

Superconductivity and Mottness: Exact Results

Nature Physics, vol.16, 1175-1180 (2020);
vol. 18, 511-516 (2022); PRB, 105, 184509.

Luke Yeo



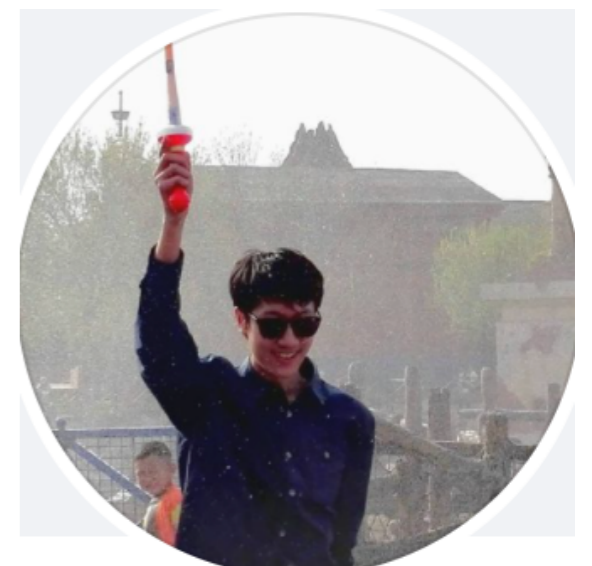
Edwin Huang



G. La Nave



Jinchao Z.





V_{local}

Fermi Liquid

fixed
point beyond
FL?

quartic
interacting
theory?

solve Hubbard model!!

or instability

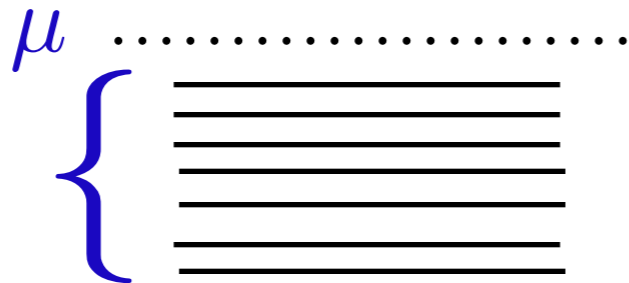
Is there a simpler approach that has Mottness?

Yes!

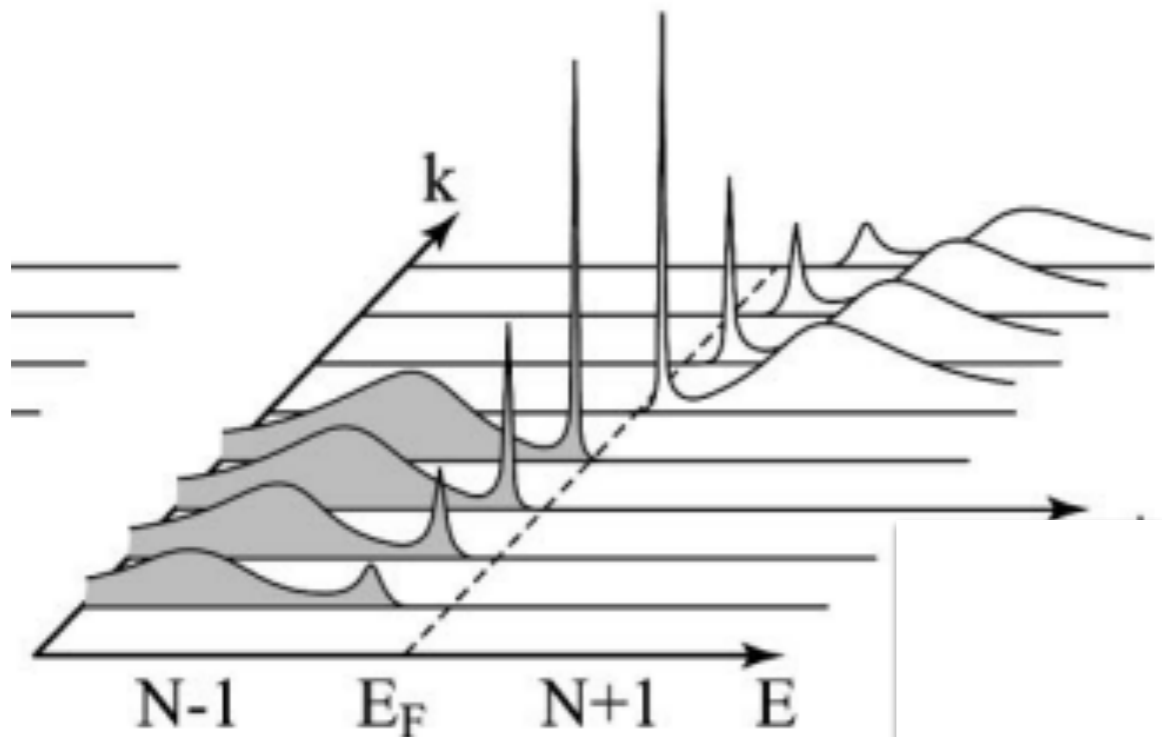
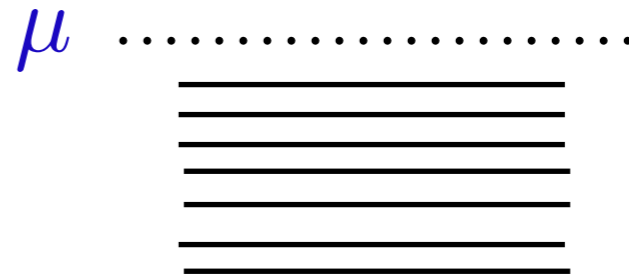
Fermi liquids

NFL

doubly occupied



Is single occupancy below chemical potential possible?

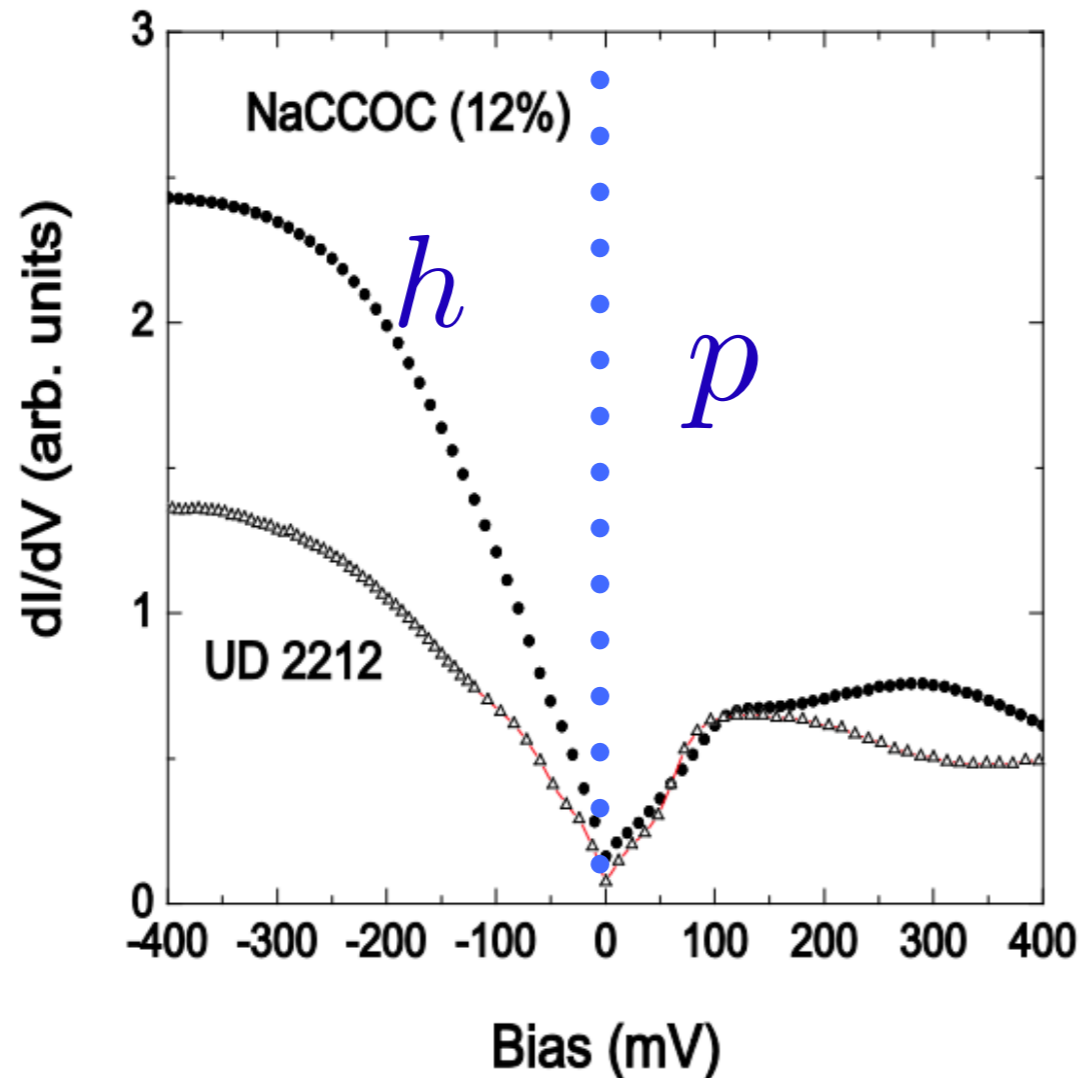


Fermi-liquid system

p-h symmetry

with time-reversal symmetry intact?

p-h asymmetry



LAST WORDS ON THE CUPRATES

P W Anderson, Princeton University

theory. I remain baffled by the almost universal refusal of theorists to confront this evident fact of hole-particle asymmetry head on. Its meaning is that the first step of any

single
occupancy

?

particle-hole
asymmetry



Anderson
Haldane
2000

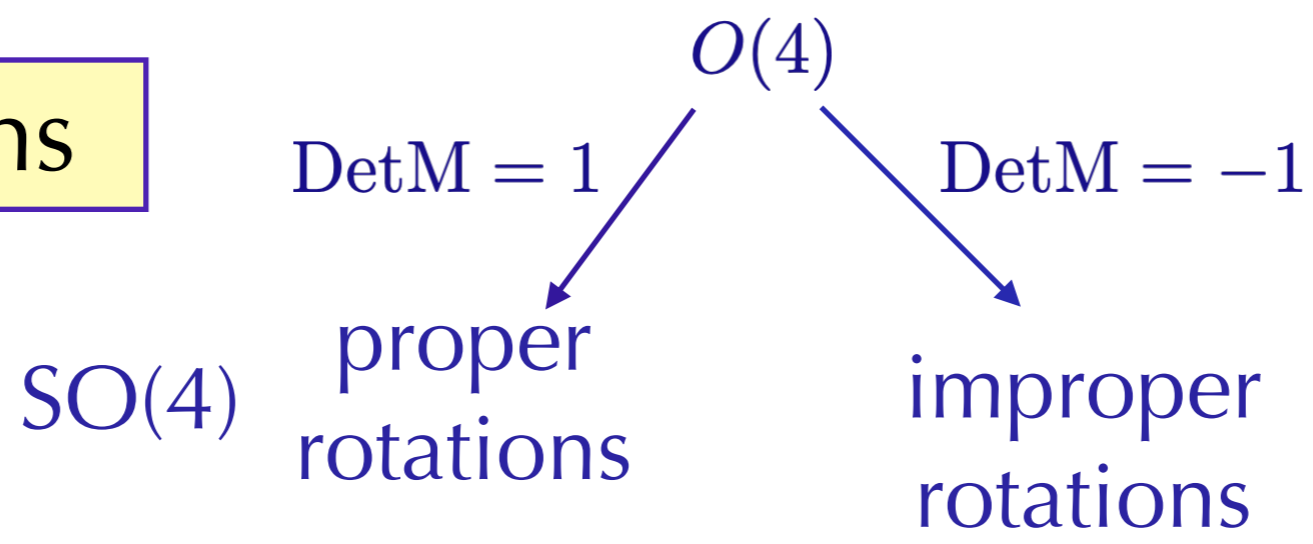
3 citations

Fermi liquids

$$H = \sum_{p,\sigma} (\epsilon(p) - \epsilon_F) n_{p\sigma} + \dots \rightarrow 0$$

$(n_{p\uparrow}, n_{p\downarrow})$ conserved currents

$(c_{p\uparrow}, c_{p\downarrow}, \text{h.c.})$ 4 objects



$$\text{Det}M = \pm 1 \implies Z_2 = O(4) \div SO(4)$$

Improper Rotations

Majorana basis

$$\begin{pmatrix} c_{p\uparrow} \\ c_{p\uparrow}^\dagger \\ c_{p\downarrow} \\ c_{p\downarrow}^\dagger \end{pmatrix} \longrightarrow \begin{pmatrix} c_{p\uparrow} + c_{p\uparrow}^\dagger \\ i(c_{p\uparrow} - c_{p\uparrow}^\dagger) \\ c_{p\downarrow} + c_{p\downarrow}^\dagger \\ i(c_{p\downarrow} - c_{p\downarrow}^\dagger) \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} c_{p\uparrow} + c_{p\uparrow}^\dagger \\ i(c_{p\uparrow} - c_{p\uparrow}^\dagger) \\ c_{p\downarrow} + c_{p\downarrow}^\dagger \\ i(c_{p\downarrow} - c_{p\downarrow}^\dagger) \end{pmatrix} \longrightarrow c_{p\downarrow} \rightarrow c_{p\downarrow}^\dagger$$

p-h transformation

$$\epsilon(p) = \epsilon_F$$

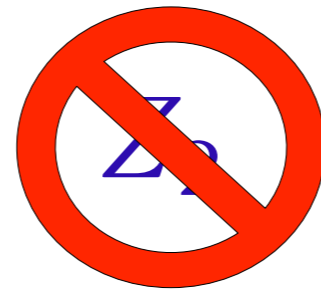
Fermi
Surface

$$H = 0$$



$$\left. \begin{array}{l} n_{p\uparrow} \rightarrow 1 - n_{p\uparrow} \\ n_{p\downarrow} \rightarrow n_{p\downarrow} \end{array} \right\} \mathbb{Z}_2 \text{ at Fermi surface only}$$

How to destroy Fermi liquids?



$$H = \sum_{p,\sigma} (\epsilon(p) - \epsilon_F) n_{p\sigma} + U n_{p\uparrow} n_{p\downarrow}$$

odd
under Z_2

scaling dimension

$$[n_{p\uparrow} n_{p\downarrow}] = -2$$

relevant
interaction

New fixed
point!

Hatsugai-
Kohmoto or
Baskaran model

General HK Model

$$\sum_k (\xi_k (n_{k\uparrow} + n_{k\downarrow}) + U n_{k\uparrow} n_{k\downarrow})$$

Solvable Mott transition: $U > W$

$$G_{k\sigma}(i\omega_n \rightarrow z) = \frac{1 - \langle n_{k\bar{\sigma}} \rangle}{z - \xi_k} + \frac{\langle n_{k\bar{\sigma}} \rangle}{z - (\xi_k + U)} \neq \frac{1}{z - \omega_k}$$

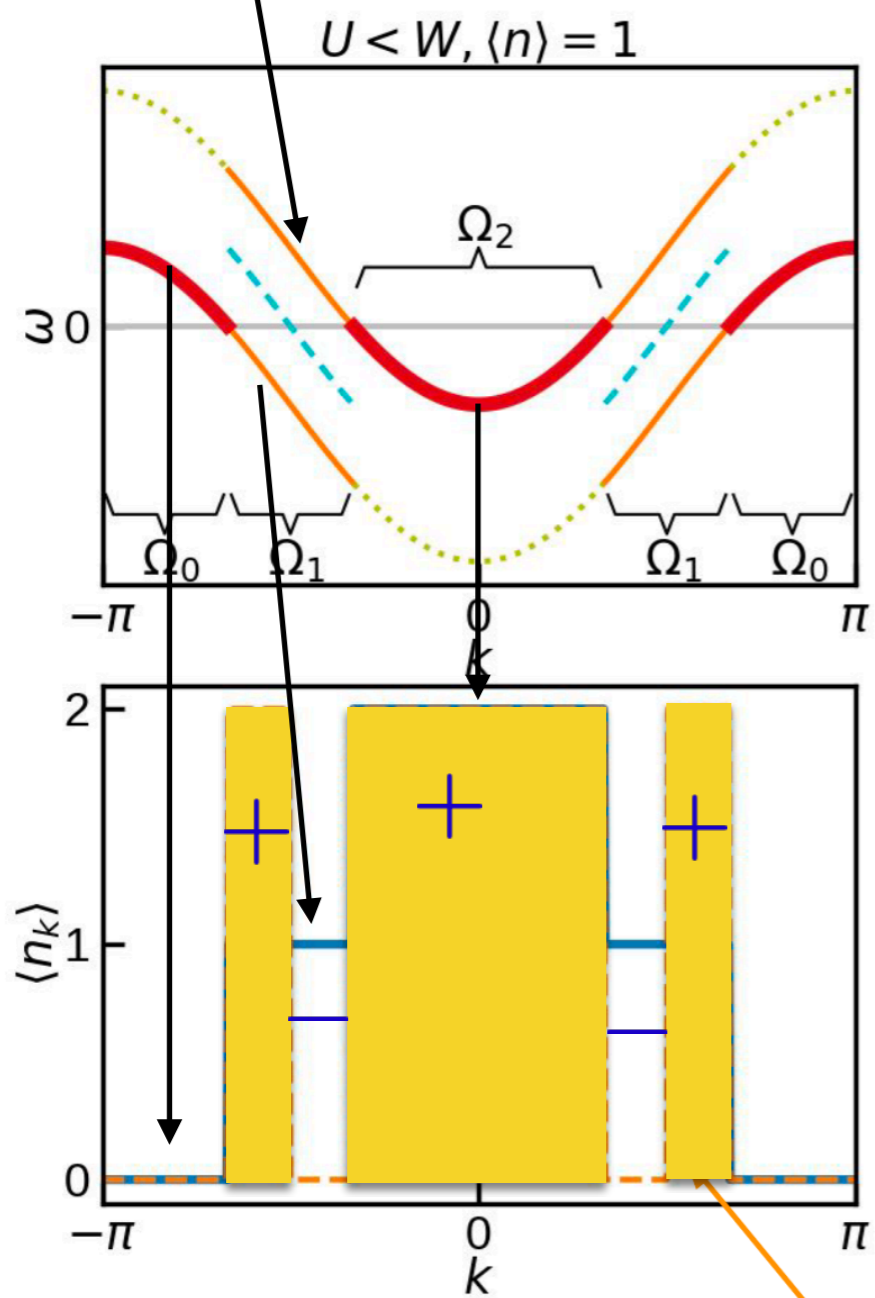
lower Hubbard band

upper Hubbard band

zeros

single occupancy

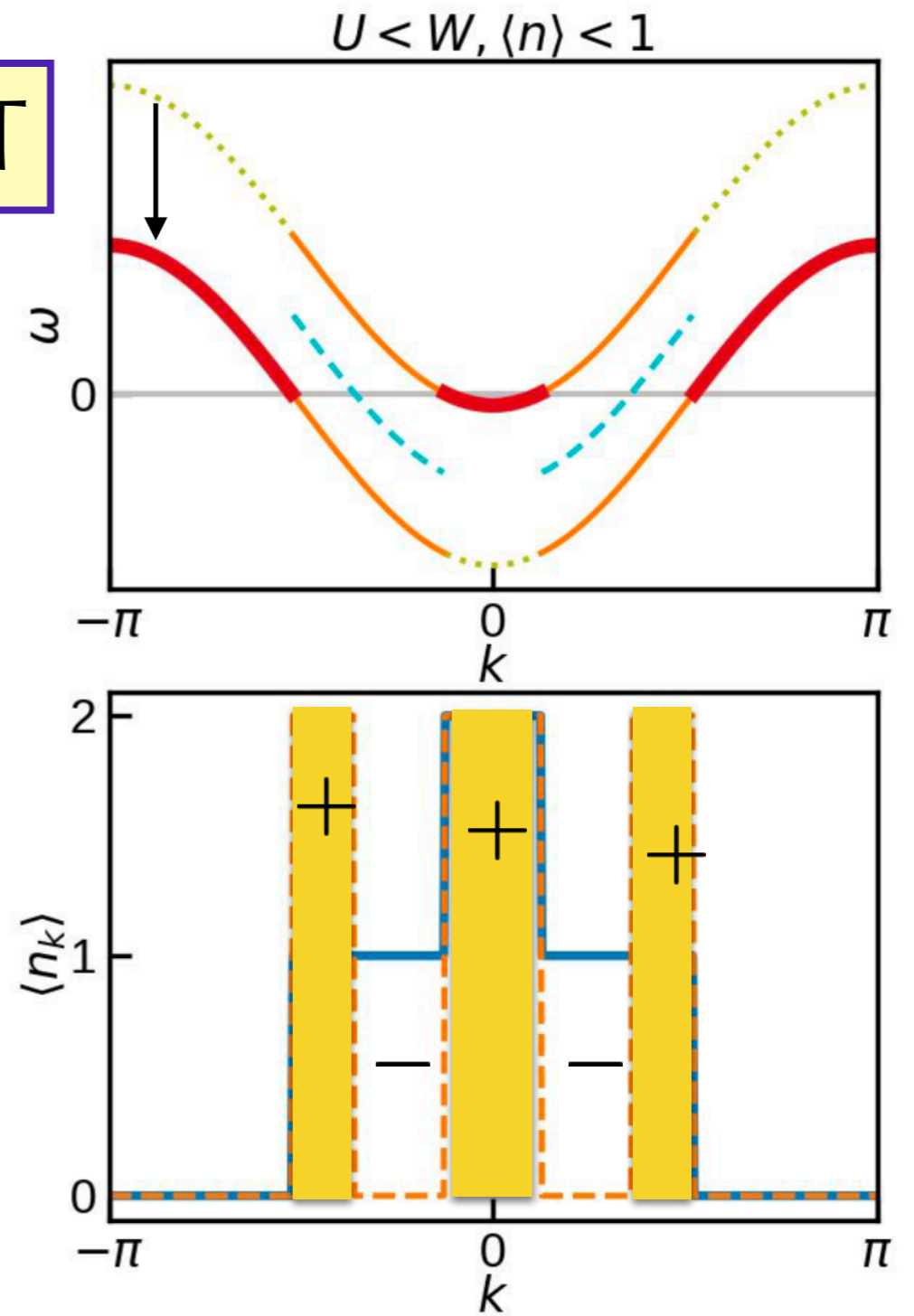
counting charges



$$n_{\text{Lutt}} = \langle n \rangle$$

zeros \neq particles

SWT



$$n_{\text{Lutt}} \neq \langle n \rangle$$

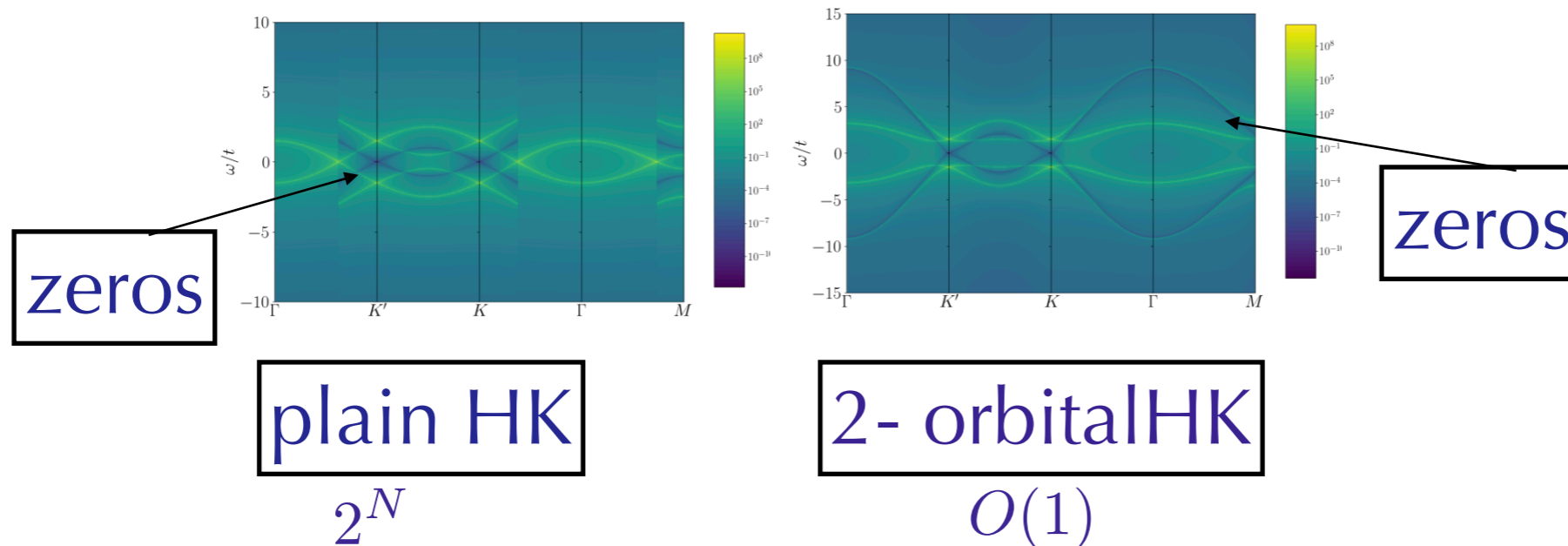
Ground state stability, symmetry, and degeneracy in Mott insulators with long range interactions

Dmitry Manning-Coe* and Barry Bradlyn†

*Department of Physics and Institute for Condensed Matter Theory,
University of Illinois at Urbana-Champaign, Urbana IL, 61801-3080, USA*

(Dated: June 2, 2023)

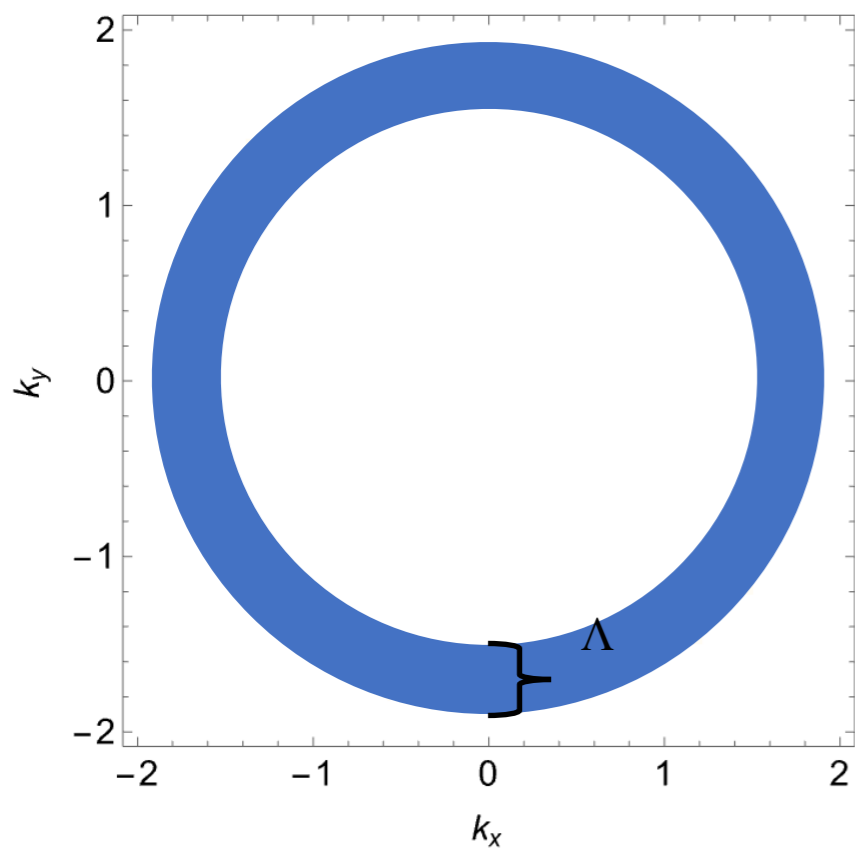
Recently, models with long-range interactions—known as Hatsugai-Kohmoto (HK) models—have emerged as a promising tool to study the emergence of superconductivity and topology in strongly correlated systems. Two obstacles, however, have made it difficult to understand the applicability of these models, especially to topological features: they have thermodynamically large ground state degeneracies, and they tacitly assume spin conservation. We show that neither are essential to HK models and that both can be avoided by introducing interactions between tight-binding states in the orbital basis, rather than between energy eigenstates. To solve these “orbital” models, we introduce a general technique for solving HK models and show that previous models appear as special cases. We illustrate our method by exactly solving graphene and the Kane-Mele model with HK interactions.



$$H_{\text{HK}} + V_{\text{local}}$$

$$\delta S_4 = \frac{1}{4} \int u(4, 3, 2, 1) \bar{\psi}(4) \bar{\psi}(3) \psi(2) \psi(1) \times$$

$$\prod_{i=1}^4 \frac{d^d k_i d\omega_i}{(2\pi)^{d+1}} \delta(\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}_3 - \mathbf{k}_4) \delta(\omega_1 + \omega_2 - \omega_3 - \omega_4)$$



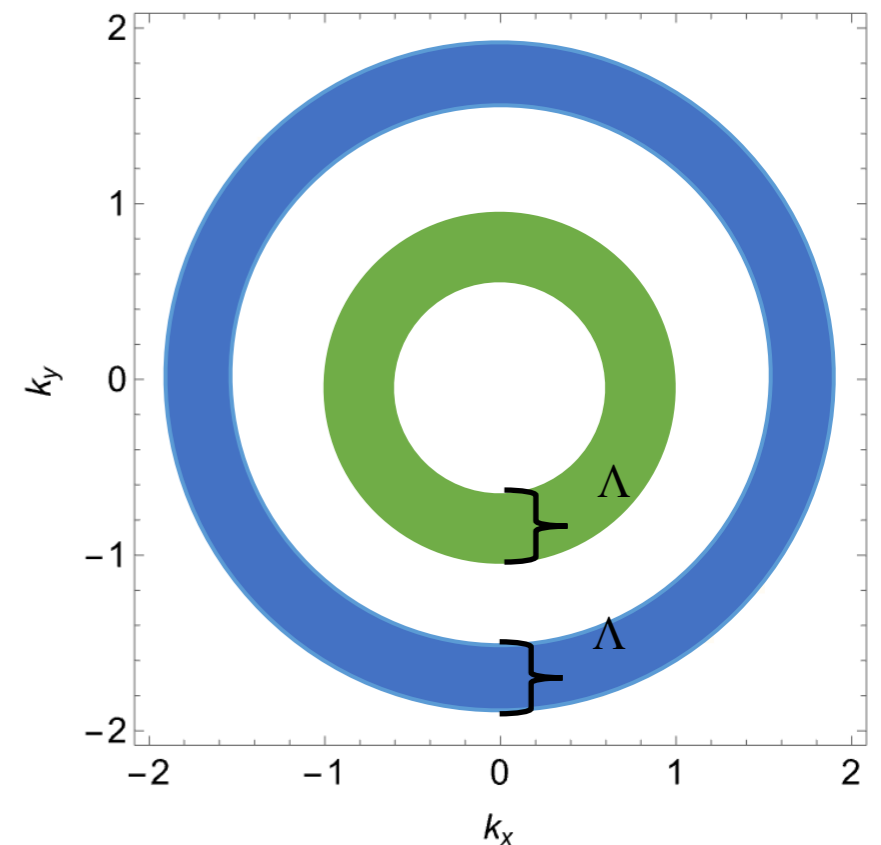
$$\Lambda \rightarrow \Lambda/s$$

$$q' = qs$$

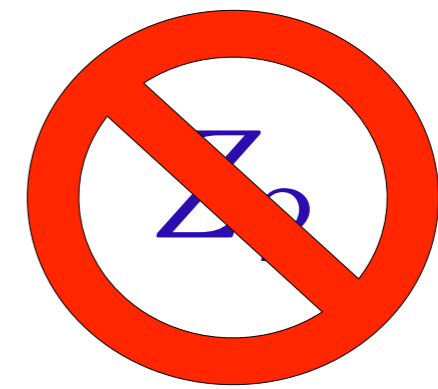
$$\omega' = \omega/s$$

$$\psi'(k', \omega') = s^{-3/2} \psi(k, \omega)$$

$$U' = s^2 U$$



Local (Hubbard) part of 4-Fermion interactions are still irrelevant



FL

HK

quantum numbers

k

k

stability

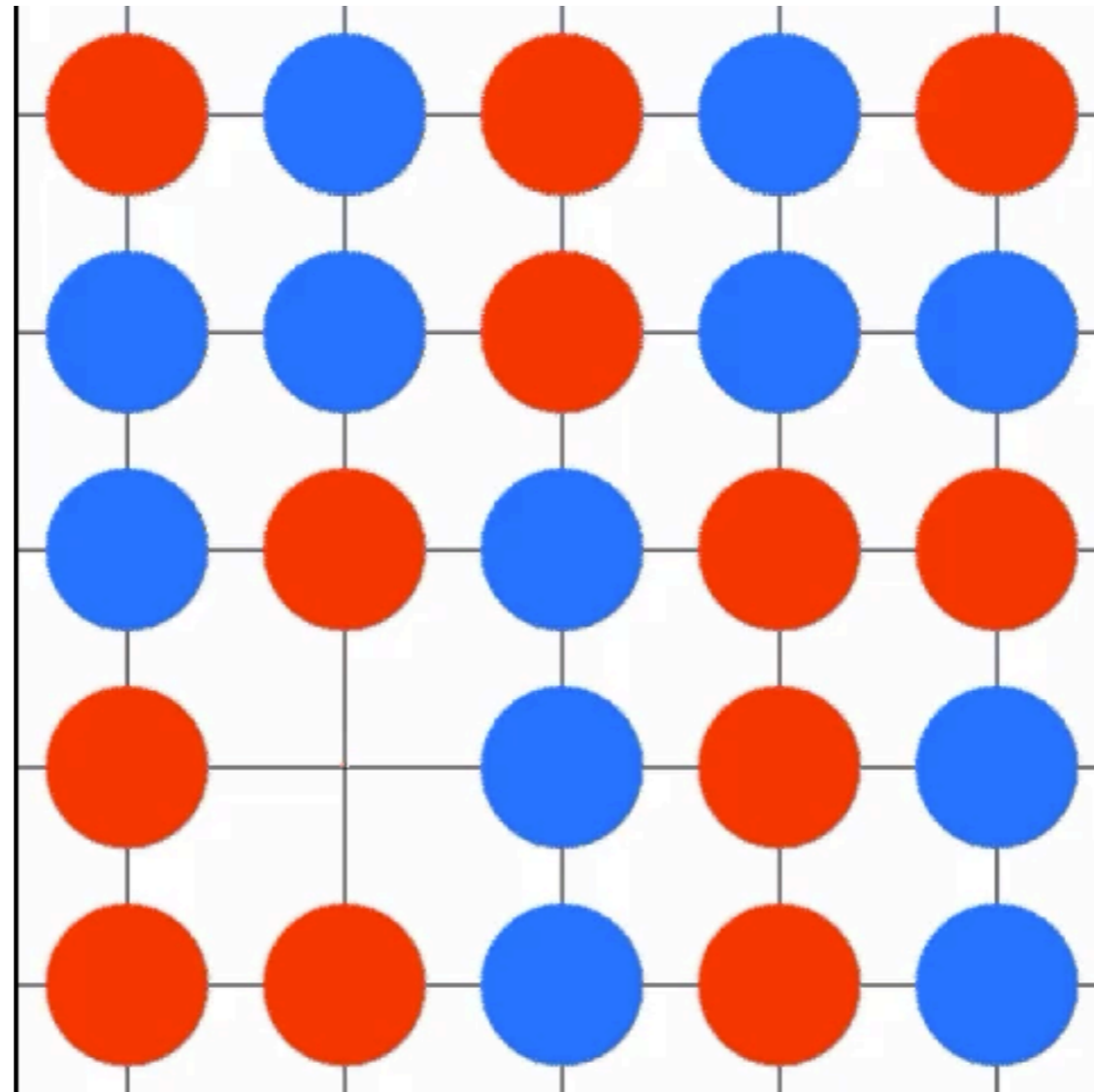
$$\left\{ \begin{array}{l} V_{\text{local}} \quad \beta(V_{\text{local}}) = 0 \\ V_{\text{sc}} \quad \beta(V_{\text{sc}}) \rightarrow \infty \end{array} \right.$$

$$\beta(V_{\text{local}}) = 0$$

?

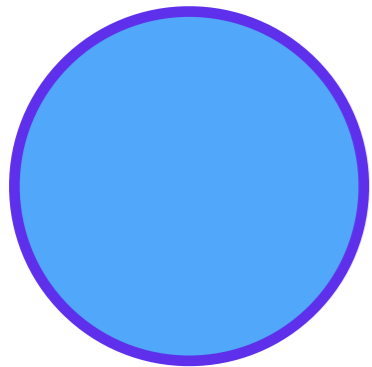
what does the HK model leave out??

$$[H_t, H_U] \neq 0$$

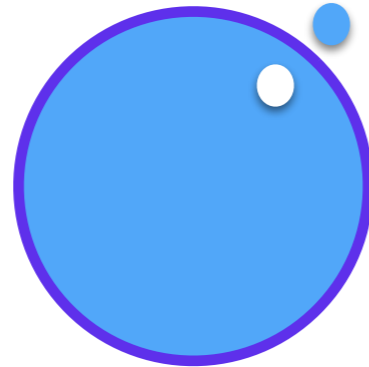


dynamical spectral weight transfer

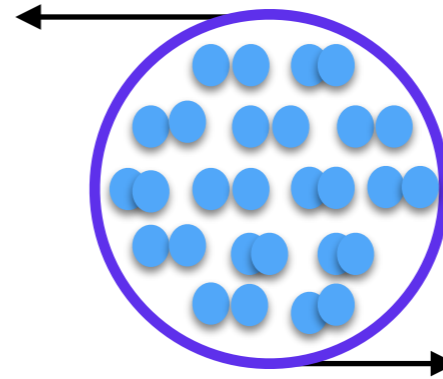
Fermi gas



Fermi liquid



BCS
superconductor



Mottness

2

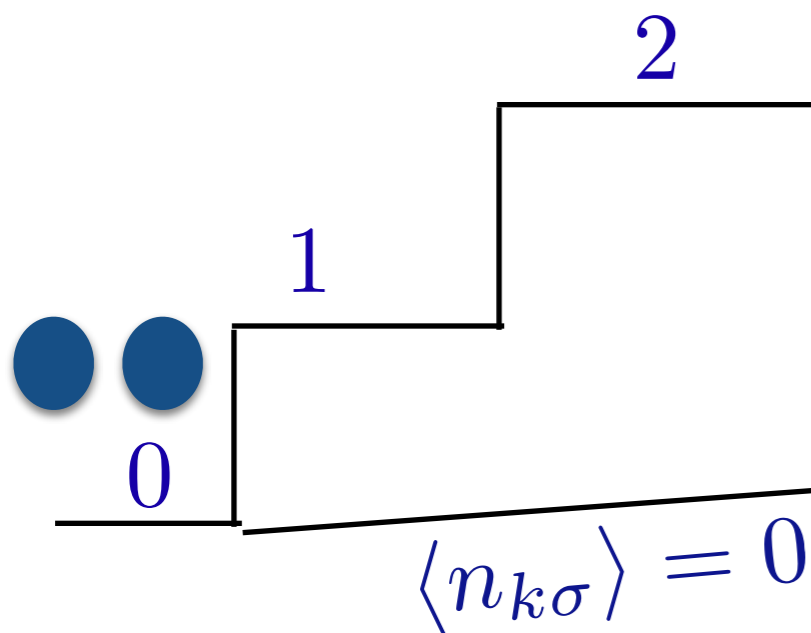
1

0



Superconductivity?

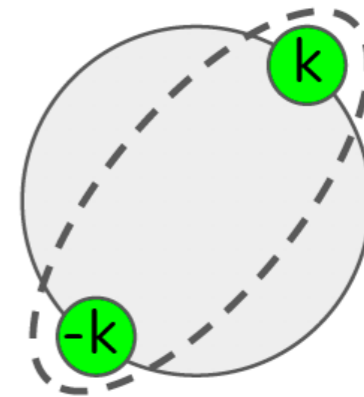
Cooper Instability



$$\langle n_{k\sigma} \rangle = 0$$

$$H = H_{\text{HK}} - gH_p$$

$$|\psi\rangle = \sum_{k \in \Omega_0} \alpha_k b_k^\dagger |\text{GS}\rangle$$

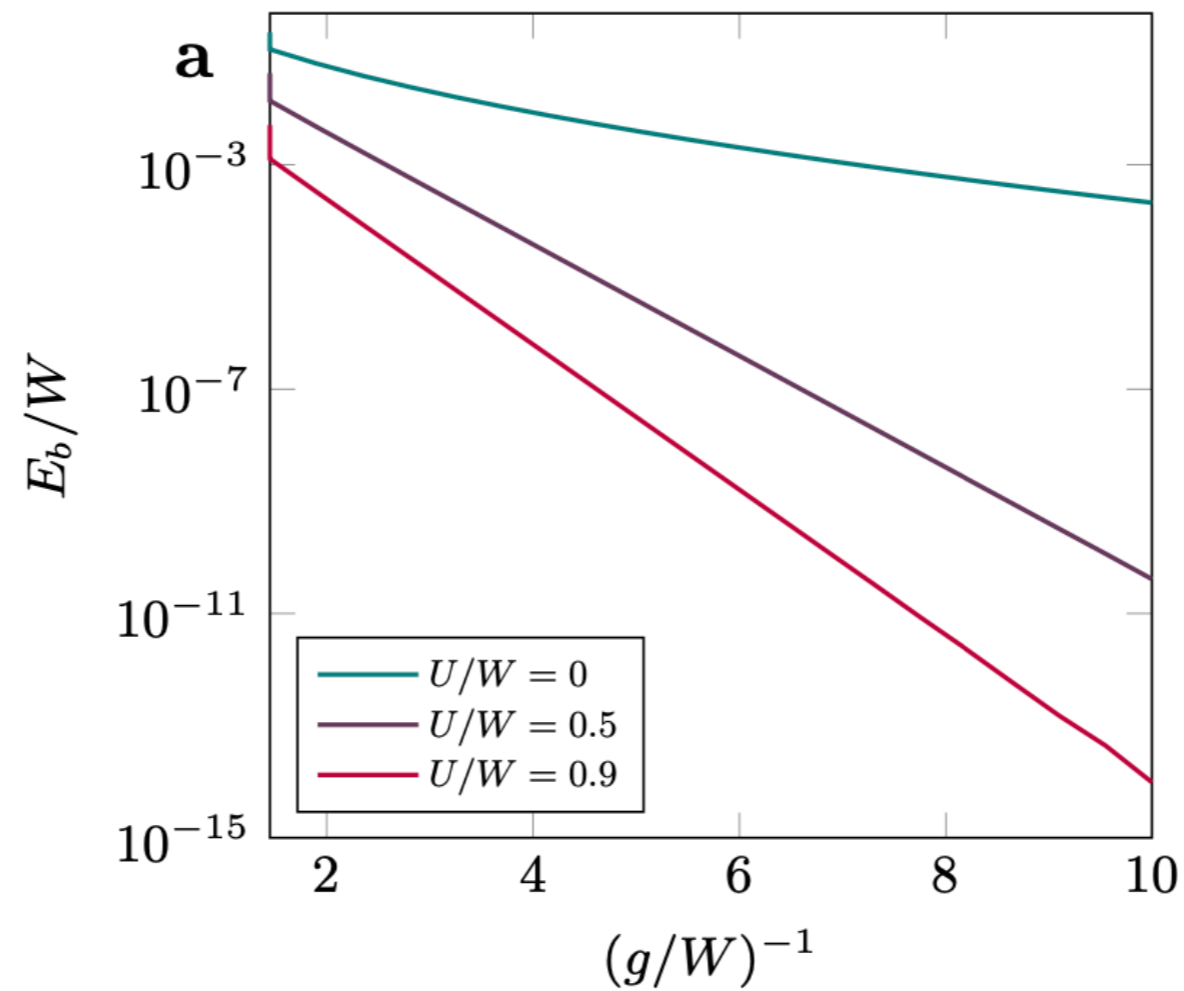


$$= \sum_{k, k'} b_k^\dagger b_{k'}$$

$$E_b = \langle \text{GS} | H | \text{GS} \rangle - \langle \psi | H | \psi \rangle \leq 0$$

Cooper Instability

$$E_b = -E \sim W(1 - (U/W)^2)e^{-\pi W \sqrt{1 - (U/W)^2} / g}$$



Pair Susceptibility

$$\chi(i\nu_n) \equiv \frac{1}{L^d} \int_0^\beta d\tau e^{i\nu_n \tau} \langle T \Delta(\tau) \Delta^\dagger \rangle_g$$

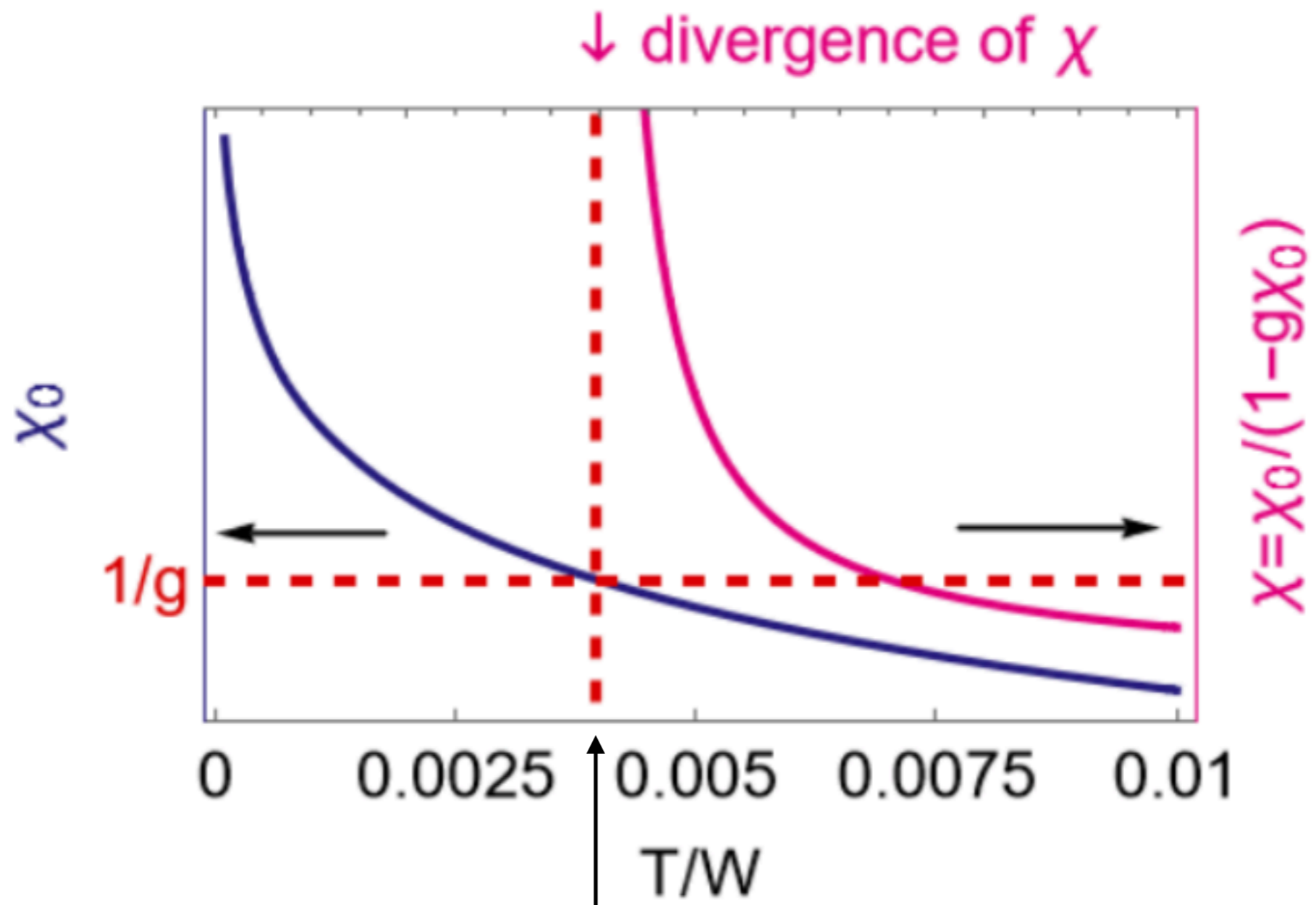


$$= \frac{\chi_0}{1 - g\chi_0}$$



$$g\chi_0 = 1$$

solve for T_c

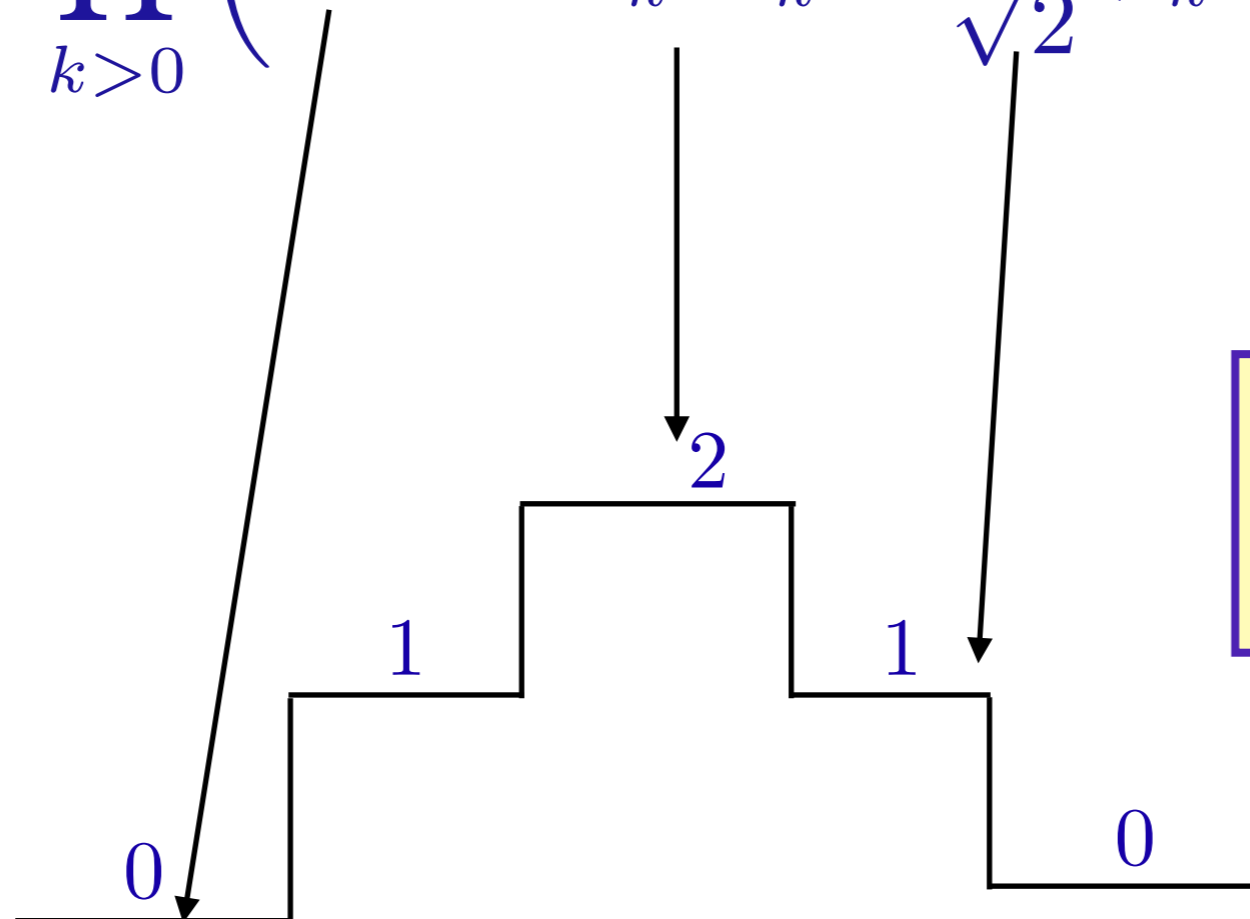


$$T_c$$

$$T_c = (W - U)^{4/5} U^{1/5} \frac{e^\gamma}{\pi} e^{-\frac{4}{5} \frac{W}{g}}.$$

variational MF wave function

$$|\psi\rangle = \prod_{k>0} \left(x_k + y_k b_k^\dagger b_{-k}^\dagger + \frac{z_k}{\sqrt{2}} (b_k^\dagger + b_{-k}^\dagger) \right) |0\rangle$$



HK
generalization

three variational parameters

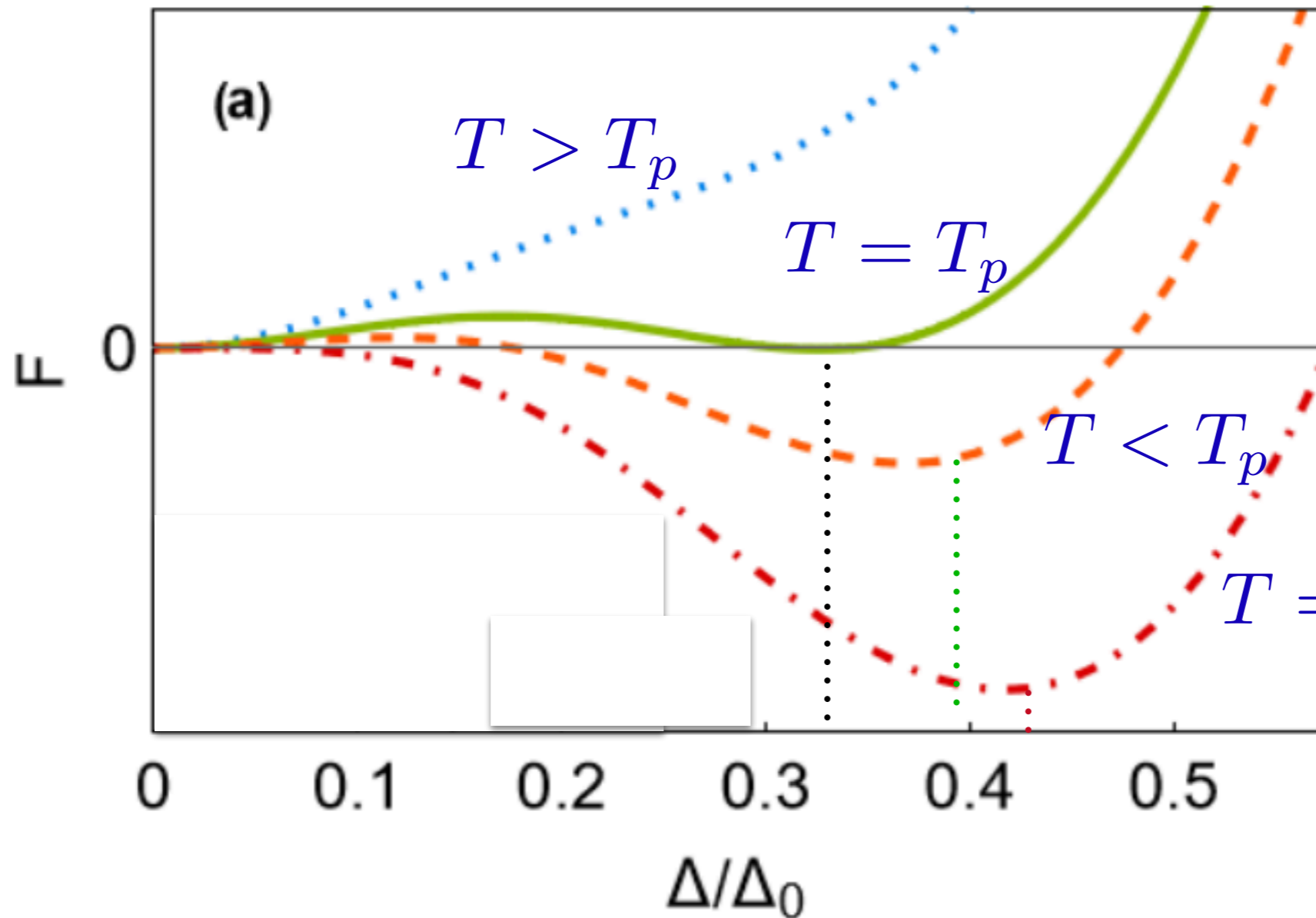
$$|x_k|^2 + |y_k|^2 + |z_k|^2 = 1$$

gap equation


$$\Delta \ll U, W$$

$$\Delta = (W - U)^{1/2} U^{1/2} e^{-\frac{W}{2g}}$$

compute free energy



$t_G \approx 10^{-11}$

MF theory
is accurate!

$$\lim_{g \rightarrow 0} \frac{\Delta}{T_c} \rightarrow \infty$$

Two-stage superconductivity in the Hatsugai-Kohomoto-BCS model

Yu Li,¹ Vivek Mishra,¹ Yi Zhou,^{2,3,4} and Fu-Chun Zhang^{1,4,*}

¹Kavli Institute for Theoretical Sciences, University of Chinese Academy of Sciences, Beijing 100190, China

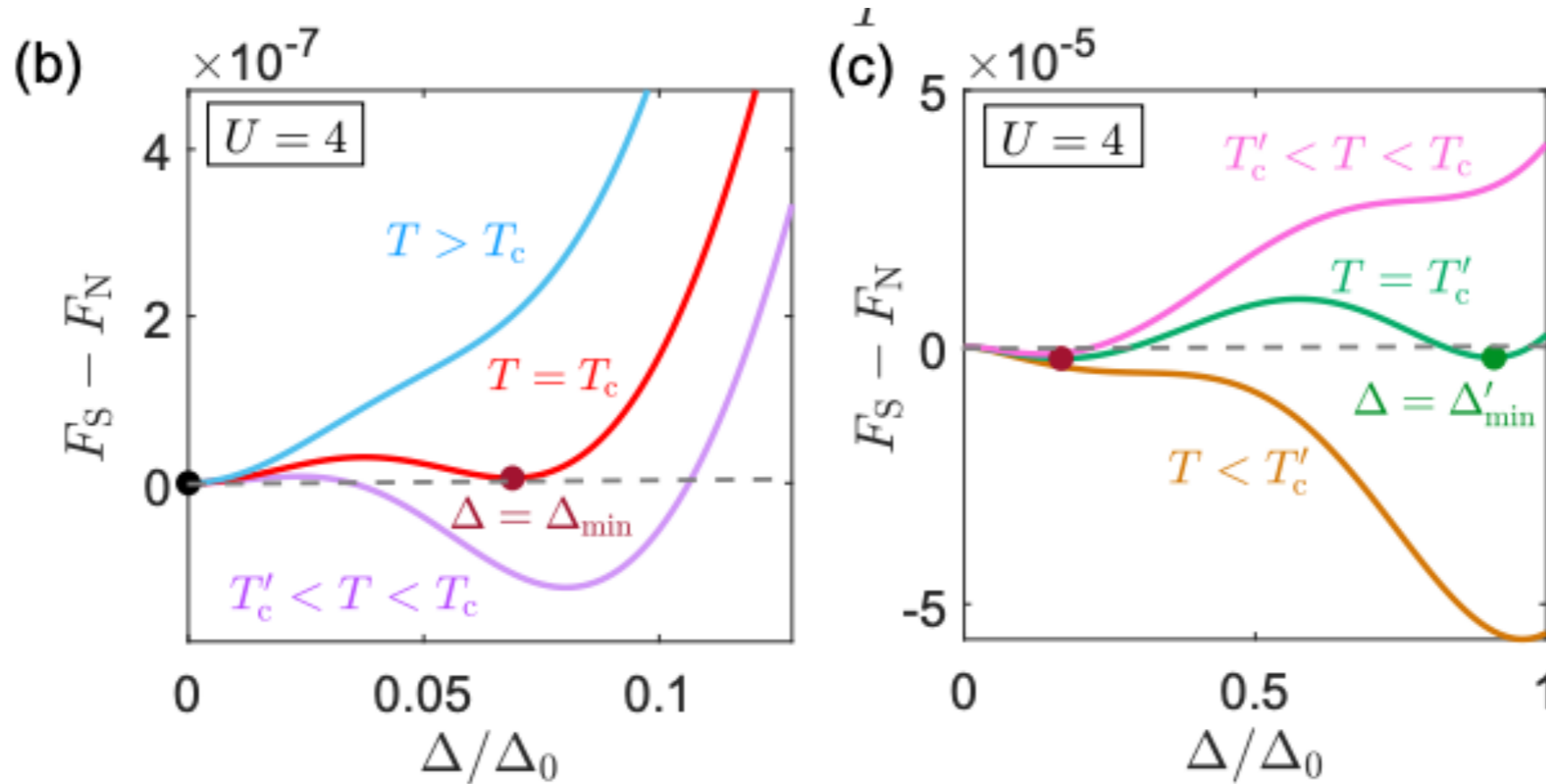
²Beijing National Laboratory for Condensed Matter Physics & Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China

³Songshan Lake Materials Laboratory, Dongguan, Guangdong 523808, China

⁴CAS Center for Excellence in Topological Quantum Computation,
University of Chinese Academy of Sciences, Beijing 100190, China

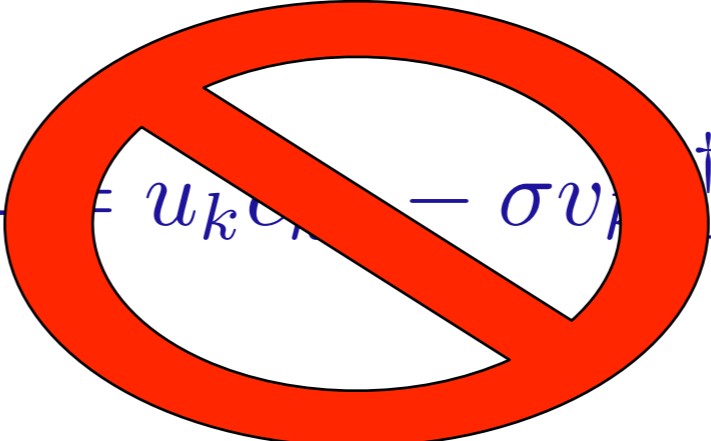
(Dated: July 7, 2022)

<https://arxiv.org/pdf/2207.01904.pdf>



Bogoliubov excitations

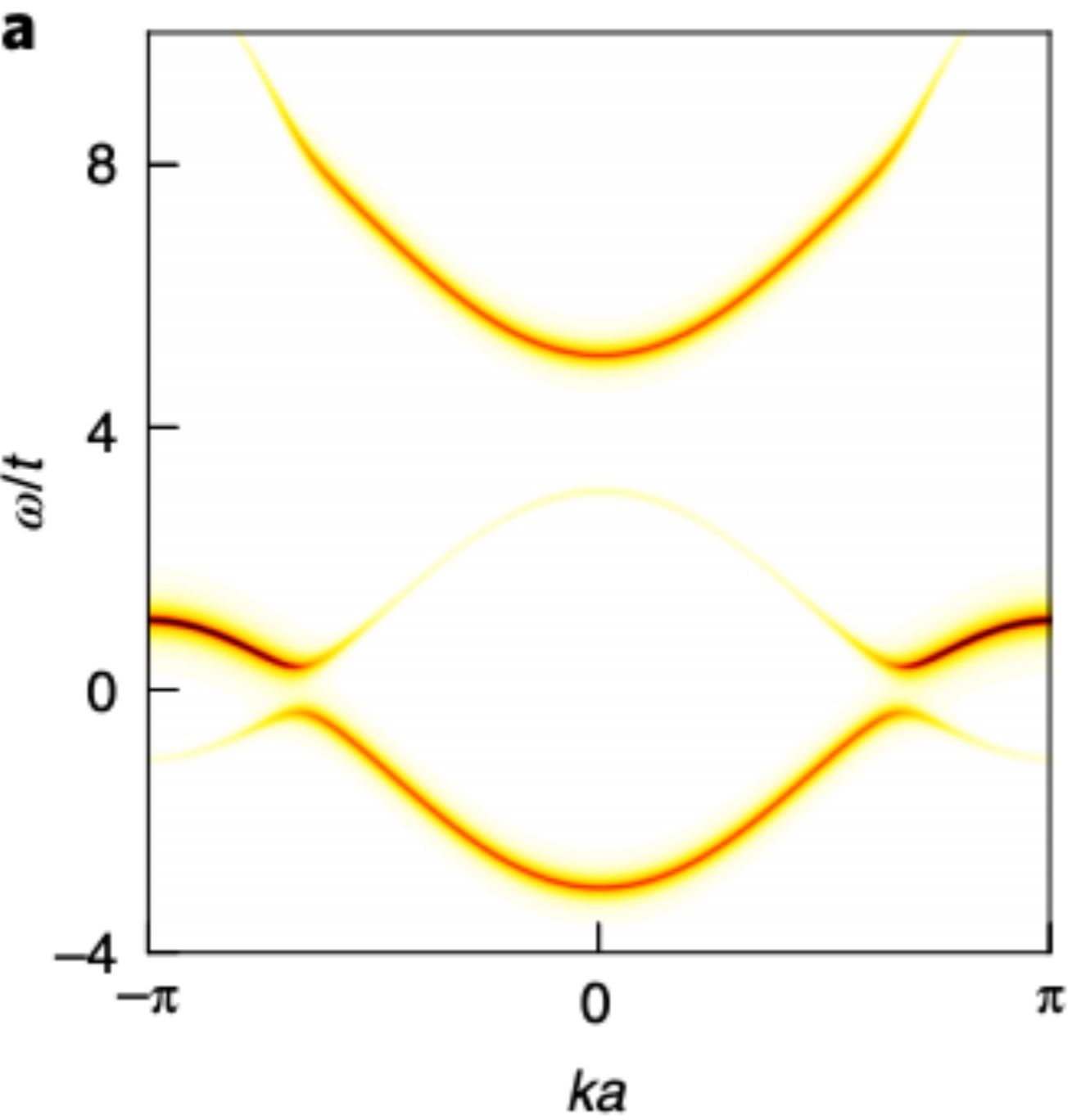
$$\gamma_{k\sigma} |\psi_{\text{BCS}}\rangle = 0$$

$$\gamma_{k\sigma} = u_k c_{k\sigma} - \sigma v_k c_{-k\bar{\sigma}}^\dagger$$


PYHons excitations

$$\gamma_{k\sigma}^l \propto \sqrt{2} x_k \zeta_{k\sigma}^\dagger - \sigma z_k \zeta_{-k\bar{\sigma}}$$

$$\gamma_{k\sigma}^u \propto z_k \eta_{k\sigma}^\dagger - \sigma \sqrt{2} y_k \eta_{-k\bar{\sigma}}$$



PYHon band

can we explain the color change?

REPORT

Superconductivity-Induced Transfer of In-Plane Spectral Weight in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$

H. J. A. Molegraaf¹, C. Presura¹, D. van der Marel^{1,*}, P. H. Kes², M. Li²

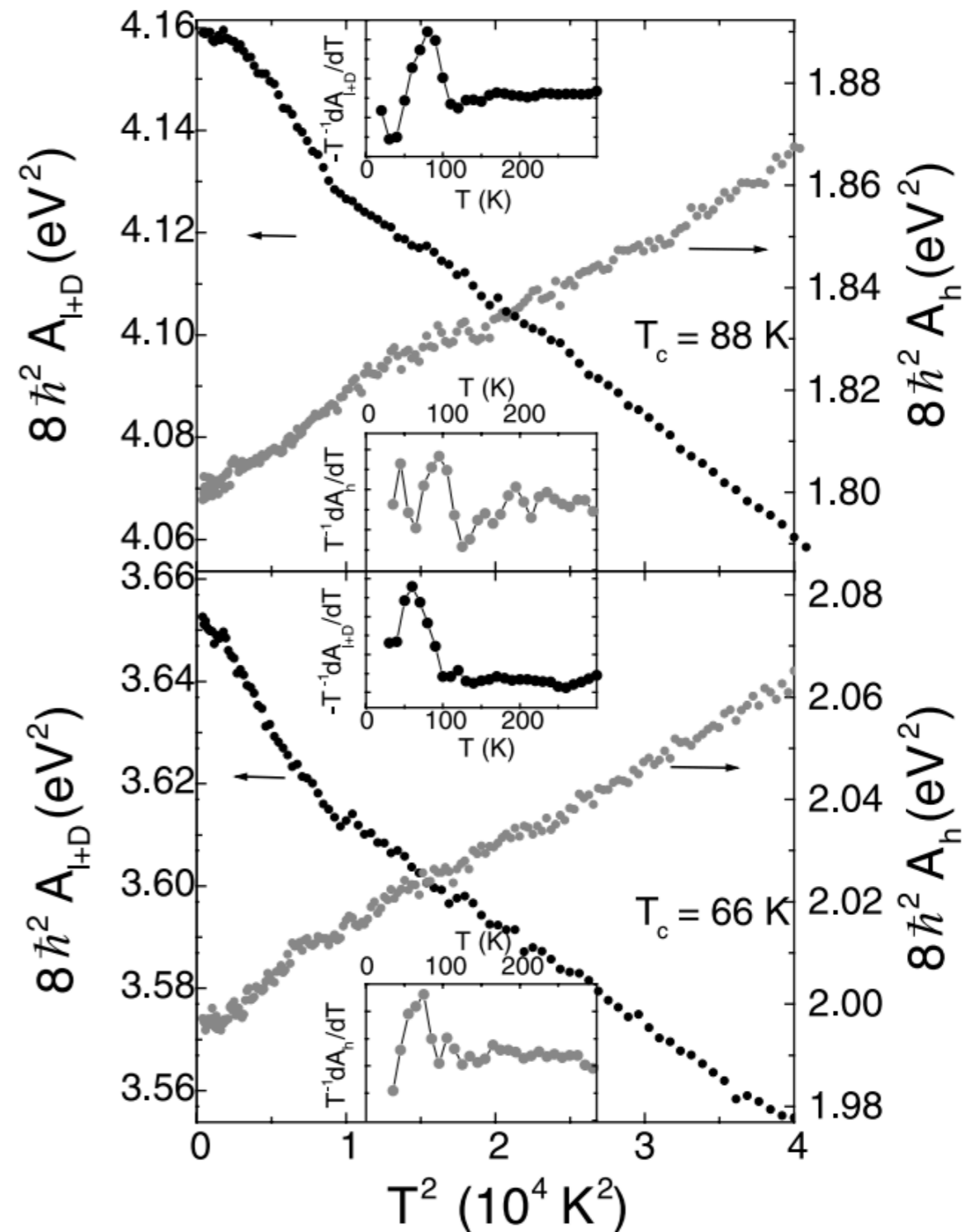
+ See all authors and affiliations

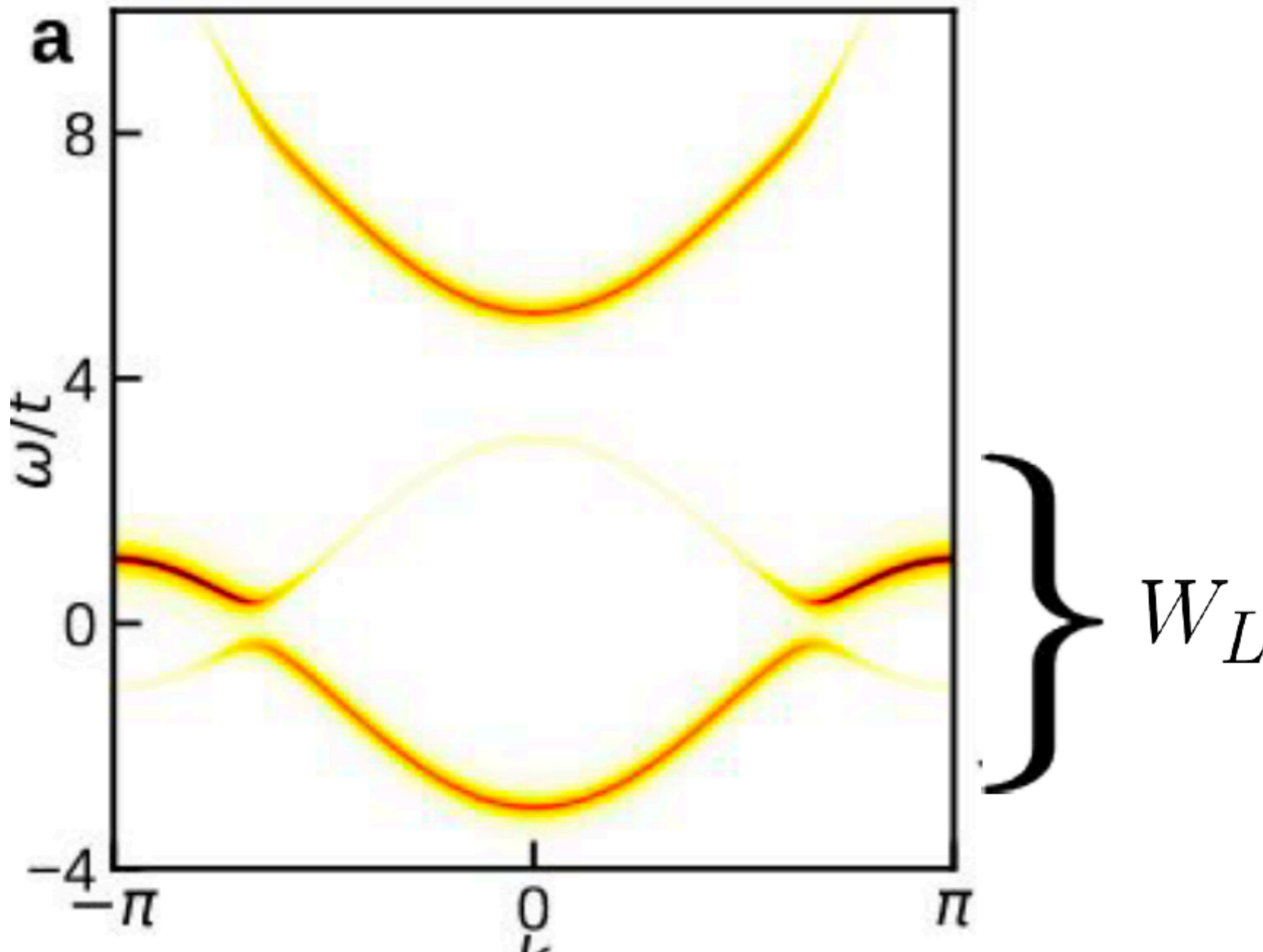
Science 22 Mar 2002:
Vol. 295, Issue 5563, pp. 2239-2241
DOI: 10.1126/science.1069947

$$A_l = \int_0^{\Omega} \sigma(\omega) d\omega \quad \Omega/2\pi c = 10000 \text{ cm}^{-1}$$

$$A_h = \int_{\Omega}^{2\Omega} \sigma(\omega) d\omega \quad \Omega/2\pi c = 10000 \text{ cm}^{-1}$$

$$\frac{\Delta A_l}{A_l} \propto 3\%$$





why?

$$H = H_{\text{HK}} + H_p$$

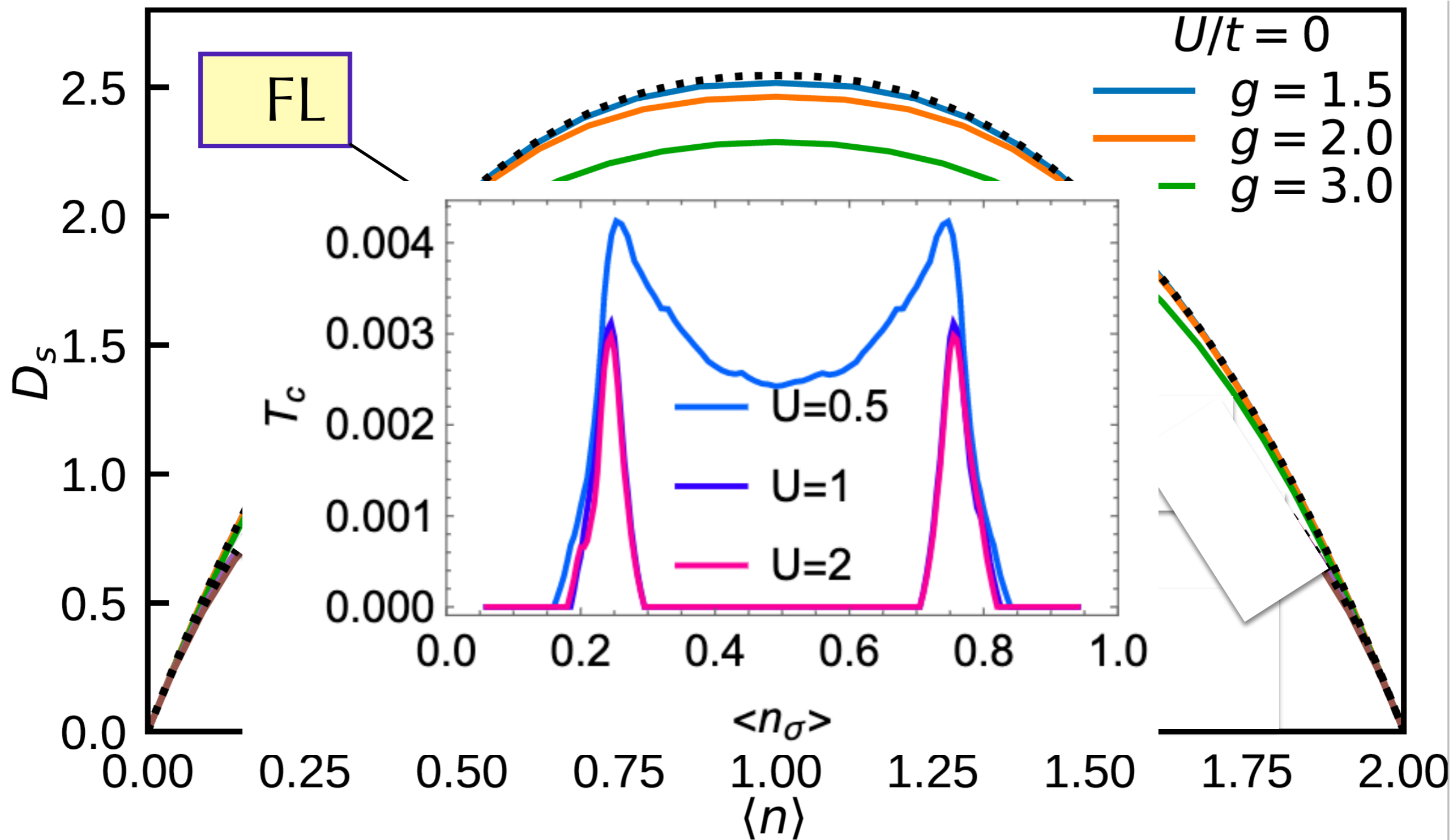
$$[H_{\text{HK}}, H_p] \neq 0$$



dynamical
spectral weight
transfer

Superfluid Density

Mottness-induced suppression



Superconductivity

Mottness

observable

$$\chi \rightarrow \infty$$

$$\Delta \neq 0$$

$$\lim_{g \rightarrow 0} 2\Delta_0/k_B T_c$$

quasi – particles

t_G (Ginzburg)

$$1/TT_1$$

Landau Expansion

$$E_{\text{cond}}/N(0)\Delta^2$$

BCS/FL

$$T_c$$

$$T_c$$

$$3.52$$

Bogoliubons

$$\approx 10^{-12}$$

HS peak

$$a = \alpha t, b > 0$$

$$-1$$

PYHZ/HK

$$T_c (= T_2)$$

$$T_p (> T_2)$$

$$\infty$$

PYHons

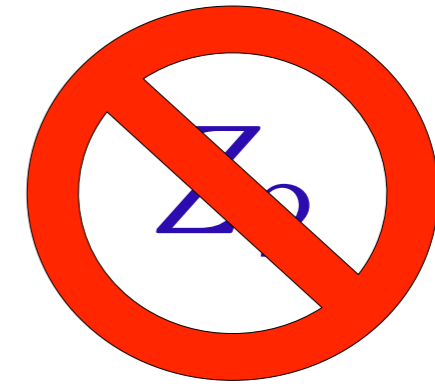
$$\approx 10^{-11}$$

no HS peak

$$a = \alpha t, b < 0$$

$$c > 0$$

$$[-2, -1]$$



FL

HK

quantum numbers

k

k

stability $\left\{ \begin{array}{l} V_{\text{local}} \quad \beta(V_{\text{local}}) = 0 \\ V_{\text{sc}} \quad \beta(V_{\text{sc}}) \rightarrow \infty \end{array} \right.$

$\beta(V_{\text{local}}) = 0$

$\beta(V_{\text{sc}}?) \rightarrow \infty$