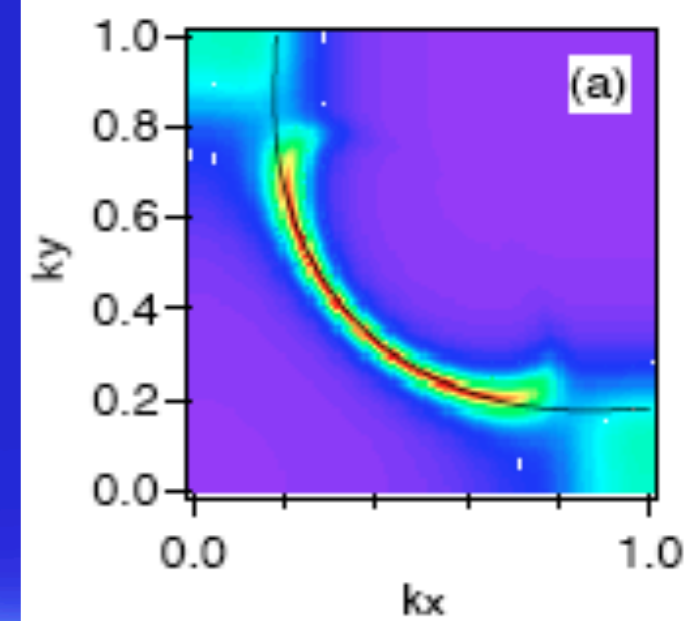


yes

$$\varphi_j = e^{ij \cdot \pi} \varphi$$

$$\varphi_j = e^{ij \cdot .9\pi} \varphi$$

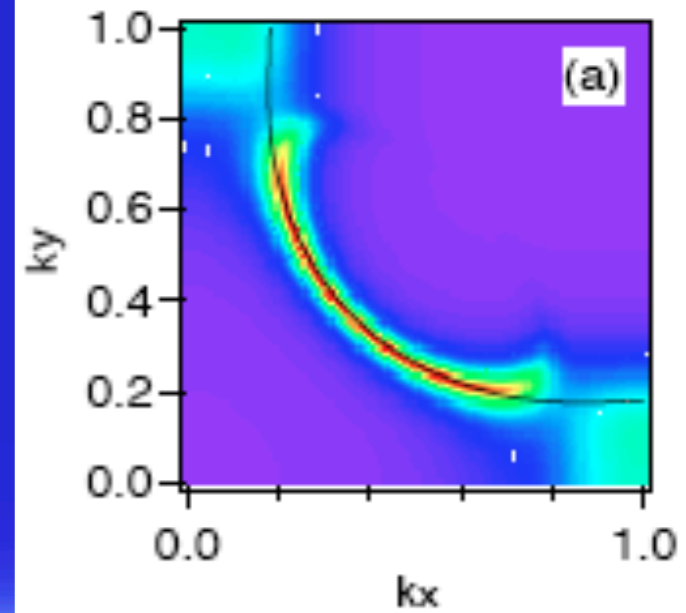
Expt.



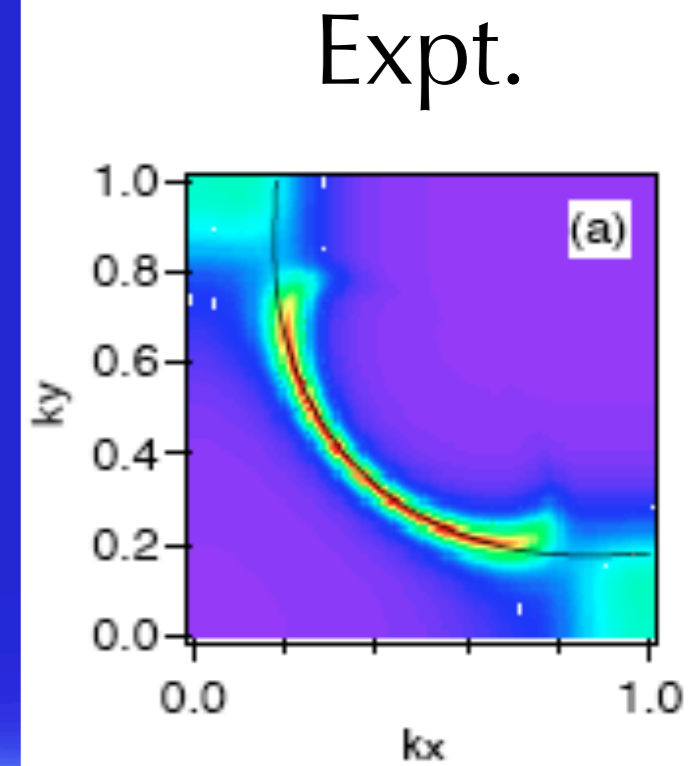
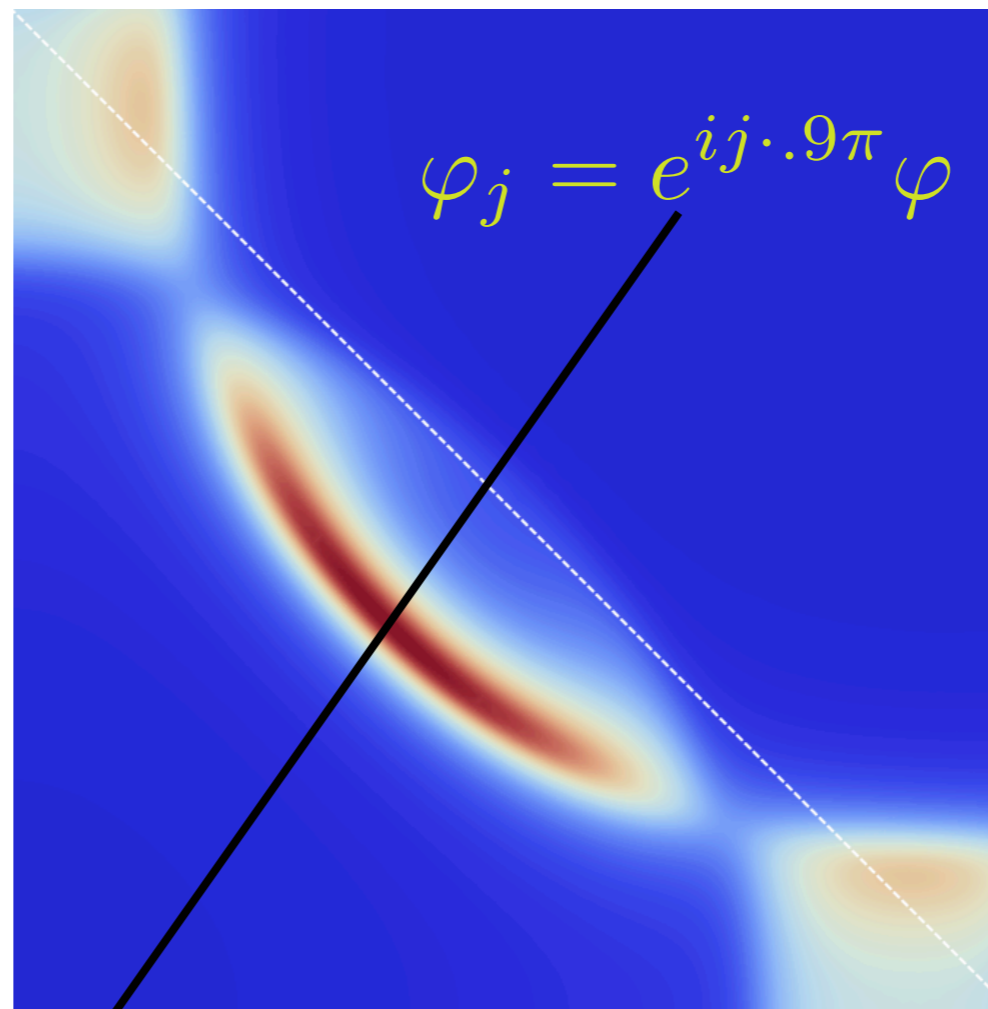
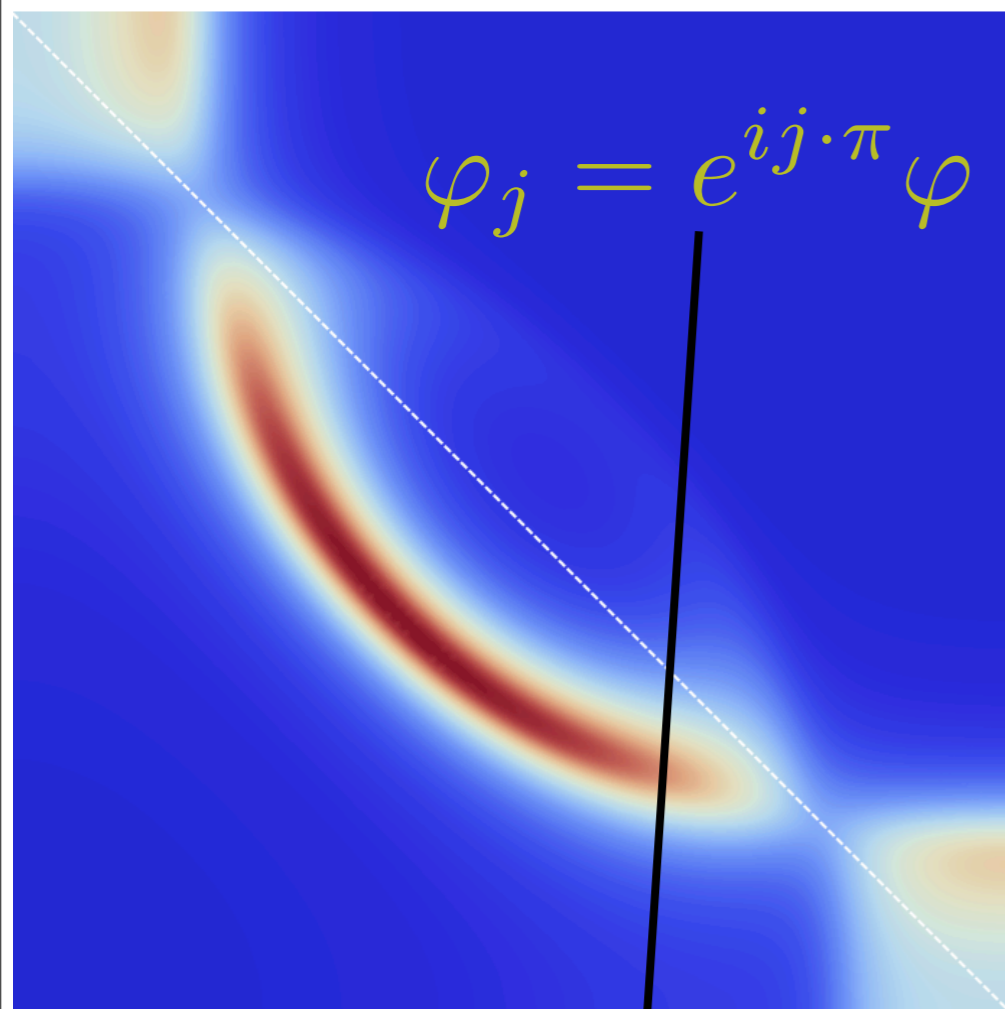
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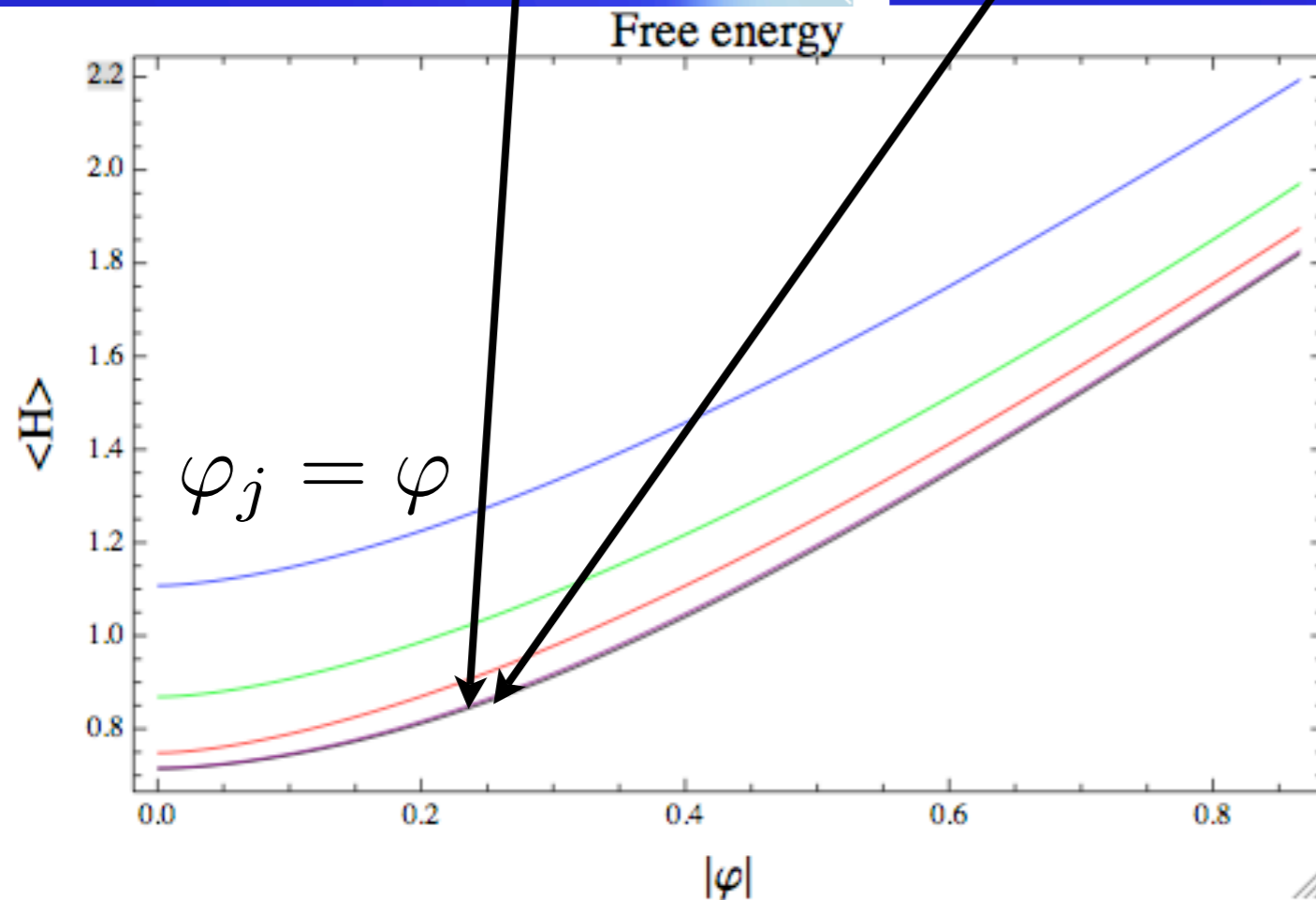
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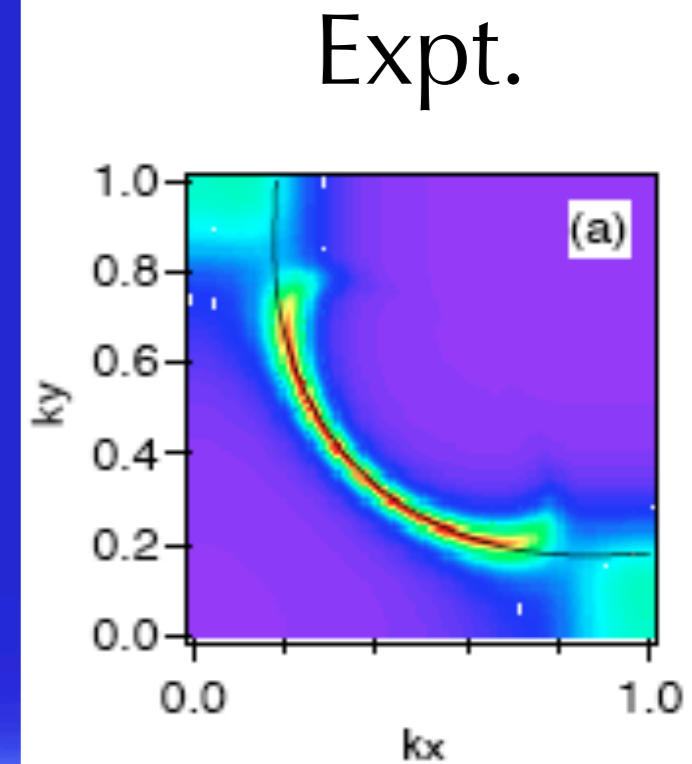
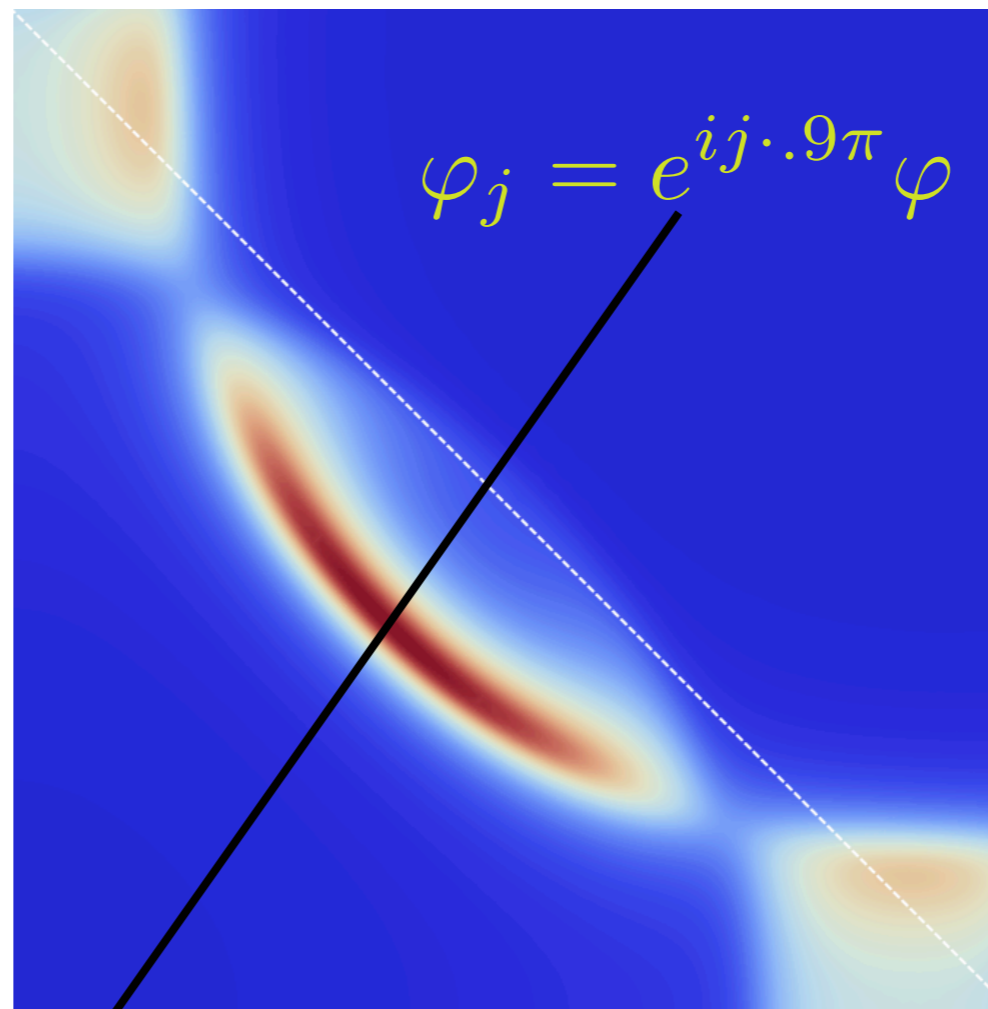
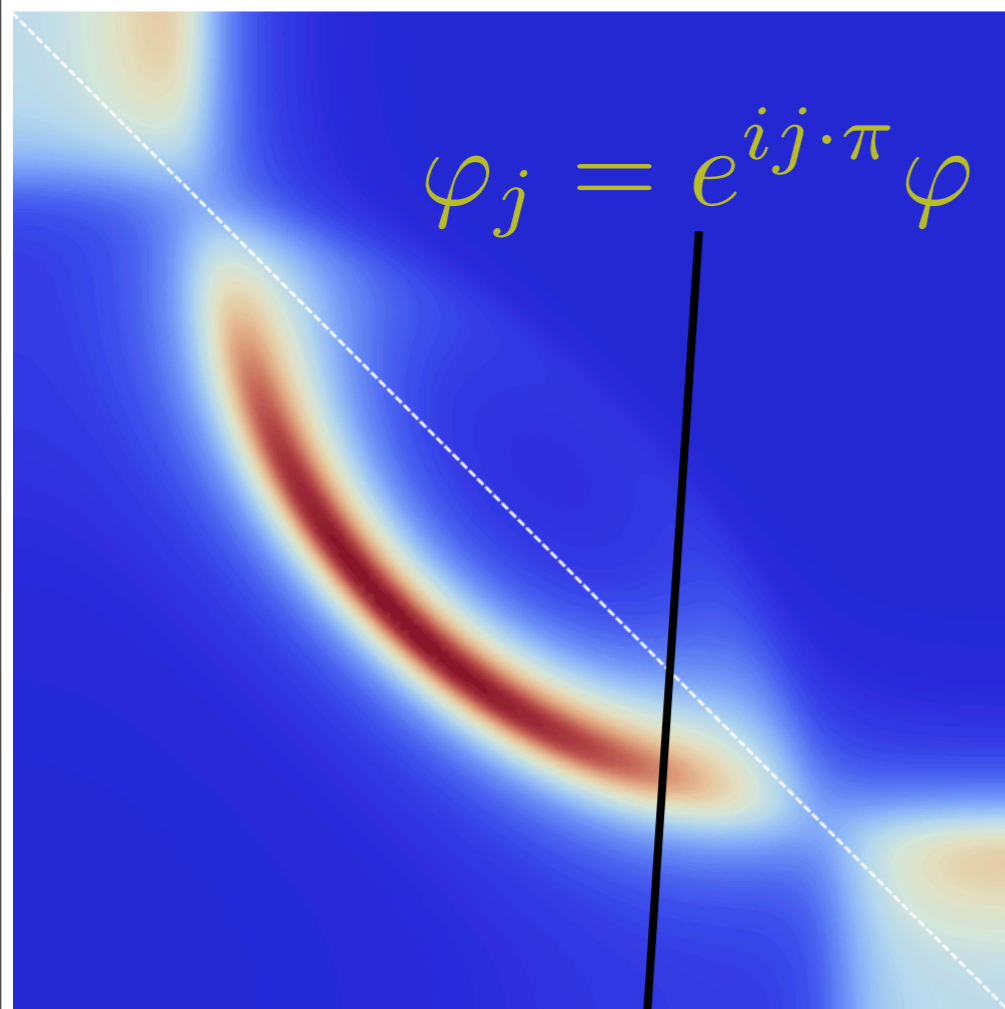
why choose  
these funky solutions?



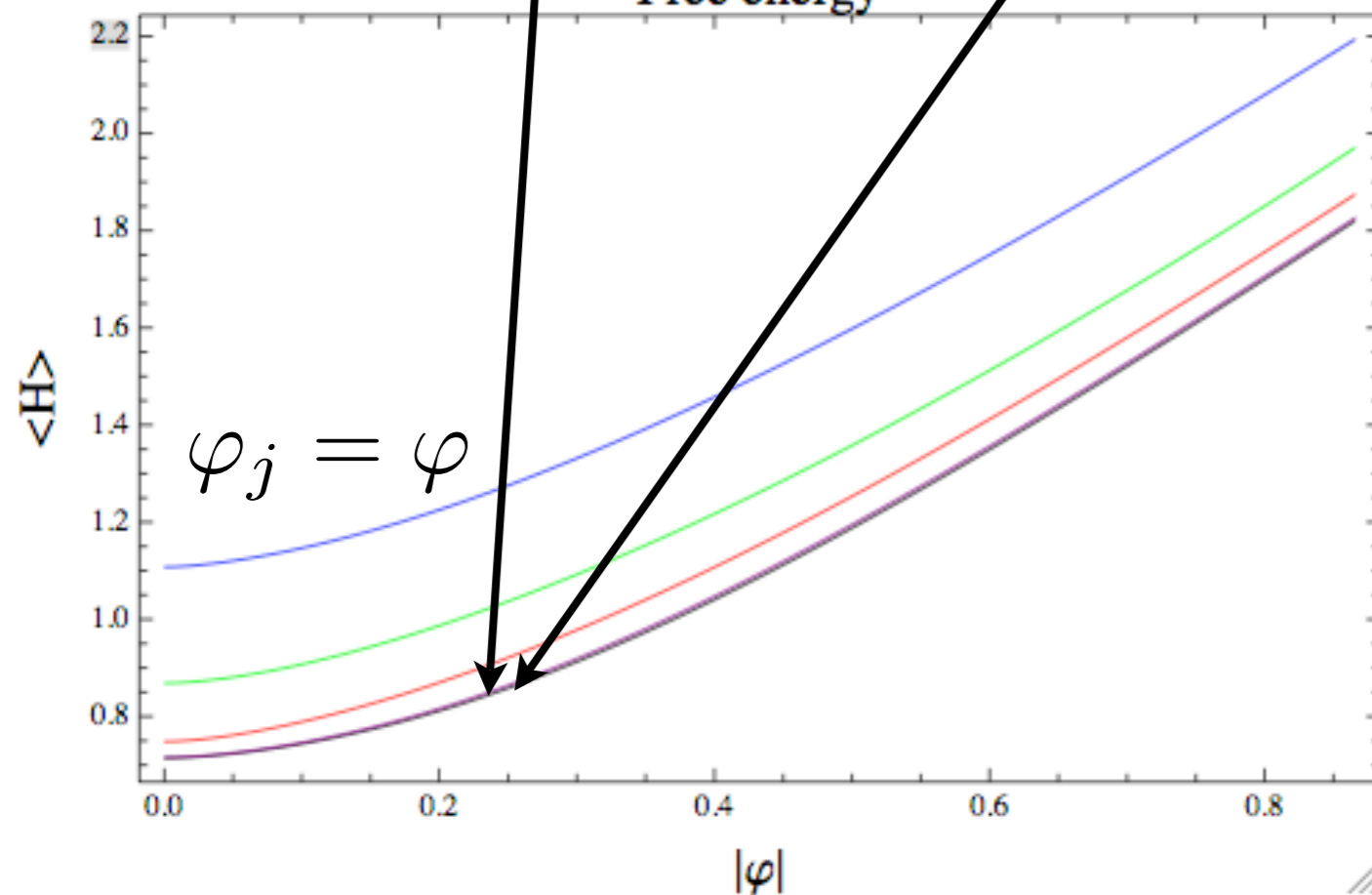
Free energy



why choose these funky solutions?



Free energy

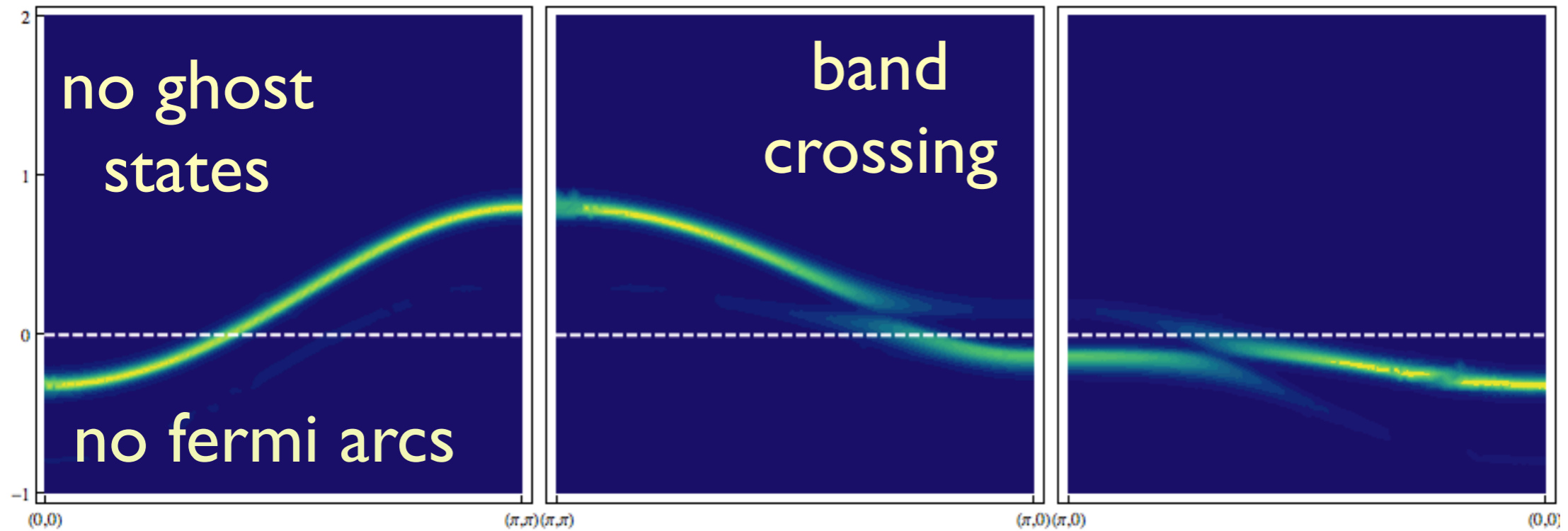
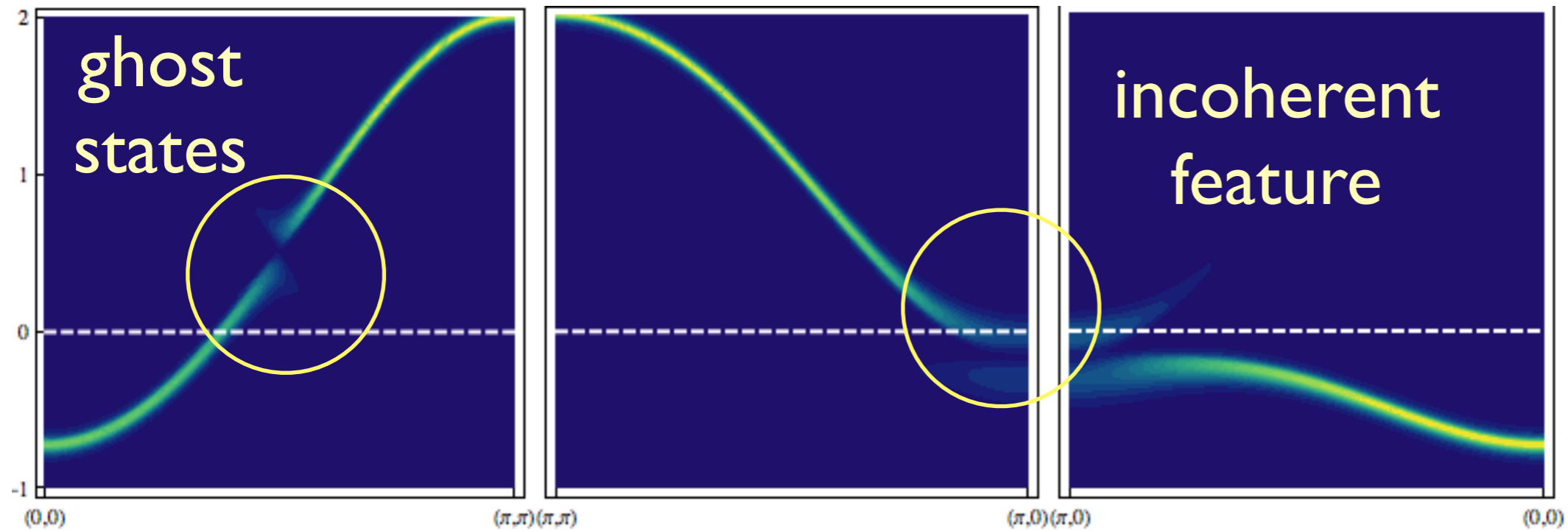


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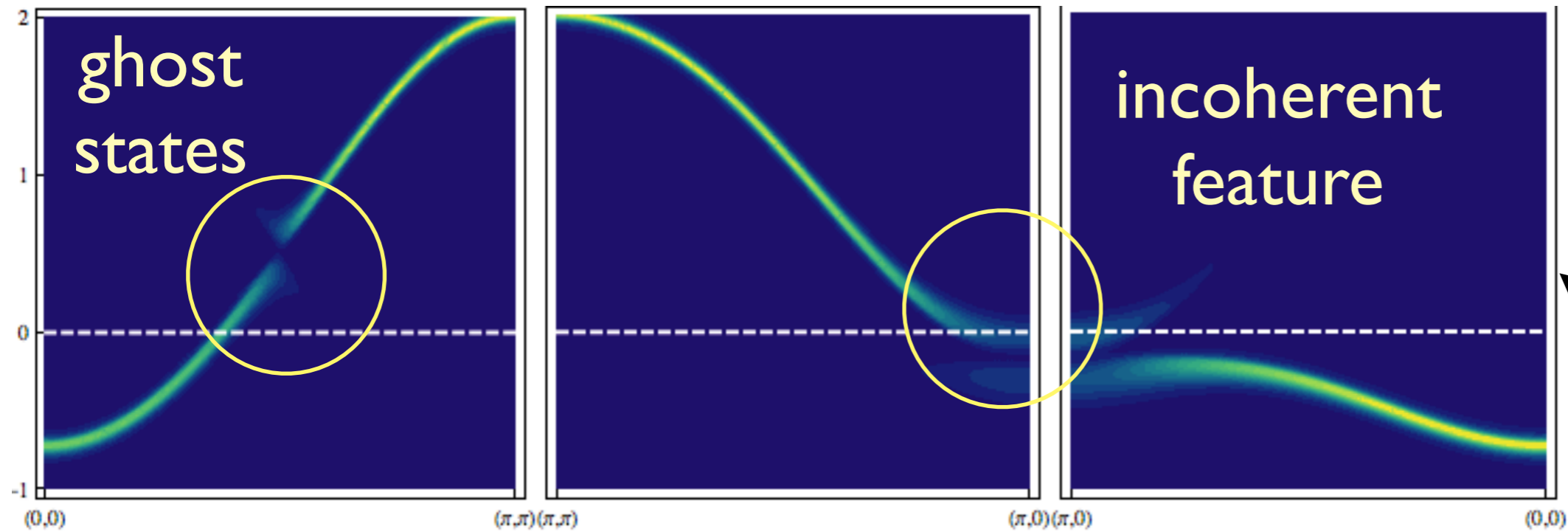
they minimize the free energy (no order)

what causes the ghost states?

# what causes the ghost states?

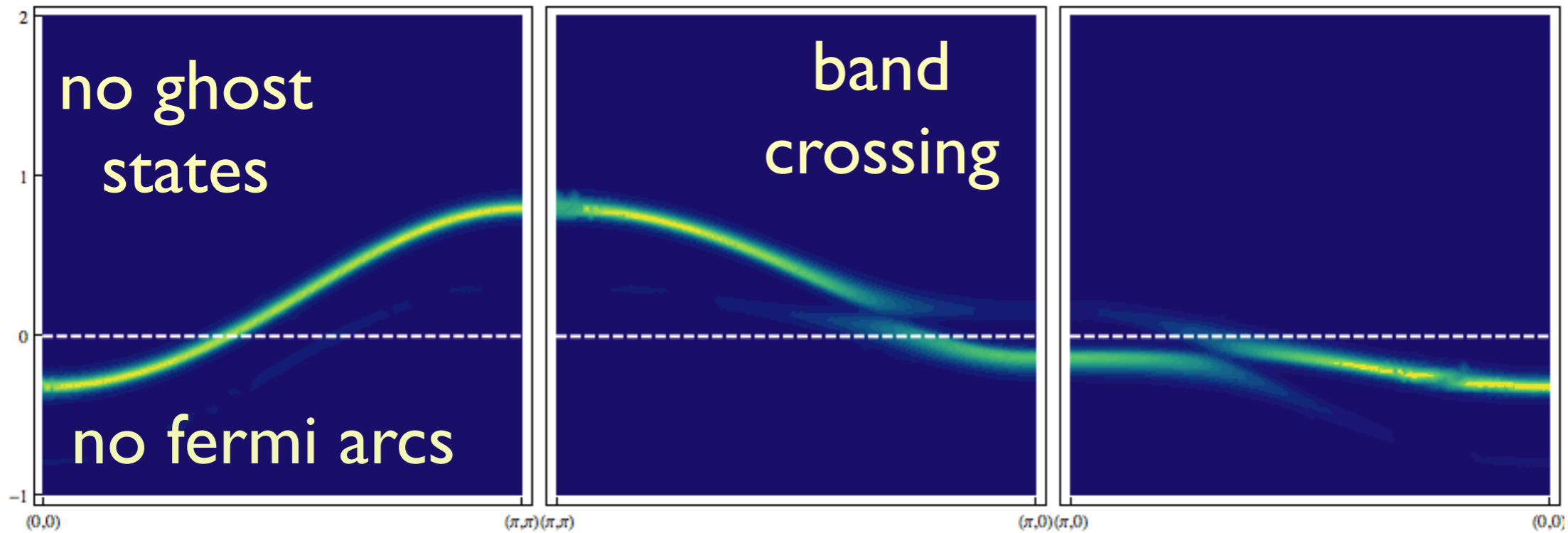


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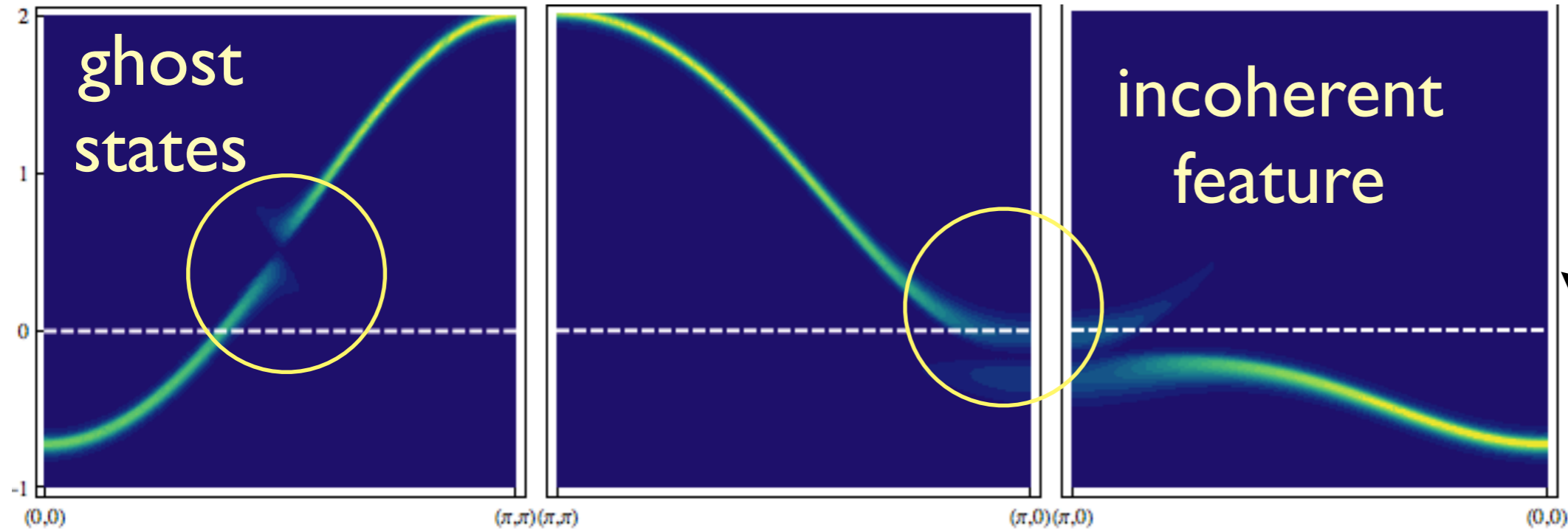
bound

$$\varphi_j^\dagger c_{i\bar{\sigma}}$$



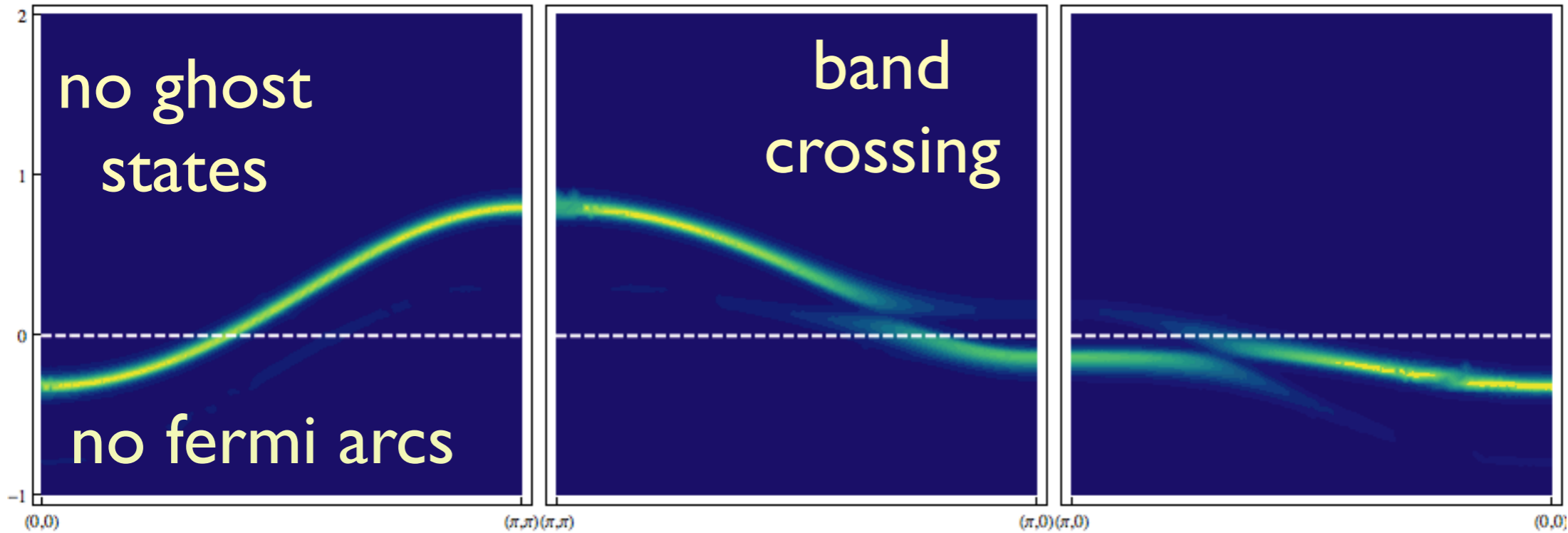


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bound

$$\varphi_j^\dagger c_{i\bar{\sigma}}$$

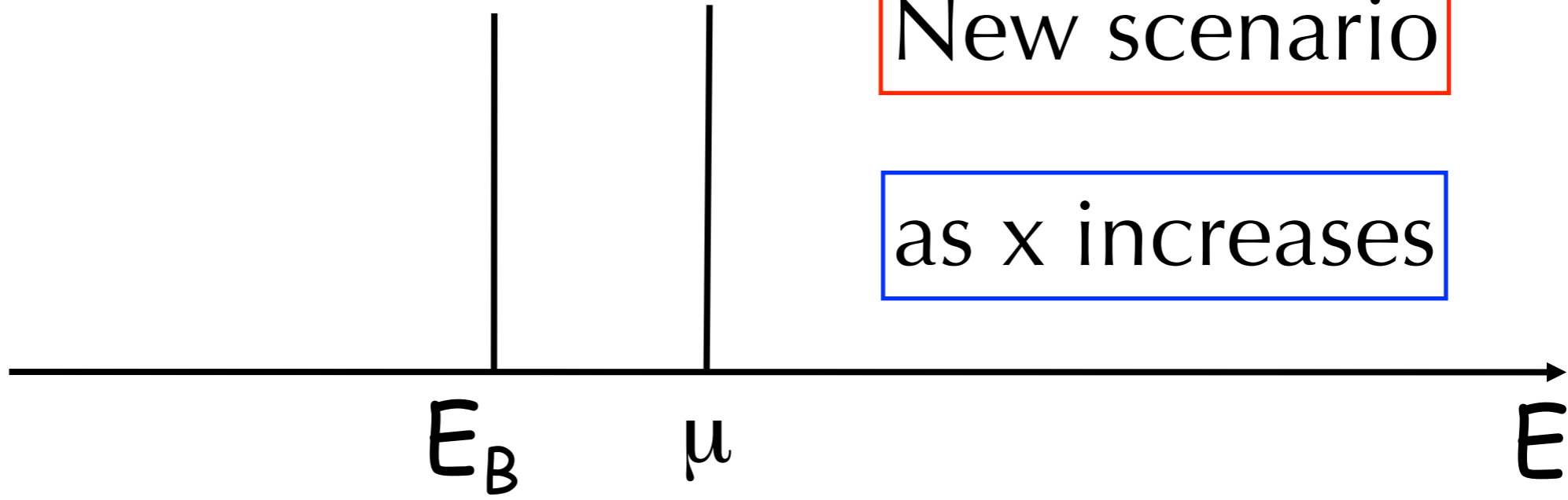


unbound

T-linear resistivity

New scenario

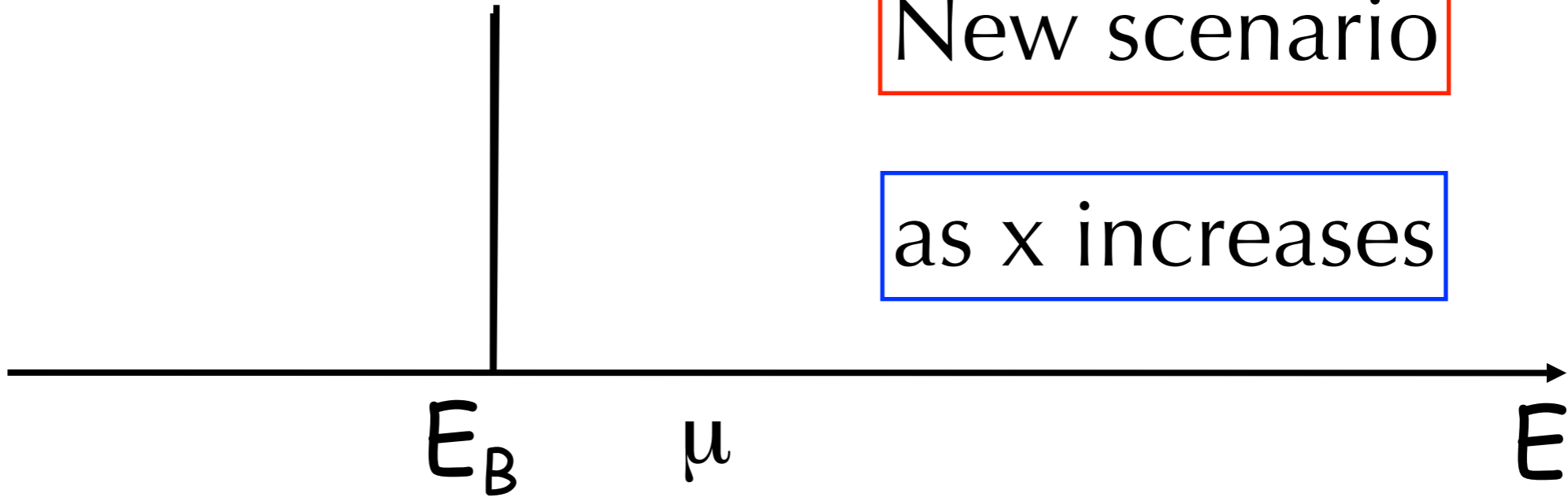
as  $x$  increases



T-linear resistivity

New scenario

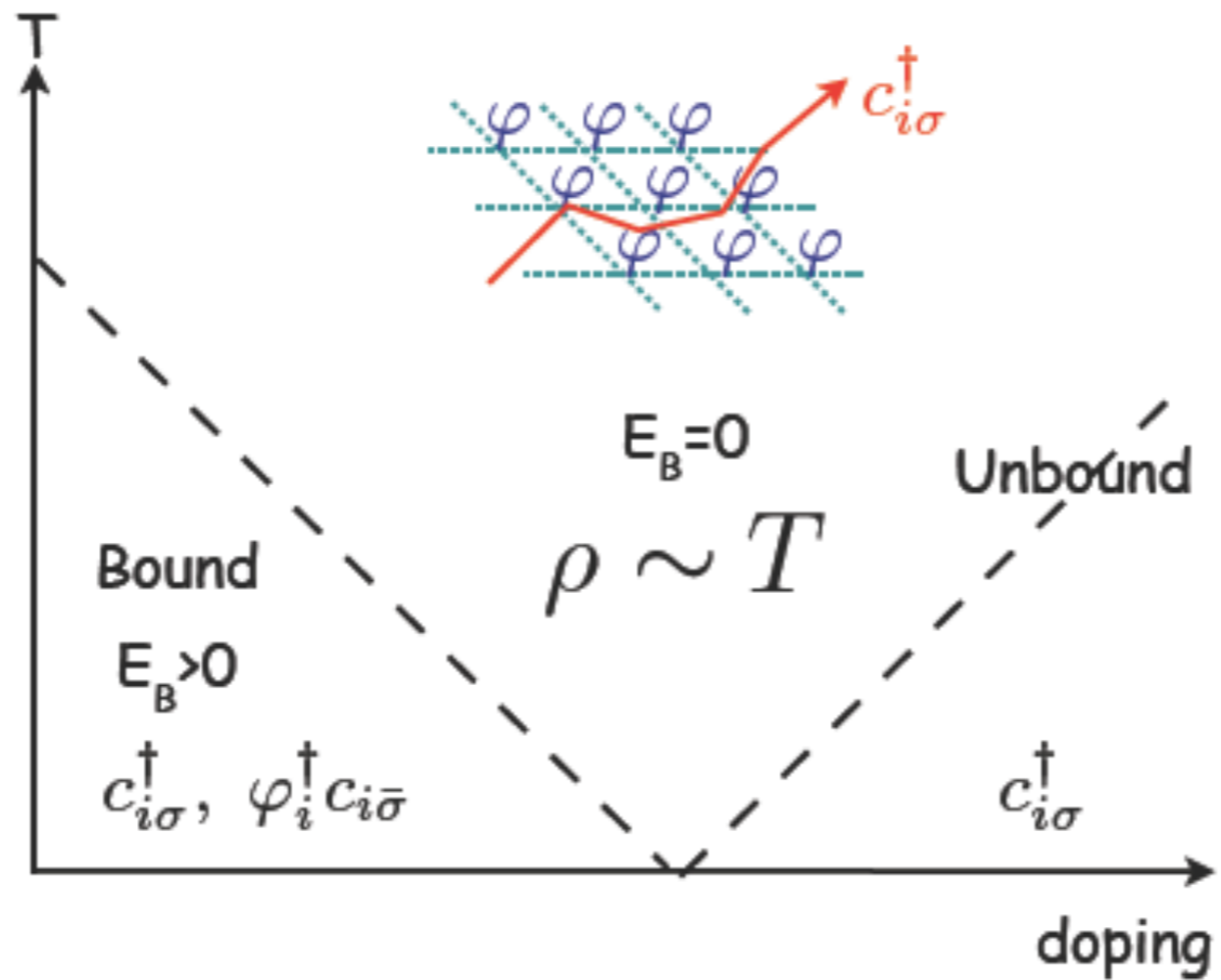
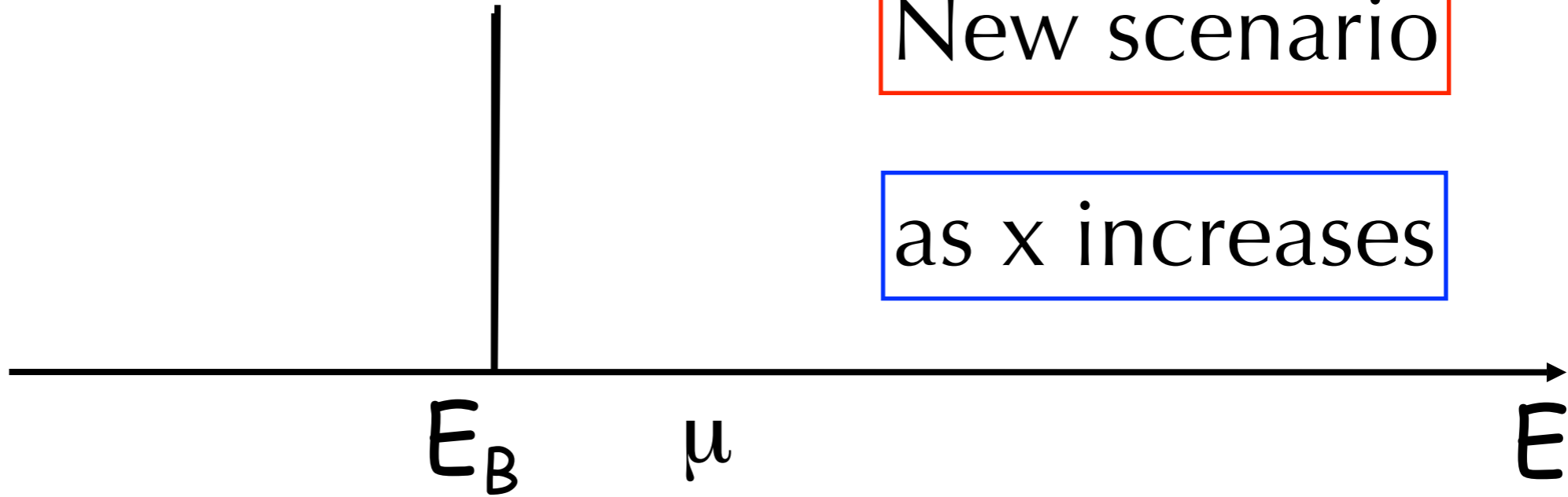
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T-linear resistivity

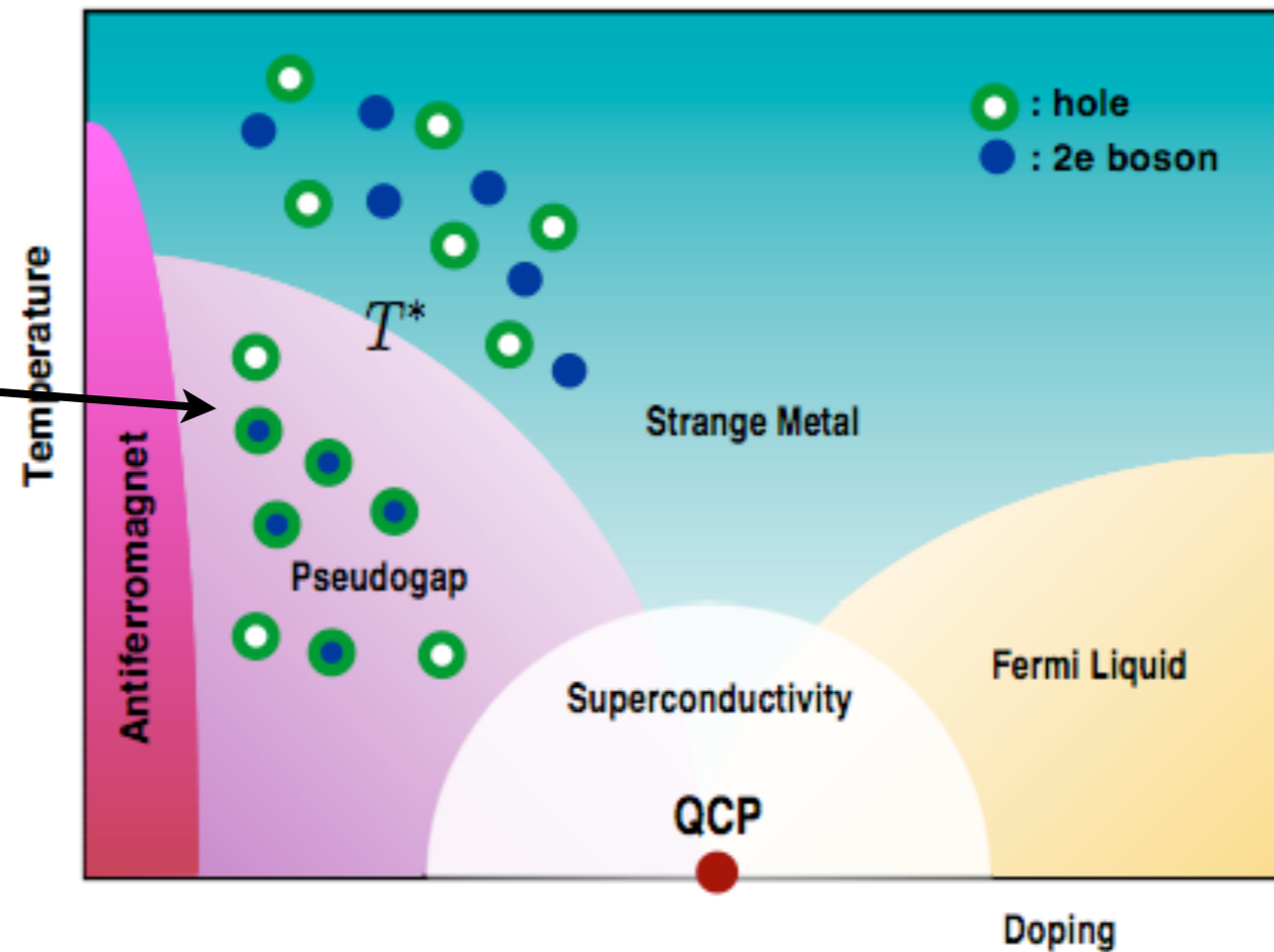
New scenario

as x increases

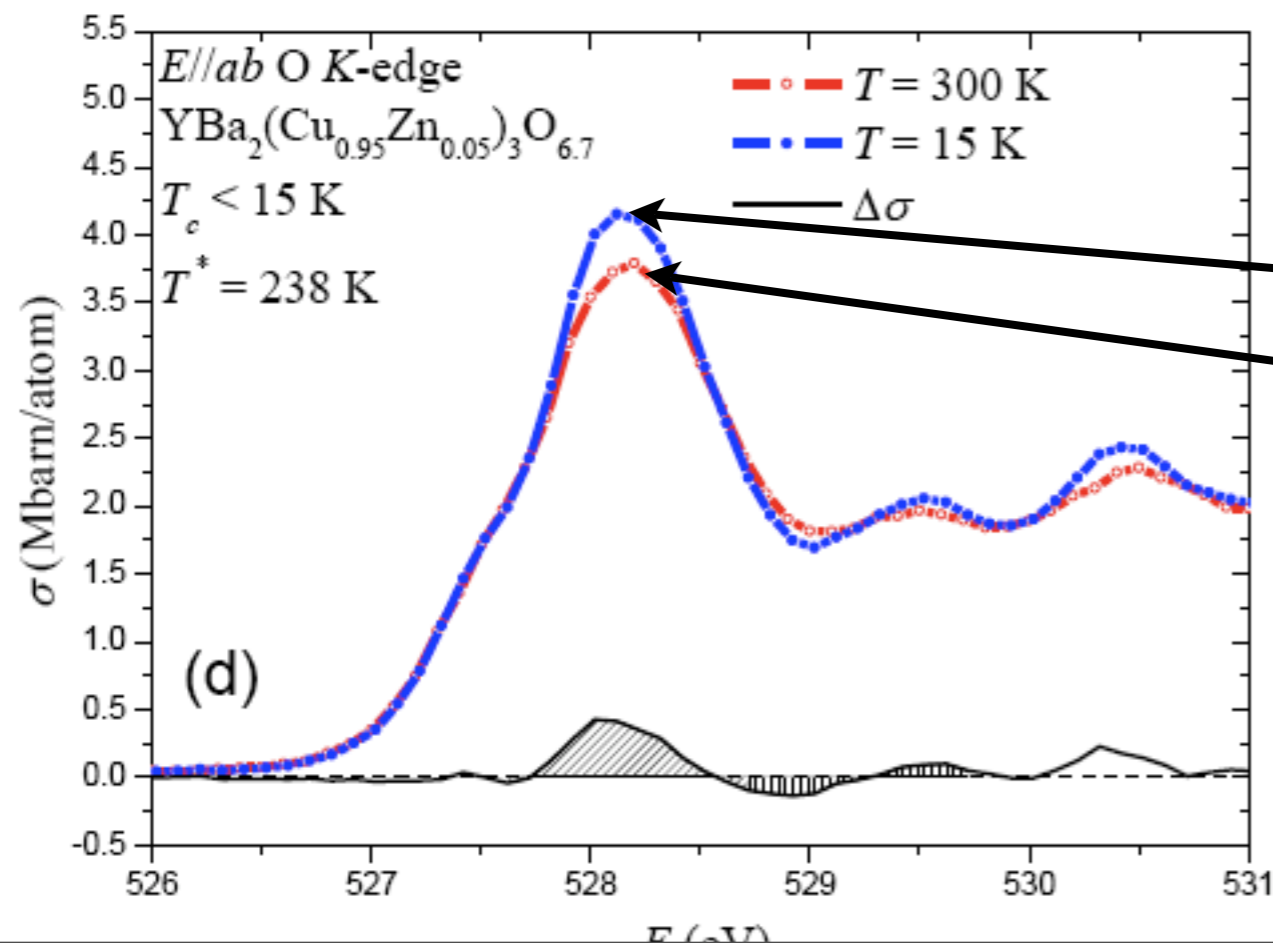
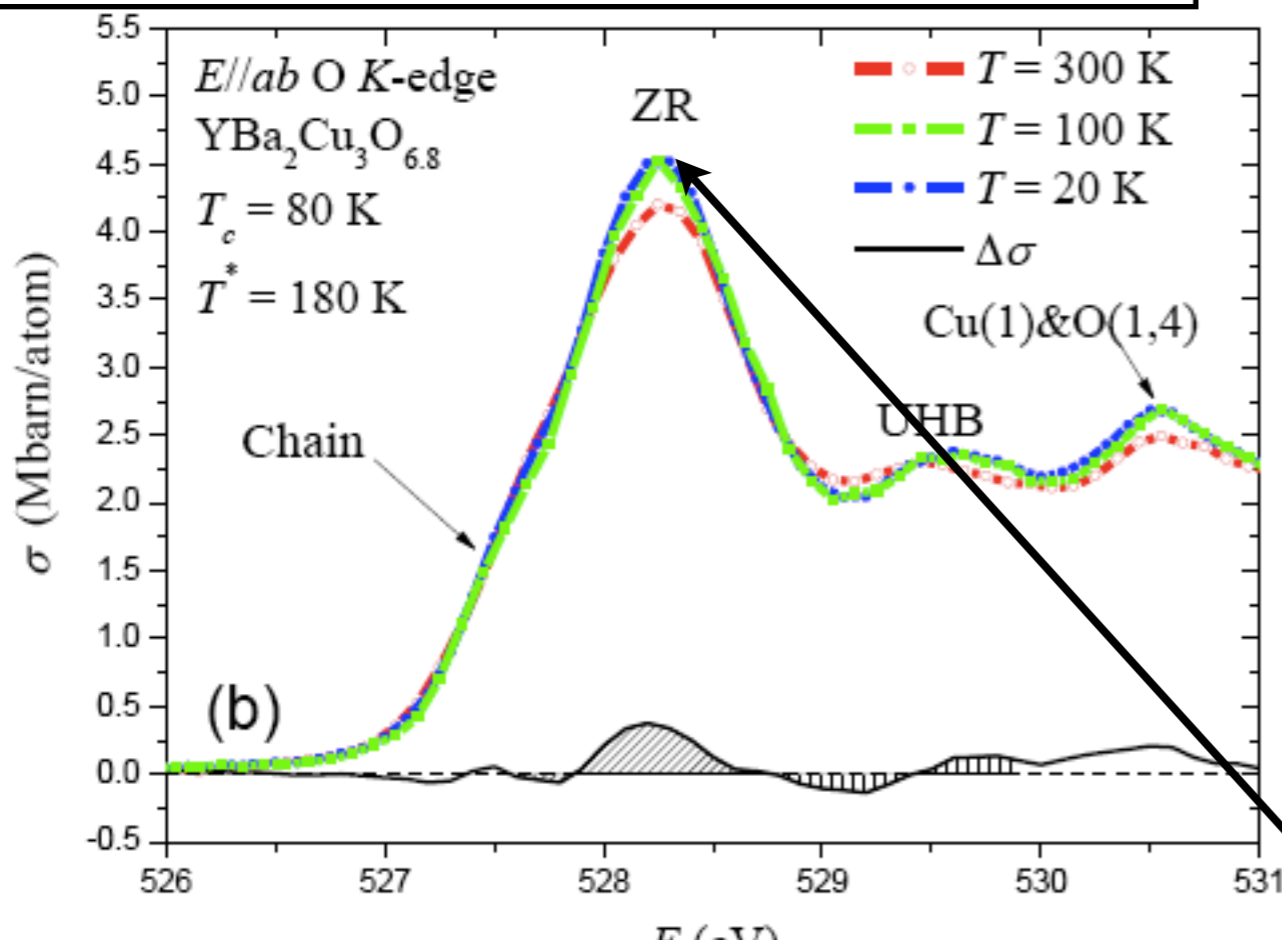


Pseudogap = 'confinement'

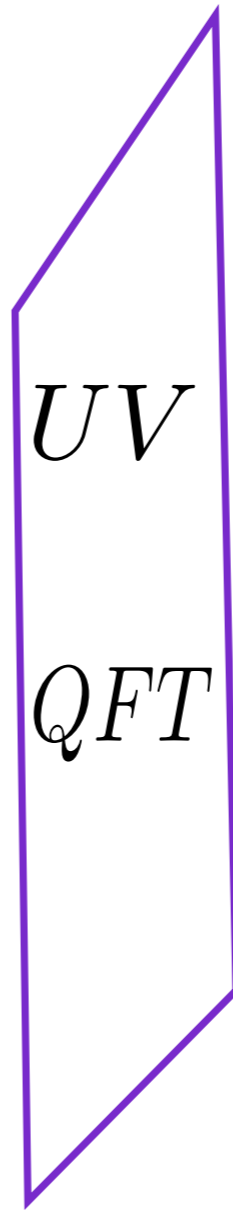
More 'e' states at lower temperature



composite or bound states not in UV theory



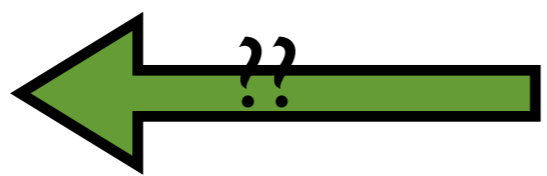
More addition  
 states in PG:  
 new charge e states



*UV*

*QFT*

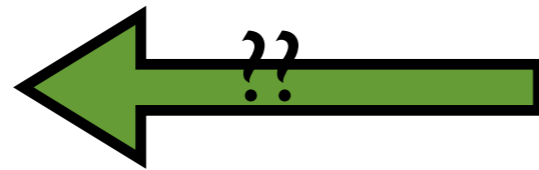
*IR*



*UV*  
*QFT*



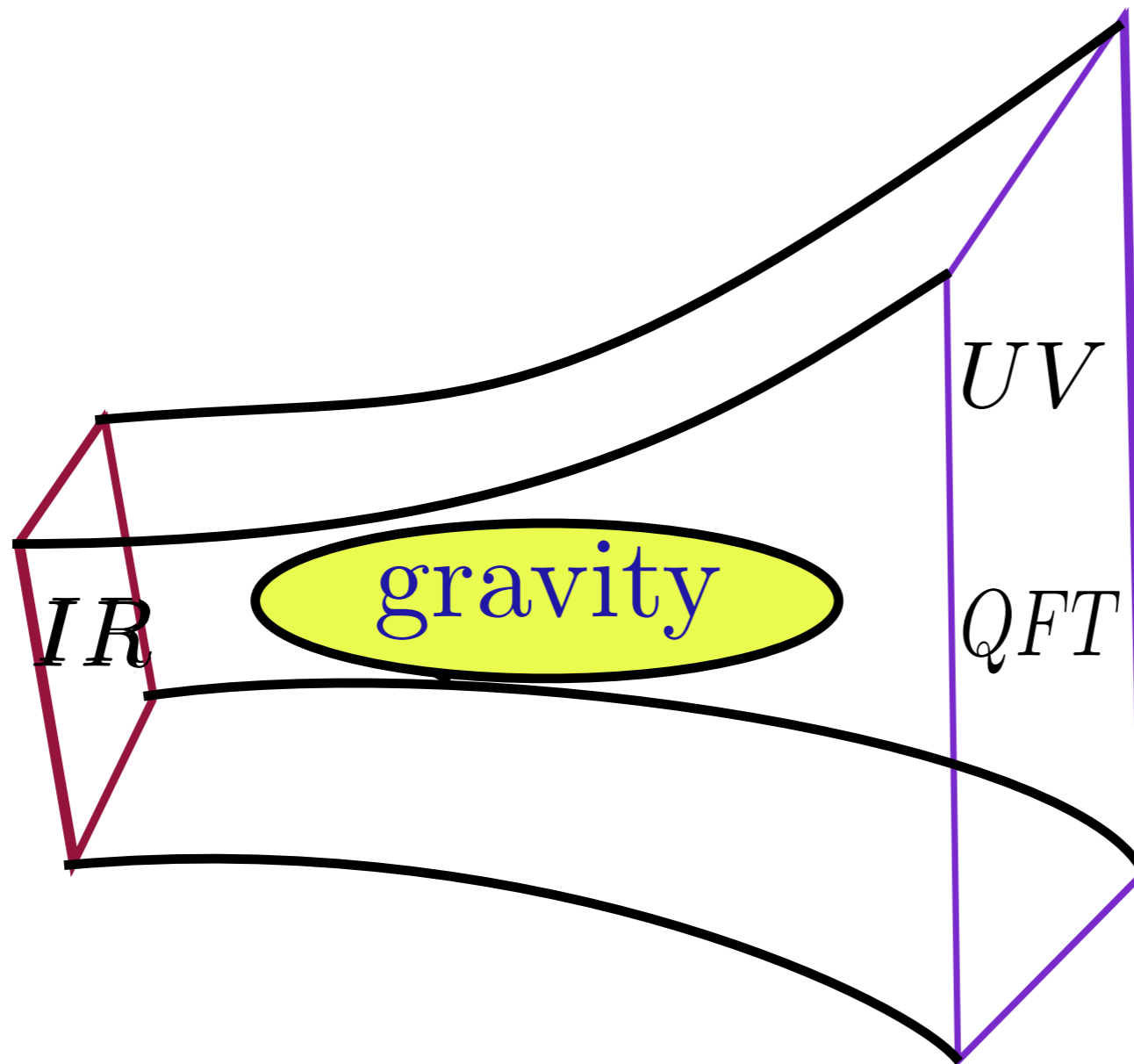
*IR*



*UV*  
*QFT*

coupling constant  
 $g = 1/ego$

gauge-gravity duality  
(Maldacena, 1997)



coupling constant

$$g = 1/\epsilon_0$$

**'Holography'**

RN black hole

$r \rightarrow 0$

IR

UV

$\Lambda_5$

$\Lambda_4$

$\Lambda_3$

$\Lambda_2$

$\Lambda_1$

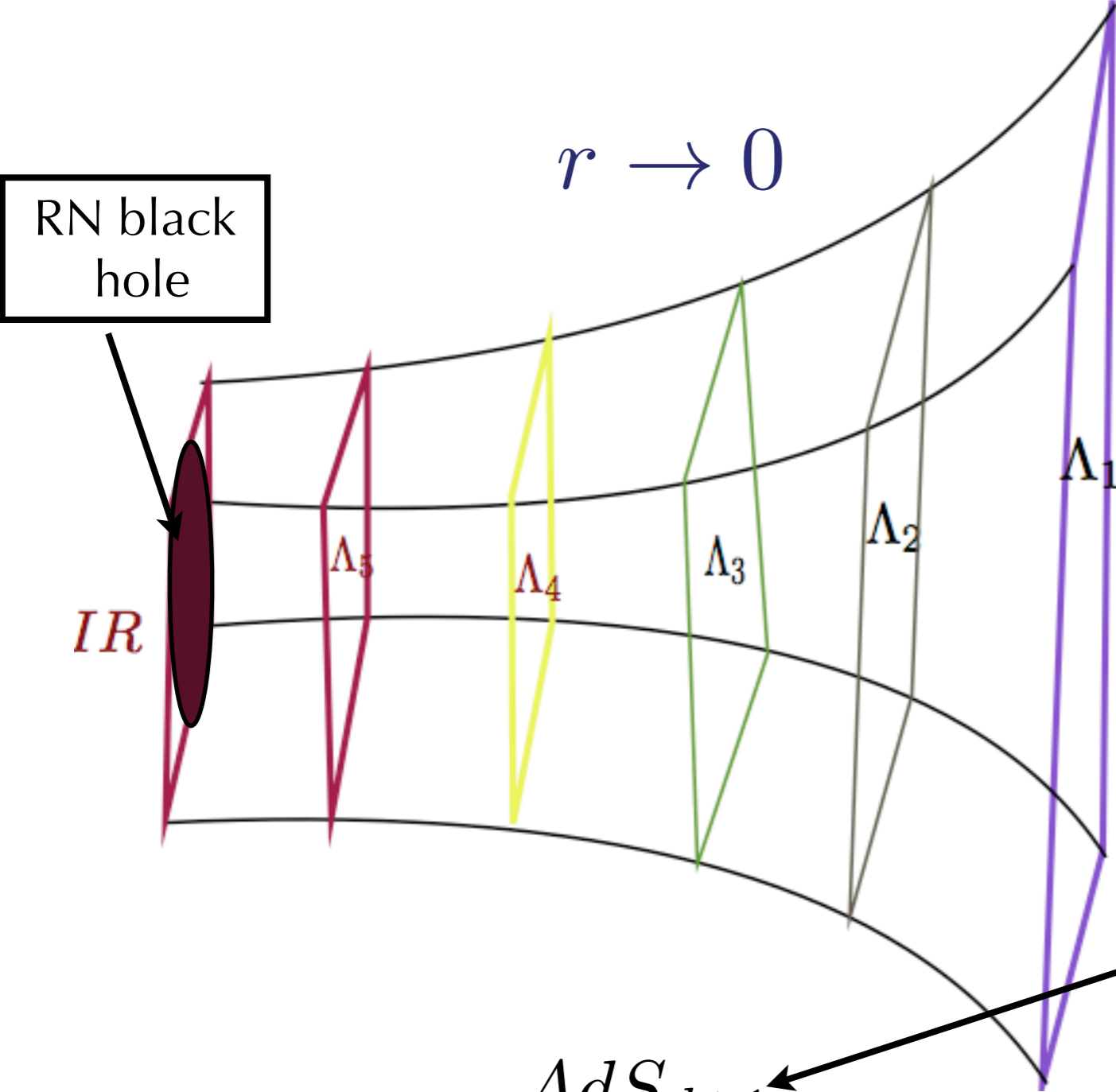
$$ds^2 = L^2 \left( \frac{-dt^2}{r^2} + \frac{d\vec{x}^2}{r^2} + \frac{dr^2}{r^2} \right)$$

$AdS_{d+1}$

**symmetry:**

$\beta(g)$  is local  
geometrize RG flow

$$\{t, \vec{x}, r\} \rightarrow \{\lambda t, \lambda \vec{x}, \lambda r\}$$



UV

Charged system

$J^\mu$

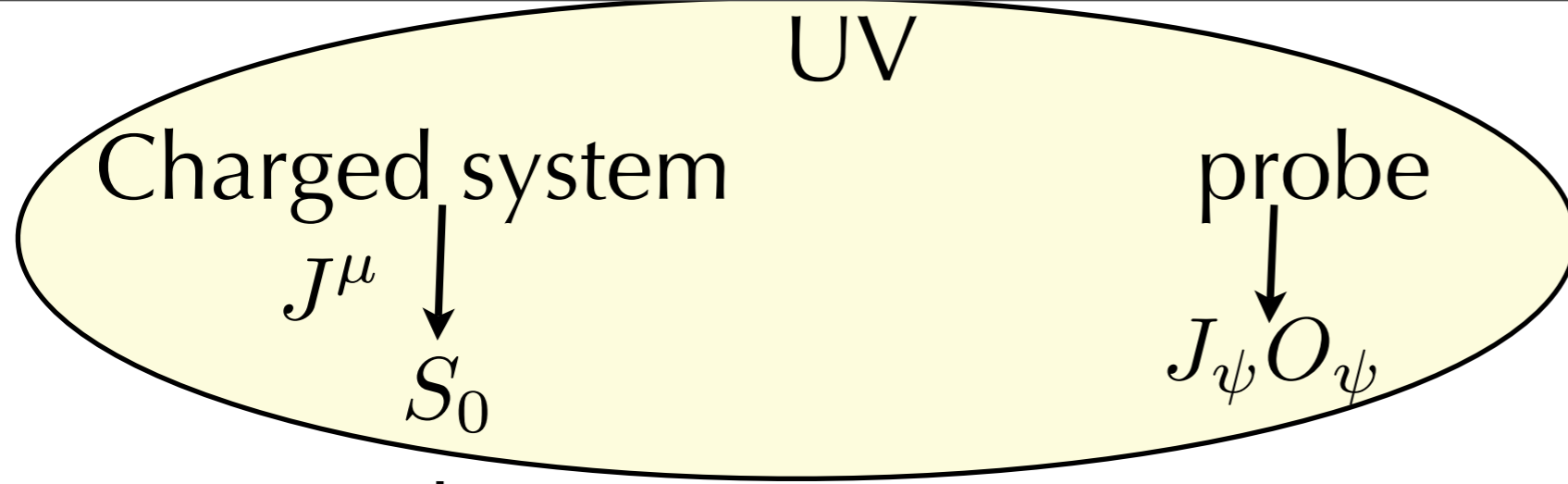


$S_0$

probe



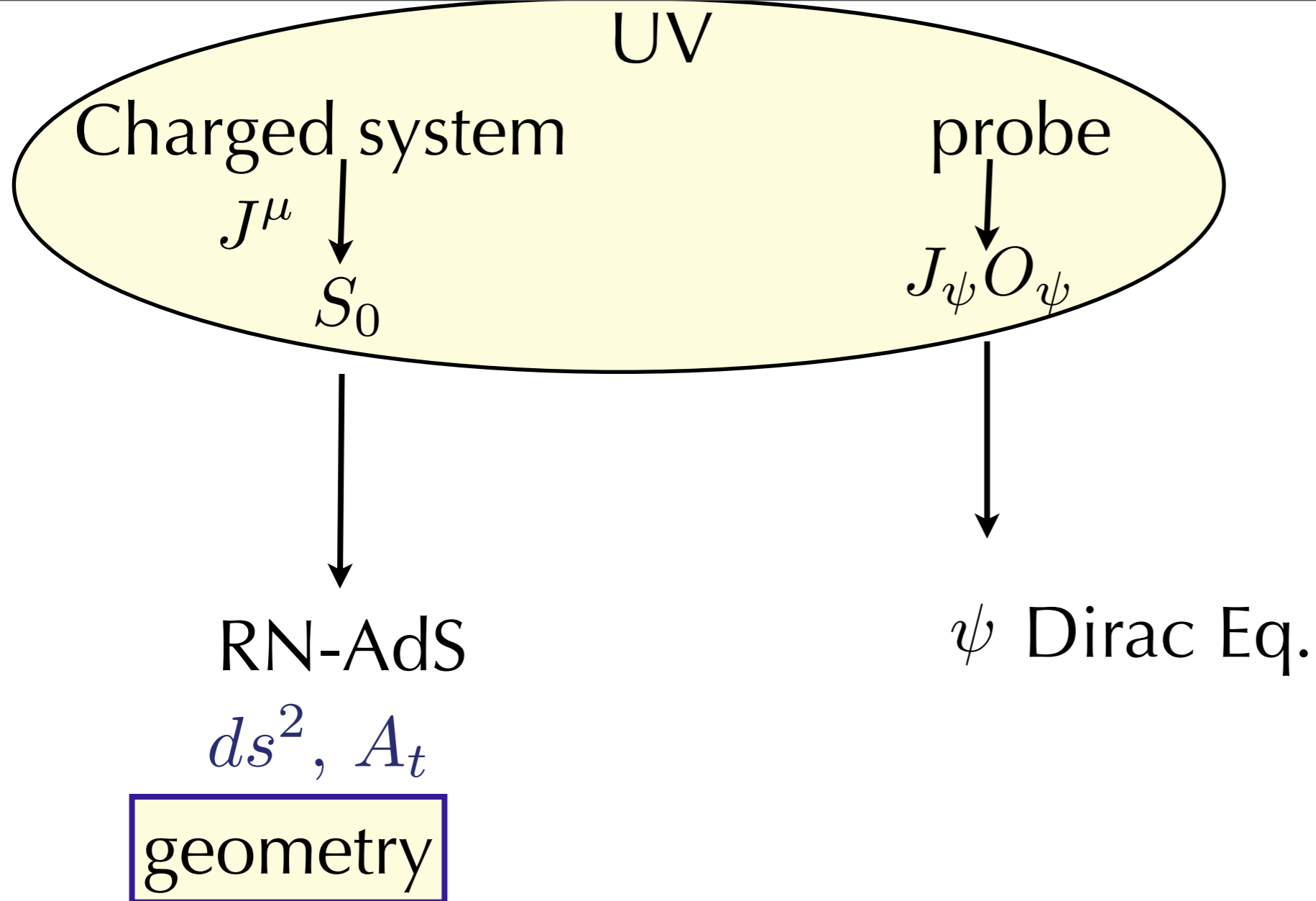
$J_\psi O_\psi$

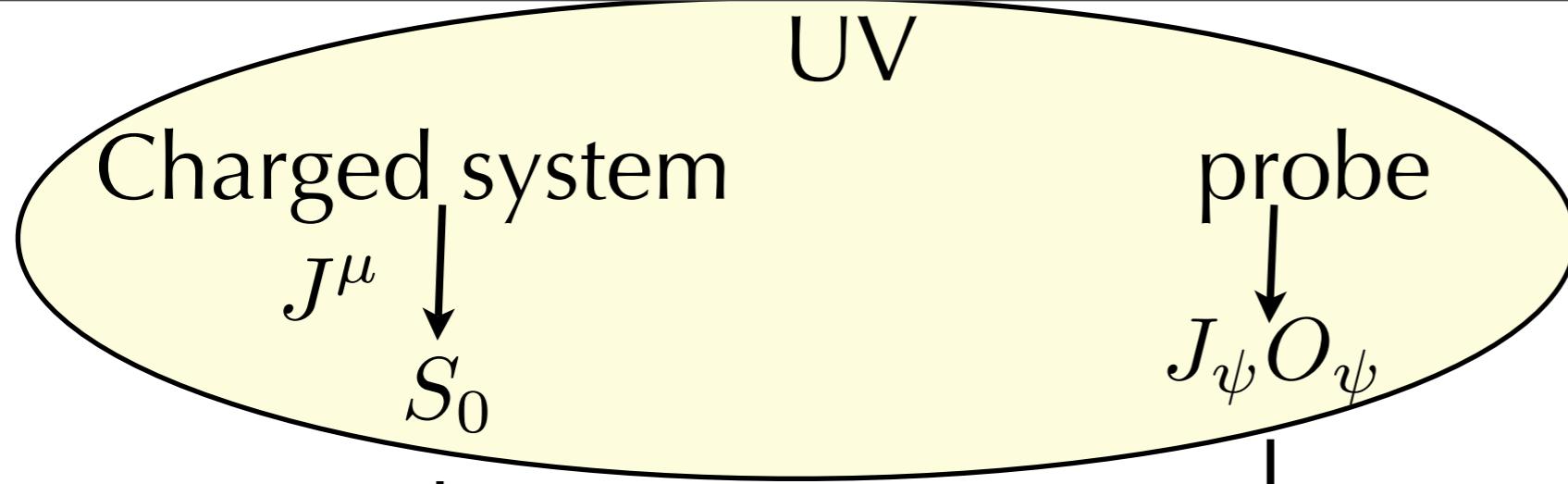


RN-AdS

$ds^2, A_t$

geometry





RN-AdS

$ds^2, A_t$

geometry

$\psi$  Dirac Eq.

in-falling boundary conditions

$$\psi(r \rightarrow \infty) \approx ar^m + br^{-m}$$

Retarded Green function:  $G = \frac{b}{a}$  =f(UV,IR)

What gravitational theory gives rise to a gap in  $\text{Im}G$  without spontaneous symmetry breaking?



dynamically generated gap: Mott gap  
(for probe fermions)



bottom-up schemes

$$\sqrt{-g}i\bar{\psi}(D - m)\psi$$



'non-Fermi liquids'

AdS-RN  
MIT, Leiden group

# bottom-up schemes

$$\sqrt{-g}i\bar{\psi}(D - m)\psi$$

AdS-RN  
MIT, Leiden group



`non-Fermi liquids'

??



Mott Insulator

bottom-up schemes

$$\sqrt{-g}i\bar{\psi}(D - m)\psi$$

AdS-RN  
MIT, Leiden group



'non-Fermi liquids'

??



Mott Insulator

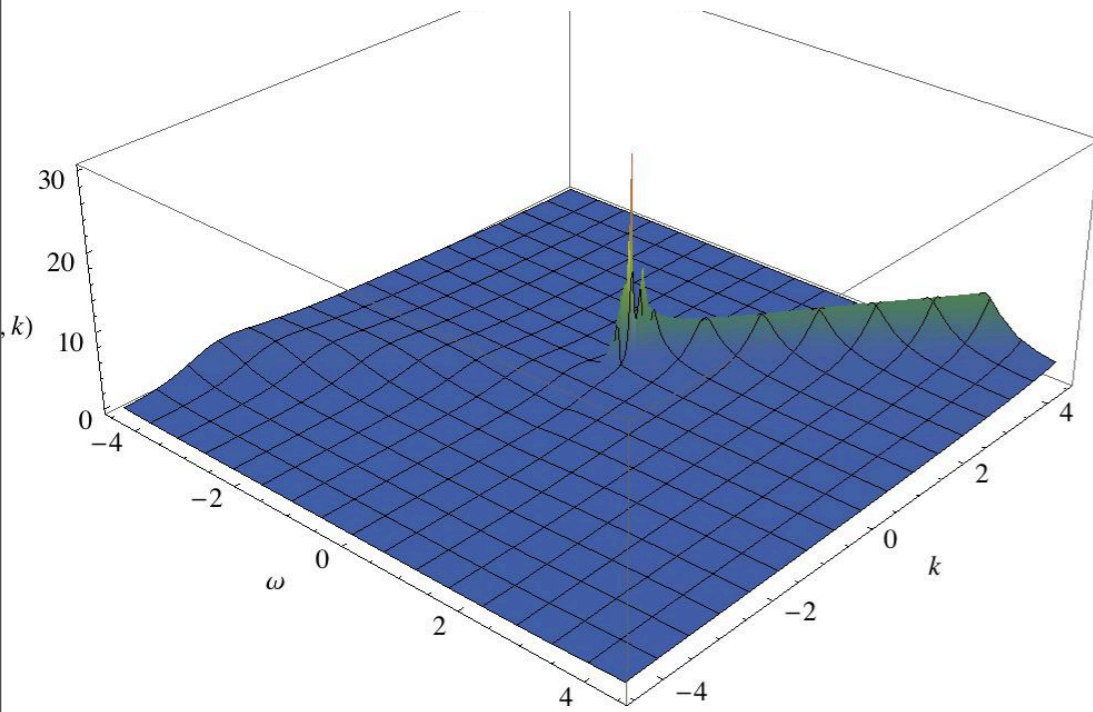
consider

$$\sqrt{-g}i\bar{\psi}(\cancel{D} - m - ip\cancel{F})\psi$$

fermions in RN AdS\_{d+1} coupled to a gauge field through a dipole interaction

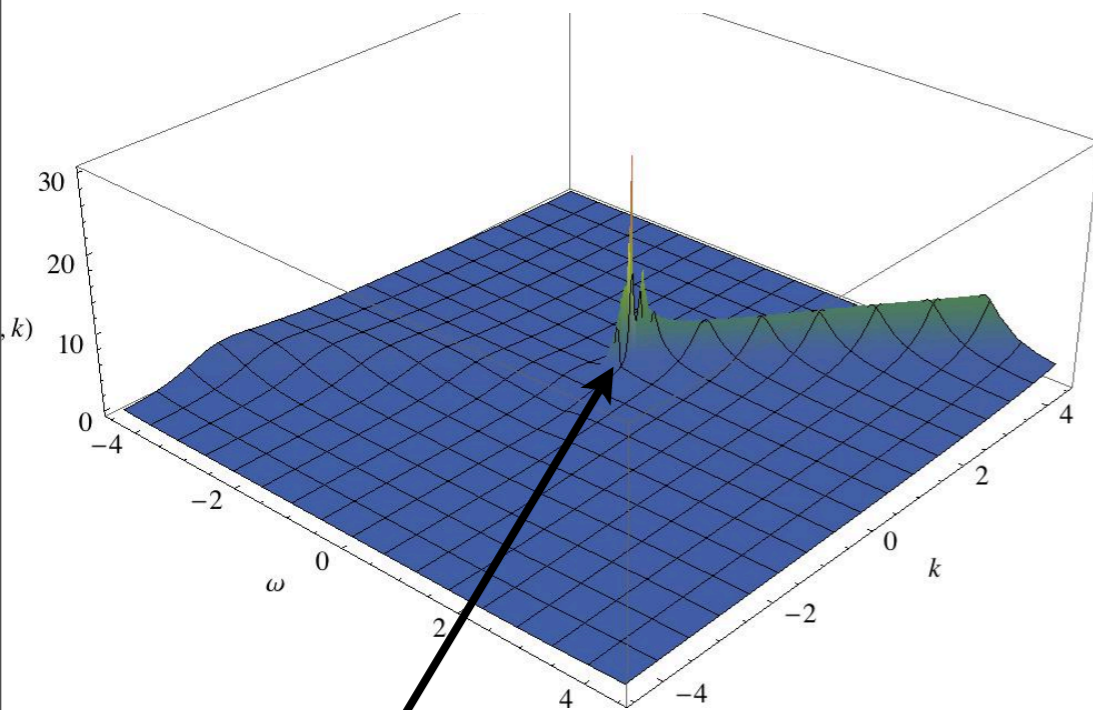
# How is the spectrum modified?

$P=0$



How is the spectrum modified?

$P=0$

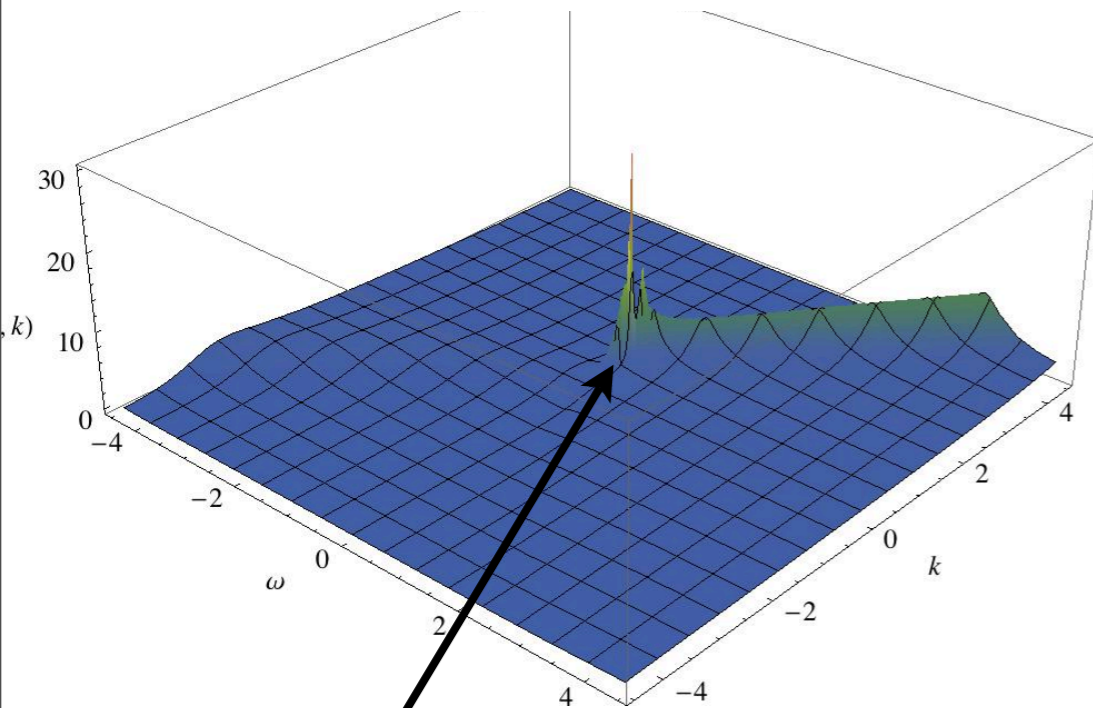


Fermi  
surface  
peak

# How is the spectrum modified?

$P=0$

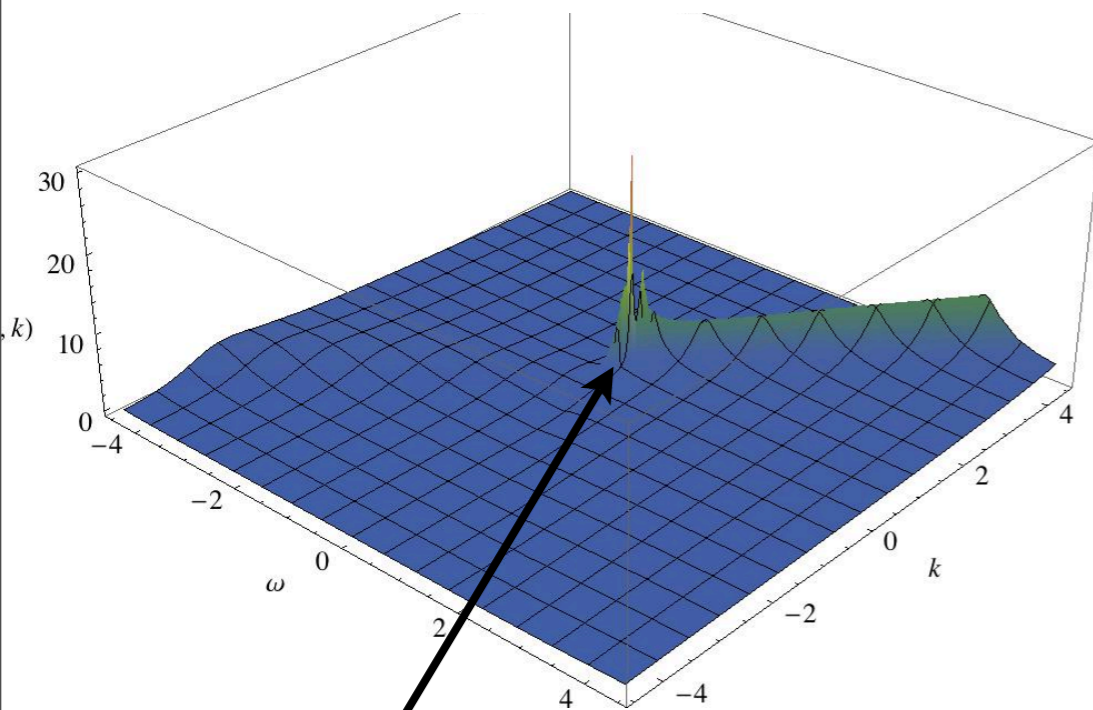
$P > 4.2$



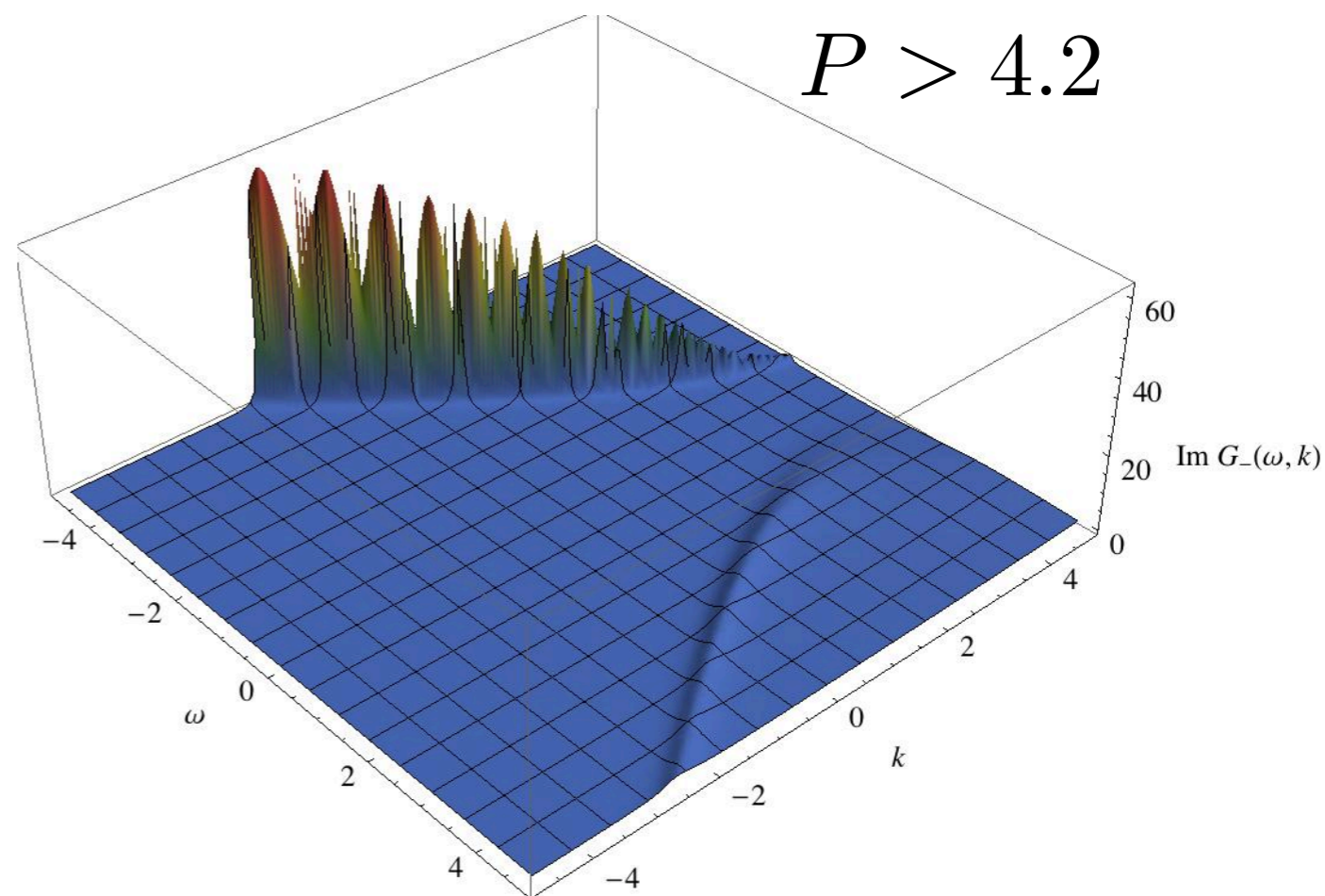
Fermi  
surface  
peak

# How is the spectrum modified?

$P=0$



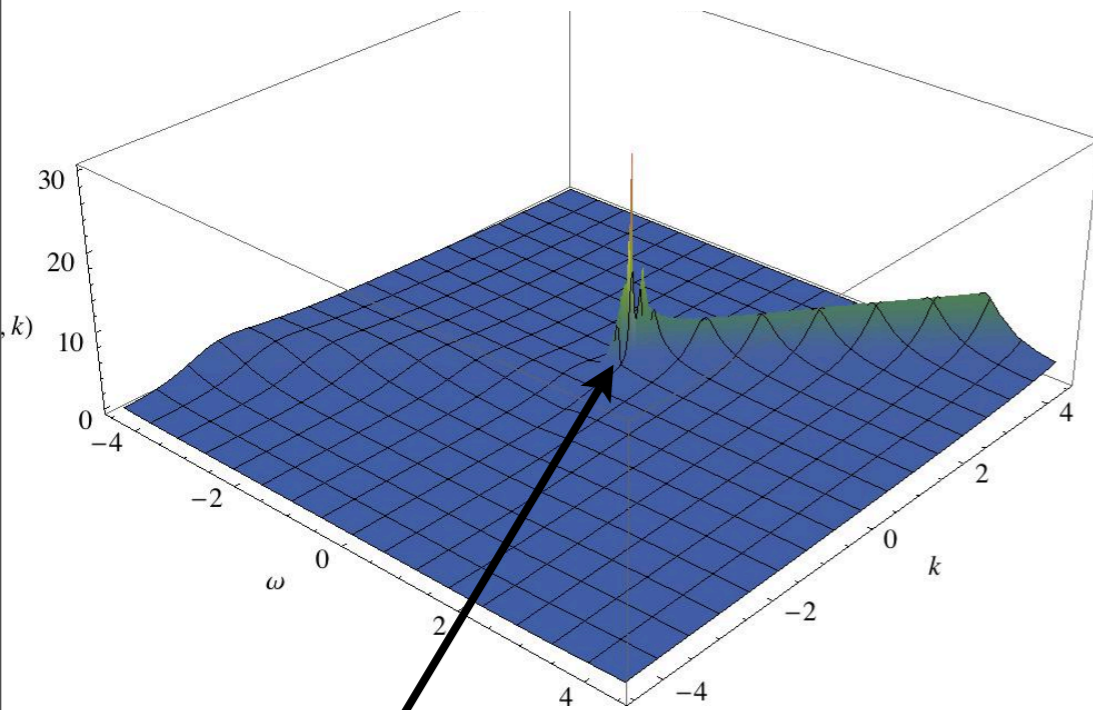
$P > 4.2$



Fermi  
surface  
peak

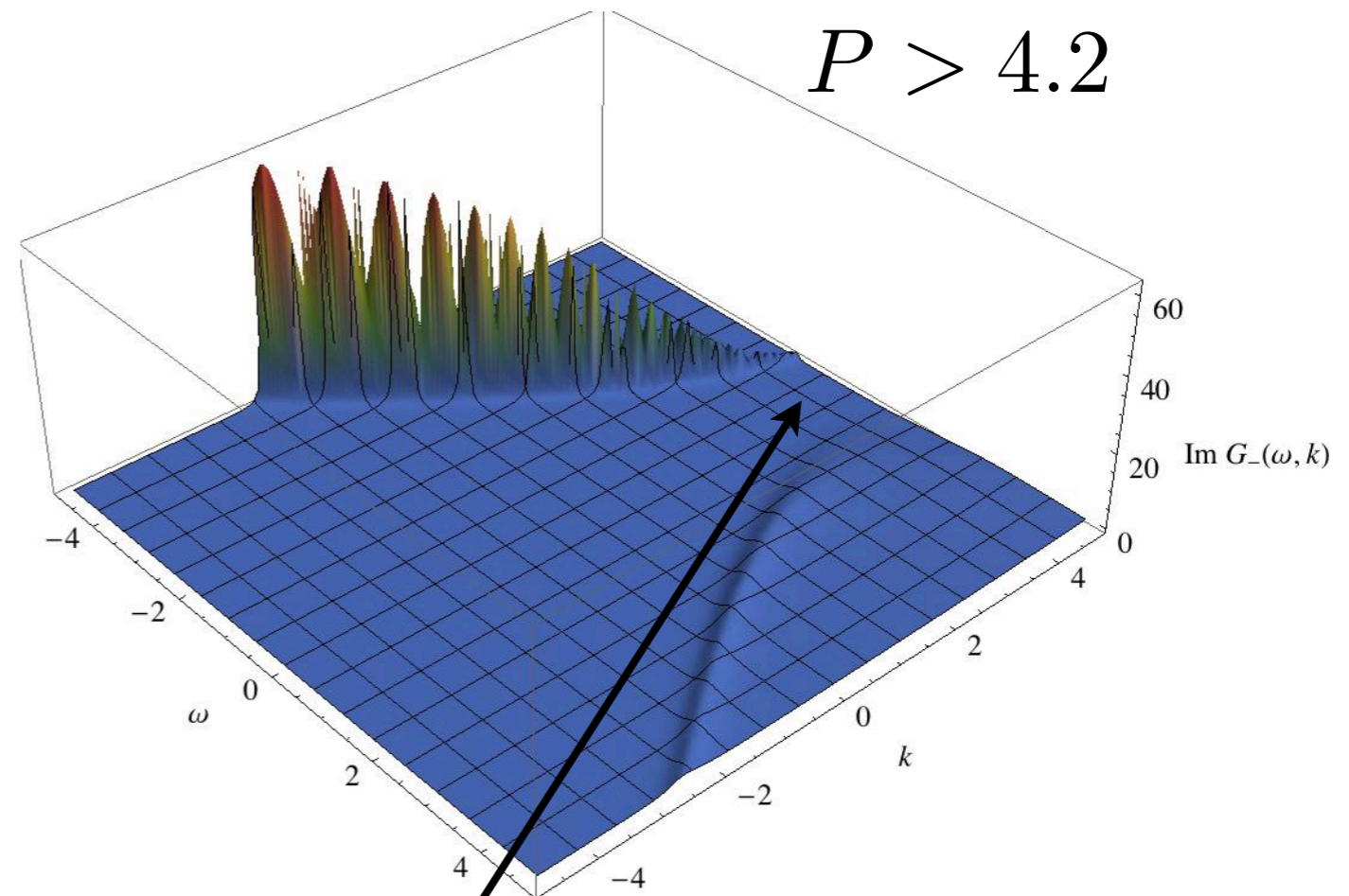
# How is the spectrum modified?

$P=0$



Fermi  
surface  
peak

$P > 4.2$

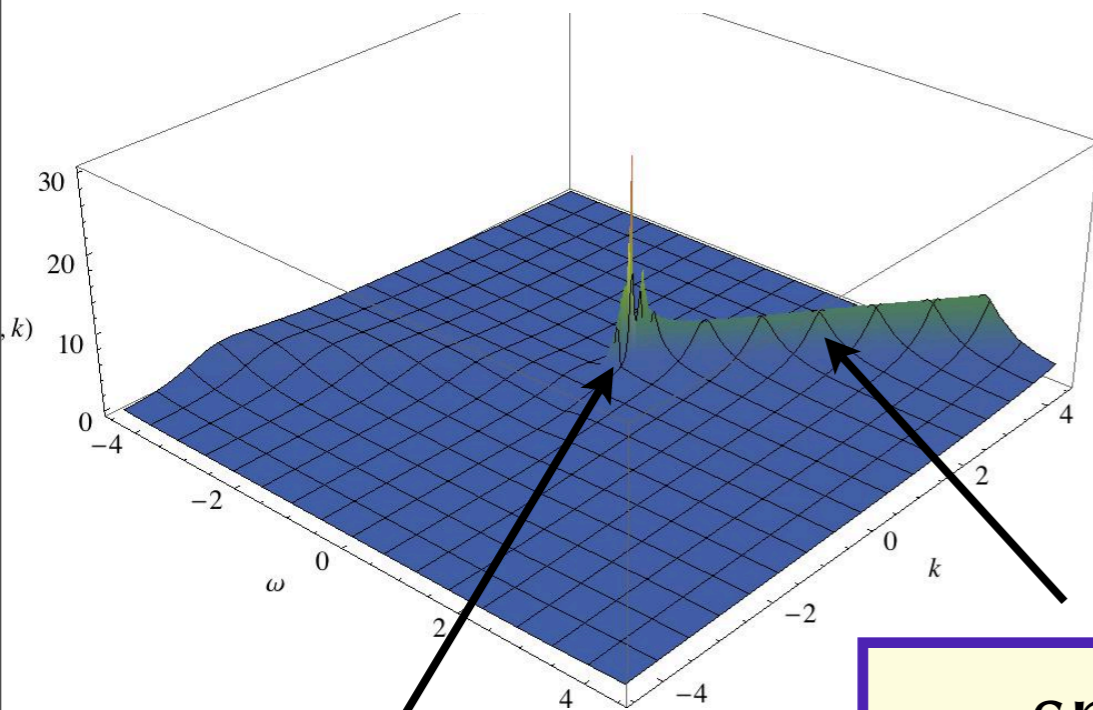


dynamically generated gap:

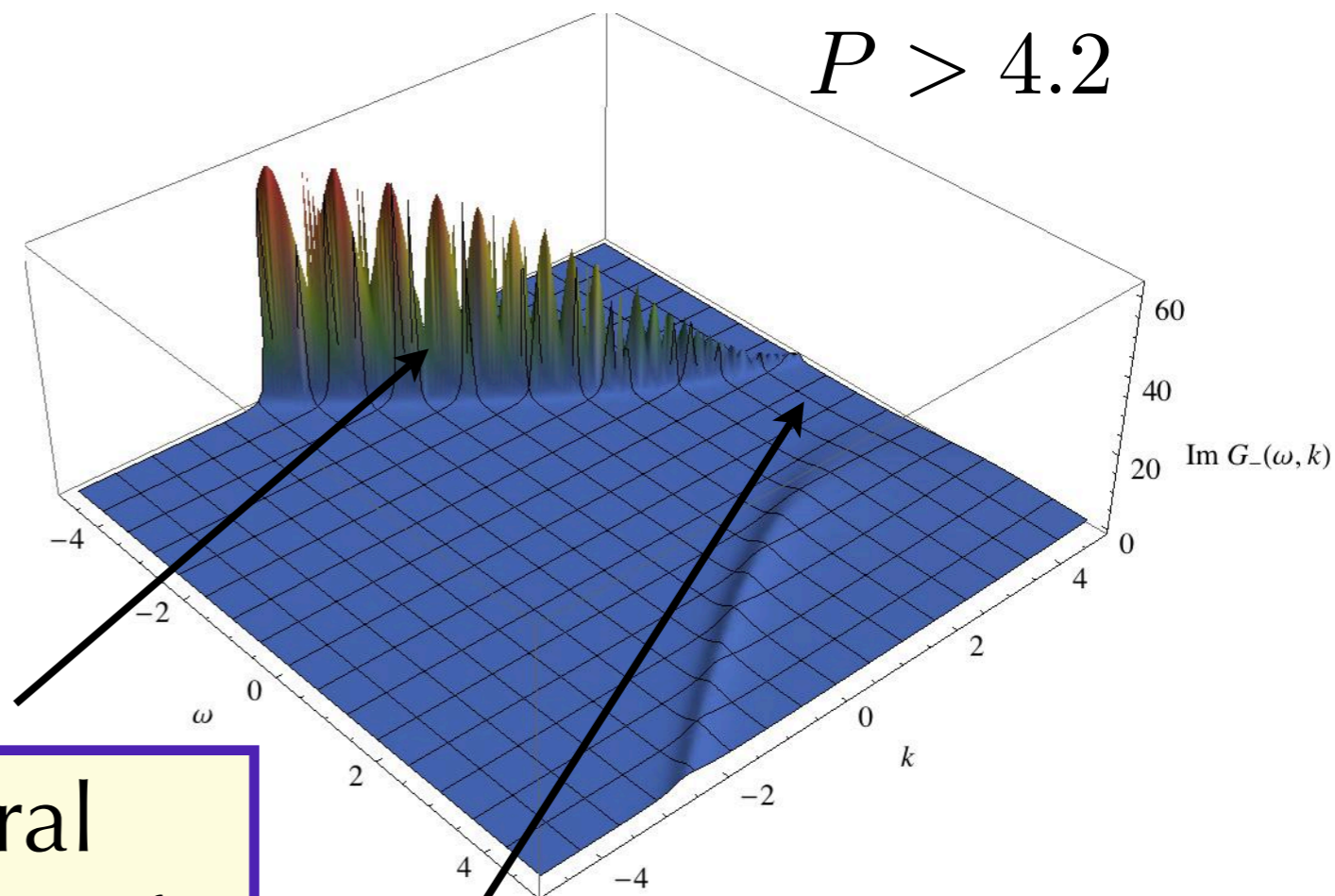


# How is the spectrum modified?

$P=0$



$P > 4.2$



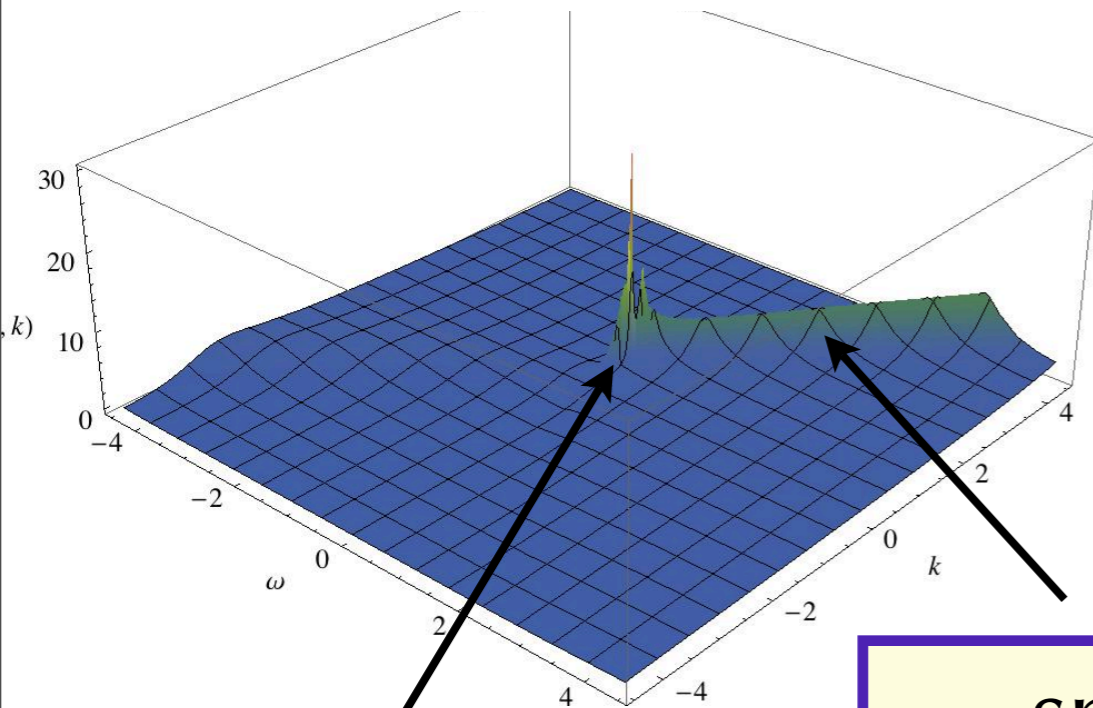
spectral weight transfer

Fermi surface peak

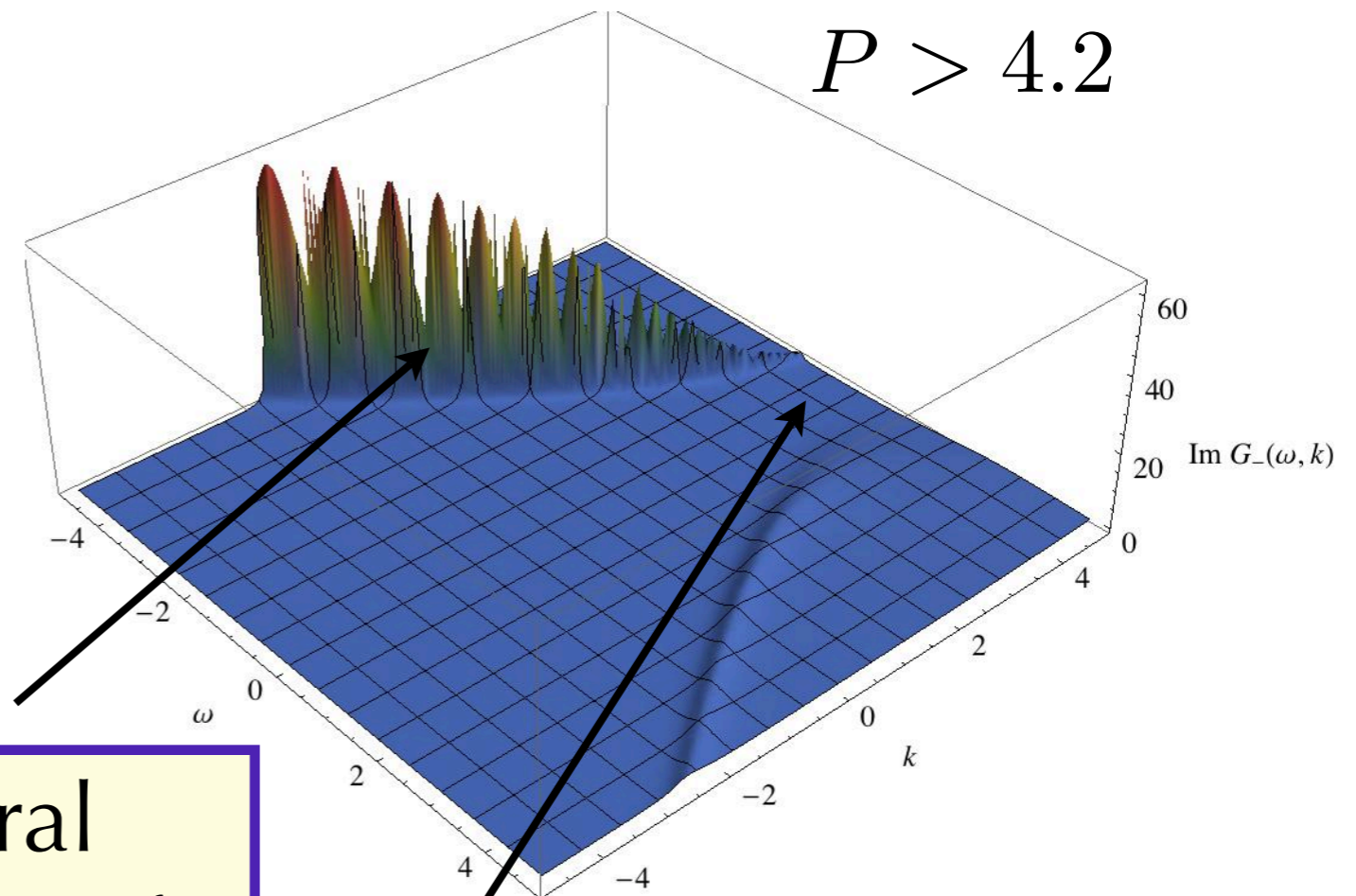
dynamically generated gap:

# How is the spectrum modified?

$P=0$



$P > 4.2$



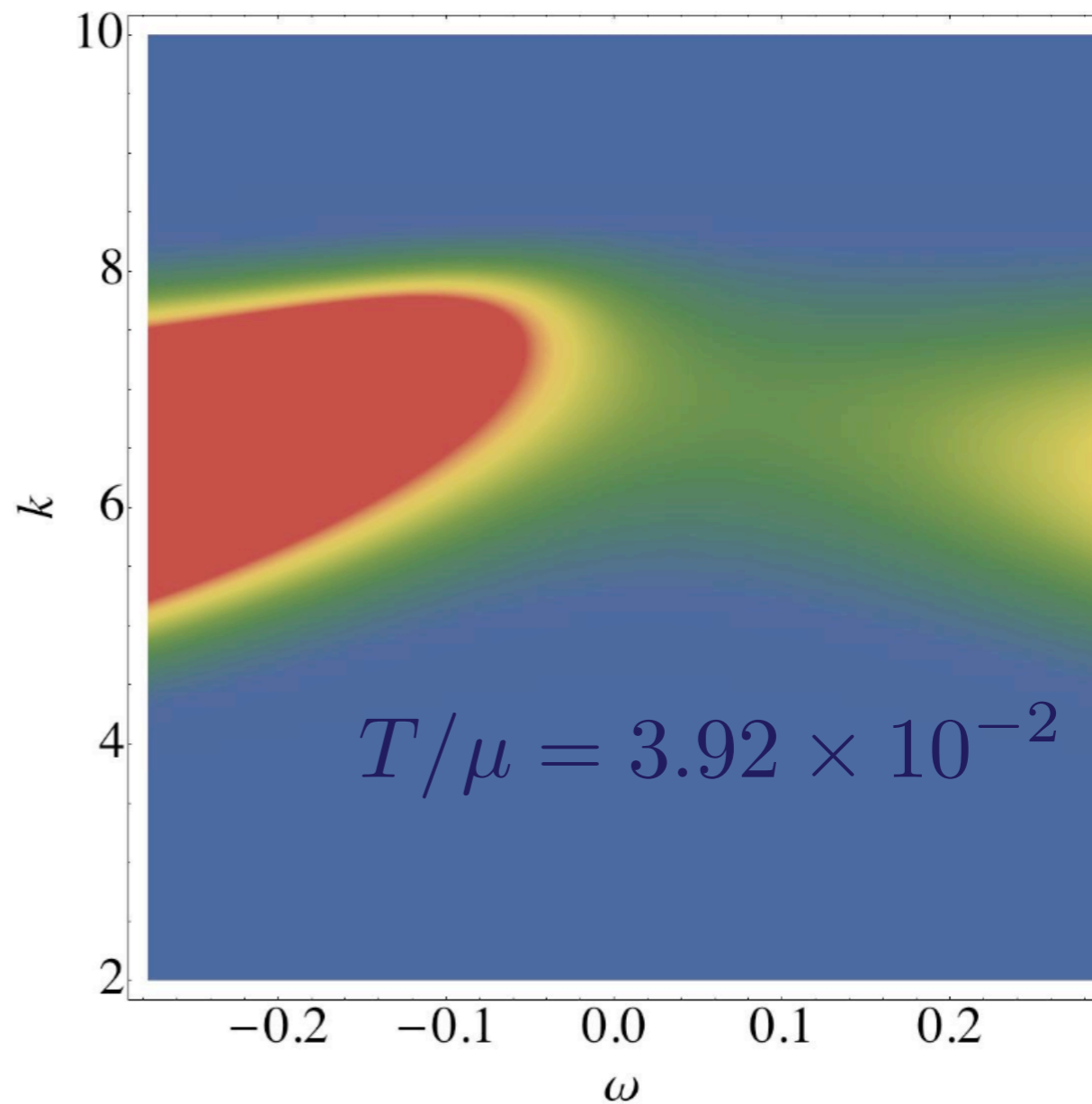
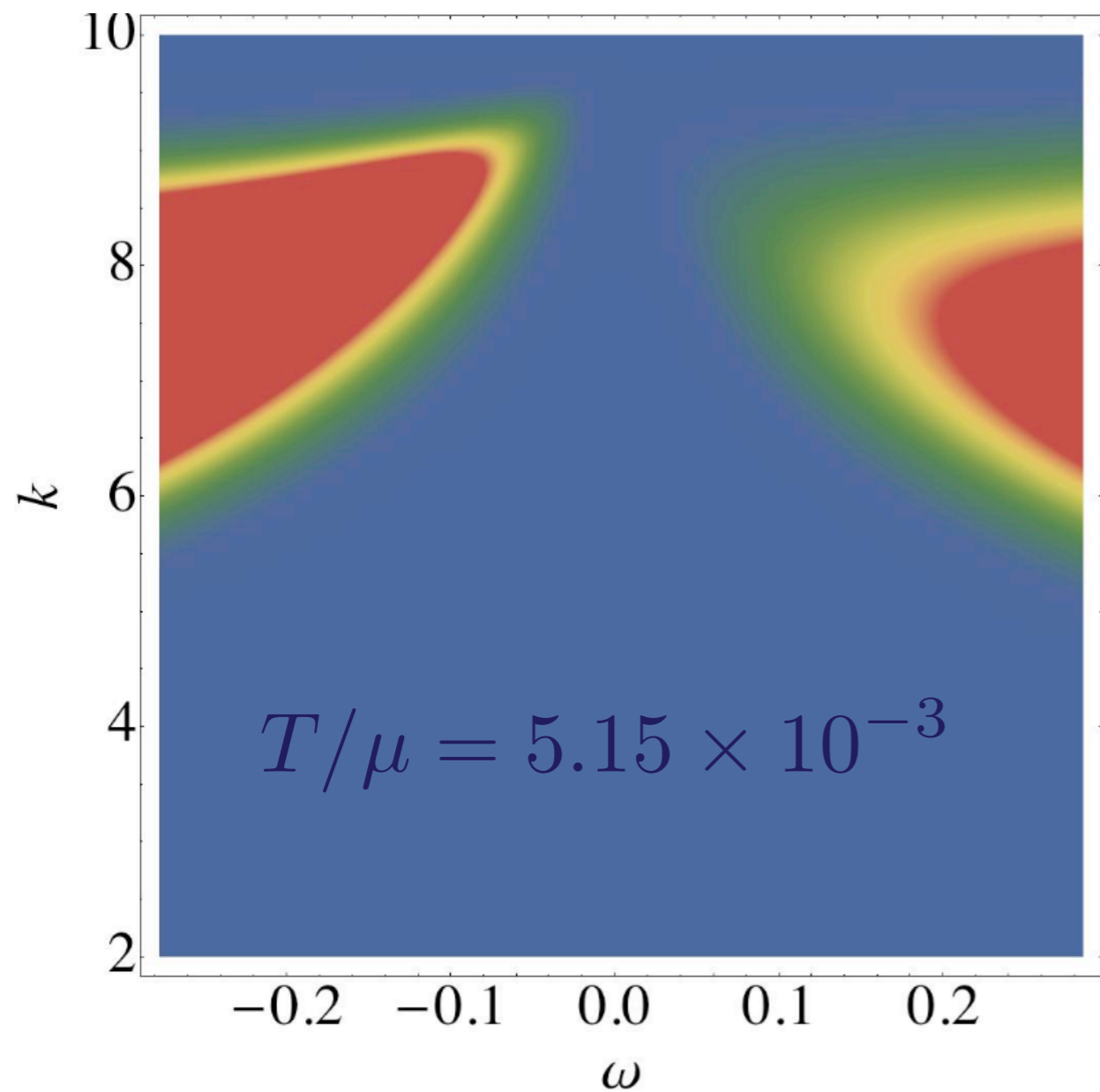
spectral weight transfer

Fermi surface peak

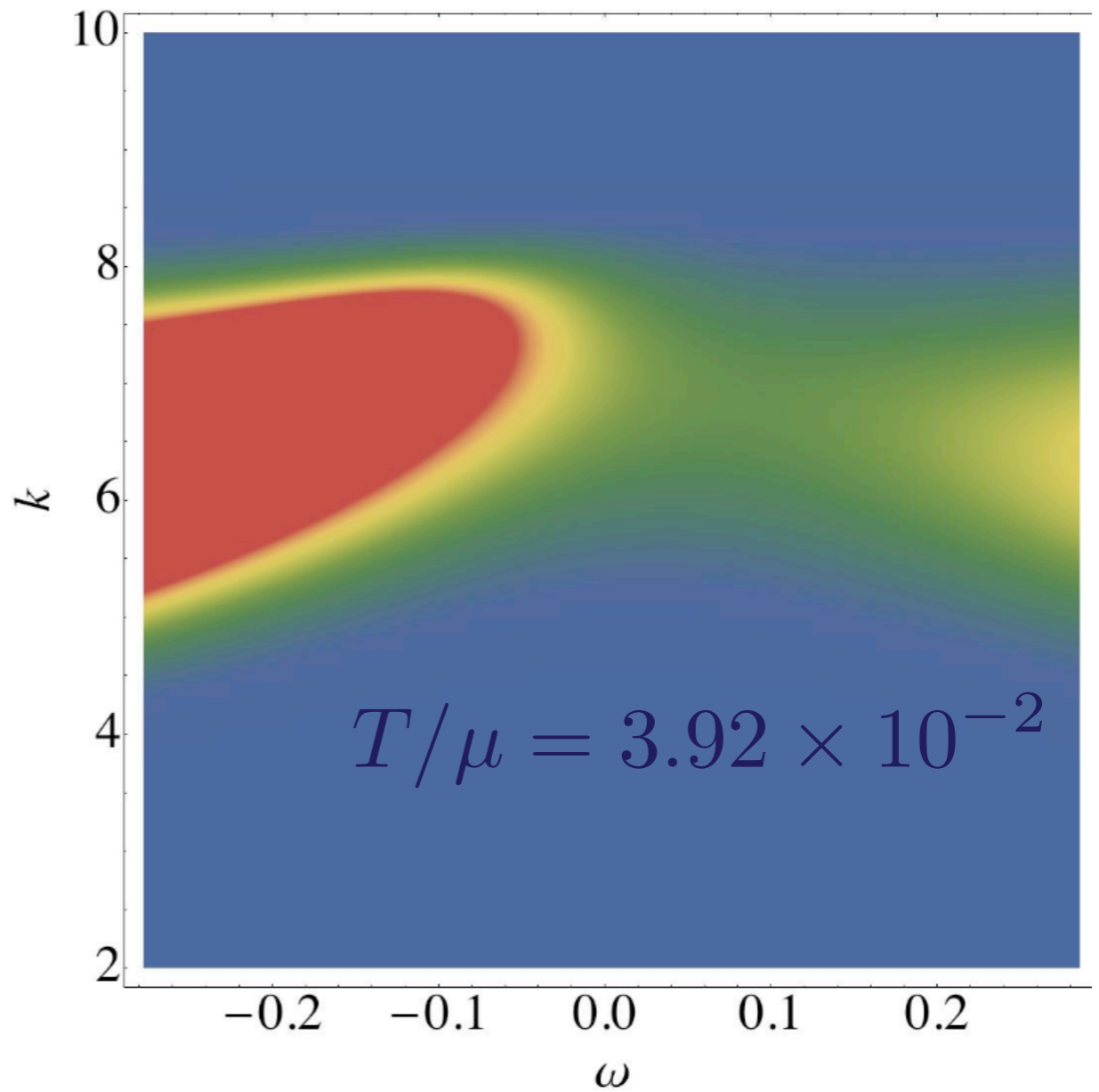
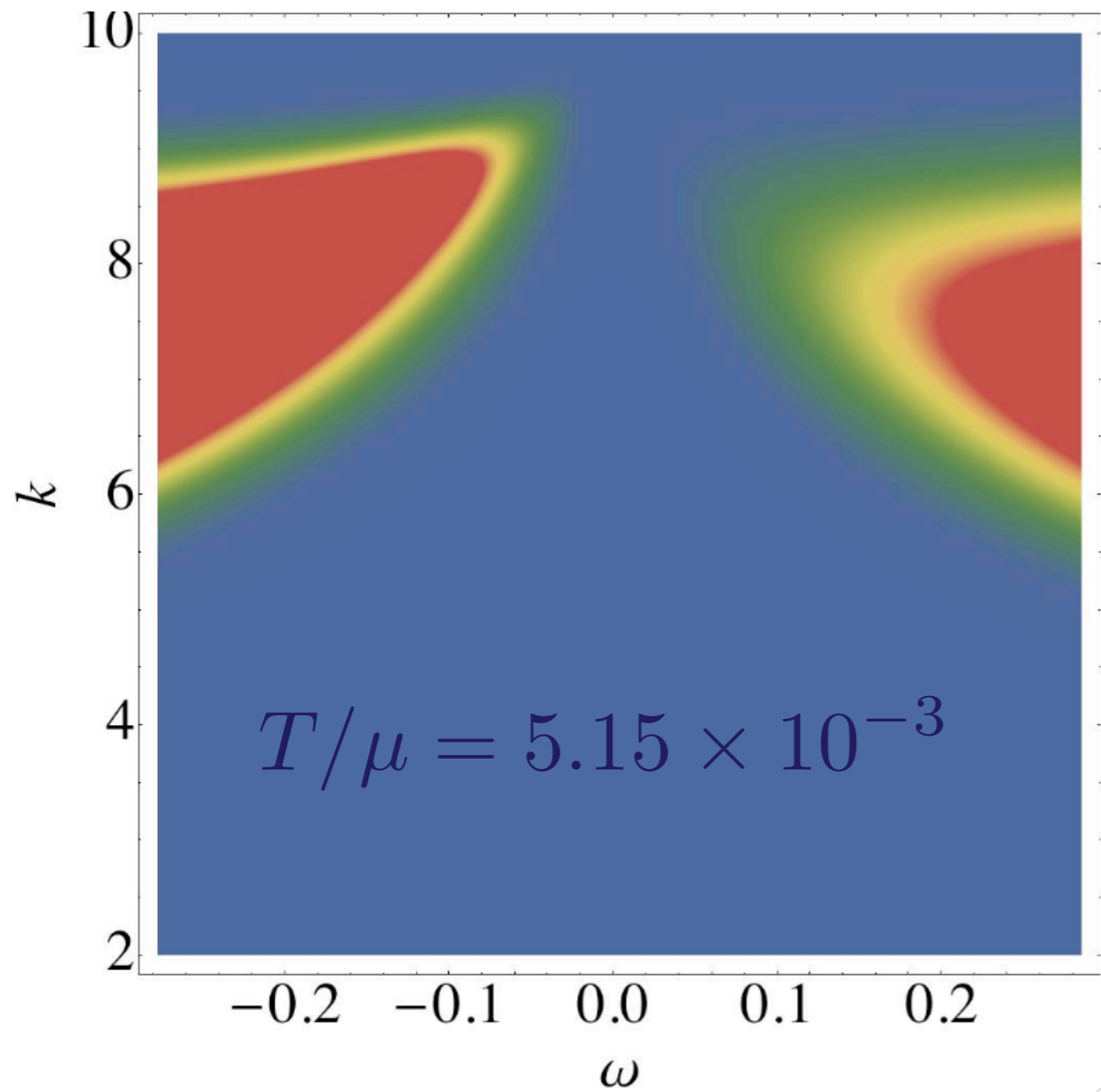
dynamically generated gap:

confirmed by Gubser, Gauntlett, 2011

# Finite Temperature Mott transition

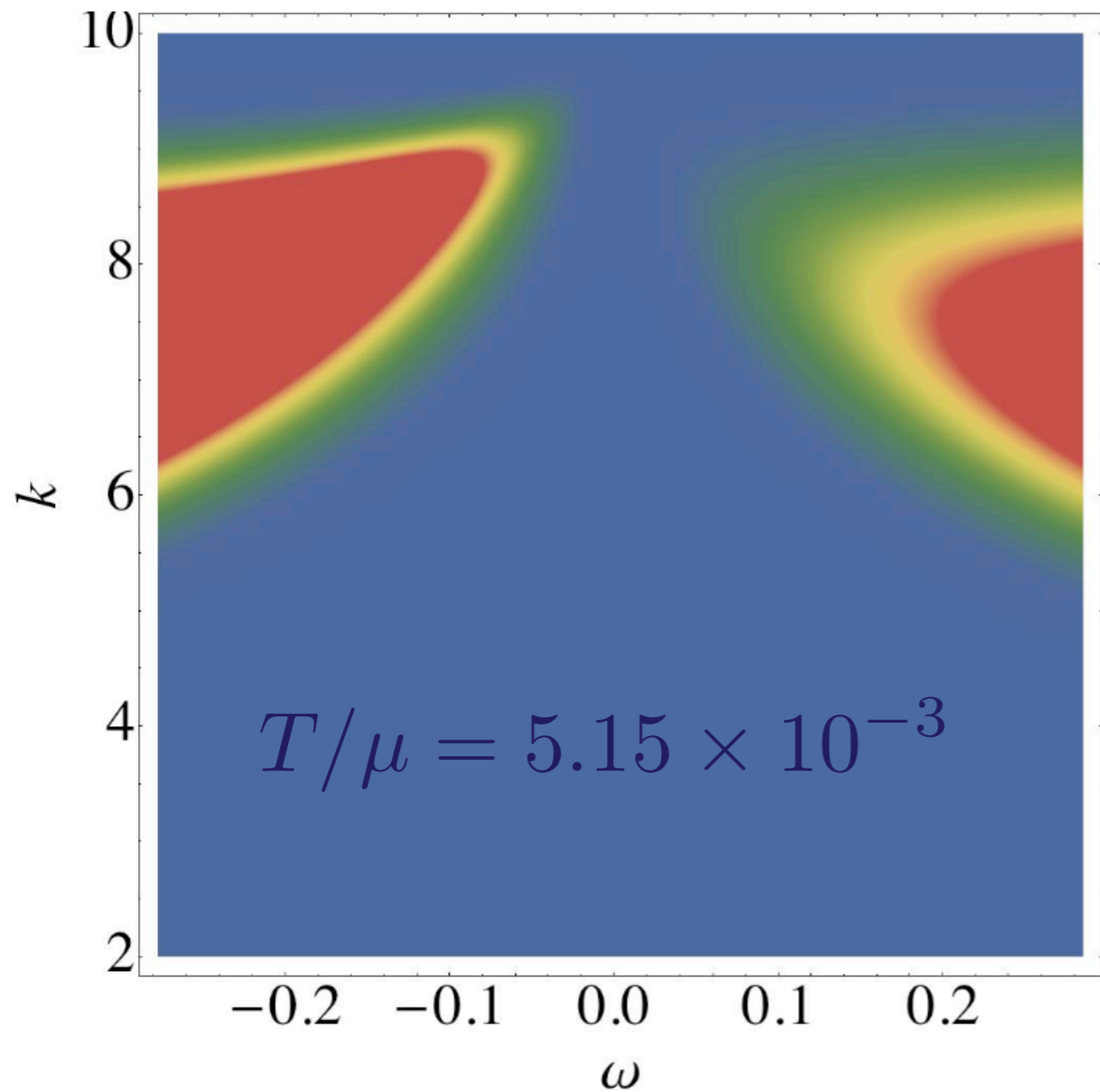


# Finite Temperature Mott transition

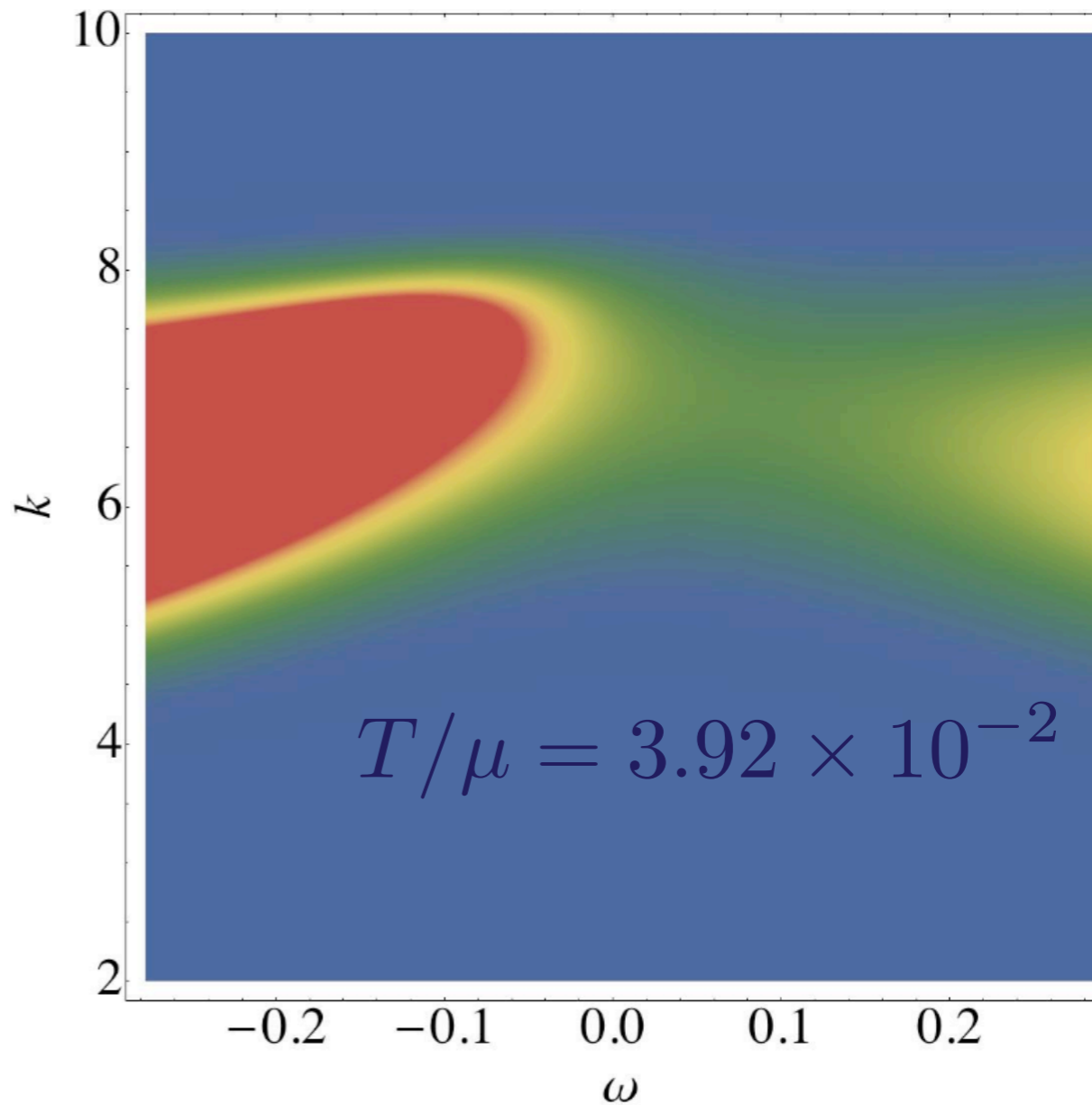


$$\frac{\Delta}{T_{\text{crit}}} \approx 20 \quad \text{vanadium oxide}$$

# Finite Temperature Mott transition

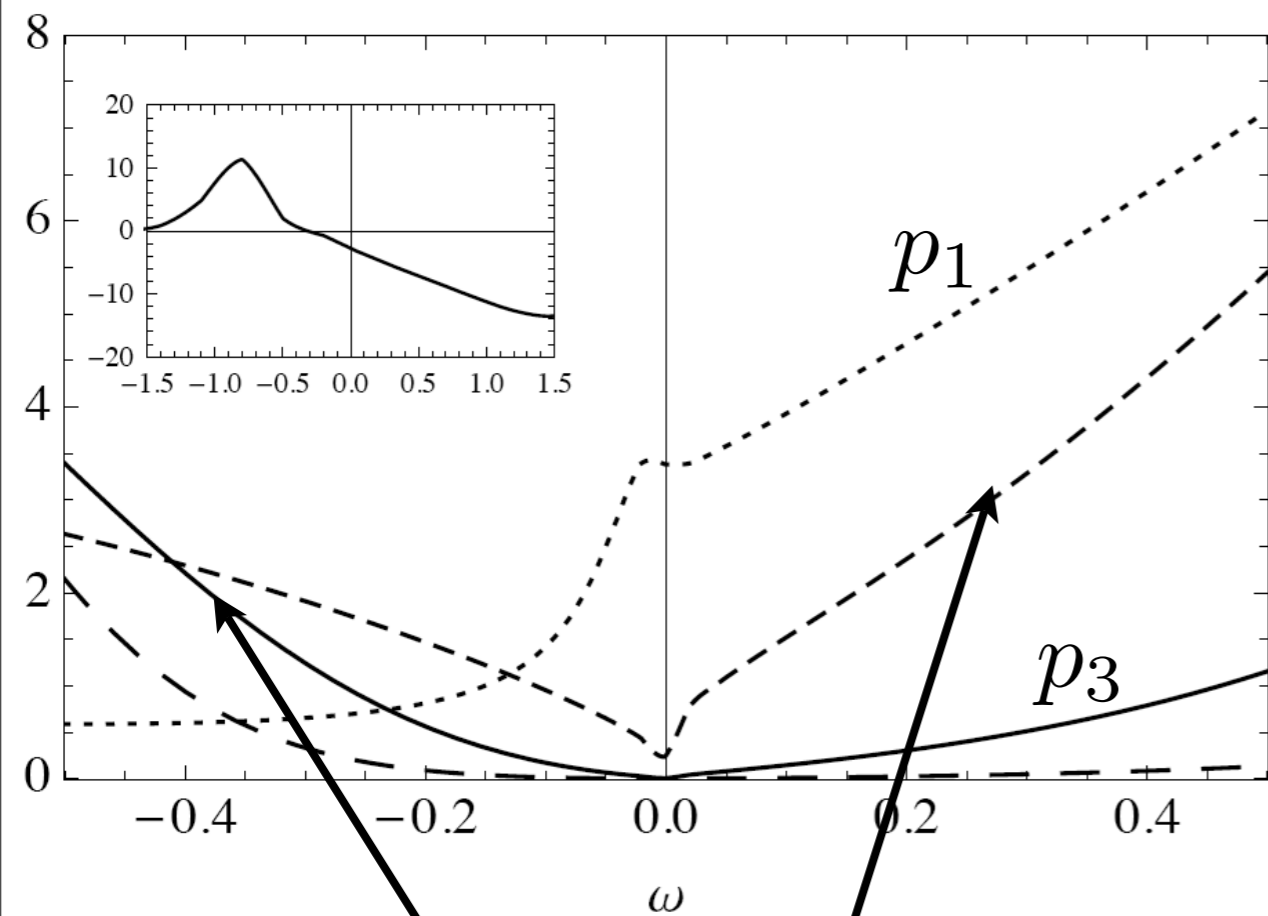


$$\frac{\Delta}{T_{\text{crit}}} \approx 10$$

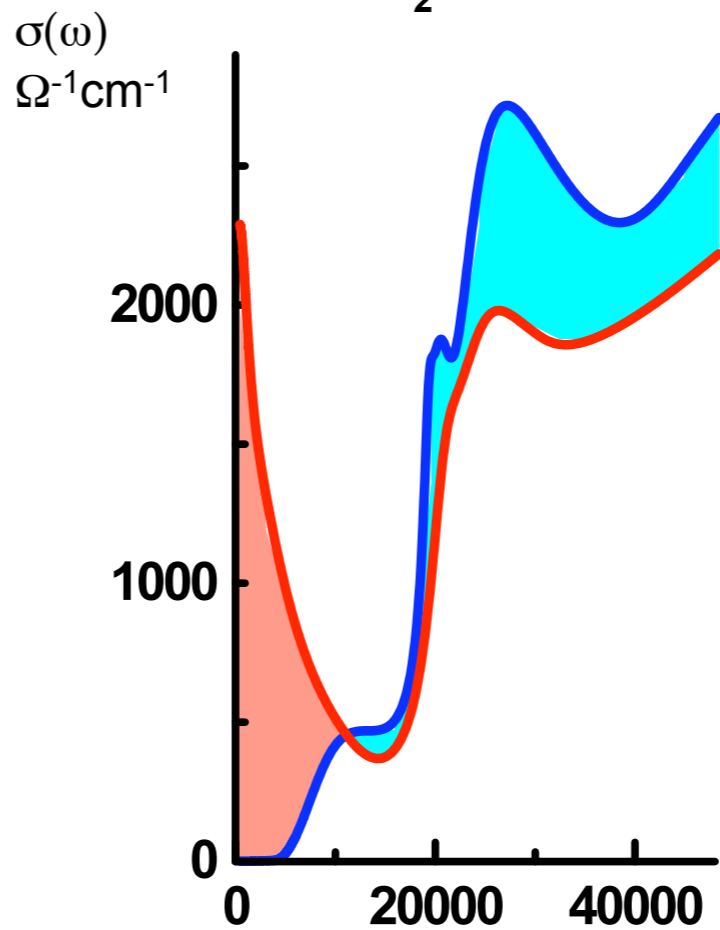
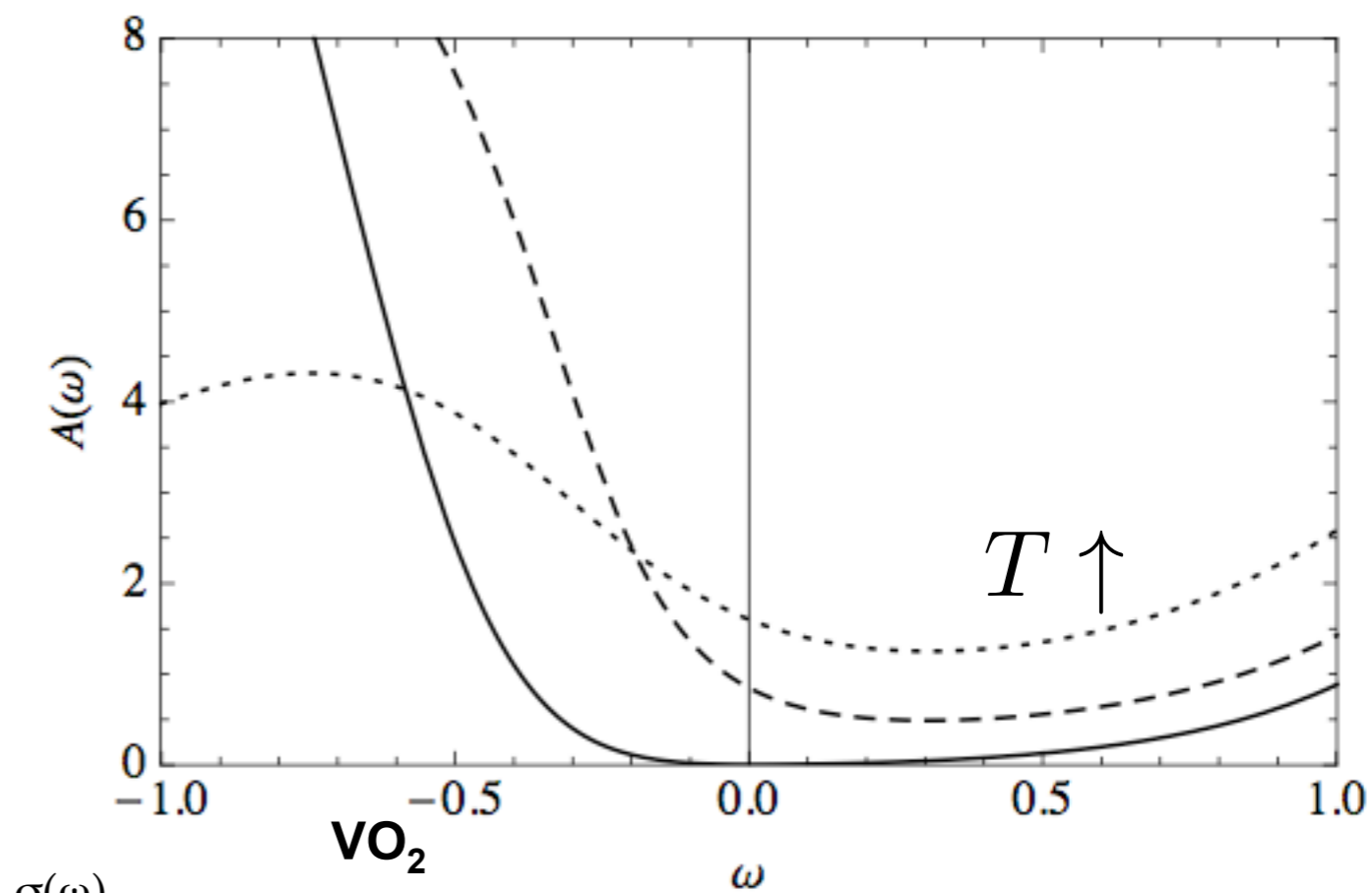


$$\frac{\Delta}{T_{\text{crit}}} \approx 20$$

vanadium oxide



spectral weight  
 transfer  
 UV-IR mixing

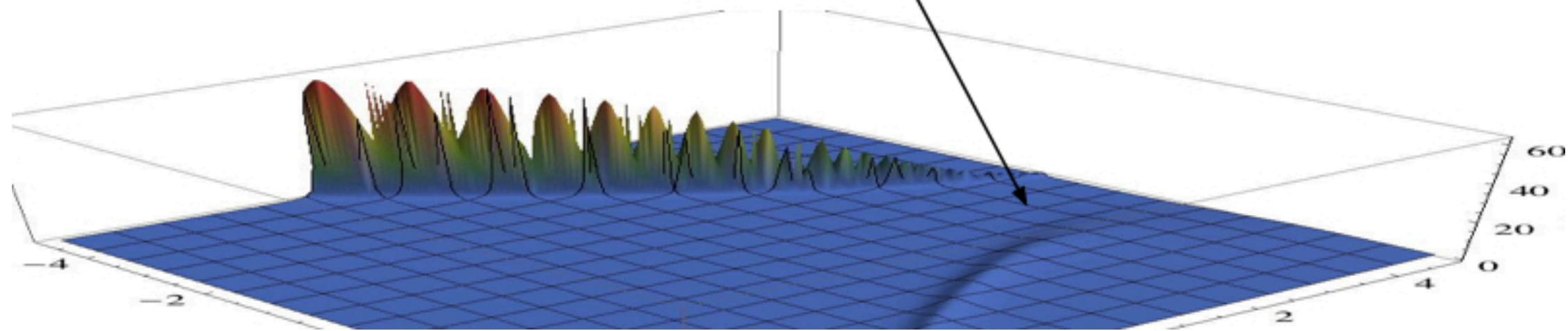


black hole

electrons

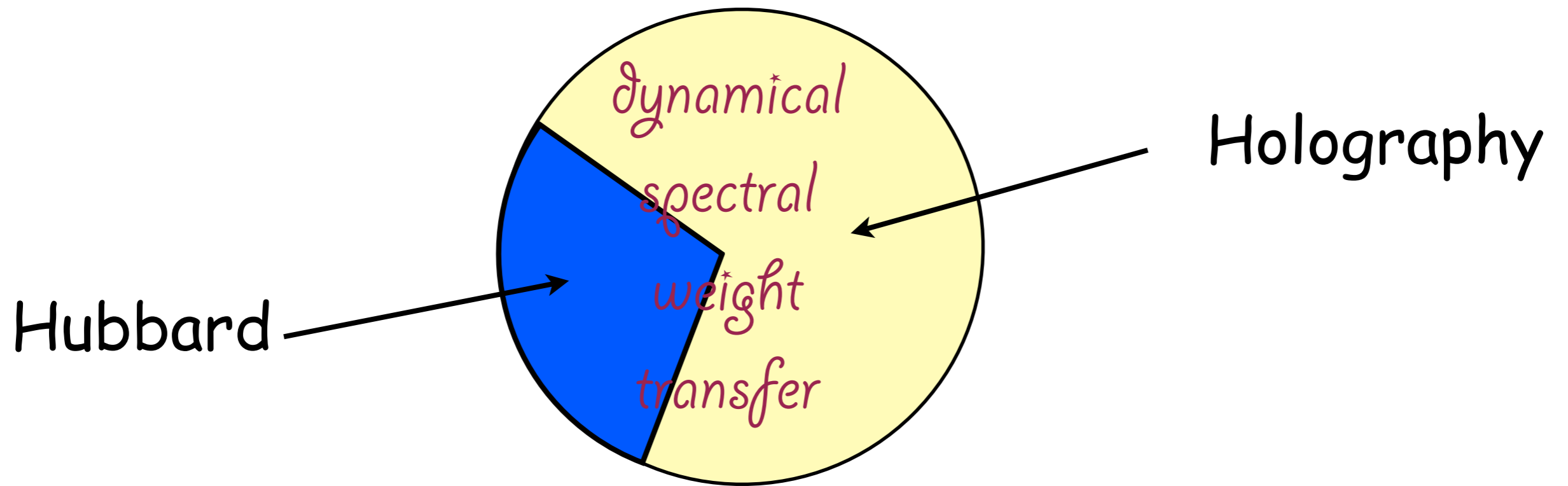
gravitons

boundary : Mott insulator

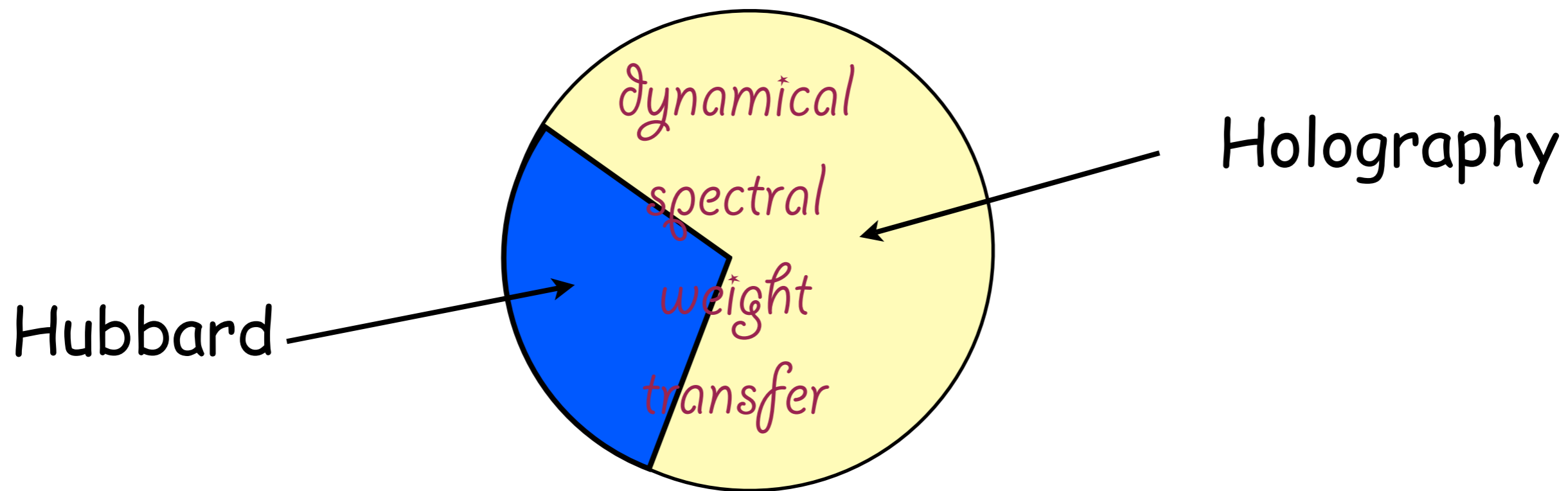








# Mottness



Hubbard

Holography

*dynamical  
spectral  
weight  
transfer*

