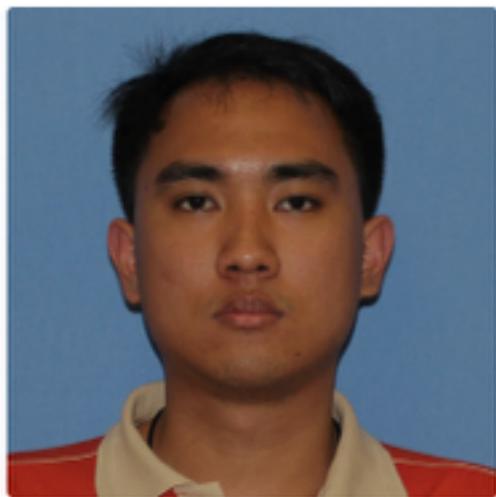


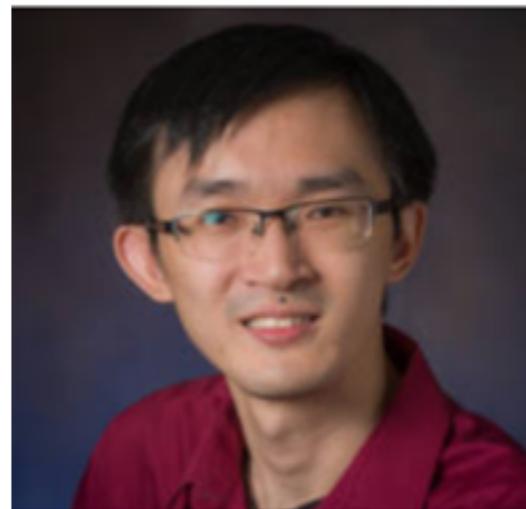
# Unaprticles: MEELS, ARPES and the Strange Metal



K. Limtragool



Chandan Setty



Zhidong Leong



Bikash Padhi

# strange metal: experimental facts

## Quantum critical behaviour in a high- $T_c$ superconductor

D. van der Marel<sup>1\*</sup>, H. J. A. Molegraaf<sup>1\*</sup>, J. Zaanen<sup>2</sup>, Z. Nussinov<sup>2\*</sup>,  
F. Carbone<sup>1\*</sup>, A. Damascelli<sup>3\*</sup>, H. Eisaki<sup>3\*</sup>, M. Greven<sup>3</sup>, P. H. Kes<sup>2</sup> & M. Li<sup>2</sup>

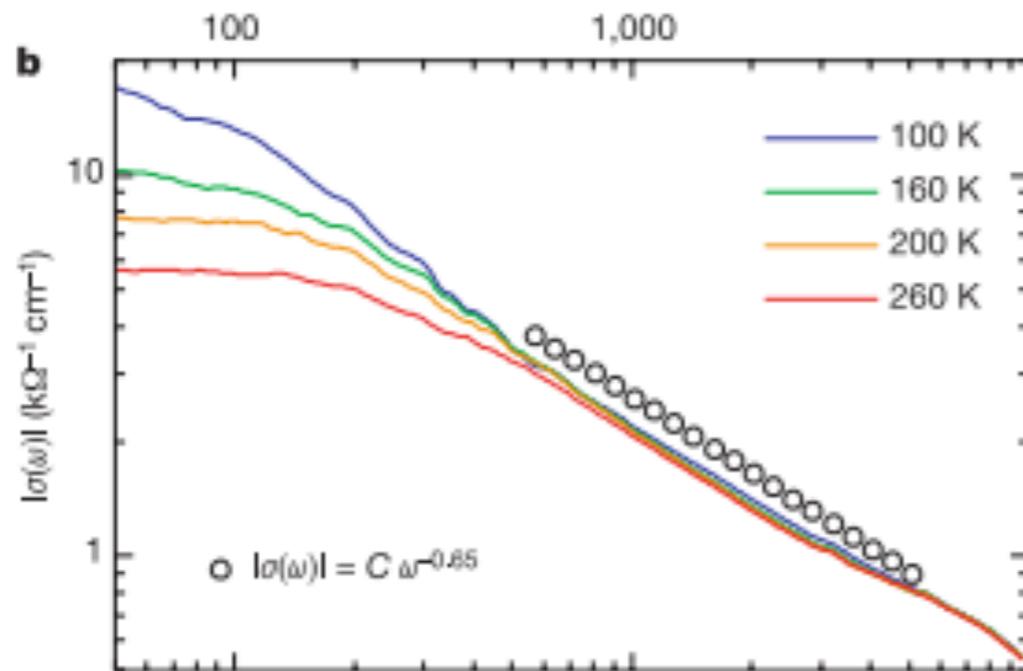
<sup>1</sup>Materials Science Centre, University of Groningen, 9747 AG Groningen, The Netherlands

<sup>2</sup>Leiden Institute of Physics, Leiden University, 2300 RA Leiden, The Netherlands

<sup>3</sup>Department of Applied Physics and Stanford Synchrotron Radiation Laboratory, Stanford University, California 94305, USA

$$\sigma(\omega) = C\omega^{-\frac{2}{3}}$$

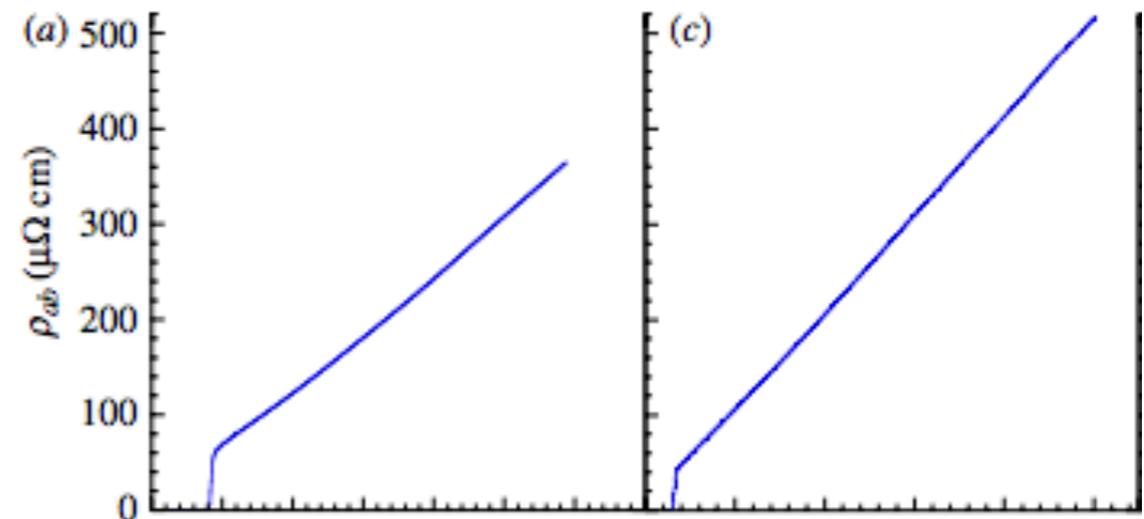
Wavenumber (cm<sup>-1</sup>)



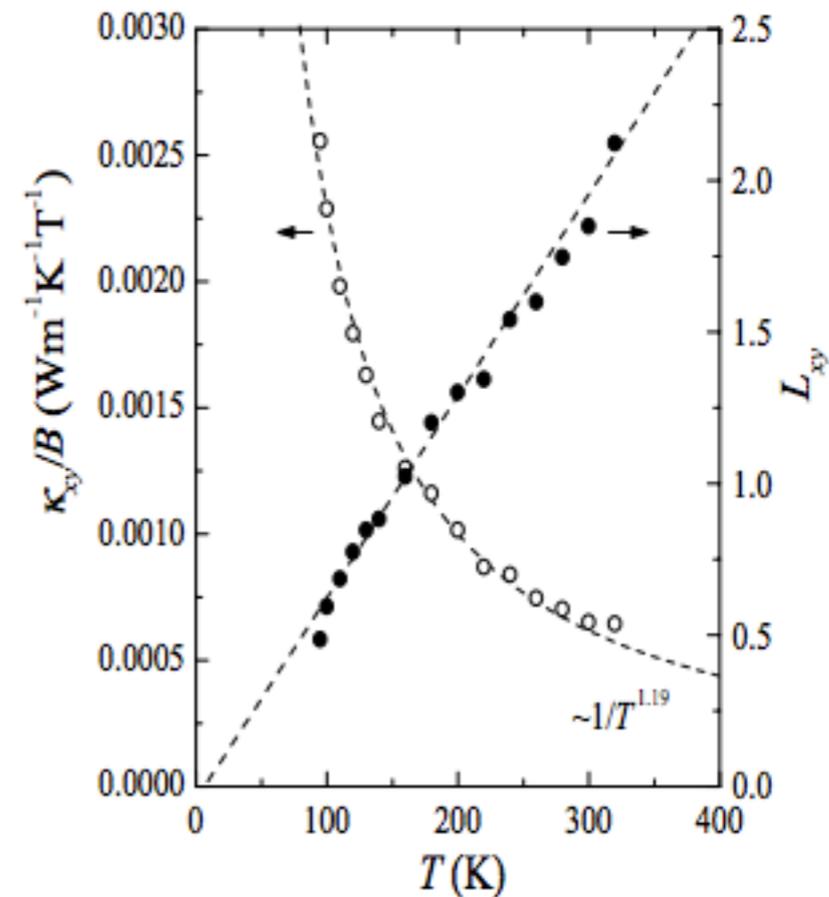
## Hall Angle

$$\cot \theta_H \equiv \frac{\sigma_{xx}}{\sigma_{xy}} \approx T^2$$

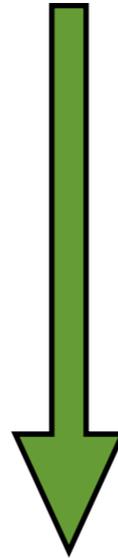
## T-linear resistivity



$$L_{xy} = \kappa_{xy} / T \sigma_{xy} \neq \propto T$$

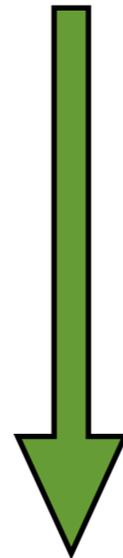


Phase Space  
Restriction



$$\rho \approx T^2$$

Planckian dissipation

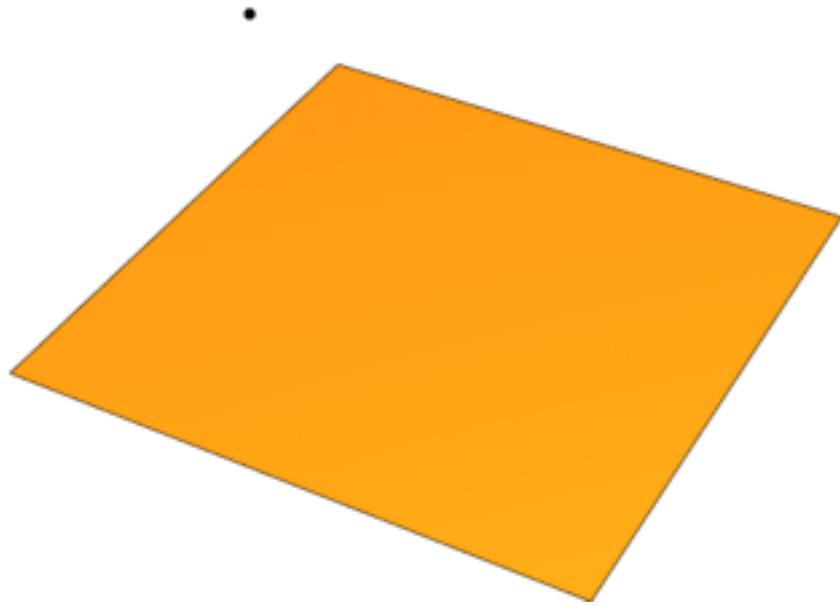


Interactions???

$$\rho \propto T$$

# M-EELS

(Momentum resolved electron energy loss spectroscopy)



$$\frac{d^2\sigma}{d\Omega dE} = \sigma_0 [V_{\text{eff}}(k_i^z, k_s^z, \mathbf{q})]^2 \cdot \mathbf{S}(\mathbf{q}, \omega)$$

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/k_B T}} \approx \Im \chi(\mathbf{q}, \omega) \approx -\Im \frac{1}{\epsilon(\mathbf{q}, \omega)}$$

susceptibility

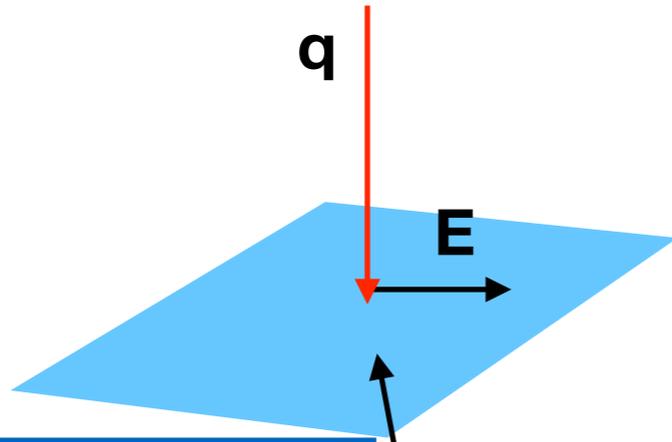
?

# Transverse vs Longitudinal

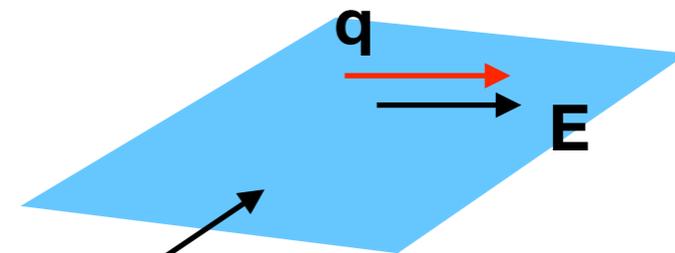
$$\epsilon_{\perp}(\mathbf{q}, \omega)$$

$$\epsilon_{\parallel}(\mathbf{q}, \omega)$$

M-EELS



optics: Ellipsometry



$$\epsilon_{\perp}(\mathbf{q} \rightarrow \mathbf{0}, \omega) = \epsilon_{\parallel}(\mathbf{q} \rightarrow \mathbf{0}, \omega)$$

*The two dielectric functions must be the same in the zero momentum limit*

The Bible: Nozieres and Pines (1999)

$$H'(t) = \int d\mathbf{r} n(\mathbf{r}, t) \mathbf{W}(\mathbf{r}, t)$$

$$\langle \delta n(\mathbf{k}, \omega) \rangle = \chi(\mathbf{k}, \omega) \mathbf{W}(\mathbf{q}, \omega)$$

density-density response

marginal Fermi liquids

$$\chi''(\mathbf{k}, \omega) = -N(\epsilon_{\mathbf{F}}) \omega / T \quad \omega \ll T$$

$$\chi''(\mathbf{k}, \omega) = -N(\epsilon_{\mathbf{F}}) (\text{sgn} \omega), \quad T \ll \omega \ll \omega_c$$

is this seen experimentally?

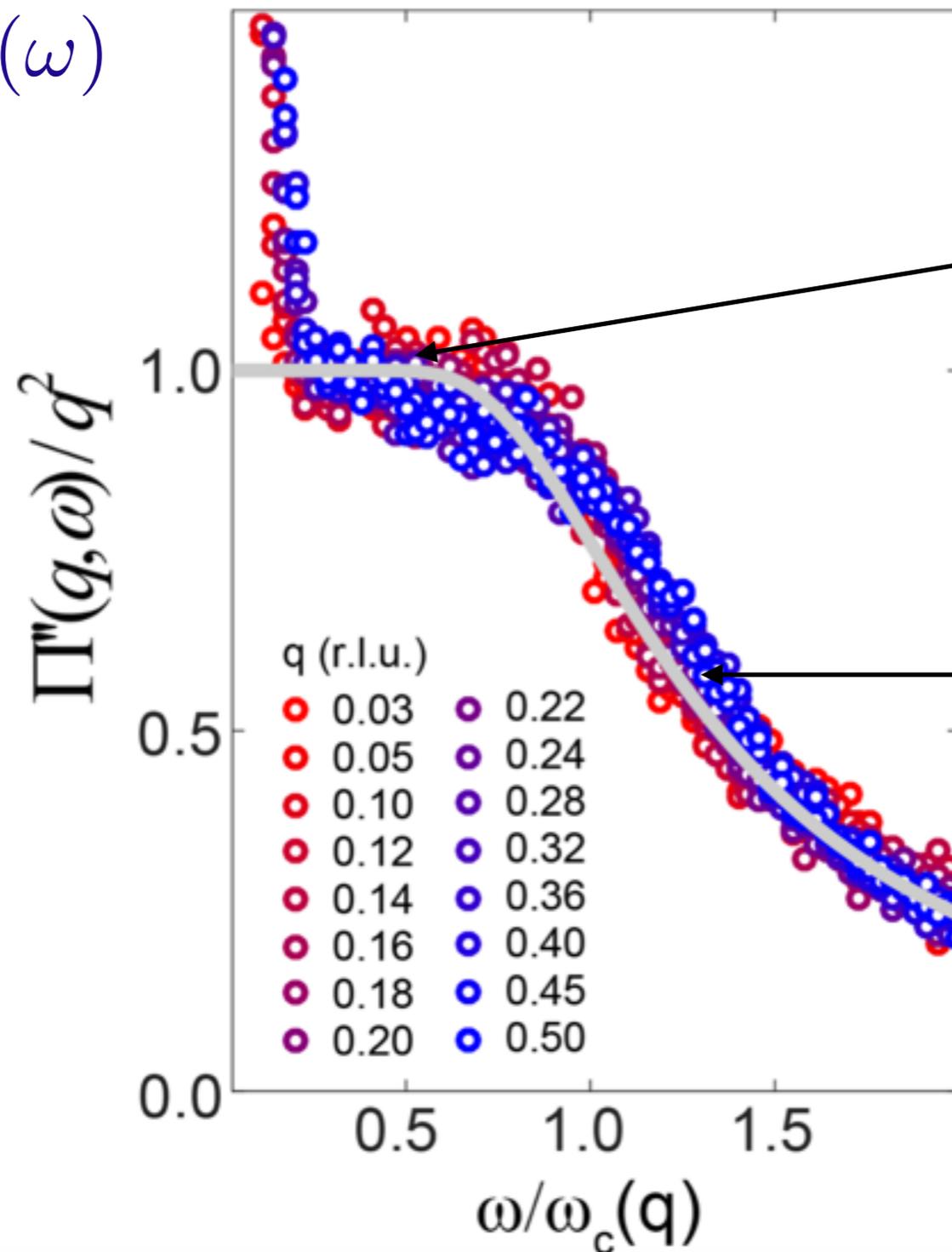
polarizability

$$\chi = \frac{\Pi(\mathbf{k}, \omega)}{1 - V(\mathbf{k})\Pi(\mathbf{k}, \omega)}$$

1.) separable

$$\Pi'' = f(q)g(\omega)$$

2.) scale-invariance

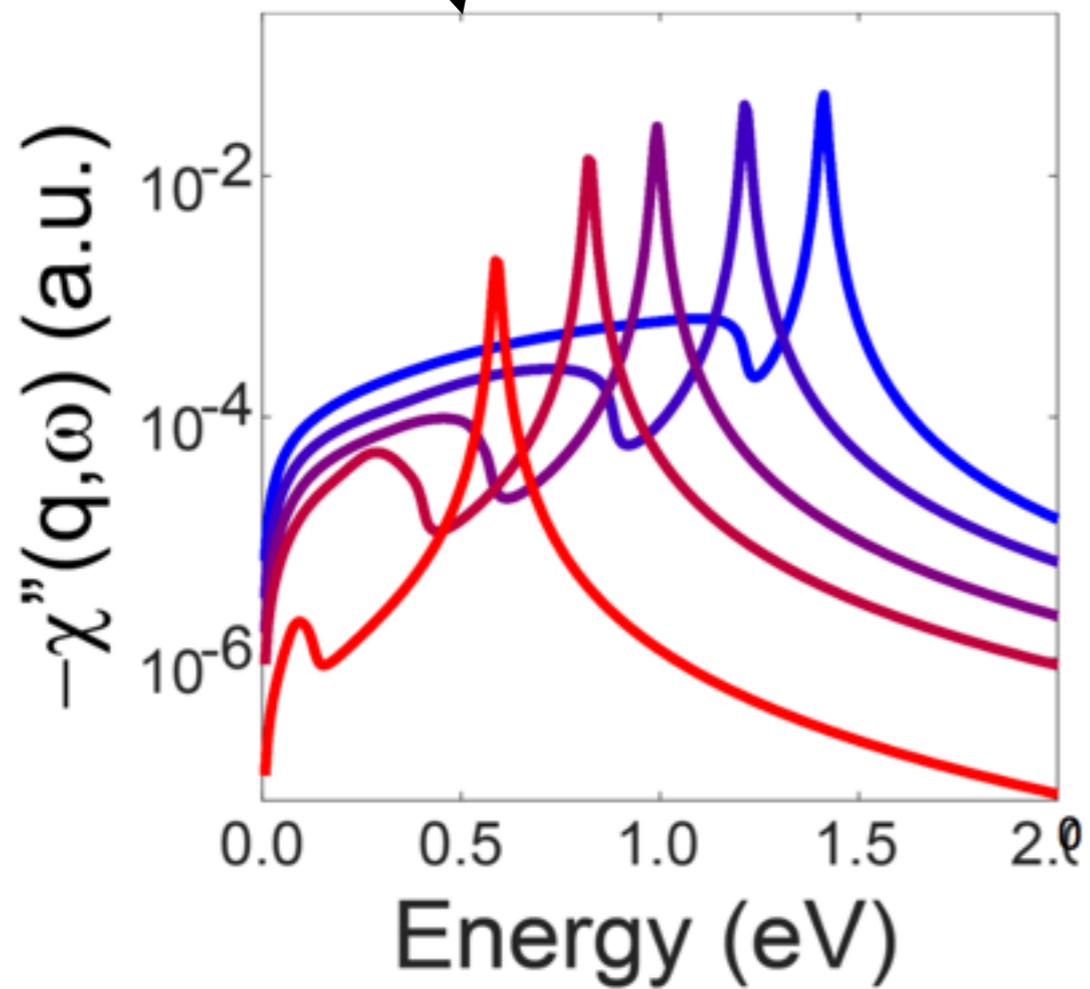


$$\Pi''(q, \omega) = q^2, \omega < \omega_c$$

$$\Pi''(q, \omega) = \frac{q^2}{\omega^2}, \omega > \omega_c$$

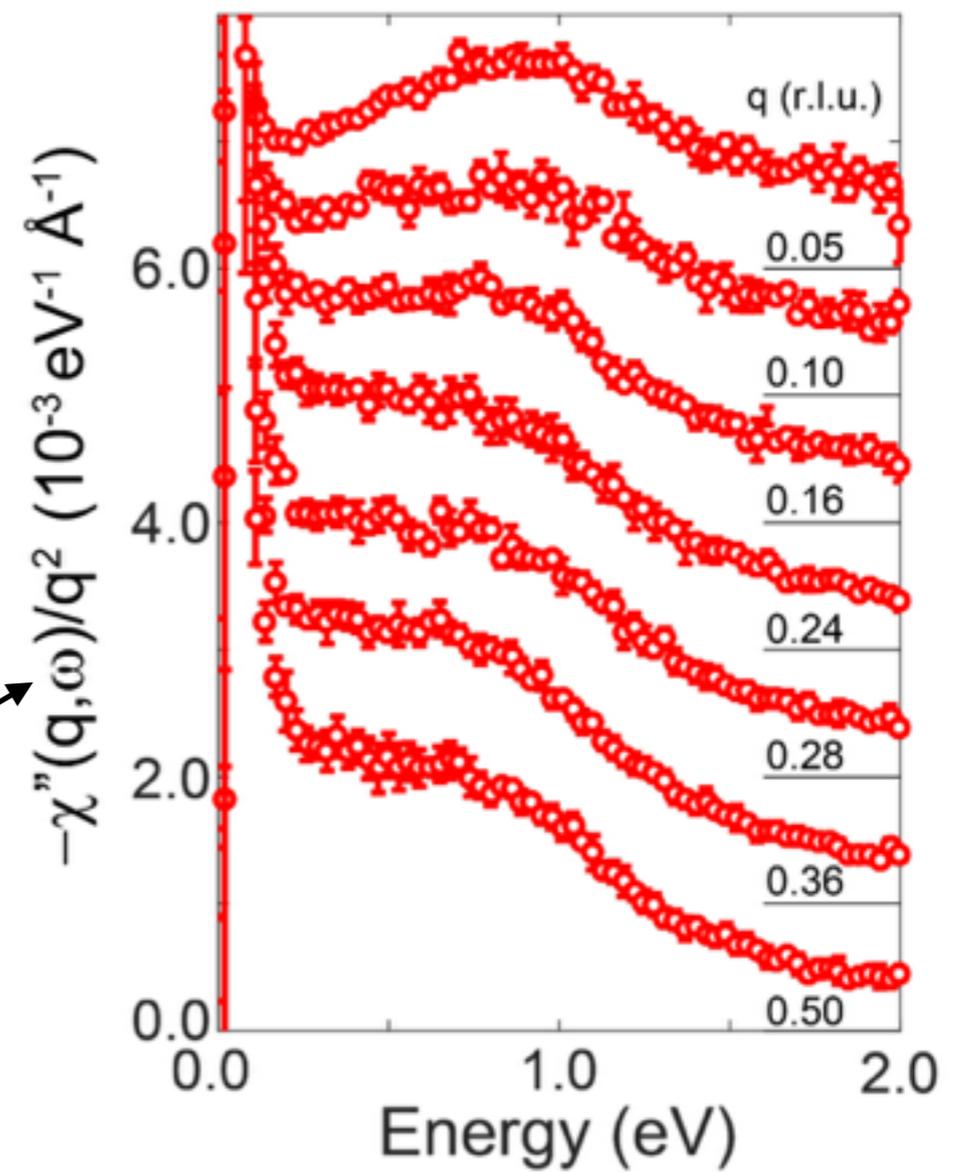
not MFL

Drude metal



strange metal

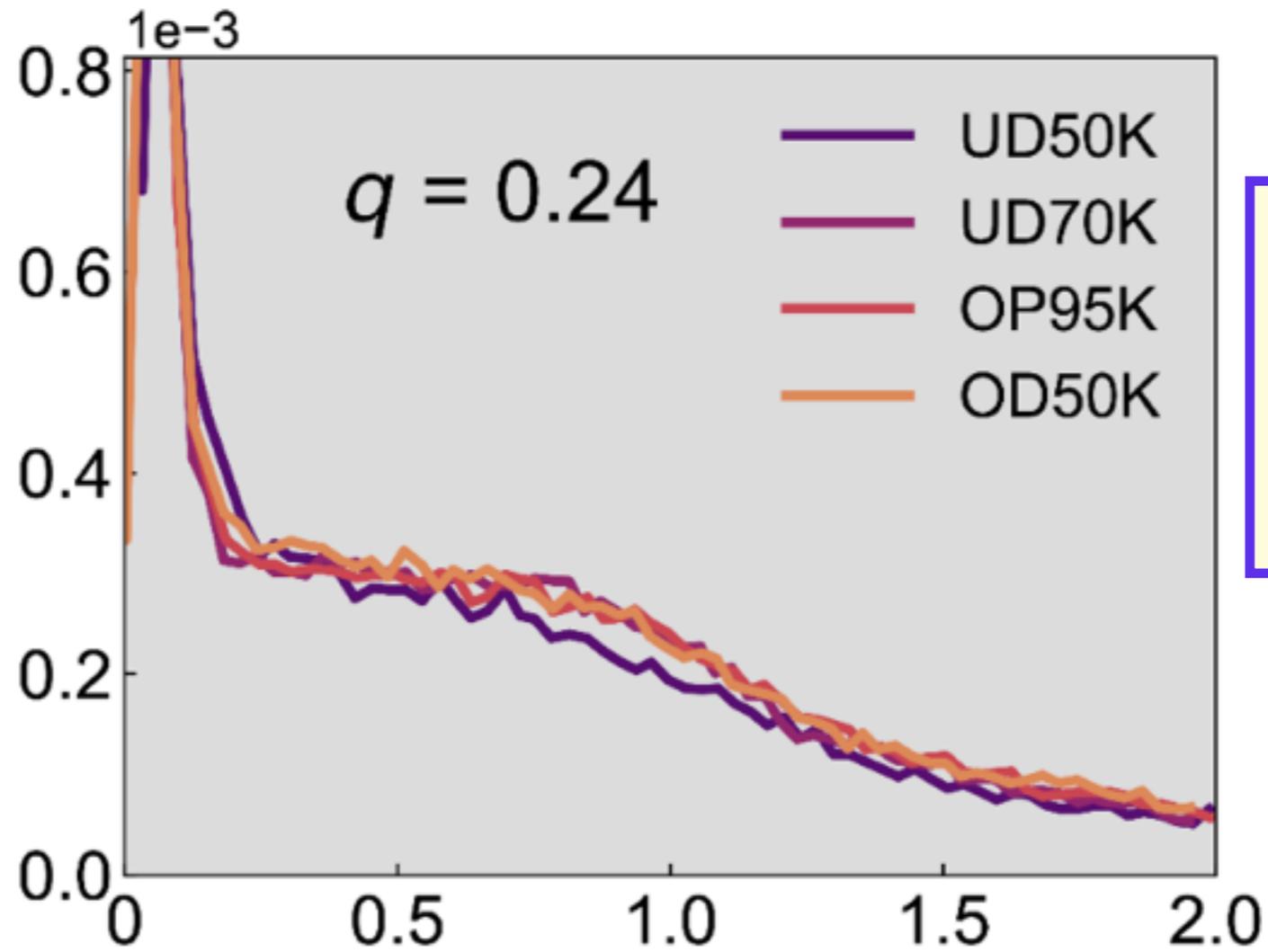
New Data (Abbamonte, et al.)



doping (in)dependence

300K

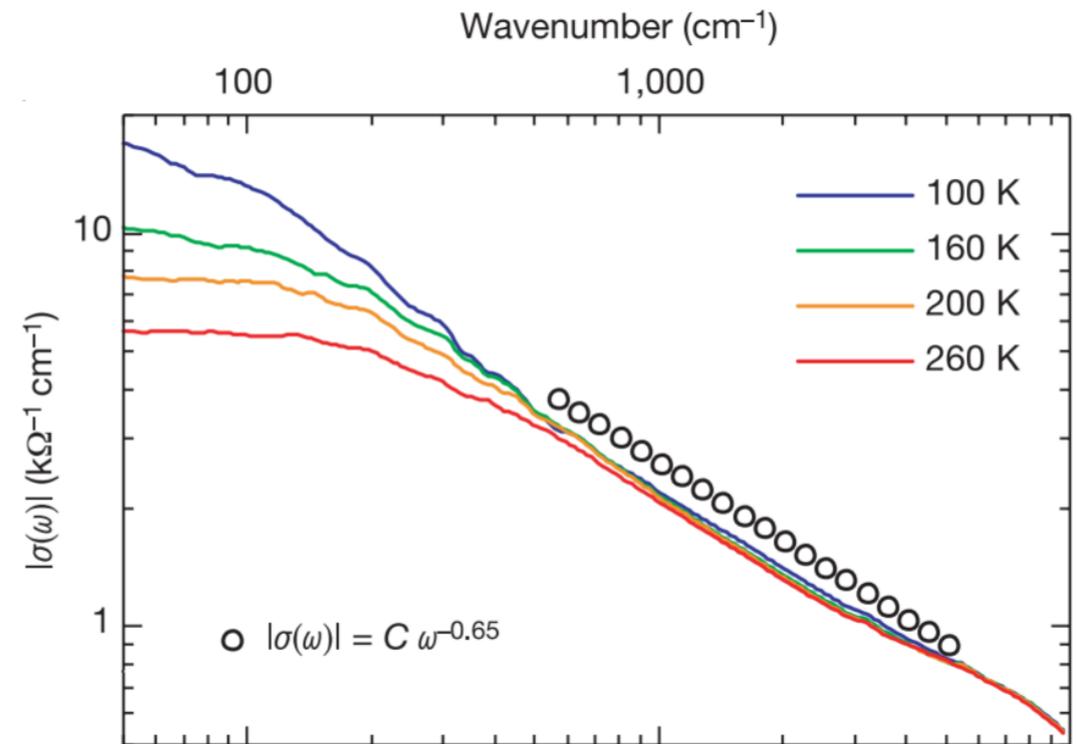
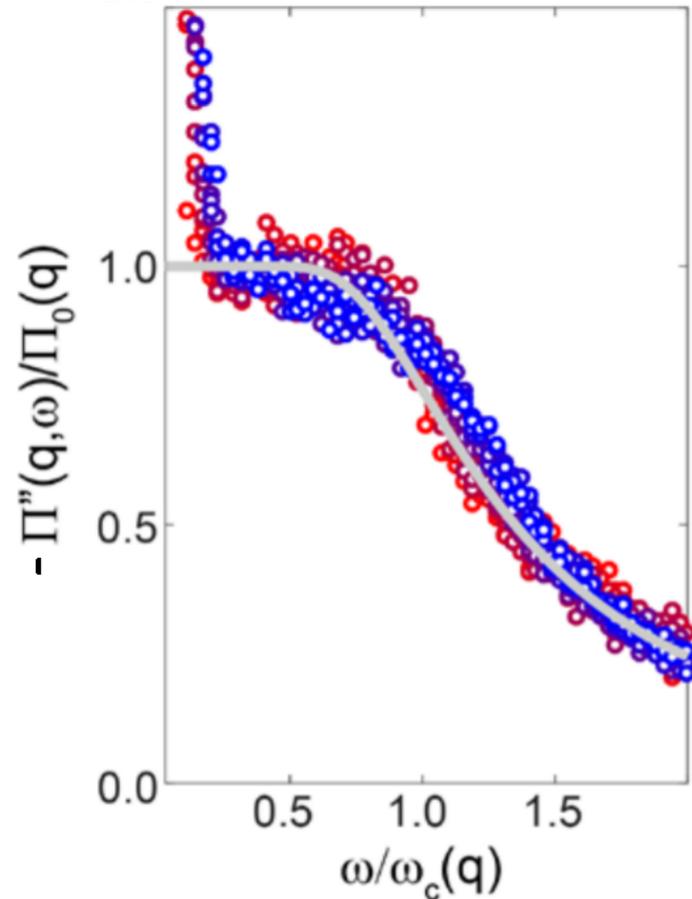
$-\chi''(q, \omega)$



no  
momentum  
dispersion!!

are the dielectric functions equal?

$$\varepsilon_{||}(\mathbf{q}, \omega) = 1 - \mathbf{V}(\mathbf{k})\Pi(\mathbf{k}, \omega)$$



$$\varepsilon_{\perp}^{\text{opt}}(\mathbf{q}, \omega) = \varepsilon_{\infty} + 4\pi\mathbf{i}\sigma(\mathbf{q}, \omega)/\omega$$

$$\approx \omega^{-5/3}$$

# Cuprates

$$\epsilon_{||}(\mathbf{q}, \omega) = \epsilon_{\perp}(\mathbf{q}, \omega)$$

$\propto \text{const}$

$$\Pi \propto \omega^{-5}$$

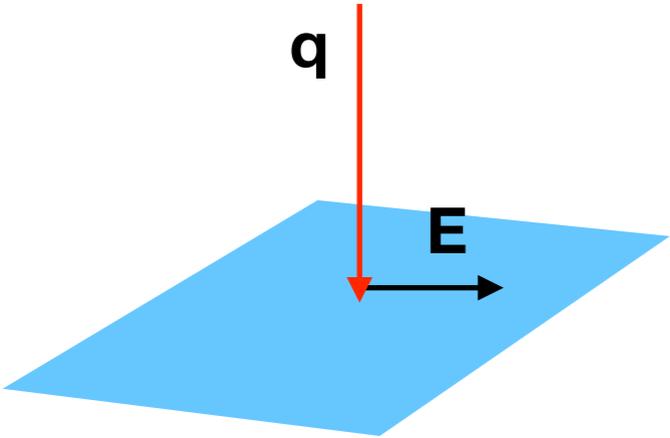
$$\epsilon_{\text{opt}} \neq \epsilon_{\rho\rho}$$

*This equality doesn't hold even in limit of zero  $q$  in BSCCO!!  
What's the discrepancy in Cuprates?*

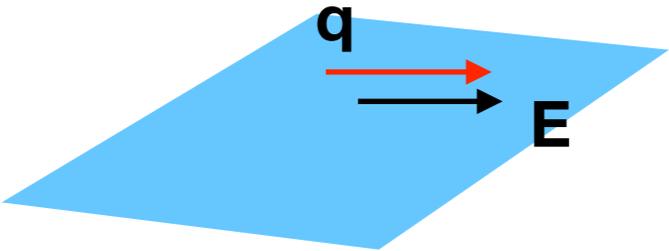
Does this discrepancy  
offer a window  
into the strange  
metal?

Yes

# Order of limits



$$\lim_{\mathbf{q}_{\perp} \rightarrow 0} \lim_{q_{\parallel} \rightarrow 0}$$



$$\lim_{q_{\parallel} \rightarrow 0} \lim_{\mathbf{q}_{\perp} \rightarrow 0}$$

The answer to this question lies in the order in which the momentum transfer  $q$  is taken to zero, i.e., whether the parallel component is taken to zero first or the perpendicular.

In the case of optics: parallel first and then perpendicular and opposite for MEELS

Typically these two are the same i.e., commutator is zero.

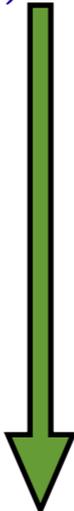
$$\left[ \lim_{q_{\parallel} \rightarrow 0}, \lim_{\mathbf{q}_{\perp} \rightarrow 0} \right] K_i(\mathbf{q}_{\perp}, q_{\parallel}, \omega) \stackrel{??}{=} 0$$

# Normal metals: Mermin to Drude

$$\sigma_{||}(\mathbf{q}, \omega) = \frac{i\omega}{4\pi} V_q \Pi(\mathbf{q}, \omega)$$

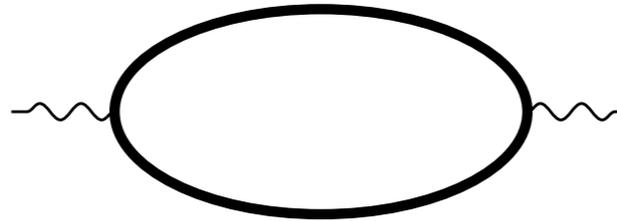
$$\chi_M(\vec{q}, \omega) = \frac{\chi_0(\vec{q}, \omega + \frac{i}{\tau})}{1 + (1 - i\omega\tau)^{-1} \left( \frac{\chi_0(\vec{q}, \omega + \frac{i}{\tau})}{\chi_0(\vec{q}, 0)} - 1 \right)}$$

$$\chi_0(\mathbf{q}, \omega) = \frac{nq^2}{M\omega^2}$$


$$\sigma_{||}(\mathbf{q} = \mathbf{0}, \omega) = \frac{ne^2}{m} \frac{1}{\omega + \frac{i}{\tau}}$$

$$\varepsilon_{\perp}(\vec{q} \rightarrow 0, \omega) = \varepsilon_{||}(\vec{q} \rightarrow 0, \omega) \quad (\text{Normal metals})$$

# Drude case



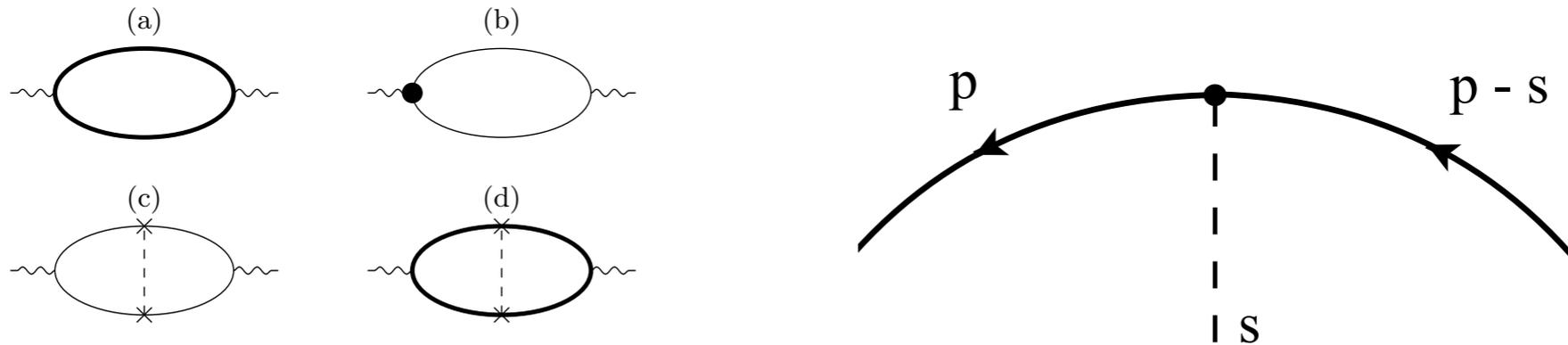
- 1) We can quickly check to see if this holds in the case of normal metals microscopically.
- 2) This is the bubble diagram that contributes to the conductivity, with vertices given by the momenta
- 3) For finite  $q$  and  $T$  this is the form of the conductivity. Describe the equation.
- 4) Typically  $q$  is simply set to zero here and the order doesn't matter.. show that in words.

$$\sigma(\mathbf{q}, \omega) = \frac{1}{\pi} \int_{-\infty}^{+\infty} d\nu F(\nu, \omega) \int d\mathbf{p} \frac{(2\mathbf{p} + \mathbf{q})_i^2}{(2m)^2} G_{\mathbf{p}}^{-}(\nu) G_{\mathbf{p}+\mathbf{q}}^{+}(\nu + \omega).$$

**Finite  $q$  and  $T$**

$$[G_{\mathbf{p}}^{\pm}(\nu)]^{-1} = \nu - \xi_{\mathbf{p}} \pm \frac{i}{2\tau}$$

# Reconsidering interactions: Angular Vertex



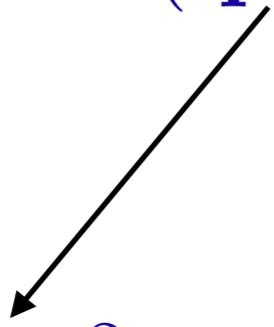
$$\mathcal{L} = \mathcal{L}_0 + \int ds \Theta(\mathbf{p}, \mathbf{s})^2 \bar{\psi}(\mathbf{p} - \mathbf{s}) \phi(\mathbf{s}) \psi(\mathbf{p})$$

$$\Theta_{\mathbf{p}, \mathbf{p}-\mathbf{s}} = \hat{\mathbf{p}} \cdot (\hat{\mathbf{p}} - \hat{\mathbf{s}})$$

scale-invariant interaction

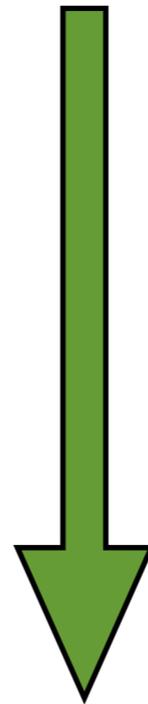
# Angular Vertex

$$\bar{V}_{\mathbf{p},\mathbf{q},\mathbf{s}}^i = 4(\hat{\mathbf{q}} \cdot \hat{\mathbf{s}})^2 \left[ (\mathbf{p})_i^2 + 2\mathbf{s}_i(\mathbf{p})_i(\hat{\mathbf{p}} \cdot \hat{\mathbf{s}}) + (\mathbf{p})_i^2(\hat{\mathbf{p}} \cdot \hat{\mathbf{s}})^2 \right]$$


$$(\hat{\mathbf{q}} \cdot \hat{\mathbf{s}})^2 = \frac{(\mathbf{q} \cdot \mathbf{s})^2}{|\mathbf{q}|^2 |\mathbf{s}|^2} = \frac{(q_{\parallel} s_{\parallel} + \mathbf{q}_{\perp} \cdot \mathbf{s}_{\perp})^2}{(q_{\parallel}^2 + |\mathbf{q}_{\perp}|^2) |\mathbf{s}|^2}$$

$$\left[ \lim_{q_{\parallel} \rightarrow 0}, \lim_{\mathbf{q}_{\perp} \rightarrow 0} \right] K_i(\mathbf{q}_{\perp}, q_{\parallel}, \omega) = \frac{\eta}{\omega^2} + O\left(\frac{1}{\omega^4}\right)$$

scale-invariant interaction



$$\varepsilon_{\parallel}(\mathbf{q} \rightarrow 0, \omega) \neq \varepsilon_{\perp}(\mathbf{q} \rightarrow 0, \omega)$$

unparticles

$(p^2)^{d_U}$

massive free theory

mass

$$\mathcal{L} = \frac{1}{2} \partial^\mu \phi \partial_\mu \phi + m^2 \phi^2$$

$$x \rightarrow x/\Lambda$$

$$\phi(x) \rightarrow \phi(x)$$

$$\Lambda^2 \left( \frac{1}{2} \partial^\mu \phi \partial_\mu \phi \right)$$

$$m^2 \phi^2$$

no scale  
invariance

unparticles

$$\mathcal{L} = \int_0^\infty (\partial^\mu \phi(x, m) \partial_\mu \phi(x, m) + m^2 \phi^2(x, m)) dm^2$$

theory with all possible mass!

$$\phi \rightarrow \phi(x, m^2 / \Lambda^2)$$

$$x \rightarrow x / \Lambda$$

$$m^2 / \Lambda^2 \rightarrow m^2$$

$$\mathcal{L} \rightarrow \Lambda^4 \mathcal{L}$$

scale invariance is restored!!

not particles

propagator

$$\left( \int_0^\infty dm^2 m^{2\gamma} \frac{i}{p^2 - m^2 + i\epsilon} \right)^{-1} \propto p^{2|\gamma|}$$

$\downarrow$   
 $d_U - 2$

continuous mass

$\phi(x, m^2)$

flavors



$e^2(m)$

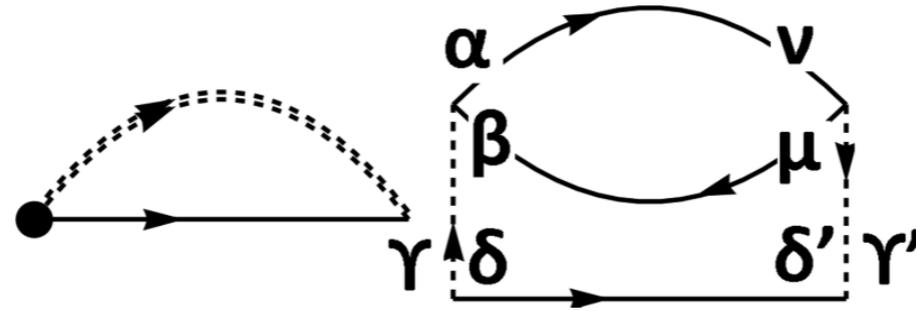
Karch, 2005

multi-bands

Does the `self-energy' exhibit  
a power law?

$$\Sigma \propto (\omega^2 + T^2)^\alpha$$

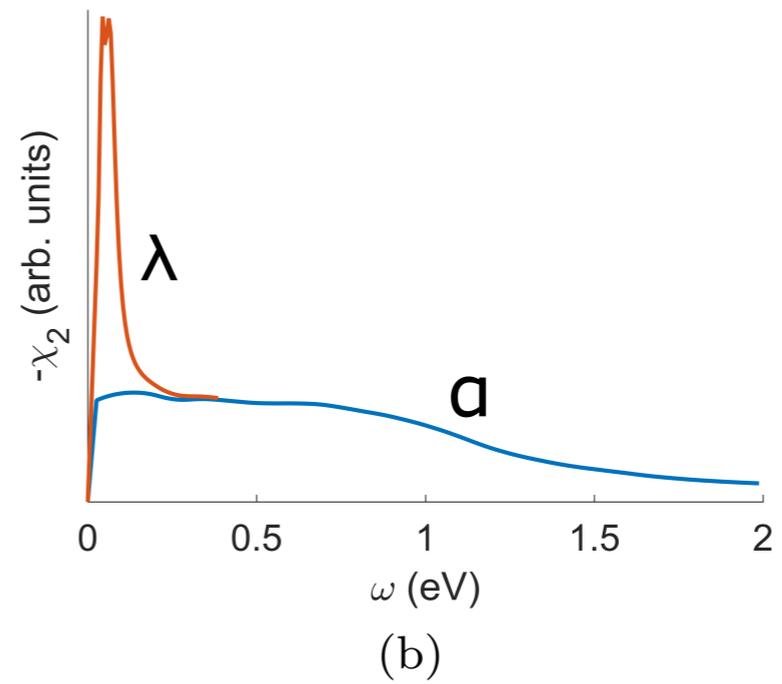
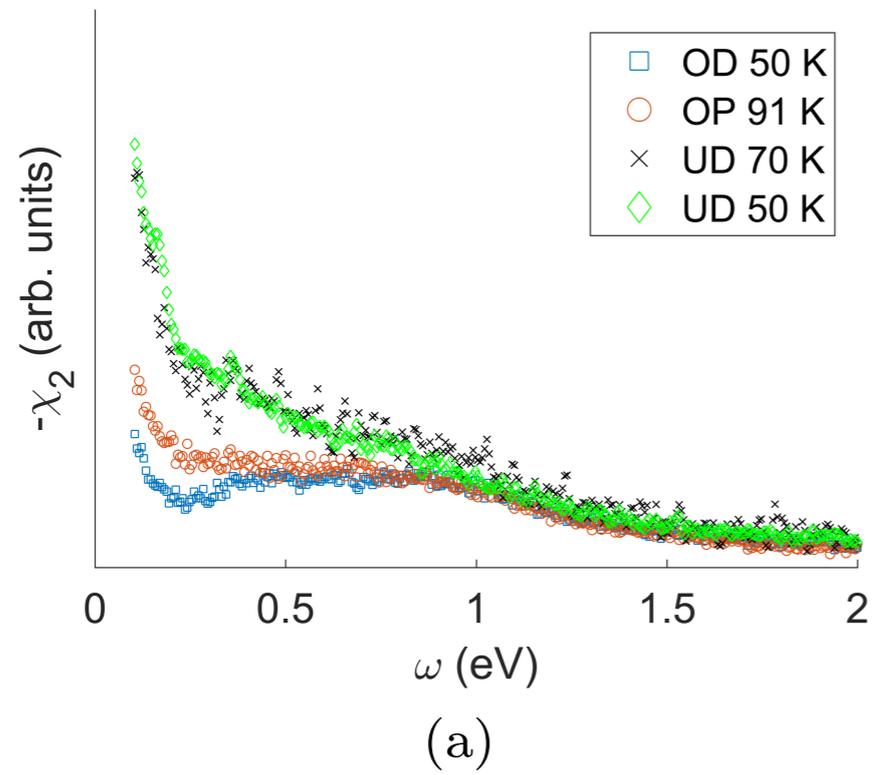
# 'Self-energy'



$$\Sigma_2(\mathbf{k}, \omega) = \sum_{\mathbf{q}} V^2(\mathbf{q}) \chi_2(\mathbf{q}, \omega - \varepsilon_{\mathbf{k}-\mathbf{q}}) \times [n_F(-\varepsilon_{\mathbf{k}-\mathbf{q}}) + n_B(\omega - \varepsilon_{\mathbf{k}-\mathbf{q}})],$$

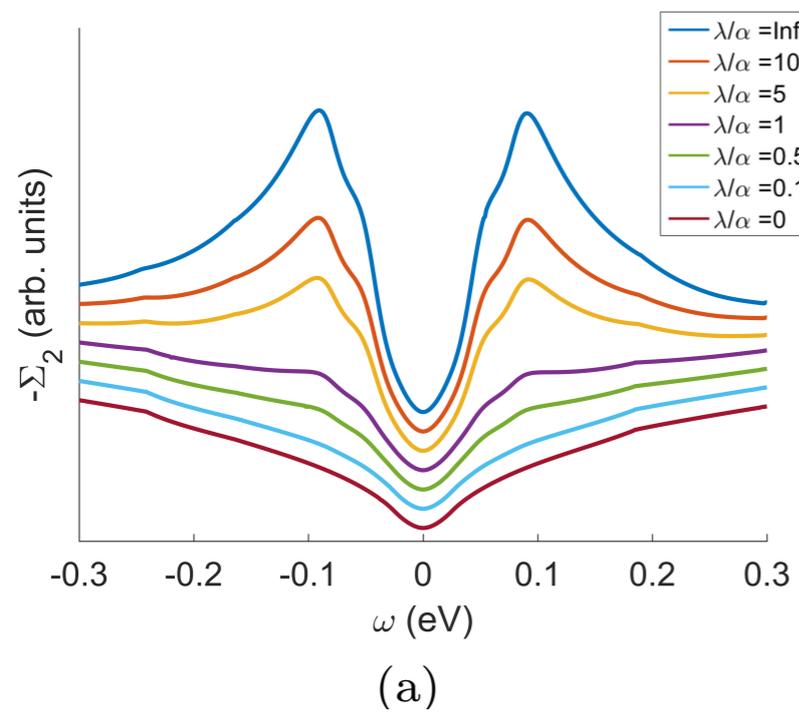
*Note that the susceptibility is exact since we are obtaining it from experiment, and this goes into the self-energy calculation*

# Modeling the data

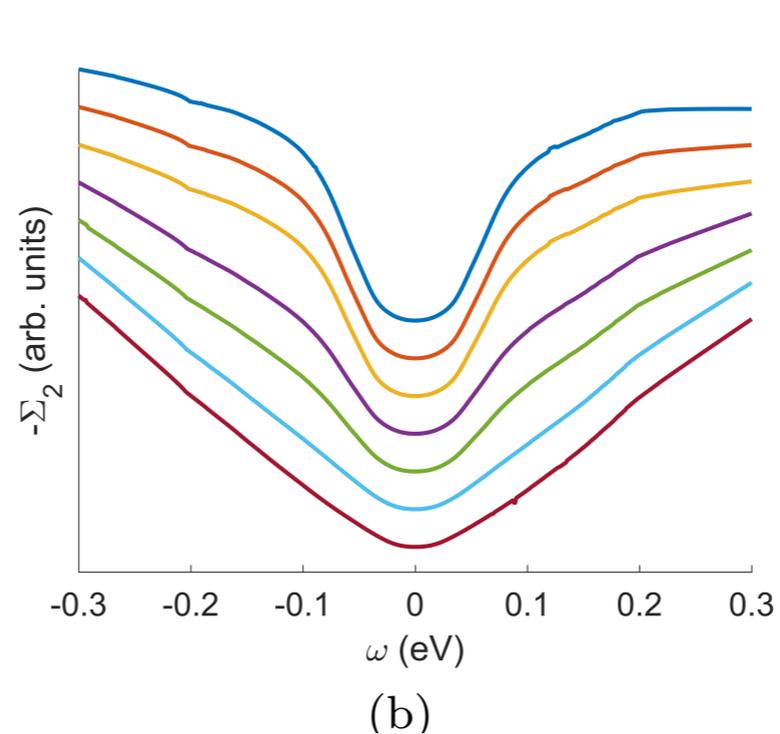


# Self-energy: Optimal doping

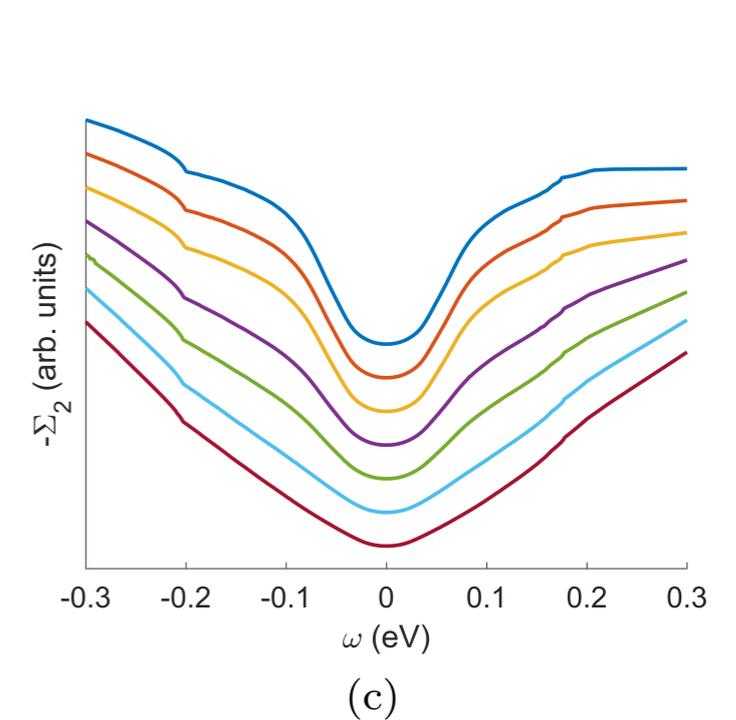
$$V(q) = \exp[-q z]/q$$



$$V(q) = \text{const}$$

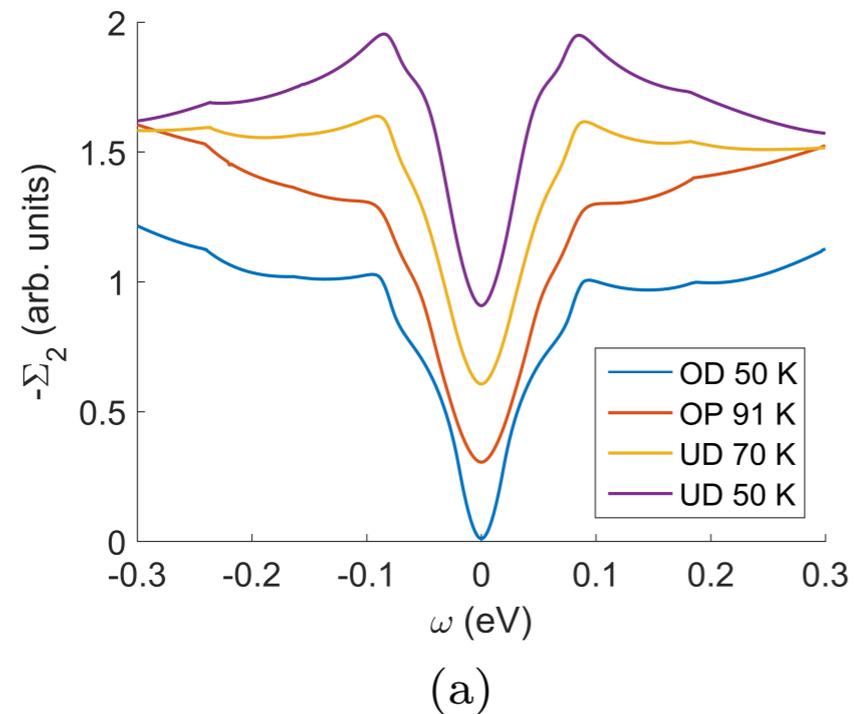


$$V(q) = 1/q$$

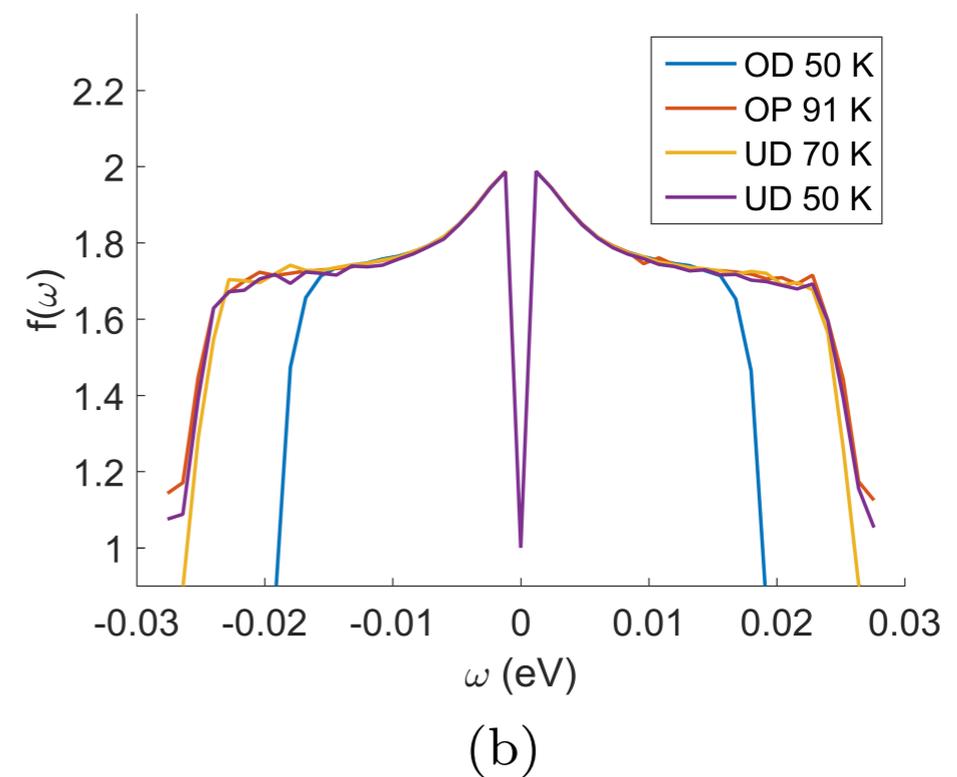


*Require both phonons and an interaction with a scale for the kink and peak like feature*

# Self energy: doping dependence

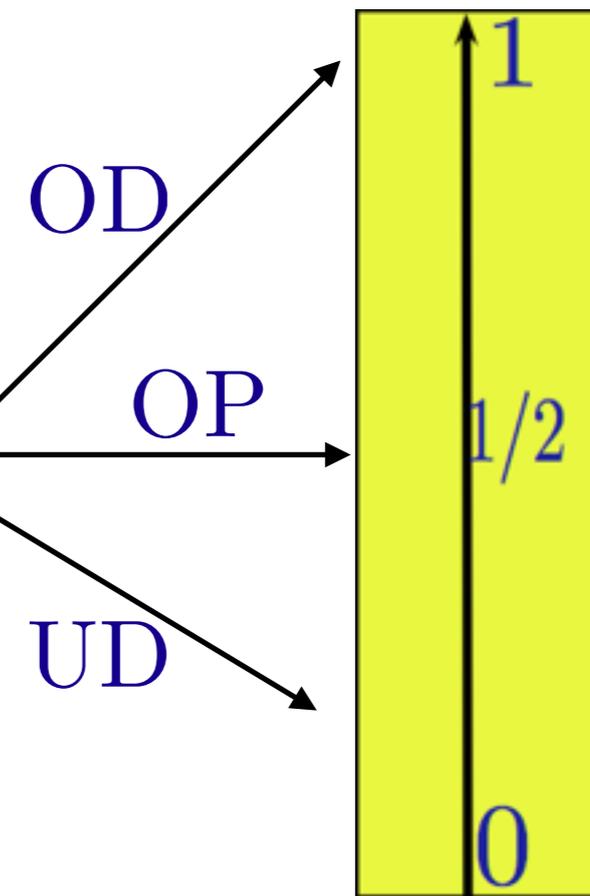


$$f(\omega) = \omega \frac{\Sigma_2''(\omega)}{\Sigma_2'(\omega)} + 1$$



*There exist important similarities and some differences with ARPES  
(Dessau's power-law liquid)*

# Dessau's Power-law liquid

$$-\Sigma''(\omega) = \Gamma_0 + \lambda \frac{(\omega^2 + \pi^2 T^2)^\alpha}{\omega_N^{2\alpha-1}}$$


The diagram illustrates the power-law behavior of the imaginary part of the self-energy,  $-\Sigma''(\omega)$ , in the Quantum Critical (QC) region. The equation shows a power-law term with exponent  $\alpha$ . Three arrows labeled OD, OP, and UD point to a vertical yellow bar representing the power-law behavior of the imaginary part of the self-energy. The bar is marked with values 1, 1/2, and 0, corresponding to the OD, OP, and UD regions respectively.

QC region  $0 < \alpha < 1/2$

# Real part of $\Sigma$

$$\Sigma'(\omega) = \frac{1}{\pi} \mathcal{P} \int d\omega' \frac{\Sigma''(\omega')}{\omega' - \omega}$$



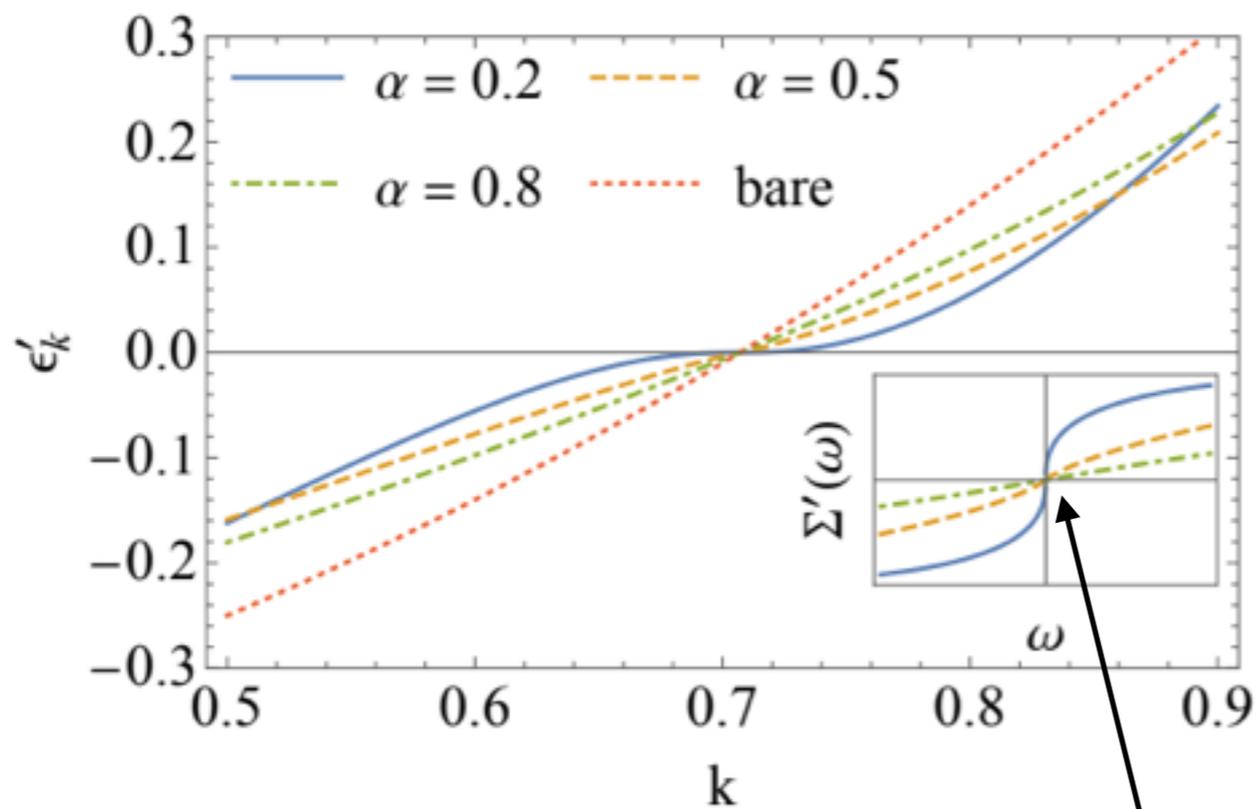
$T = 0$

$$\Sigma'(x\omega_N) = -\frac{1}{\pi} \mathcal{P} \int_{-\omega_N}^{\omega_N} \frac{d\omega'}{\omega' - x\omega_N} \left( \Gamma_0 + \lambda \frac{|\omega'|^{2\alpha}}{\omega_N^{2\alpha-1}} \right)$$

$$= \dots + |x|^\alpha + \dots$$

$$\epsilon'_k = \epsilon_k + \Sigma'$$

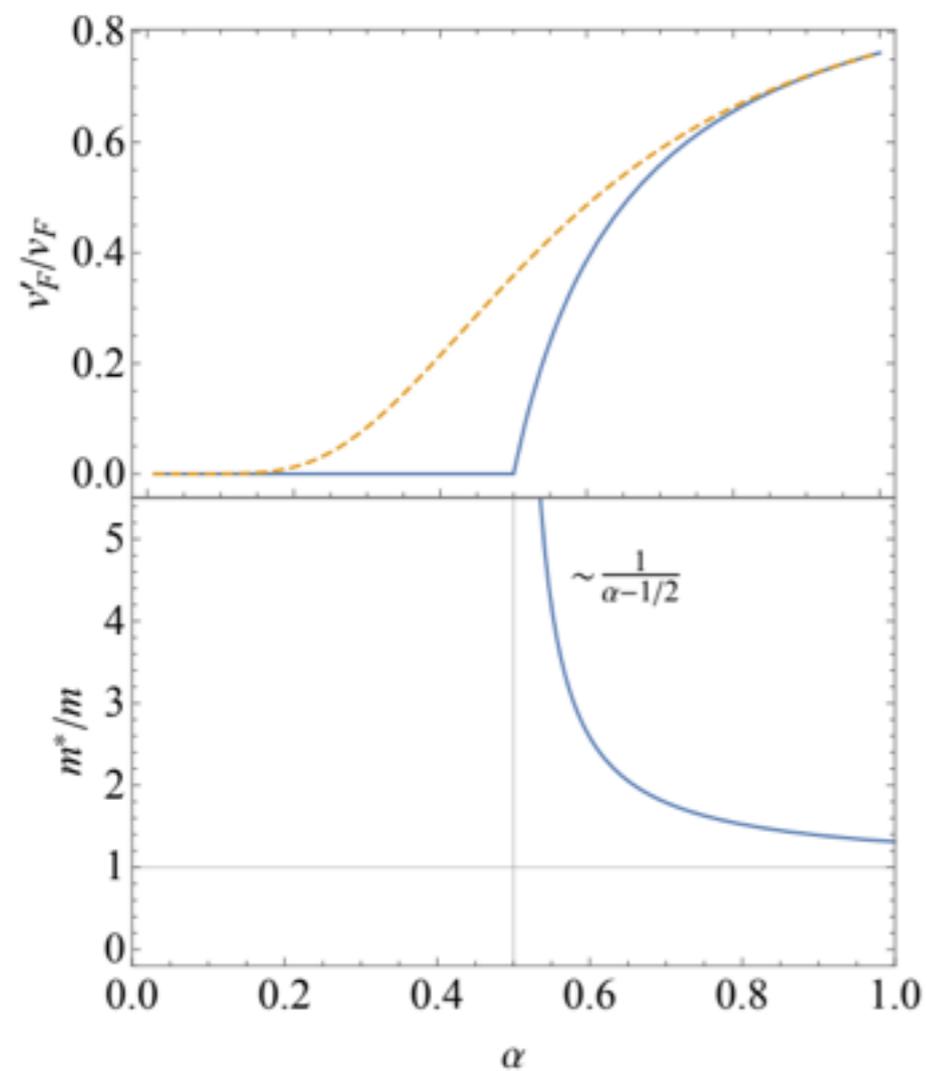
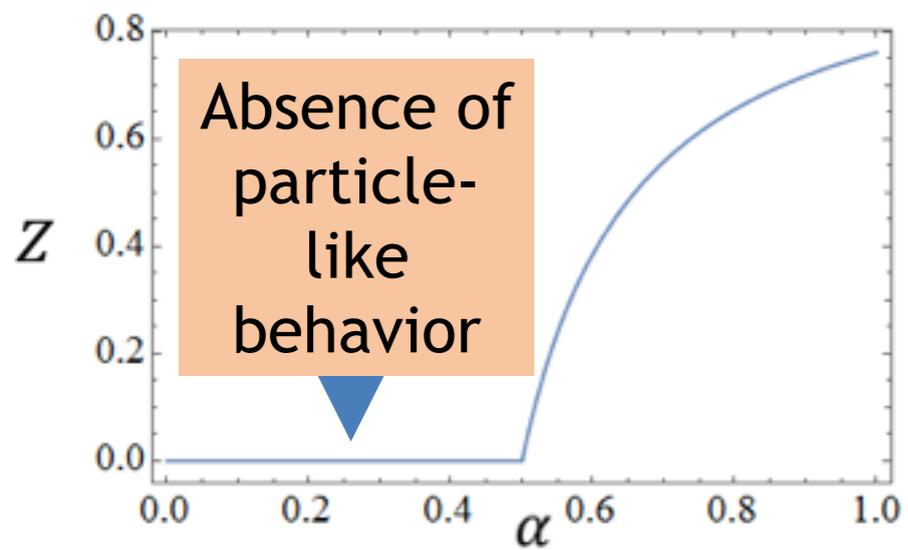
$$\left[ 1 - \frac{2\bar{\Gamma}_0}{\pi} + \frac{2\lambda}{(2\alpha - 1)\pi} \right]^{-1}, \alpha > \frac{1}{2}$$



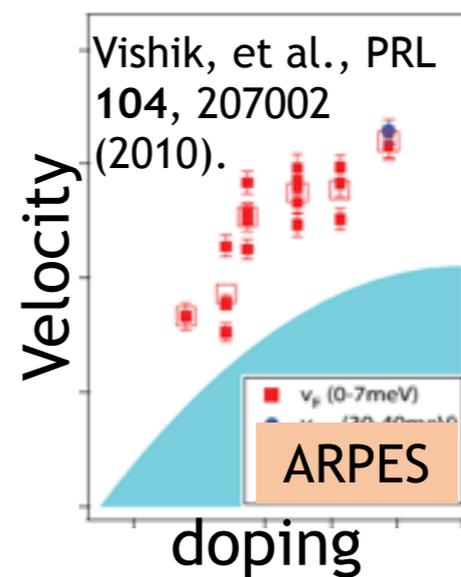
$$Z = \left( 1 - \left. \frac{d\Sigma'}{d\omega} \right|_{\omega=0} \right)^{-1}$$

$$0 \quad \alpha \leq \frac{1}{2}$$

non-analytic at  $\omega = 0$



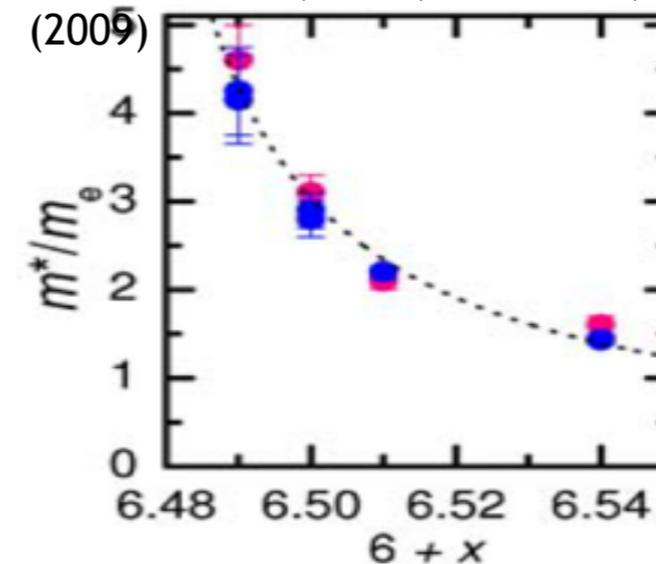
$$v'_F = Z v_F$$



Velocity near Fermi level decreases with  $\alpha$

## Quantum oscillation measurements

S.E. Sebastian, et al, PNAS 107, 6175 (2009)

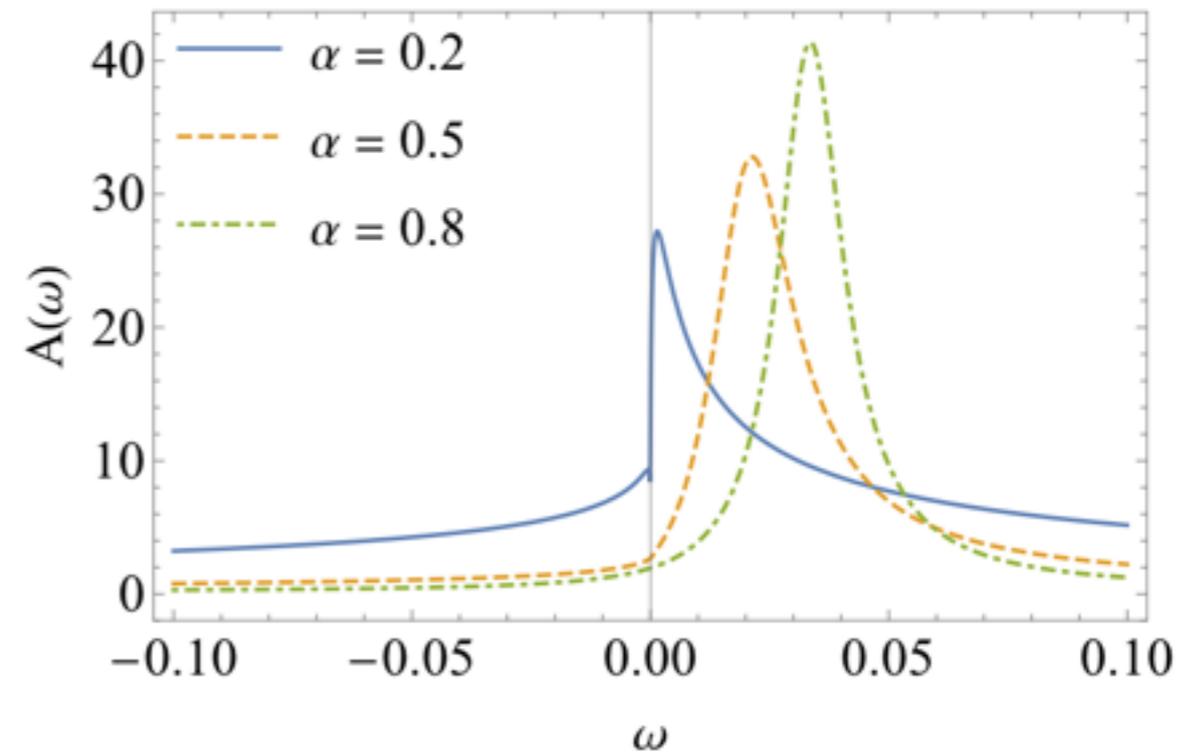
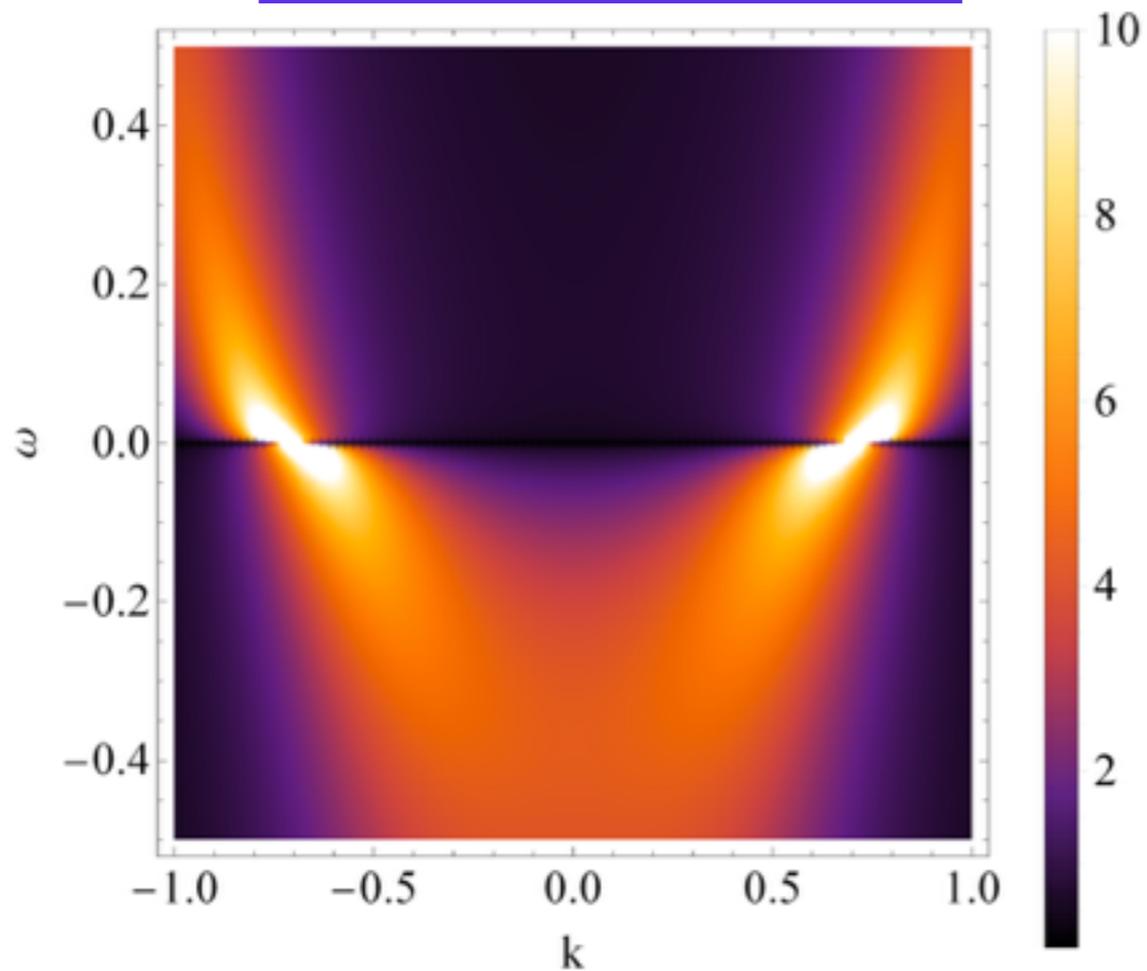


Metal-insulator transition

# spectral function

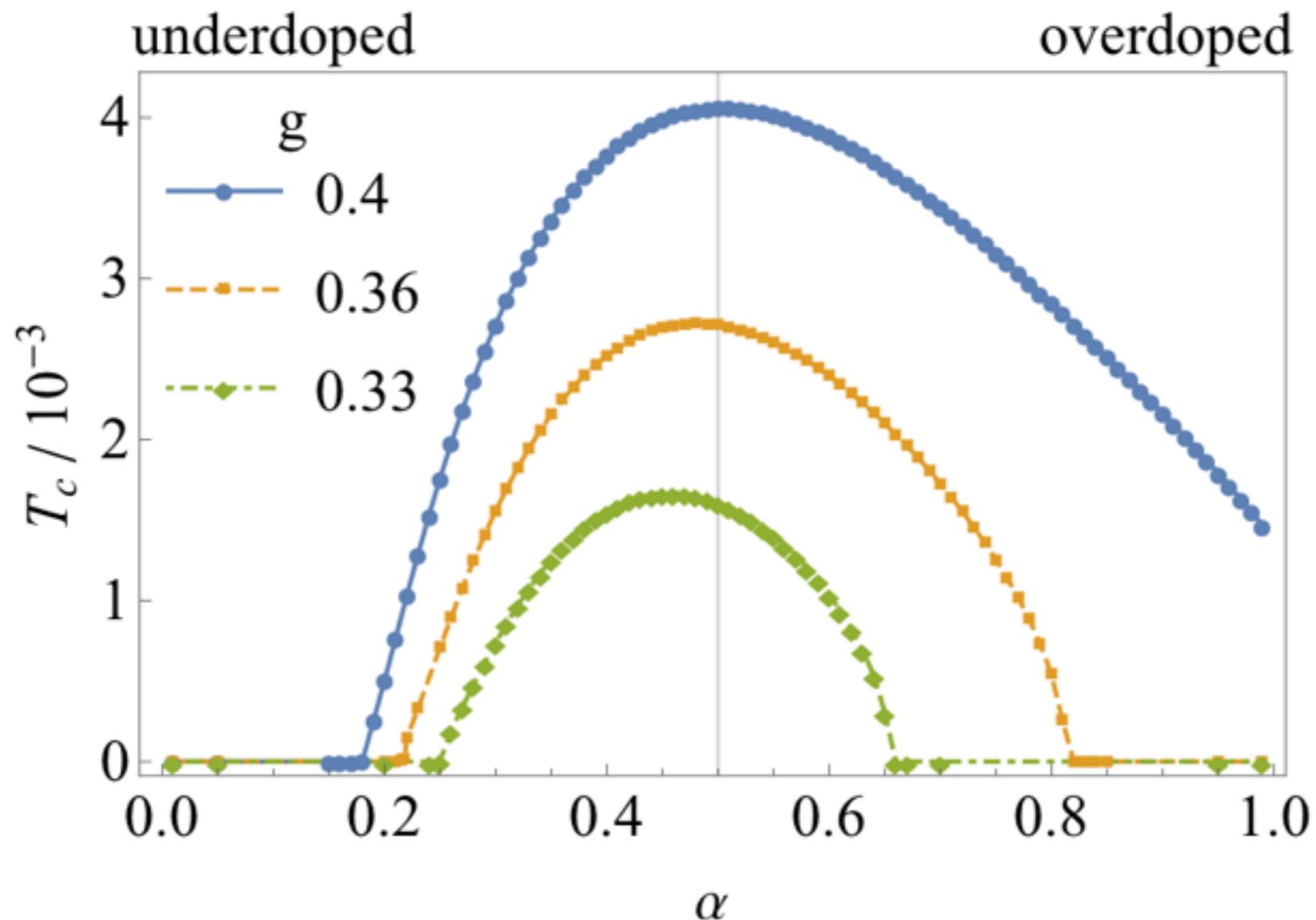
$$A(k, \omega) = N \frac{-\Sigma''(\omega)}{[\omega - \epsilon_k - \Sigma'(\omega)]^2 + [\Sigma''(\omega)]^2},$$

critical FS ( $Z=0$ )



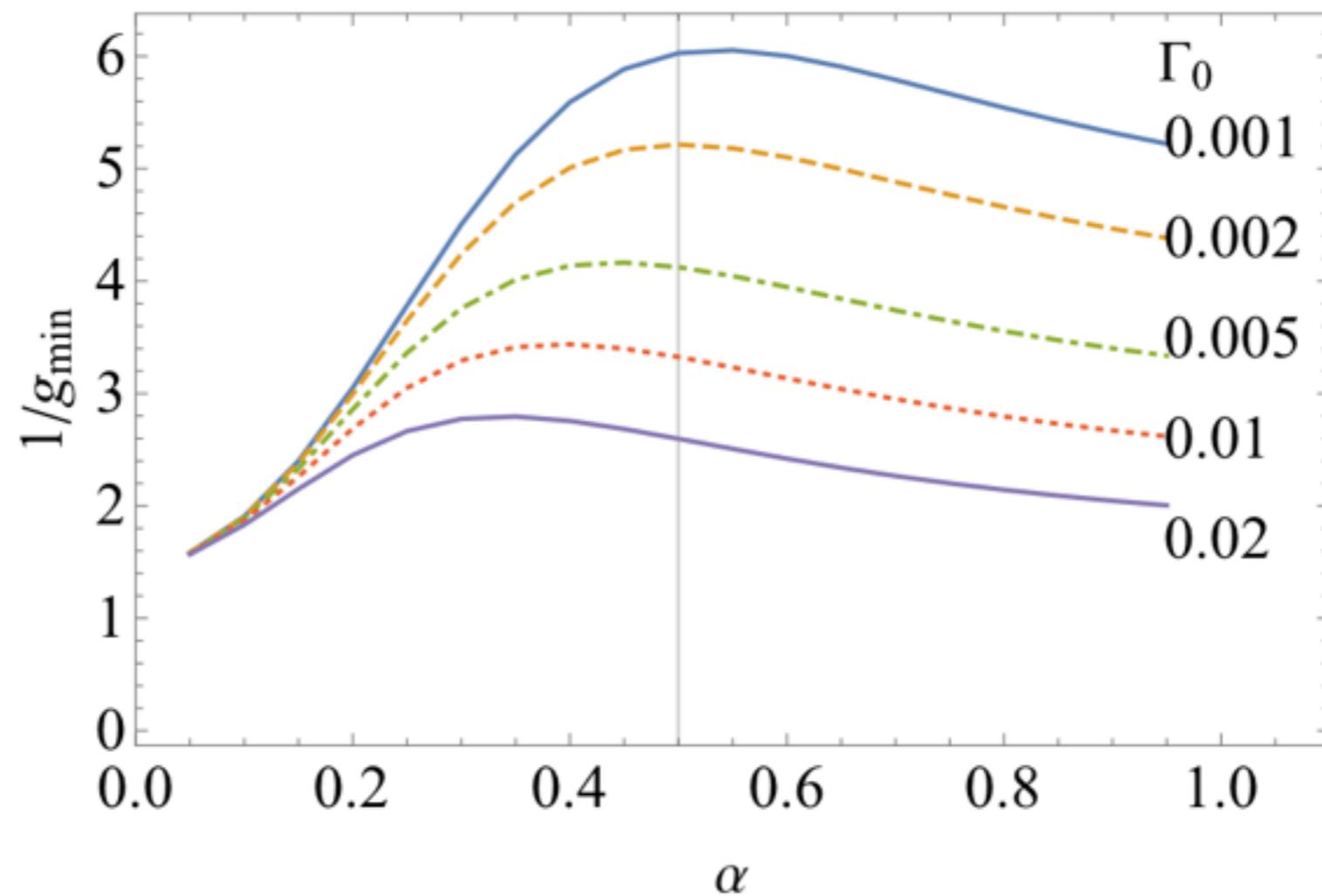
# superconductivity

$$\frac{1}{g} = \sum_k \int d\omega d\omega' \frac{1}{2} \frac{\tanh \frac{\omega}{2T_c} + \tanh \frac{\omega'}{2T_c}}{\omega + \omega'} A(k, \omega) A(-k, \omega')$$



# minimal coupling

$$\frac{1}{g_{\min}} = \int d\epsilon \int d\omega d\omega' \frac{1}{2} \frac{1}{\omega + \omega'} A(\epsilon, \omega) A(\epsilon, \omega')$$



# Why?

$$A_{\Gamma_0=0}$$

$$A(\epsilon = r, \omega = r) \approx \frac{r^{2\alpha}}{(r + r^{2\alpha})^2 + r^{4\alpha}}$$

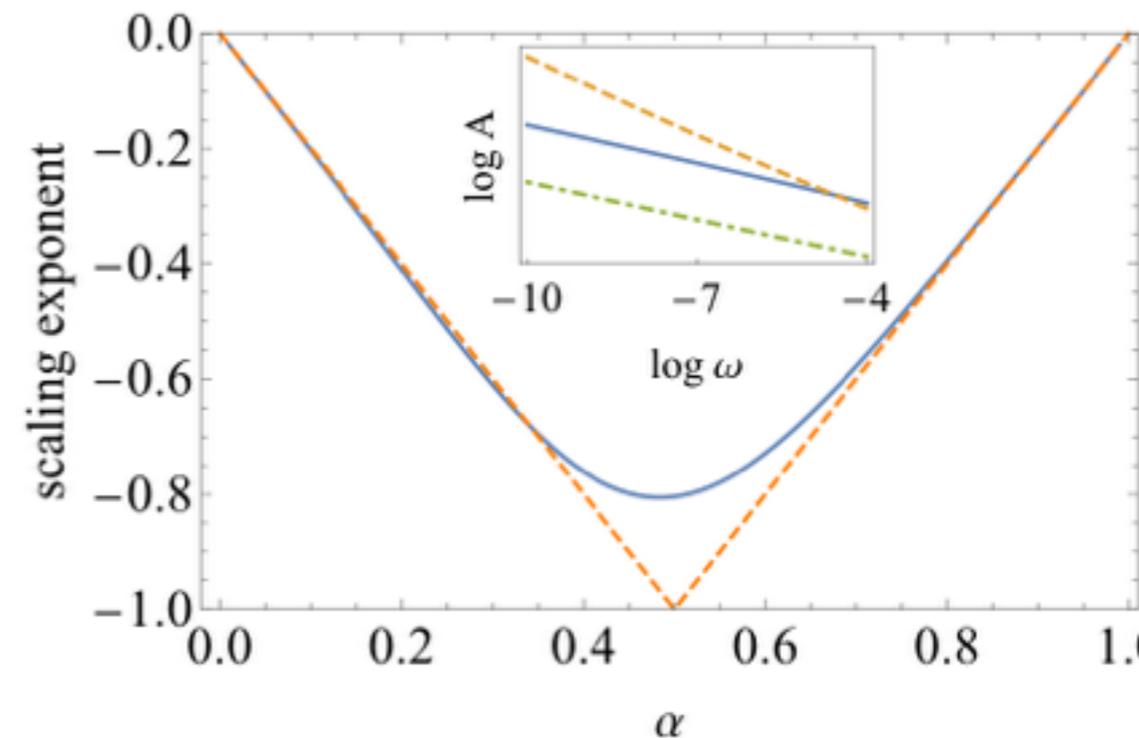
$$\alpha > 1/2$$

$$r^{2\alpha-2}$$

$$\alpha < 1/2$$

$$r^{-2\alpha}$$

$$A(r, r) \approx r^{2|\alpha - \frac{1}{2}| - 1}$$

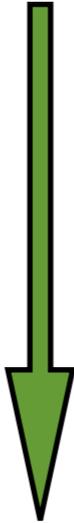


Scaling exponent smallest at

$$\alpha = \frac{1}{2}$$

origin of dome

$$\frac{1}{g_{\min}} \approx \int dr r^4 \left| \alpha - \frac{1}{2} \right|^{-1}$$


$$\alpha = 1/2$$

log divergence

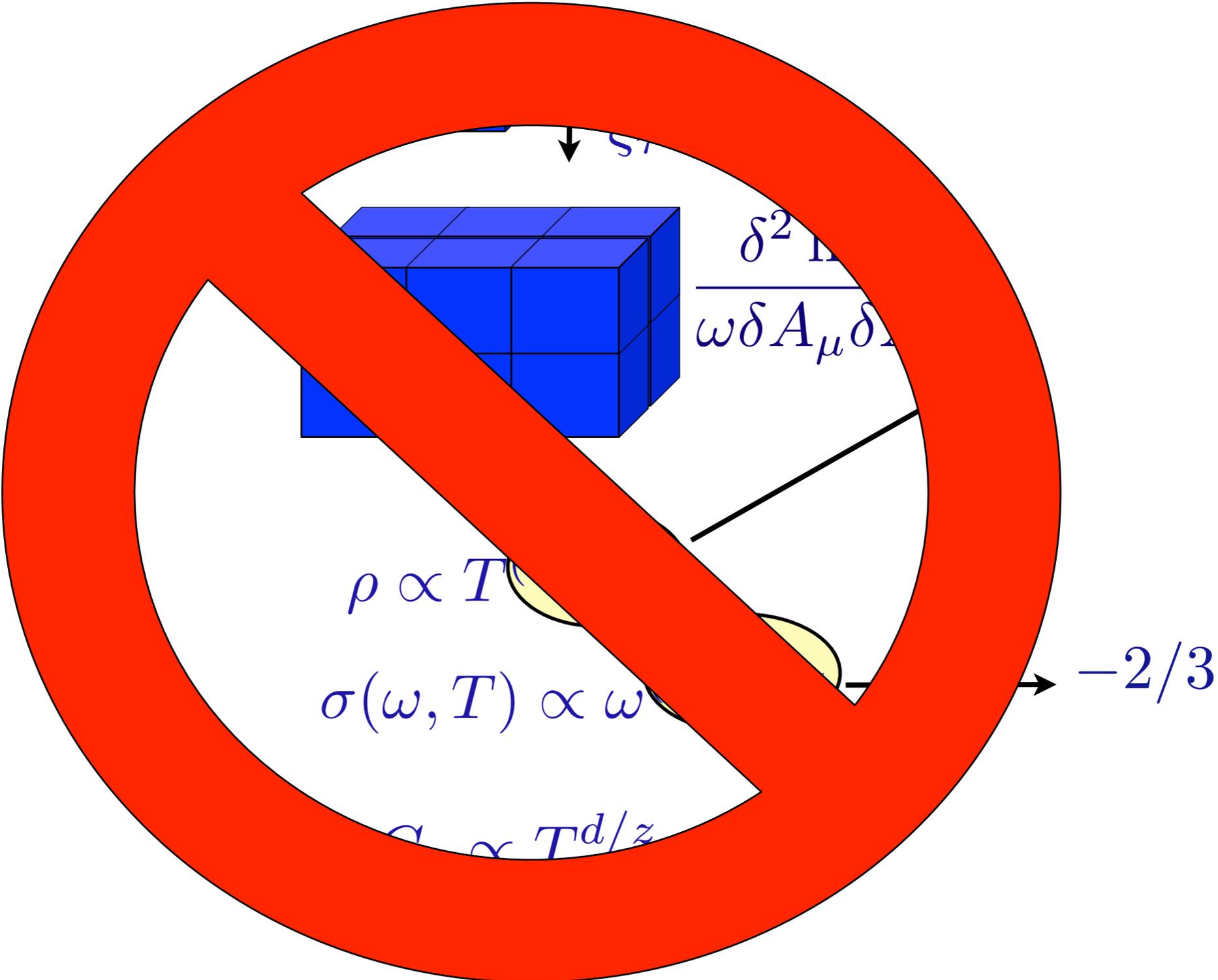
$$g_{\min} \sim 0$$

unparticles  
are unstable to  
superconductivity

unparticles  
are unstable to  
gap formation

what kind of scale-invariant theories?

single-parameter scaling



$$d_A \neq 1??$$

extra degree of freedom

$$\rho \propto T^{(2-d)/z}$$

$$2d_A$$

$$[A_\mu] = d_A = 1$$

fixes dimension of current

$$[d^d x J A] = 0$$

$$[J] = d - 1$$

$$A_\mu \rightarrow A_\mu + \partial_\mu \Lambda$$

$$\oint A \cdot d\ell = \#$$

# strange metal

$$[J_\mu] = d - \theta + \Phi + z - 1$$

$$[A_\mu] = 1 - \Phi$$
$$\Phi = -2/3$$
$$[E] = 1 + z - \Phi$$
$$[B] = 2 - \Phi$$

BG, EK, SH, AK



note  $\pi r^2 B \neq \text{flux}$

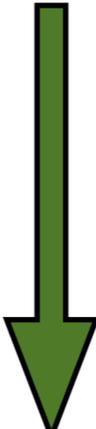
loophole

Noether's 1st theorem

$$S \rightarrow S + \int d^d x J_\mu \partial \Lambda \rightarrow \partial_\mu J^\mu = 0$$

what if

$$[\partial_\mu, \hat{Y}] = 0$$



$$\partial_\mu \hat{Y} J^\mu = \partial_\mu \tilde{J}^\mu = 0$$

new current

$$[\tilde{J}] = d - 1 - D_Y$$

$$A_\mu \rightarrow A_\mu + \partial_\mu \Lambda + \partial_\mu \partial_\nu G^\nu + \dots$$

Noether's 2nd theorem

# Noether's Second Theorem and Ward Identities for Gauge Symmetries

Steven G. Avery<sup>a</sup>, Burkhard U. W. Schwab<sup>b</sup>

For simplicity, we focus on the case when the transformation may be written in the form<sup>6</sup>

$$\delta_\lambda \phi = f(\phi) \lambda + f^\mu(\phi) \partial_\mu \lambda, \quad (10)$$

but it is straightforward to consider transformations, as Noether did, involving arbitrarily high derivatives of  $\lambda$ . (Although, the authors know of no physically interesting examples.) Let us start with

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# possible gauge transformations

$$S = -\frac{1}{4} \int d^d x F^2$$



$$S = \frac{1}{2} \int \frac{d^d k}{2\pi^d} A_\mu(k) \underbrace{[k^2 \eta^{\mu\nu} - k^\mu k^\nu]}_{M_{\mu\nu} k^\nu = 0} A_\nu(k)$$

$$M_{\mu\nu} k^\nu = 0$$

zero eigenvector

$$ik_\mu \rightarrow \partial_\mu$$
$$A_\mu \rightarrow A_\mu + \partial_\mu \Lambda$$

family of zero eigenvalues

$$M_{\mu\nu} \underbrace{f k^\nu} = 0$$

generator of gauge symmetry

- 1.) rotational invariance
- 2.)  $A$  is still a 1-form
- 3.)  $[f, k_\mu] = 0$

only choice

$$f \equiv f(k^2)$$



$$(\Delta)^\gamma$$

$$A_\mu \rightarrow A_\mu + (\Delta)^{\frac{(\gamma-1)}{2}} \partial_\mu \Lambda \quad [A_\mu] = \gamma$$

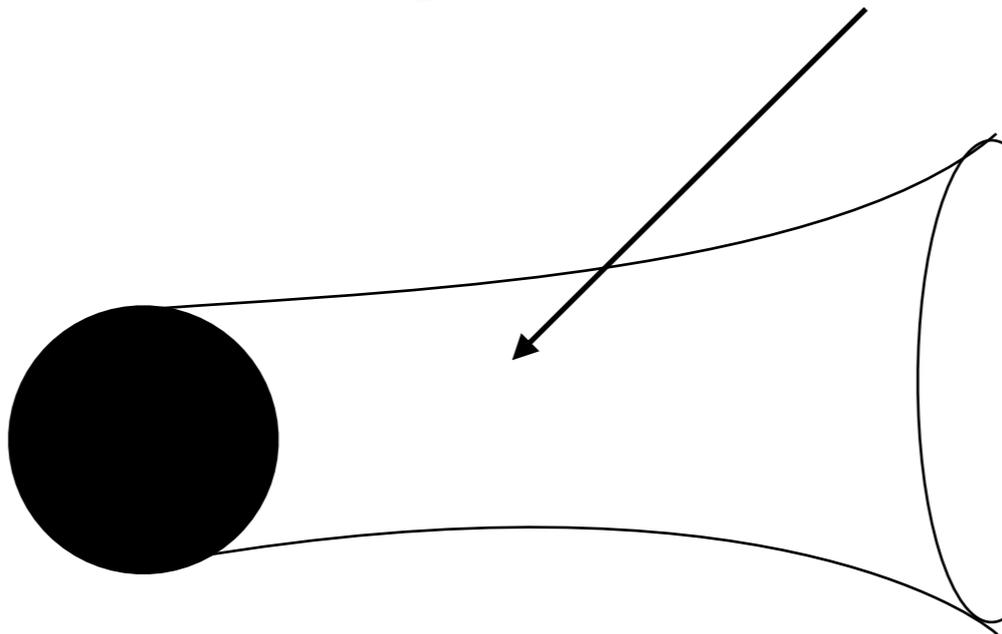
what kind of E&M has such  
gauge transformations?

$$S = \int dV_d dy (y^a F^2 + \dots)$$

eom

$$d(y^a \star dA) = 0$$

$y \neq 0$    $A \rightarrow A + \partial\Lambda$


$$\Delta^\gamma A_\perp = J$$

+GL in CIMP

family of zero eigenvalues

$$M_{\mu\nu} f k^\nu = 0$$

most fundamental conservation law

$$\partial^\mu (-\nabla^2)^{(\gamma-1)/2} J_\mu = 0$$

scale invariance in strange metal

MEELS, ARPES, Optics, STM

non-local actions

anomalous  
dimensions

running charge (no charge quantization)

